sue 89-16

U.S. SECURITIES AND

EXCHANGE COMMISSION

## MMISSION ANNOUNCEMENTS

MA APPRAL OF HILL'S CAPITOL SECURITY, INC.

The General Counsel sent a letter to Mitchell Paul, General Counsel of Hill's Capitol Security, Inc., affirming in part, reversing in part, and remanding in part the Freedom of Information Act Officer's decision to deny access to specified documents related to the Commission's solicitation of proposals, and subsequent contract award, for security services at the Judiciary Plaza Commission headquarters building. The FOIA Officer denied access to the requested documents on the basis of FOIA Exemptions 4 and 5, 5 USC 552(b)(4) and (5), 17 CFR 200.80(b)(4) and (5). The General Counsel determined to release in its entirety one document that does not appear to be protected by any FOIA exemptions; release certain documents with portions redacted under FOIA Exemption 5; and remand certain documents to the FOIA Officer for his review. The documents remanded to the FOIA Officer contain information that may be protected under FOIA Exemption 4 and that is identical to information in documents which are the subject of pending confidential treatment requests. These documents also contain information that may be protected under FOIA Exemption 5. (Rel. FOIA-103)

#### OIA APPEAL OF THE BOARD OF TRADE OF THE CITY OF CHICAGO

The General Counsel sent a letter to Mark D. Young, Kirkland & Ellis, counsel for the Board of Trade of the City of Chicago (CBOT), reversing the FOIA Officer's decision to deny access to the request for a no-action or interpretive position submitted on behalf of RMJ Securities Corp. and RMJ Options Trading Corp. regarding the status of a proposed system for computerized trading of options on U.S. Government securities. The General Counsel determined that FCIA Exemption 3, 5 USC 552(b)(3), 17 CFR 200.80(b)(3), should not be applied to withhold the responsive documents. (Rel. FOIA-104)

## MINISTRATIVE PROCEEDINGS

### PROCEEDINGS INSTITUTED AGAINST LEONARD S. BERMAN

The Commission approved the institution of administrative proceedings under Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 against Leonard S. Berman of Old Bridge, New Jersey.

The Order for Proceedings alleges that on December 16, 1986, in the District of New Jersey, Berman pled guilty to an Information charging him with Obstruction of Proceedings Before an Agency in violation of 18 USC \$1505. Berman's guilty plea directly related to his attempt to induce a witness to give false testimony before the Commission. Specifically, Berman instructed the witness to testify falsely that a securities trading account in the witness' name was not in fact a nominee account for Berman. On March 17, 1987, a judgment of conviction was entered against Berman, and he was sentenced to a five-year period of incarceration. That sentence was suspended, and Berman was resentenced to three years probation.

A hearing will be scheduled to determine whether the allegations against Berman are true and, if so, to determine what, if any, remedial action would be appropriate. (Rel. 34-26467)

## **CIVIL PROCEEDINGS**

TEMPORARY RESTRAINING ORDER ISSUED AGAINST VINCENT JOHN MILITANO AND MILTON SONNEBERG

The New York Regional Office announced that on January 25 Judge John F. Keenan, Southern District of New York, issued a temporary restraining order against Vincent John Militano and Milton Sonneberg, two registered representatives with Moore & Schley, Cameron & Co., restraining them from further violations of the securities laws and freezing their assets except for the payment of ordinary living expenses and attorneys fees. In a Complaint filed on January 25, the Commission charged defendants with violating Sections 9(a), 10(b), and 13(d) of the Securities Exchange Act of 1934 and Rules 10b-5, 13d-1, and 13d-2, and sought a permanent injunction enjoining them from further violations of the securities laws as well as disgorgement of all commissions and profits from unlawful transactions. The Commission also sought a preliminary injunction. Militano and Sonneberg are charged with cornering the market and manipulating the price of the common stock of Chase Medical Group Inc. Judge Keenan ordered a hearing on the preliminary injunction on February 23. (SEC v. John Militano and Milton Sonneberg, Civil Action No. 89-0572, JFK). (LR-11973)

#### APPOINTMENT OF ESCROW AND DISTRIBUTION AGENT

The Commission announced that on January 11 U.S. District Judge John F. Reenan, Southern District of New York, ordered the appointment of Richard B. Walker as Escrow and Distribution Agent in accordance with the Commission's Plan for the Disposition of Disgorgement Funds and Appointment of Escrow and Distribution Agent in SEC v. Armand Leone Sr. and Rita F. Leone, 88 Civ. 2818 (SDNY, April 21, 1988).

The Commission filed a Complaint on April 21, 1988 alleging that both defendants violated the antifraud provisions of the Securities Exchange Act of 1934 and Rule 10b-5 in connection with the purchases of First Jersey National Corporation securities. Simultaneously, defendants, without admitting or denying the allegations, consented to Final Judgments of Permanent Injunction and Other Equitable Relief, to disgorge \$774,302, and to pay a one time penalty of \$480,916 under the Insider Trading Sanctions Act of 1984. (SEC v. Armand Leone Sr. and Rita F. Leone, 88 Civ. 2818, RJD). (LR-11977)

# **INVESTMENT COMPANY ACT RELEASES**

#### SCUDDER CALIFORNIA TAX FREE FUND

An order has been issued exempting Scudder California Tax Free Fund, et al., from the provisions of Section 32(a)(1) of the Investment Company Act to permit them to file financial statements signed or certified by an independent public accountant selected at a Board of Directors/Trustees meeting held within 90 days before or after the beginning of such Applicant's fiscal year. (Rel. IC-16770 - Jan. 23)

#### PRUDENTIAL MUTUAL FUND MANAGEMENT, INC.

A notice has been issued giving interested persons until February 16 to request a hearing on an application filed by Prudential Mutual Fund Management, Inc. (PMF), Prudential Mutual Fund Distributors, Inc. (PMFD), and Prudential-Bache Securities, Inc., on behalf of the Prudential-Bache Mutual Funds, for an order amending a prior order. The prior order exempted Prudential-Bache from the provisions of Section 22(d) of the Investment Company Act to permit a waiver of a contingent deferred sales load. The requested order would extend the exemptive relief granted by the prior order to PMF and PMFD. (Rel. IC-16771 - Jan. 23)

### CIGNA FUNDS GROUP

An order has been issued exempting Cigna Funds Group, et al., from the provisions of Section 32(a)(1) of the Investment Company Act to permit them to file financial statements signed or certified by an independent public accountant selected at a Board of Directors or Trustees meeting held within 90 days after the end of such Applicant's fiscal year. (Rel. IC-16772 - Jan. 23)

#### HICHARD ALAN DANIELS

An order has been issued granting Richard Alan Daniels a limited exemption from the provisions of Section 9(a) of the Investment Company Act applicable to him by virtue of an injunction entered in SEC v. Price, Allen & Stevens Incorporated, and a criminal conviction in U.S. v. Daniels. (Rel. IC-16773 - Jan. 24)

# STING, DELISTING AND UNLISTED TRADING ACTIONS

#### ITHDRAWAL SOUGHT

A notice has been issued giving interested persons until February 10 to comment on the application of DAMSON OIL CORPORATION to withdraw its Class A common stock, \$.01 par value, \$3.00 cumulative convertible preferred stock, \$1.00 par value, and \$2.50 cumulative convertible preferred stock, \$1.00 par value, from listing and registration on the American Stock Exchange. (Rel. 34-26479)

# **LF-REGULATORY ORGANIZATIONS**

### NOTICE OF PROPOSED RULE CHANGES

A proposed rule change has been filed under Rule 19b-4 by: The New York Stock Exchange (SR-NYSR-88-29) and The American Stock Exchange (SR-Amex-88-29) to amend their arbitration procedures to conform with recently approved amendments to the Uniform Code of Arbitration as adopted by the Securities Industry Conference on Arbitration. (Rel. 34-26474: 34-26475)

Publication of the proposals are expected to be made in the <u>Federal Register</u> during the week of January 30.

#### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval for 90 days to a proposed rule change filed by The National Association of Securities Dealers, Inc. (SR-NASD-89-4) that adds a limit order storage and execution capability to its Small Order Execution System. (Rel. 34-26476)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-88-37) to increase the unit of trading of the French franc foreign currency options from 125,000 francs to 250,000 francs and to modify the minimum fractional change for dealing on the Exchange in French franc option contracts from \$.00005 to \$.00002. (Rel. 34-26478)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	₹	FORM	EVENT DATE	SHRS (000) / Canwox	CUSIP/ PRIDR%	FILING STATUS
ALZA CORP, BIO ELECTRO SYS GOLDMAN SACHS 8 CD	UNITS	13D	1/12/89	742 24.0		UPDATE
AMERICAN INTEGRITY CORP MARX MOSES	COM	13D	12/30/88	674 10.3	02686310 9.1	UPDATE
AMERICAN MED ELECTRS INC BIOMET INC	COM	13D	12/ 1/88	687 21.5	02742510 26.9	UPDATE
AUSIMONT N V MONTEDISON S P A	COM	14D-1	1/23/89	21,643 74.3	05211510 74.3	UPDATE
BUILDERS DESIGN INC DAVIS J MORTON	COM	13D	10/28/88	943 12.0	11990610 6.4	UPDATE
BURNDY CORP DIBNER DAVID ET AL	COM	13D	1/ 9/89	0.0	12220510 16.9	UPDATE
CALPROP CORP DEL ZACCAGLIN VICTOR ET AL	COM	13D	12/31/88	1,509 36.1	13135210 36.0	UPDATE
CARE ENTERPRISES DEL FOOTHILL GRP ET AL	CL B	13D	1/20/89	416 6.9	14164910 0.0	NEW
CARE ENTERPRISES DEL FOOTHILL GRP ET AL	CLA	13D	1/20/89	696 11.1	14164920 0.0	NEW
CARTER HAWLEY HALE STORES IN HARRIS ASSOCIATES INC	COM	13D	1/11/89	1,772 7.8	14622710 8.8	UPDATE
CELLULAR COMMUNICATIONS INC BTO INVESTMENTS ET AL	COM	13D	1/19/89	2,243 6.7	15090910 5.2	UPDATE
CHANDLER INS LTD AMMEX INC	COM	13D	12/13/88	894 22.0	15905710 0.0	. KA2104
CHANDLER INS LTD CENTRA INC	COM	13D	12/28/88	8 <del>94</del> 22.0	15905710 0.0	HEW
CONTINENTAL INVESTMENT CORP BISHOP FRANK M	COM	13D	12/28/88	91 19.8	21151510 22.4	UPDATE
CRYSTAL DIL BAIRD BRIDGET B ET AL	.06 SE	N CONV 13D	VTG PFD 1/ 3/89	2,685 13.9	22938530 6.6	
DEVON GROUP INC NEW VALUE EQUITY ASSOC ET AL	COM	13D	1/17/89		25180110 9.4	UPDATE
DISEASE DETECTION INTL INC ENVIRONMENTAL DIAGNOSTICS	COM INC	13D	12/22/88	35,503 23.6	25499210 24.6	UPDATE
DUNES PESOURCES LTD ROBSON BRUCE A ET AL	CL A	13D	1/10/89		26599010 100.0	
FABRI CTRS AMER INC SHUFRO ROSE & EHRMAN	COM	13D	1/12/89		30284610 18.1	UPDATE
FIRST SUN SOUTH CORP MANCINI J M ET AL	COM	13D	12/16/88		33712710 0.0	
FORTUNE FINL GROUP INC DYSON-KISSNER-MORAN CORP	COM ET AL	13D	1/11/89	436 9.7		UPDATE
GTE CORP CARGILL INC	PFD SE	RIES B 13D	8.85 1/12/89		36232083 0.0	UPDATE
GENERAL ENERGY DEV LTD UNITED MERIDIAN CORP	DEPOSI		1/17/89	5,449 45.3	36975710 <b>45.</b> 3	UPDATE

GRAHAM FIELD HEALTH PRODS IN MARX MOSES	COM	13D	12/30/98	717 9.6		UPDATE
GRAPHIC SCANNING CORP MCCAW CELLULAR COMM ET AL	COM	13D	1/19/89	2,489 7.5		UPDATE
GUARANTY BANCSHARES CORP MERRIMAN DAVID W	COM	13D	1/10/89	152 8.8		UPDATE
IMAGE ENTMT INC . IMAGE INVESTORS CO	COM	13D	1/11/89	13,987 16.7	45243910 11.4	RVSION
INTERNATIONAL MULTIFOODS COR STATE OF WISCONSIN INVEST		13D	1/13/89	891 7.0		UPDATE
MGM UA COMMUNICATIONS CÔ KERKORIAN KIRK ET AL	COM	13D	1/17/89	41,322 82.2		UPDATE
MEDISCIENCE TECHNOLOGY CORP .ALFANO ROBERT R DR	COM	13D	12/ 2/88	1,504 9.5	58590110 0.0	NEW
METRO MOBILE CTS INC MCCAW CELLULAR COMM ET AL	CL A	13D	1/19/89	378 1.6		UPDATE
METRO MOBILE CTS INC MCCAW CELLULAR,COMM ET AL	CL B	13D	1/19/89	1,110 5.7		UPDATE
MICRO D INC INGRAM INDS ET AL	COM	14D-1	1/23/89	4,24 <b>5</b> 58.8	59482510 58.8	UPDATE
MOLECULON INC FAULDING HLDGS INC	COM	13D	12/20/88	11,884 72.1	60855110 39.6	RVSION
PPOLER INTL CORP	COM	13D	1/10/89	198 12.6		UDDOTE
RAX RESTAURANTS INC DAKWEST CORP ET AL	COM	13D	12/28/88	382 5.4		UPDATE
SAFETY RY SVC CORP	COM			1,018	78649310	
SHELDAHL CO	COM	130	1/18/89	84.4 190		UPDATE
KORSANT PHILIP B ET AL		13D	1/12/89	4.1	6.3	UPDATE
STAPRETT HSG CORP ABOODI ODED ET AL	COM	13D	12/ 2/88	359 6.2	85567710 0.0	NEW
TEREX CORP NEW CAMERON DORT A III ET AL	COM	13D	1/ 9/89	700 9.2	88077910 0.0	NEW
TEREX CORP NEW INVESTMENT LTD PRISHP	COM	13D	1/ 9/89	0 0.0	88077910 9.2	UPDATE
TIGER INTL INC FEDERAL EXPRESS COPP	COM	14D-1	1/23/89	15.045 42.4	88673510 42.4	UPDATE
TOTAL ASSETS PROTN INC MERCHT NAVY OFFC PENS FD		13D	1/18/89		89149510 10.9	UPDATE
TOTAL ASSETS PROTH INC MERCHT NAVY OFFC PENS FD		13D	1/18/89	389 9.8	89149510 10.9	UPDATE
TRIAD SYS CORP VOLT INFOR SCIENCES ET AL	COM	13D	1/16/89		89581810 12.9	
WMS INDS INC NATIONAL AMUSEMENTS INC E	COM T AL	13D	1/18/89		92929710 24.9	UPDATE

# **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Changes in Control of Registrant.
- Acquisition or Disposition of Assets. Item 2.
- Item 3.
- Bankruptcy or Receivership.
  Changes in Registrant's Certifying Accountant. Item 4.
- Other Materially Important Events.
  Resignations of Registrant's Directors. Item 5.
- Item 6.
- Financial Statements and Exhibits. Item 7.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed. .

	STATE	BK ITEM NO.		
NAME OF ISSUER	CODE	1 2 3 4 5 6	7 DATE	COMMENT
ALC COMMUNICATIONS CORP AMADEUS HOLDINGS INC AMERICAN EXPRESS CO	D€	x	01/13/89	
AMADEUS HOLDINGS INC	DE	X X X	07/01/88	
AMERICAN EXPRESS CO AMERICAN HEALTH SERVICES CORP /DE/ AMERICAN HOUSING TRUST I ANALYTICAL SURVEYS INC ANDERSEN GROUP INC ASSET INVESTORS FUNDING CORP ASSET INVESTORS FUNDING CORP ASSET INVESTORS FUNDING CORP ASSET INVESTORS FUNDING CORP BALDWIN TECHNOLOGY CO INC BARRIS INDUSTRIES INC BARRIS INDUSTRIES INC BASIX CORP BLOCKBUSTER ENTERTAINMENT CORP BOSTON CAPITAL TAX CREDIT FUND LIMITED P BRAUVIN HIGH YIELD FUND L	NY	X	01/13/89	
AMERICAN HEALTH SERVICES CORP /DE/	DE		X 09/30/88	
AMERICAN HOUSING TRUST I	IL	X	01/01/89	
ANALYTICAL SURVEYS INC	CO	XX		
ANDERSEN GROUP INC	CT		X 01/11/89	
ASSET INVESTORS FUNDING CORP	DE		X 09/30/88	
ASSET INVESTORS FUNDING CURP	DE		X 10/31/88	
ASSEL INVESTORS FUNDING CORP	DE		X 11/30/88	
WOOD IN TECHNOLOGY CO THE	DE		X 11/30/88	
DALUMIN JECHNULUST LU INC	DE	•	X 01/04/89	
RWKK12 TUDO21K162 TMC	UE		X 12/30/88 X 01/17/89	
BASIA LUKY	NY		X 01/17/ <b>89</b> X 01/17/ <b>89</b>	
DEUCKBUSIER ENIEKIAINNERI CURP	DE		X 12/30/88	
ABAIN'IN MICH ALEIN EINU I D	DE	x	12/22/88	
CAECARC MURIN INC	FI		x 01/10/89	
CW INEL INDICAL LECTING	ne		X 01/03/89	
CANADA BECUME CEC CUBB	DE		X 01/04/89	
CARTERET SAVINGS RANK FA	<i>-</i>			
CENTERIOR ENERGY CORP	ΩН	Ÿ	11/28/88	
CENTURY PROPERTIES FUND XII	CA	•	X 08/29/88	AMEND
CENTURY PROPERTIES FUND XII	CA		X 08/29/88	AMEND
BRAUYIN HIGH YIELD FUND L P CAESARS HORLD INC CALUMET INDUSTRIES INC CANYON RESOURCES CORP CARTERET SAVINGS BANK FA CENTERIOR ENERGY CORP CENTURY PROPERTIES FUND XII CENTURY PROPERTIES FUND XII CIRCLE K CORP/NEW CIRCUIT SYSTEMS INC CIT GROUP HOLDINGS INC /DE/ CLEVELAND ELECTRIC ILLUMINATING CO COLLATERALIZED MORTGAGE OBLIGATION TRUST	TX	X X X X X X	X 12/27/88	
CIRCUIT SYSTEMS INC	IL		X 11/01/88	
CIT GROUP HOLDINGS INC /DE/	DE	X	01/18/89	
CLEVELAND ELECTRIC ILLUMINATING CO	OH	X	11/28/88	
COLLATERALIZED MORTGAGE OBLIGATION TRUST	DE	X	01/10/89	
CLEVELAND ELECTRIC ILLUMINATING CO COLLATERALIZED MORTGAGE OBLIGATION TRUST COLLATERALIZED MORTGAGE SECURITIES CORP CONTINENTAL INFORMATION SYSTEMS CORP COPLEY PENSION PROPERTIES VI COPLEY REALTY INCOME PARTNERS 3 CORTRONIC CORP	MD	X	X 12/28/88	
CONTINENTAL INFORMATION SYSTEMS CORP	NY	X	01/13/89	
COPLEY PENSION PROPERTIES VI	MA	X	01/13/89	
COPLEY REALTY INCOME PARTNERS 3	MA	X	01/12/89	
CORTRONIC CORP	DE			
COUNTRY HIDE TRANSPORT SERVICES INC	DE	•	X 01/13/89	
DELTA COMPUTEC INC	NY	• •	X 12/27/88	
CONEGAL GROUP INC	DE		X 12/29/88	
ESJ PROPERTIES LTD	CA	X	01/12/89	
EAGLE BANCSHARES INC	GA		X 01/13/89	
ECT INDUSTRIES INC ADEL	DE	***	X 01/04/89	
EFFCIKONIC SECTATIA SKODOCIZ INC	UE		X 01/06/89	
ERI INC	01	X	01/19/89	
CORTRONIC CORP COUNTRY MIDE TRANSPORT SERVICES INC DELTA COMPUTEC INC DONEGAL GROUP INC ESJ PROPERTIES LTD EAGLE BANCSHARES INC ECL INDUSTRIES INC /DE/ ELECTRONIC SPECIALTY PRODUCTS INC EMI INC EQUITY AU INC EUROPA TRADING CORP FAIRFIELD CAPITAL CORP FINANCIAL SERVICES CORPORATION OF THE MI	UE	X X X	12/31/ <b>88</b> X 10/18/88	
CURUTA IRAVING CURP	MA 44	^	X 10/18/88 01/06/89	
ENIDERE INTERNATION CONTRACTOR CO	CO		X 12/30/88	
FINANCIAL SERVICES CORPORATION OF THE MI	DE	NO ITEMS	12/21/88	AMEND
FIRST CHICAGO CORP	DE	X X	01/17/89	M-2110
FIRST UNICABU GUNF	UE	^	41/11/07	

NAME CF ISSUER	STATE	BK ITEM NO.	
	CODE	1 2 3 4 5 6 7	DATE COMMENT
FOGELMAN SECURED EQUITY L P FOX RIDGE CAPITAL INC FRESNO BANCORP FSA CAPITAL INC GENCORP INC GENERAL COMMUNICATION INC GENTRY EQUITIES INC GOLDEN OIL CO GREAT AMERICAN CORP GREATBANC INC GROWTH HOTEL INVESTORS GROWTH HOTEL INVESTORS II HARKEN ENERGY CORP	.TN	x x	11/30/88
FOX RIDGE CAPITAL INC	CO	x X	01/11/89
FRESNO BANCORP	CA	X X	12/21/88
FSA CAPITAL INC	DE	X	12/31/88
GENERAL COMMUNICATION INC	NH UH	X X	12/02/88 AMEND 10/12/88
GENTRY EQUITIES INC	DE		12/02/88 AMEND
GOLDEN OIL CO	DE	x x x x	12/12/88
GREAT AMERICAN CORP	LA	X X	12/30/88
GREATBANC INC	DE	X	11/03/86 AMEND
GROWTH HOTEL INVESTORS	CA	X X X X X X X	09/30/86 AMEND
GROWTH HOTEL INVESTORS II	ČĀ	â	12/19/86 AMEND 12/19/86 AMEND
GROWTH HOTEL INVESTORS II	CA	X	12/19/86 AMEND
GROWTH HOTEL INVESTORS II	CA	X	06/23/88 AMEND
HARKEN ENERGY CORP	CA	X X	01/03/89
HETRA COMPUTER & COMMUNICATIONS INDUSTRI	DE DE	X X	01/01/89
HOME ASSURANCE INC	DE	â	12/30/88 01/03/89
HOMESTEAD HOLDING CORP	PA	x x	12/30/88
HOUSTON INDUSTRIES INC	TX	X	01/13/89
IMEX MEDICAL SYSTEMS INC	DE	х х	01/16/89
HOME ASSURANCE INC HOMESTEAD HOLDING CORP HOUSTON INDUSTRIES INC IMEX MEDICAL SYSTEMS INC INSTITUTIONAL PROPERTIES 4 INTELLIGENT ELECTRONICS INC J B CAPITAL	CA	X	10/05/88
J B CAPITAL	NV	X X	01/04/89 10/28/88
JOHNSTOWN CONSOLIDATED INCOME PARTNERS 2	CA	x	10/05/88
KAY JEWELERS INC	DE	NO ITEMS	10/14/88 AMEND
KMS INDUSTRIES INC	DE	NO ITEMS	12/08/88 AMEND
LAPUINTE INDUSTRIES INC/CT/	CT	X	01/10/89
MANUFACTURERS HANGVER CORD	CU	х х х	01/13/89
KAY JEWELERS INC KMS INDUSTRIES INC LAPOINTE INDUSTRIES INC/CT/ LASERLAND CORPORATION USA MANUFACTURERS HANGVER CORP MATRIX CORP /NEW/DE MICHIGAN ENERGY RESOURCES CO MID ATLANTIC CENTERS LIMITED PARTNERSHIP MIDDLE SOUTH UTILITIES INC MORAN J T FINANCIAL CORP MOTO PHOTO INC MULTI BENEFIT REALTY FUND 87-1 MUTUAL BENEFIT INCOME PARTNERS LP I NAFCO FINANCIAL GROUP INC	DE	X X	01/17/89 12/31/88
MICHIGAN ENERGY RESOURCES CO	MI	^x x	01/13/89
MID ATLANTIC CENTERS LIMITED PARTNERSHIP	MD	X	01/19/89
MIDDLE SOUTH UTILITIES INC	FL	X	01/09/89
MOTO PHOTO INC	DE	x	12/30/88 12/30/88
MULTI BENEFIT REALTY FUND 87-1	CA	x ^	10/05/88
MUTUAL BENEFIT INCOME PARTNERS LP I	RI	х х	01/06/89
NAFCO FINANCIAL GROUP INC NATIONAL DIVERSIFIED SERVICES INC NATIONAL LAMPOON INC	DE	X X	01/18/89
NATIONAL DIVERSIFIED SERVICES INC	DE	X	12/27/88
NATIONAL LAMPOON INC NEW ORLEANS PUBLIC SERVICE INC	NY La	X X	12/27/88 01/09/89
NEWS COMMUNICATIONS INC	NV	^ x	10/31/88 AMEND
NORTHWESTERN NATIONAL LIFE INSURANCE CO	MN	x x x	01/03/89
NWNL COMPANIES INC	DE	X	01/03/89
PANTHER MOUNTAIN WATER PARK INC /DE/	DE	X	12/30/88
PHI ENTERPRISES INC PINNACLE WEST CAPITAL CORP	CA AZ	X X X	11/03/88 12/15/88
PORTSHOUTH SQUARE INC	ČÁ	â â	01/12/89
PRICE T ROWE REALTY INCOME FUND III	DE	x x	12/30/88
QM VENTURE GROUP LTD	WA	<b>x x</b>	12/05/88
R I C 25 LTD	CA	X X	01/12/89
RANDOM ACCESS INC	CO	X	07/20/88 AMEND
RATEX RESOURCES INC REICH & TANG L P	UT DE	X X X	10/31/88 AMEND 01/17/89
RMS INTERNATIONAL INC	DE	x ^ ^	12/06/88 AMEND
ROYCE LABORATORIES INC /FL/	FL	X X	01/10/89
SAGITTA VENTURES INC	UT	X	01/05/89
SAVIN CORP	DE	X	01/06/88
SECTOR ASSOCIATES LTD SENECA INSURANCE GROUP INC	DE DE	XX X X	12/30/88 12/21/88
SERVICE RESOURCES CORP	FN	x ^ x	01/04/89
SHEARSON LEHMAN PASS THROUGH SECURITIES	DE	NO ITEMS	12/08/88 AMEND
SHOP AT HOME INC /TN/	TN	X	01/04/89

NAME OF ISSUER	CODE	8K IT 1 2 3	4 5 6	. 7		COMMENT
SIERRA CAPITAL REALTY TRUST VII CO SIERRA CAPITAL REALTY TRUST VIII CO SIERRA REAL ESTATE EQUITY TRUST 82 SIERRA REAL ESTATE EQUITY TRUST 83 SIERRACIN CORP	MO			×	12/21/88	AMEND
SIERRA CAPITAL REALTY TRUST VIII CO	MO	X		X	12/27/88	
SIERRA REAL ESTATE EQUITY TRUST 82	CA	X		X	12/30/88	
SIERRA REAL ESTATE EQUITY TRUST 83	CA	X		X	01/17/89	
SIERRACIN CORP	DE		X		01/12/89	
SOFTGUARD SYSTEMS INC	NV		~		01/06/89	
SONOCO PRODUCTS CO	SC		X		01/16/89	
SIERRA REAL ESTATE EQUITY TRUST 83 SIERRACIN CORP SOFTGUARD SYSTEMS INC SONDCO PRODUCTS CO SOUTHSIDE BAMCSHARES CORP STAMFORD CAPITAL CROUP INC	MO		X	X	01/03/89	
STAMFORD CAPITAL GROUP INC	DE		X		01/13/89	
STARS TO GO INC STERLING ELECTRONICS CORP SYMBOL TECHNOLOGIES INC SYSTEM ENERGY RESOURCES INC TASTY BAKING CO	DE	X		X	12/30/88	
STERLING ELECTRONICS CORP	NV	NO IT	rems		11/22/88	AMENO
SYMBOL TECHNOLOGIES INC	DE			X	11/03/88	AMENO
SYSTEM ENERGY RESOURCES INC	AR		X		01/09/89	_
TASTY BAKING CO	PA		X		01/01/89	
TELEMATICS INTERNATIONAL INC /FL/	DE		X	X	01/13/89	
TIDEWATER INC	DE		X		01/05/89	
TIVOLI VENTURES INC	CO	X	X	X	10/27/88	
TOLEDO EDISON CO	OH		X		11/28/88	
TOTAL PETROLEUM NORTH AMERICA LTD		X		X	12/29/88	
TOUCH DIALOGUES INC	CO		X		01/03/89	
TRITON GROUP LTD /DE/	DE			X	11/15/88	AMENO
TVX BROADCAST GROUP INC	VA	X		X	01/03/89	
UNITED CELLULAR MASTER LIMITED PARTNERSH	DE	X		X	11/23/88	
VERMONT RESEARCH CORP/NEW	VT		X	X	01/19/89	
VISION SCIENCES INC	CA		X	X	11/18/88	
MASHINGTON MUTUAL SAVINGS BANK SERIES A	WA			X	11/30/88	
WELLS FARGO & CO	DE		X		01/17/89	
WHITEHALL INCOME FUND 86	CA		X		01/16/89	
WILLIAMS W W CO	OH	X			12/08/88	
WORLD WIDE TECHNOLOGY INC	DE	X		X	01/05/89	
ZENTEC CORP	CA			X	10/31/88	