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U.S. SECURITIES AND  
EXCHANGE COMMISSION

February 28, 1989

Issue 89-38

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## ADMINISTRATIVE PROCEEDINGS

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### PROCEEDINGS INSTITUTED AGAINST NICHOLAS A. BOCCELLA

The Commission yesterday instituted proceedings under Sections 15(b)(4)(E) and 19(h) of the Securities Exchange Act of 1934 against Nicholas A. Boccella to determine whether he failed reasonably to supervise persons subject to his supervision and, if so, what remedial action should be taken. Simultaneously, the Commission accepted Boccella's Offer of Settlement in which he agreed to the imposition of a censure and, in lieu of any supervisory responsibilities, to spend four weeks receiving training in subjects relating to compliance and customer protection.

Boccella was branch manager for E.F. Hutton and Company, Inc. in Richmond, Virginia. Boccella's failure to supervise related to the misconduct of Clyde B. Pitchford, Jr., a registered representative subject to Boccella's supervision who, from June 1983 to February 1986, misappropriated more than \$740,000 worth of funds and securities from his E.F. Hutton customers. The Commission found that Boccella failed reasonably to supervise Pitchford by, among other things, failing to follow internal firm procedures relating to disbursements of checks for hand delivery to customers and oversight of transactions involving employee-related accounts. (Rel. 34-26574)

### PROCEEDINGS INSTITUTED AGAINST WILLIAM L. VIEIRA

The Commission today instituted proceedings under Sections 15(b)(4)(E) and 19(h) of the Securities Exchange Act of 1934 against William A. Vieira to determine whether he failed reasonably to supervise persons subject to his supervision and, if so, what remedial action should be taken. Simultaneously, the Commission accepted Vieira's Offer of Settlement in which he agreed to the imposition of a censure and, in lieu of any supervisory responsibilities, to spend four weeks receiving training in subjects relating to compliance and customer protection.

Vieria was branch manager for E.F. Hutton and Company, Inc. in Modesto, California. Vieria's failure to supervise related to the misconduct of Paul G. White, a registered representative subject to Vieria's supervision who, from February 1984 to March 1986, misappropriated more than \$175,000 worth of funds and securities from 24 accounts he serviced. The Commission found that Vieria failed reasonably to supervise White by, among other things, failing to follow internal firm procedures relating to disbursements of checks for hand delivery to customers. (Rel. 34-26576)

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## CIVIL PROCEEDINGS

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### TEMPORARY RESTRAINING ORDER ISSUED AGAINST HABERSHEIR SECURITIES, INC.

The Atlanta Regional Office filed a Complaint on February 13 in the U.S. District Court for the Northern District of Georgia seeking a temporary restraining order and preliminary and permanent injunctions against Habersheir Securities, Inc., a registered broker-dealer headquartered in Atlanta. The Complaint alleges that Habersheir is currently operating while failing to maintain required net capital; that Habersheir failed to make, keep current, and preserve books and records as required; and that Habersheir failed to file with the Commission its annual audited report for 1988 and to give required telegraphic notice. After a hearing on February 14, Judge Jack T. Camp issued a temporary restraining order. (SEC v. Habersheir Securities, Inc., Civil Action No. 1:89-CV-298-JTC, USDC NDGA). (LR-12008)

[The Atlanta Regional Office would like to acknowledge the assistance of the Georgia Secretary of State's Office (Securities Division) and District No. 7 of the National Association of Securities Dealers, Inc., in this matter.]

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## **CRIMINAL PROCEEDINGS**

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### **ALVIN A. DESHANO INDICTED**

The Los Angeles Regional Office and the U.S. Attorney for the Central District of California announced that on February 16 an indictment was returned against Alvin A. DeShano. DeShano was the director of general accounting for Carl Karcher Enterprises, Inc. (CKE) during all relevant times, and was charged with insider trading in that stock. Specifically, the indictment charges that, on October 12, 1984, DeShano received material, adverse financial information about the company. Thereafter, on October 15, 1984, DeShano sold 1,725 shares of CKE stock at \$23 per share. On October 23, 1984, CKE publicly announced its lowered earnings for the period in question. By selling before this announcement, DeShano avoided losses of approximately \$7,107. Based on this misconduct, DeShano is charged with violating the antifraud provisions of the Securities Exchange Act of 1934. (U.S. v. Alvin A. DeShano, No. CR 89-117, CDCA). (LR-12006)

### **DAVID KEN YOSHINAGA AND INVESTMENTS MANAGEMENT COMPANY, INC. INDICTED**

The Denver Regional Office, the Office of the U.S. Attorney for the District of Colorado, and the U.S. Postal Inspection Service, announced on February 14 the indictment of David Ken Yoshinaga and Investments Management Company, Inc. The indictment alleges ten counts of mail fraud and one count of fraud under the Investment Advisers Act. It also alleges that Yoshinaga misrepresented the profits from investments and that he misappropriated \$900,000 of his clients' funds.

On May 1, 1984, an order was entered against Yoshinaga permanently enjoining him and two of his companies from future violations of the antifraud provisions of the securities laws. On April 10, 1986, he was held in contempt of a court order ordering him to turn over \$933,933.15 of investor monies to the Commission. Since that time he has been a fugitive. (U.S. v. David Ken Yoshinaga, et al., USDC CO, 89-CR-048). (LR-12007)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **EQUUS CAPITAL PARTNERS, L.P.**

An order has been issued on an application filed by Equus Capital Partners, L.P. (Partnership) and Equus Capital Corporation (Adviser) for an order determining that: (1) the Independent General Partners of the Partnership are not "interested persons", within the meaning of Section 2(a)(19) of the Investment Company Act, of the Partnership or the Adviser, and (2) the limited partners who own less than 5% of equity interest in the Partnership will not be deemed "affiliated persons" (as defined by Section 2(a)(3)(D) of the Act) of the Partnership or other partners solely by reason of their being limited partners. (Rel. IC-16830 - Feb. 22)

### **EBI SERIES TRUST**

A notice has been issued giving interested persons until March 15 to request a hearing on an application filed by EBI Series Trust, EBI Equity, Inc., EBI Income, Inc., and EBI Cash Management, Inc., seeking an order amending a prior order [Rel. IC-16283, February 23, 1988] to permit certain modifications of a contingent deferred sales load. (Rel. IC-16831 - Feb. 22)

### **ML VENTURE PARTNERS II, L.P.**

A notice has been issued giving interested persons until March 20 to request a hearing on an application filed by ML Venture Partners II, L.P., Merrill Lynch Venture Capital Inc., ML Technology Ventures, L.P., Merrill Lynch KECALP L.P. 1987, and KECALP Inc., for an order exempting certain transactions from Sections 17(a) and 57(a)(1) of the Investment Company Act, and authorizing transactions otherwise prohibited by Sections 17(d) and 57(a)(4) of the Act. (Rel. IC-16832 - Feb. 22)

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## SELF-REGULATORY ORGANIZATIONS

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### PARTIAL APPROVAL OF PROPOSED RULE CHANGE

The Commission partially approved a proposed rule change filed by the American Stock Exchange (SR-Amex-88-21) to modify certain obligations of Registered Option Traders. (Rel. 34-26568)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Government Securities Clearing Corporation. The proposal (SR-GSCC-88-3) authorizes GSCC to require applicants for membership in and members of GSCC to submit financial information to GSCC for use in assessing each applicant's and member's financial responsibility. (Rel. 34-26569)

### NOTICE OF PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change under Rule 19b-4 (SR-CBOE-89-4) that would (a) narrow the bid/offer differential for option series where the bid is less than \$2; (b) create a pilot program whereby a disseminated market quote would become a firm quote for ten contracts by a trading crowd; and (c) change the format of Chapter VIII of the Exchange rules. Publication of the proposal is expected to be made in the Federal Register during the week of February 27. (Rel. 34-26570)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 HUNTER ENVIRONMENTAL SERVICES INC, 2960 POST RD, SOUTHPORT, CT 06490 (203) 255-8777 - 506,400 (\$551,976) COMMON STOCK. (FILE 33-27079 - FEB. 17) (BR. 9)
- S-1 INTERNATIONAL RECOVERY CORP, 700 S ROYAL POINCIANA BLVD, STE 800, MIAMI, FL 33166 (305) 884-2001 - 527,500 (\$5,275,000) COMMON STOCK. 967,500 (\$9,675,000) COMMON STOCK. (FILE 33-27081 - FEB. 17) (BR. 3)
- S-8 HURCO COMPANIES INC, 6460 SAGUARO CT, INDIANAPOLIS, IN 46268 (317) 293-5309 - 40,000 (\$260,000) COMMON STOCK. (FILE 33-27085 - FEB. 17) (BR. 2)
- S-8 DONALDSON CO INC, 1400 W 94TH ST, MINNEAPOLIS, MN 55431 (612) 887-3010 - 777,136 (\$15,542,720) COMMON STOCK. (FILE 33-27086 - FEB. 17) (BR. 10)
- V-1A HAWAII MUTUAL FUND INC, 1188 BISHOP ST, STE 1202, HONOLULU, HI 96813 (808) 521-4831 - INDEFINITE SHARES. (FILE 33-27098 - FEB. 13) (BR. 16)
- S-3 BORG WARNER CORP /NEW/, 200 S MICHIGAN AVE, CHICAGO, IL 60604 (312) 322-8500 - 17,000,000 (\$8,166,970) STRAIGHT BONDS. (FILE 33-27128 - FEB. 17) (BR. 2)
- S-6 MUNICIPAL INVT TR FD FOUR HUNDRED EIGHTY SECOND MON PYMT SER, ONE LIBERTY PLZ - 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 (FILE 33-27175 - FEB. 21) (BR. 22 - NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MULTISTATE SERIES 5R, ONE LIBERTY PLZ - 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 (FILE 33-27176 - FEB. 21) (BR. 22 - NEW ISSUE)

# ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK-OWNER	FORM	EVENT DATE	SHRS (000's) OWNED	CUSIP/ PRIOR%	FILING STATUS
ASK CORP ALEXANDER JAMES	COM 13D	2/17/89	1,936 11.0	00299310 11.2	NEW
ASK CORP ALEXANDER JAMES	COM 13D	2/17/89	1,936 11.0	00299310 11.2	REVISION
ACTMEDIA INC FRILING BRUCE F JR	COM 13D	2/21/89	133 1.1	00504910 7.7	UPDATE
ACTMEDIA INC FRILING ELIZABETH F	COM 13D	2/21/89	93 0.8	00504910 5.3	UPDATE
ACTMEDIA INC GARFINKLE NORTON	COM 13D	2/21/89	135 1.1	00504910 7.6	UPDATE
ADELPHIA COMMUNICATIONS CORP SANDLER ASSOC ET AL	CL A 13D	2/21/89	829 28.9	00684810 27.8	UPDATE
AMBULATORY MEDICAL CARE INC DECKER C LAWRENCE	COM 13D	2/13/89	691 53.4	02336510 50.8	UPDATE
AMBULATORY MEDICAL CARE INC DECKER JEROME L	COM 13D	2/13/89	258 20.4	02336510 17.9	UPDATE
AMBULATORY MEDICAL CARE INC JUDKINS DEAN R	COM 13D	2/13/89	193 15.6	02336510 12.9	UPDATE
AMERICAN FIRST CORP OKLA FIFE DOUGLAS G	COM 13D	2/ 3/89	718 12.9	02616110 12.9	UPDATE
AMERICAN FIRST CORP OKLA TAPPAN JAMES A JP	COM 13D	2/ 3/89	511 9.2	02616110 9.2	UPDATE
AMPAL AMERN ISRAEL CORP CP HLDG LTD ET AL	CL A 13D	2/15/89	1,159 8.2	03201510 6.1	UPDATE
COMPUTER ASSOC INTL INC HAEFNER WALTER & CAREAL HLDG	COM 13D	2/17/89	17,100 21.6	20491210 20.5	UPDATE
DUCOMMUN INC DEL DUCOMMUN ROBERT C ET AL	COM 13D	12/ 6/88	512 15.5	26414710 11.3	UPDATE
FGIC CORP GENERAL ELEC CAP CORP ET AL	COM 13D	2/23/89	21,133 69.7	30291510 66.4	UPDATE
FIRST FED AMER INC HONOLULU CASTLE COOHE INC ET AL	COM 13D	2/14/89	304 8.1	33790510 0.0	NEW
GATEWAY SPORTING GOODS CO COEN M J	COM 13D	6/30/88	1,511 16.7	36780230 0.0	NEW

ACQUISITIONS REPORTS CONT.

GATEWAY SPORTING GOODS CO ROSENBERGER WILLIAM	COM	13D	6/30/88	1,158 12.7	36780230 0.0	NEW
HEIST C H CORP CORBETT DIXIE LEA	COM	13D	2/ 6/89	245 15.8	42307610 14.9	UPDATE
HEIST C H CORP FOSTER WILLARD F	COM	13D	2/ 1/89	603 38.9	42307610 40.3	UPDATE
ILLINOIS CENT TRANCN CO RAIL ACQUISITION INC	COM	14D-1	2/22/89	1,027 4.9	45187210 4.9	UPDATE
INSITUFORM MID AMER INC RINGWOOD LTD ET AL	CL A	13D	6/14/88	1,688 38.2	45799310 38.2	UPDATE
JENEX ACQUISITION CORP JENEX ACQUISITION CORP ET AL	LTD	14D-1	2/22/89	0 0.0	63704099 0.0	NEW
PAUL HARRIS STORES INC PAUL IDPIT	COM	13D	2/15/89	881 9.7	70355510 10.8	UPDATE
PAUL HARRIS STORES INC PAUL GERALD	COM	13D	2/15/89	881 9.7	70355510 10.8	UPDATE
PPRESIDENTIAL AIRWAYS KEY HOLDING CORP	COM	13D	3/31/88	667 5.6	74086110 0.0	NEW
REECE CORP CAMELLIA INVESTMENTS PLC ET AL	COM	13D	2/13/89	1,221 48.5	75811410 47.3	UPDATE
REGENCY ELECTPS INC POCKER DAVID A	COM	13D	2/13/89	921 8.4	75885410 7.2	UPDATE
RPS TECHNOLOGIES INC GAMCO INVESTORS INC ET AL	COM	13D	2/17/89	1,289 26.0	78462610 25.0	UPDATE
SHAKLEE CORP DEL JACOBS IRWIN L ET AL	COM	13D	2/21/89	1,678 12.8	81914810 11.8	UPDATE
SHAKLEE CORP DEL JACOBS IRWIN L ET AL	COM	13D	2/21/89	1,678 12.8	81914810 11.8	REVISION
SILVERADO MINES LTD TRI CON MNG LTD ET AL	COM	13D	2/13/89	691 6.3	82834310 13.3	UPDATE
COMEX RESH INC MITSCHELE HERBERT J JR ET AL	COM	13D	12/12/88	483 6.2	83544610 0.0	NEW
SOUTHWEST PLTY LTD BRT REALTY TRUST	DEPOSIT PCPT	13D	12/30/88	500 14.5	84520420 0.0	NEW
SYSTEM INTEGRATORS INC LENNANE JAMES P	COM	13D	2/ 6/89	0 0.0	87183510 42.8	UPDATE
TRANSCANADA PIPELINES LTD CAISSE DE DEPOT DU QUEBEC	COM	13D	2/13/89	7,987 5.3	89352610 0.0	NEW
UAL CORP RELIANCE FINANCIAL SVCS CORP	COM	13D	2/17/89	1,477 6.9	90254910 5.8	UPDATE
WASTE RECOVERY INC FIRST INTERSTATE CAP CORP/TX	COM	13D	12/31/88	200 5.1	94090210 0.0	NEW
WAVETEK CORP SHUFRO ROSE & EHRMAN	COM	13D	2/14/89	2,223 25.0	94402010 22.4	UPDATE

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.							DATE	COMMENT
		1	2	3	4	5	6	7		
MELLON BANK CORP	PA				X			X	02/21/89	
METAL RESOURCES CORP	FL			X				X	02/07/89	
METRO CABLE CORP	CO				X				01/05/89	
NATIONAL CITY BANCORPORATION	IA		X					X	02/01/89	
NATIONAL PIZZA CO/KS	KS				X			X	02/06/89	
NEECO INC	MA							X	08/08/88	
NET 1 L P			X			X		X	02/01/89	
NEWBERY CORP /AZ	AZ				X				12/07/88	
NUMERICA FINANCIAL CORP	NH					X			02/03/89	
PAMPLONA CAPITAL CORP	DE	X		X				X	02/27/89	
PANTHEON INDUSTRIES INC	CO					X	X		01/20/89	
PARTNERS NATIONAL CORP	NY	X							01/13/89	
PASCALL ENERGY CORP	NJ							X	08/31/88	AMEND
PAYLINE SYSTEMS INC	OR					X			01/24/89	
PEACHTREE EQUITIES INC	CO					X		X	02/17/89	
PENNSYLVANIA AMERICAN WATER CO	PA		X			X		X	02/01/89	
PENTRON CORP	DE		X					X	12/20/88	AMEND
PHOENIX VENTURES INC	FL				X				02/06/89	
POSITECH CORP	IA	X						X	02/17/89	
PRESIDIO OIL CO	DE							X	10/25/88	AMEND
PRESIDIO OIL CO	DE							X	11/15/88	AMEND
PRESIDIO OIL CO	DE							X	12/07/88	AMEND
PROFESSIONAL AGRICULTURAL MANAGEMENT INC	DE					X		X	01/31/89	
PROTOCOL DEVICES INC	DE				X	X		X	02/06/89	
PRUDENTIAL BACHE A G SPANCS REALTY PARTN			X					X	01/31/89	
PSI HOLDINGS INC	IN					X			02/01/89	
REPUBLIC FEDERAL SAVINGS & LOAN ASSOCIAT						X		X	01/19/88	
RESOURCE GENERAL CORP	OH				X				12/23/88	
RMS INTERNATIONAL INC	DE					X			02/15/89	
ROCKING HORSE CHILD CARE CENTERS OF AMER	DE							X	11/30/88	AMEND
SAC HOLDINGS CORP	DE					X			02/07/89	
SCIENTIFIC MICRO SYSTEMS INC/CA	DE					X			02/06/89	
SCORE BOARD INC	NJ					X		X	01/24/89	
SECTOR ASSOCIATES LTD	DE					X		X	02/15/89	
SECURITY PACIFIC CORP	DE					X		X	02/14/89	
SECURNET MORTGAGE SECURITIES CORP I	AZ								12/22/88	AMEND
SEQUENT COMPUTER SYSTEMS INC /DE/	OR					X		X	01/31/89	
SERVICE CORP INTERNATIONAL	TX							X	02/02/89	
SERVICE RESOURCES CORP	FN					X		X	02/09/89	
SHOWBOAT INC	NV					X		X	01/01/89	
SILGAN CORP	DE							X	12/02/88	AMEND
SMITH BARNEY MORTGAGE CAPITAL CORP	DE		X					X	02/14/89	
SMITH BARNEY MORTGAGE CAPITAL TRUST VI	DE					X		X	01/31/89	
SOUTHERN CALIFORNIA GAS CC	CA					X			02/17/89	
SPORTO CORP	MA							X	02/01/89	
STAT TECH INTERNATIONAL CCRP	CO	X						X	12/01/88	AMEND
SUNBELT PROFESSIONAL SERVICES INC	CO					X	X		02/06/89	
SUNDSTRAND CORP /DE/	DE					X		X	02/16/89	

RECENT 8K FILINGS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.							DATE	COMMENT
		1	2	3	4	5	6	7		
SYSTONETICS INC	CA				X		X	02/15/89		
TEXAS INSTRUMENTS INC	DE				X			02/17/89		
TODD AD CORP	DE				X			02/10/89		
TOTAL ASSETS PROTECTION INC	TX						X	11/30/88	AMEND	
TRANSAMERICA ENTERPRISES INC	TX						X	12/01/88	AMEND	
UNITED TRANS WESTERN INC	TX			X				02/15/89		
V BAND CORPORATION	NY			X			X	02/20/89		
VERAZZANA VENTURES LTD	NV			X				02/14/89		
VITRO DIAGNOSTICS INC	NV				X		X	02/17/89		
VOLUNTEER BANCSHARES INC	TN				X		X	02/07/89		
XYTRONIX INC	DE						X	02/15/89		
AMERICAN EQUITIES FINANCIAL CORP /DE/	DE					X		01/24/89		
ATS MONEY SYSTEMS INC	NV				X			09/27/88		
BANGOR AMERICA INC	NY		X				X	02/07/89		
BOSTON CAPITAL TAX CREDIT FUND LIMITED P	DE		X				X	02/08/89		
CEDAR INCOME FUND 1 LTD	IA				X		X	02/10/89		
CEDAR INCOME FUND 2 LTD	IA	X					X	02/10/89		
CNW CORP /DE/	DE						X	02/22/89		
COLLATERALIZED MORTGAGE OBLIGATION TRUST							X	02/14/89		
COM TEK RESOURCES INC	CO				X		X	02/06/89		
COMPARATOR SYSTEMS CORP	CO		X				X	02/01/89		
CORPUS CHRISTI BANCSHARES INC	TX					X		02/15/89		
DATRONIC RENTAL CORP /IL/	IL					X		02/10/89		
DRECO ENERGY SERVICES LTD	FN					X		02/21/89		
ELECTRIC AVENUE INC	MN		X				X	02/03/89		
ELECTRONIC SPECIALTY PRODUCTS INC	DE					X		02/15/89		
EQUITABLE RESOURCES INC /PA/	PA					X		02/14/89		
GOLDEN CREST INDUSTRIES INC	NY			X			X	10/18/88		
HOUSTON OIL & ENERGY INC	NV			X		X		02/16/89		
KERKHOFF INDUSTRIES INC						X		02/08/89		
LINCOLN LOGS LTD	NY				X		X	02/17/89		
MYO TECH CORP	DE			X			X	01/18/89		
OASIS LAUNDRIES INC	NV			X	X			08/10/88		
PALMER FINANCIAL CORP	NV				X			02/07/89		
PEPSICO INC	NC				X			02/13/89		
PHARMACONTROL CORP	DE				X			02/17/89		
PIEDMONT NATURAL GAS CO INC	NY				X			02/08/89		
RINGSIDE INTERNATIONAL BROADCASTING CORP	FL			X		X		02/02/89		
ROCKY MOUNTAIN MEDICAL CORP	CO				X			02/15/89		
ROI CORP	IN				X			02/01/89		
SCRIPT SYSTEMS INC	NJ				X			02/02/89		
STERLING CAPITAL GROUP INC	DE				X		X	02/09/89		
UNISYS FINANCE CORP	MI				X		X	01/17/89		
UNITED CAPITAL HOLDINGS INC	CO			X				02/18/89		
WASHINGTON BANCORPORATION	DE				X			02/22/89		
WASHINGTON MUTUAL SAVINGS BANK SERIES A	WA						X	12/31/88		
WILSON SPORTING GOODS CO/DE	DE					X	X	02/16/89		
ACA JOE INC	DE		X				X	02/17/89		
ALLIED SECURITY INC	PA				X		X	02/03/89		
AMERICAN REALTY TRUST INC /GA/	GA				X		X	01/23/89		
BANC ONE CORP/DE/	DE				X			02/16/89		
BECTON DICKINSON & CO	NJ				X			02/15/89		
BROAD NATIONAL BANCORPORATION	NJ			X			X	02/10/89		
BURGER KING INVESTORS MASTER LP	DE				X			01/31/88		
CNB BANCORP INC /NY/	NY				X			02/10/89		
COLLECTIVE BANCORP INC	DE		X				X	12/31/88		
COMMONWEALTH EDISON CO	IL						X	02/06/89		
DRESHER INC	DE				X		X	02/03/89		
ELECTRO CATHETER CORP	NJ				X			02/10/89		
FLORIDA POWER & LIGHT CO	FL				X			02/01/89		
FORTUNE FINANCIAL GROUP INC	FL	X					X	02/15/89		
FPL GROUP INC	FL					X		02/01/89		

RECENT 8K FILINGS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.							DATE	COMMENT
		1	2	3	4	5	6	7		
GREAT NORTHERN IRON ORE PROPERTIES	MN				X				01/23/89	
LEGGETT & PLATT INC	MO				X				02/15/89	
PERINI CORP	MA				X				01/31/89	
PHILADELPHIA ELECTRIC CO	PA				X				02/15/89	
PORTEC INC	DE				X	X			02/07/89	
PRUCO LIFE OF NEW JERSEY VARIABLE CONTRA	NJ				X	X			01/30/89	
PRUCO LIFE VARIABLE CONTRACT REAL PROPER	NJ				X	X			01/30/89	
PRUDENTIAL VARIABLE CONTRACT REAL PROPER	NJ				X	X			01/30/89	
QUANTUM CHEMICAL CORP	VA				X	X			02/17/89	
SAVERS INC	DE				X	X			02/10/89	
SHAKLEE CORP	DE		X			X			02/07/89	
TEMPLE INLAND INC	DE				X	X			02/03/89	
TRANS FINANCIAL BANCORP INC	KY				X				01/25/89	
VAC TEC SYSTEMS INC	CO				X				01/01/89	
VARIETY CORP					X				02/17/89	
WASHINGTON BANCORPORATION	DE				X				02/09/89	