Issue 89-96

U.S. Carri (25 A) YOHANGE COMMISSIO

## **ADMINISTRATIVE PROCEEDINGS**

SANCTIONS IMPOSED AGAINST GORDON E. HARRY

The Commission instituted public administrative proceedings under the Securities Exchange Act of 1934 against Gordon E. Harry, a registered representative formerly associated with Covey & Company. Simultaneously, the Commission accepted Harry's Offer of Settlement in which he admitted the Commission's finding that he was permanently enjoined, by consent, from violating the registration, antifraud, and antimanipulation provisions of the securities laws in SEC v. DataForce International, Inc., et al, Civ. Action No. 86-5564, NDCA (LR-11242). Harry also consented to the Commission's Order barring him from association with any broker or dealer, investment company, investment adviser, or municipal securities dealer, provided, however, that he may apply for association after two years from the date of the Order. (Rel. 34-26822)

PROCEEDINGS INSTITUTED AND SANCTIONS IMPOSED AGAINST THE DAVID-MAXWELL COMPANY, INC. AND HOWARD M. CAPLAN

The Commission instituted public administrative proceedings under the Securities Exchange Act of 1934 against The David-Maxwell Company, Inc., a registered brokerdealer, and its president, Howard M. Caplan, and simultaneously accepted their Offer of Settlement and imposed sanctions. David-Maxwell, which has ceased its operations, formerly maintained its office in Fort Lauderdale, Florida.

The Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions found that between September 11 and October 12, 1987, Respondents violated the antifraud provisions of the Securities Act of 1933 and the Exchange Act by charging excessive and undisclosed mark-ups in 231 transactions involving the sale of a common stock. The mark-ups ranged from 31% to 46.8%.

In accordance with the Offer, the Commission ordered that (1) David-Maxwell be censured and comply with its undertaking in the Offer to withdraw its registration as a brokerdealer, and (2) Caplan be suspended for one year from association with any broker, dealer, municipal securities dealer, investment adviser, or investment company. (Rel. 34-26823)

### CIVIL PROCEEDINGS

IRA MICHAEL PATTON ENJOINED IN DUCK BOOK LITIGATION

The Seattle Regional Office announced that on April 28 a Final Judgment of Permanent Injunction was entered against Ira Michael Patton, who neither admitted nor denied the violations of the registration and antifraud provisions alleged in the Complaint. The Complaint alleged that Patton, Robert White, and Duck Book Communications, Ltd. had used Duck Book investment conferences, newsletters, and the magazine Duck Book to promote the sale to U.S. investors of Duck Book common stock on the Vancouver Stock Exchange at a time when they were misrepresenting and omitting to disclose material facts concerning the true financial condition and prospects of Duck Book and while Patton and White were selling their own unregistered stock into the public market at prices inflated by their promotional efforts. Patton was and continues to be an officer, director, and major shareholder of Duck Book Communications, which has remained ineligible for public trading on the Vancouver Stock Exchange since late 1985. Permanent injunctions were previously entered against codefendants White and Duck Book Communications during 1987 and 1988, respectively. (SEC v. Robert J. White, et al., USDC EDWA, Civil Action No. C-86-812-JLQ). (LR-12098)

### **INVESTMENT COMPANY ACT RELEASES**

#### FREEDOM INVESTMENT TRUST

A notice has been issued giving interested persons until June 9 to request a hearing on an application filed by Freedom Investment Trust for an order amending an existing Commission order to exempt certain current and future series of Applicant from the provisions of Section 22(d) of the Investment Company Act. The requested order would permit an additional waiver of the CDSL imposed on certain redemptions by Unitholders of Freedom Income Trust or any future series of Freedom Income Trust whose shares were purchased in connection with the Dividend Reinvestment Program. (Rel. IC-16960 - May 17)

### **HOLDING COMPANY ACT RELEASES**

#### PENNSYLVANIA ELECTRIC COMPANY

A supplemental order has been issued clarifying the order dated May 12 [Rel. 35-24889] authorizing Pennsylvania Electric Company (Penelec), subsidiary of General Public Utilities Corporation, to issue and sell from time to time through December 31, 1989, up to an aggregate principal amount of \$100 million of first mortgage bonds and/or medium-term securities (MTNs) either as first mortgage bonds or unsecured notes in any combination thereof. Pursuant to Penelec's Mortgage and Deed of Trust, the MTNs will be subject to redemption or retirement prior to maturity upon the occurrence of certain events. (Rel. 35-24892 - May 17)

### LISTING, DELISTING AND UNLISTED TRADING ACTIONS

#### WITHDRAWAL GRANTED

An order has been issued granting the application of GUARDSMAN PRODUCTS, INC. to withdraw its common stock, \$1.00 par value, from listing and registration on the <a href="Maintenance-american-

## **SELF-REGULATORY ORGANIZATIONS**

#### NOTICE OF PROPOSED RULE CHANGES

Proposed rule changes have been filed under Rule 19b-4 by: The National Association of Securities Dealers (SR-NASD-89-10) that would create new Section 45 to Article III of its Rules of Fair Practice. The proposed rule change would provide guidance for member firms concerning the handling of customer limit orders by a firm that is conducting both market making and retail activities. (Rel. 34-26824); and The Municipal Securities Rulemaking Board (SR-MSRB-89-3) that would amend Rule G-35, the Arbitration Code. The proposed rule change would require a dealer, within 20 days after receipt of an arbitration award against it, either to pay the award or deposit the award amount in an escrow account or provide the prevailing party with an irrevocable standby letter of credit. (Rel. 34-26825)

Publication of the proposals are expected to be made in the  $\underline{\text{Federal Register}}$  during the week of May 15.

### **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- 5-5 HEALTH CARE PROPERTY INVESTORS INC. 1090 WILSHIRE BLVD STE 1200, LOS ANGELES, CA 90024 (213) 473-1990 917,998 (523,408,549) COMMON STOCK. (FILE 33-23483 MAY. 11) (BR. 6)
- S=0 NATIONAL MUNICIPAL TRUST INSURED SERIES 42, FINANCIAL SQUARE, NEW YORK, NY 10005 13,000 (\$14,300,000) UNIT INVESTMENT TRUST. (FILE 33-28626 MAY. 11) (BR. 18
- S-4 NATIONAL MUNICIPAL TRUST ONE HUNDRED TWENTIETH SERIES, FINANCIAL SWJARE, NEW YORK, NY 10035 13,000 (114,300,000) UNIT INVESTMENT TRUST. (FILE 33-28627 MAY. 11) (BR. 13 NEW ISSUE)
- S-3 ALCICE CORP, ONE WILLARD RD, NORWALK, CT 06851 (203) 847-2555 1,600,000 (\$4,000,000) PREFERRED STOCK. (FILE 33-28628 MAY. 11) (BR. 2)
- 5-3 HANNA M A CO/DE, 1301 E 9TH ST STE 3600, CLEVELAND, OH 44114 (216) 589-4000 6,030,841 (\$149,625,165.21) COMMON STOCK. UNDERWRITER: PAINEWEBBER INC. (FILE 33-28629 MAY. 11) (BK. 5)
- S-8 INTERNATIONAL PAPER CO /NEW/, TWC MANHATTANVILLE RD, PURCHASE, NY 10577 (914) 397-1500 7,000,000 (\$344,75C,CCO) CCMMON STOCK. (FILE 33-2863) MAY. 11) (BR. 8)
- S-8 IMATRON INC, 389 DYSTEK POINT BLVD, SOUTH SAN FRANCISCO, CA 94080 (415) 583-9964 1,850,000 (\$1,202,500) COMMON STOCK. (FILE 33-28662 MAY. 11) (BR. 8)
- F-6 ASTRA HOLDINGS PLC /ADK, 48 WALL ST, C/C BANK OF NEW YORK, NEW YORK, NY 10286 (212) 530-1784 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FCR CCMMON STCCK. (FILE 33-28663 - MAY. 11) (BR. 99 - NEW ISSUE)
- S-1 AVUN RENT A CAR & TRUCK CORP, 9100 S SEPULVEDA BLVD STE 212, LOS ANGELES, CA 90045 (213) 568-9990 178,573 (\$375,003.30) COMMON STOCK. 176,573 (\$500,004.40) COMMON STOCK. 430,000 (\$3,063,750) COMMON STOCK. 100,000 (\$712,500) COMMON STOCK. 25,000 (\$70,000) COMMON STOCK. (FILE 33-28664 MAY. 11) (BR. 11)
- S-1 STERLING ELECTRONICS CORP, 4201 SOUTHWEST FMY, HOUSTON, TX 77027 (713) 623-6600 11,500,900 (\$11,500,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-28665 MAY. 11) (BR. 3)
- S-8 COMPUTER PRODUCTS INC. 7900 GLADES RD. BCC4 RATON, FL 33434 (407) 451-1000 550,000 (\$1,306,250) COMMON STOCK. (FILE 33-28667 MAY. 09) (BR. 3)
- S-3 MARS AM PHARMACEUTICALS INC, BLDG 31 CLNEY AVE, CHERRY HILL, NJ 08003 (609) 424-5600 300,000 (\$5,718,750) CEMMON STOCK. (FILE 33-28683 MAY. 12) (BR. 4)
- S-3 MCDUNALDS CORP, ONE MCDCNALDS PLZ, CAK BROCK, IL 60521 (312) 575-3000 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 33-28684 MAY. 12) (BR. 12)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	P	FORM	EVENT DATE	SHPS:0001 × dens	CUSIP>	FILING STATUL
ACC ENTERPRISES INC THREE SIXTY CORP ET AL	COM	13D	5/ 4/89	879 26.6	00087210 17.2	UPDATE
ADVANCED POLYMER SYS INC APDSLEY PARTMERS	COM	13D	4/21/89	832 9.2	00899610 <b>6.4</b>	UPDATE
BANKATLANTIC FINL CP ABDO JOHN E TRUSTEE	COM NEI	13D	5/10/89	353 16.7	06605620 0.0	NEW
BRINTEC CORP PICC ACQUISITION ET AL	COM	14D-1	5/16/89	5,099 42.5	10970110 0.0	NEW
BUILDERS TRANSPORT INC WALENTAS DAVID C	COM	13D	5/ 8/89	211 5.0	12008410 0.0	NEW
C N W COPP JAPONICA PARTNERS ET AL	COM	140-1	5/16/89	1,443 8.9	12613710 0.0	HEM
CPAC INC PARCLAY DE ZOETE WEDD SEC	COM S	13D	4/24/89	197 7.4	12614510 0.0	HEW
CAPITAL INVESTMENTS INC DPC INVESTMENT GROUP ET A	COM L	14D-1	5/17/89	109 20.0	14026710 0.0	NEW
CENTEL CABLE TELEVISION CO GAMCO INVESTORS INC ET AL	CL A	13D	5/12/89	1,822 39.6	15091210 38.2	UPDATE
COLOR INS TECHNOLOGY INC GLEN INTERNATIONAL PLC	COM PAR	\$0.15 13D	5/15/89	302 <b>5.</b> 6	19626620 11.7	UPDATE
COLOF SYS TECHNOLOGY INC PAMCDEN TERENCE P	COM FAR	% \$0.15 13D	5/15/89	302 5.6	19626620 11.7	UPDATE
COMETOCK GROUP INC IPIE GROUP INC	COM	13D	5/11/89	7•693 81.0	20568310 79.7	UPDATE
CONNECTICUT COMMUNITY BK OCA POBEPT J	CDM	13D	9/ 2/88	35 5.0	20755210 0.0	MEM
CPAIG COPP STATER BROS HLDGS INC	COM	13D	5/10/89	156 7.3	22417410 0.0	HEU
CPONUS INDS INC THESHUNDER ALEX	COM	13D	5/15/89	251 4.9	22717410 0.0	NEW
CROWN BANCOPP DOEDE JOHN H ET AL	COM	13D	5/16/89		22820510 9.4	UPDATE
DEER PAPK FINL BRENNAN MICHAEL P	COM	13D	4/28/89		24390210 0.0	
DEER PARK FINL ROHDENBURG DONALD C	COM	13D	4/28/89	5 5.0	24390210 0.0	
DEEI PARK FINL STAMMER NORMAN L	COM	13D	4/28/89	6 5.5	24390210 0.0	NEW
DI GIOPGIO CORP GOLDBERG ARTHUP M	COM	13D	5/12/89	718 14.3		UPDATE
FAIR GROUNDS COPP ROUSSEL LOUIS J III ET AL	CDM	13D	5/16/89	201 51.3		UFDATE
FLORIDA PARTNERS COPP LEVAN ALAN B ET AL	COM	13D	5/15/89		34107210 35.0	UPDATE
HEALTHDYNE INC MAXXAM GRP ET AL	COM	13D	4/10/89	838 5.7	42220310 5.3	UPDATE

# ACQUISITIONS REPORTS CONT.

HILTON HOTELS CORP HILTON WILLIAM B	COM	13D	5/ 8/89	15,879 35.6	43264816 35.6 UPDATE
HOME DWNERS SVGS BK FSB BOST PIKE MILD L ET AL	CBM	13F	5/16/89	585 9.8	43729210 0.0 MEW
HYCOP BIOMEDICAL INC DAVIS J MOPTON ET AL	COM	13D	5/ 1/89	493 9.3	44862310 15.9 UPDATE
LSB INDS INC NALL AVENUE PRISHP ET AL	COM	13D	5/11/89	803 14.2	50216010 15.6 UPDATE
MARCOM TELECOMMUNICATION PARRIS STEPHEN C	COM	13D	3/23/89	70u 8.5	56626210 0.9 NEW
PANTERAS CORP RELIANCE FINANCIAL ET AL	COM	13D	5/15/89	980 8.6	69865310 9.4 UFDATE
QUAKER FABPIC COPP SYMONDS ALAN E	COM	13D	4/21/89	594 33.2	74739810 32.6 UPDATE
RECOGNITION EQUIP INC RELIANCE FINANCIAL ET AL	COM	130	5/12/89	445 4.4	75623110 5.4 UPDATE
ROSPATCH CORP DEL ATLANTIS GRP INC	COM	13D	5/10/89	476 18.9	77820410 18.4 UPDATE
SAVIN CORP VIDA JOHN ET AL	PFD A	CV \$1.50 13D	5/ 8/89	113 43.0	80517620 41.8 UFDATE
SEA CONTAINERS LTD SEA CONTAINERS HOUSE LTD	COM ET AL	13D	<b>5</b> /16/89	2,768 24.4	81137110 24.4 UADATE
SOMERSET BANKSHARES INC SNYDER, VON SELDENECK ET	COM AL	13D	5/10/89	404 8.8	83461710 7.4 UPDATE
STAR BANC COPP LINIMER CAPL H ET AL	COM	130	5/ 9/89	1,445 5.0	85508310 0.0 ≀KEW
ULTPA BANCORPOPATION NATIONAL WESTMINSTEP PLC	COM	13D	5/12/89	1,888 41.0	90390310 39.4 UPDATE
WASHINGTON BANCOPP WASH DC DPIGG JOHN	COM PF	# \$2.50 13D	5/12/89	172 2.5	93727020 0.0 NEW
WACHINGTON BANCOPP WACH IC POSEMPELD ROMALD	COM PA	13D	5/12/89	172 2.5	93727020 0.0 New
WASHINGTON BRNCOPP WASH DC WASHINGTON ROBERT B JR	COM PF	# \$2.50 13D	5/12/89	383 5.5	93727020 5.5 UPDATE

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Changes in Control of Registrant. Item 1.

Item 2. Acquisition or Disposition of Assets.

Item 3.

Bankruptcy or Receivership.
Changes in Registrant's Certifying Accountant. Item 4.

Item 5. Other Materially Important Events.

Item 6. Resignations of Registrant's Directors.

Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUE	STATE	8K ITEN			JATE	CUMMENT
AEQ CORP	DE	,	(	X	03/28/89	AMENC
ACV ANCED TELECOMMUNICATIONS CORP	DE	•		X	03/01/89	AMENC
AFC FINANCIAL CORP	DΕ	,	(		04/26/89	
ALLSTAR INNS L P /DE/	DE		X		05/15/89	
AMERICAN TOXXIC CONTROL INC	DE		X	X	05/12/89	
AMWEST INSURANCE GROUP INC	DE		X	Х	05/12/89	
ANGELES MORTGAGE INVESTMENT TRUST	CA		Х	X	03/09/89	
APOLLO HIDE & TALLOW INTERNATIONAL	UT			X	03/13/89	AM EN C
ARX INC	DE		X	X	04/17/89	
ASBESTEC INDUSTRIES INC	DE	ХХ		X	04/28/89	
ASSIX INTERNATIONAL INC	DE	)	(		05/09/89	
AVCO FINANCIAL SERVICES INC	DE			X	05/05/89	
BANKS OF MIC AMERICA INC	DE		X		10/14/88	AM EN C
BARRISTER INFORMATION SYSTEMS CORP	NY		X		05/02/89	
BELPER PLUS INC	NV		X	X	04/28/89	
BENTON DIL & GAS CO	D€			X	03/29/89	AMENC
BIGPLASTY INC	MN	)	(		05/08/89	
BLOCKBUSTER ENTERTAINMENT CORP	DE		Х	X	05/10/89	
BLUE CRASS BREEDERS INC	CO	X X	X	X	J5/09/89	
BORCEAUX PETROLEUM CO	CO	X		X	03/31/89	
BOSTON CAPITAL TAX CREDIT FUND LTD PARTN	DE	X		X	02/28/89	AMENC
BCSTON CAPITAL TAX CREDIT FUND LTD PARTN	DE	X		X	04/28/89	
CABLEVISION SYSTEMS CORP	DE			X	02/17/89	AMENC
CADENCE DESIGN SYSTEMS INC	DE			X	03/01/89	AM EN C
CALIFORNIA CARS GRANTOR TRUST 1986-A	CA		X	X	05/09/89	
CALIFORNIA CREDIT CAKO TRUST 1987-B	CA		X	X	05/15/89	
CAMBRIDGE ANALYTICAL ASSOCIATES INC	MΑ		Х	X	05/15/89	
CAN AN EA I GUA NATIONAL CORP	NY	)	(		<b>J3/23/89</b>	
CAPITALISTICS INC	DE	X X	X	X	05/01/89	
CARCINAL DISTRIBUTION INC	ОН		Х	X	05/11/89	
CARPENTER TECHNOLOGY CORP	DE		X	X	05/11/89	
CARTERET SAVINGS BANK FA			Х	X	04/24/89	
CEA LAB INC	ÇO	XX		X	04/28/89	
CENTRAL BANKING SYSTEM INC	DE		X	X	05/12/89	
CENTURION GCLC LTD				X	36/06/88	AMENC
CHEYENNE SOFTWARE INC	DE			X	03/17/89	AMENC
CHURCHS FRIED CHICKEN INC	ΤX			X	04/25/89	AM EN C
CIMCO MINING CORP	NV			X	03/09/89	AMENC
CLARK EQUIPMENT CC /DE/	DE		X	X	04/27/89	
COMMERCIAL CREDIT CO	ЭE			X	05/05/89	
COMPUSONICS VIDEO CORP	CO		X		05/15/89	
CONCORD MILESTONE INCOME FUND II L P	DE			X	02/27/89	AMENC
CONSOLIDATED OIL & GAS INC	CO	)			04/21/89	AMENC
CONVENIENT FOOD MART INC	IL		X	X	05/01/89	
CONVERGENT SOLUTIONS INC /NY/	NY		X	Х	04/04/89	
COPLEY REALTY INCOME PARTNERS 3	MA		X	X	05/11/89	
CORPORATE INVESTMENT CO	PA	X		X	05/09/89	
CRAZY ECDIE INC	DE		X	X	05/15/89	

NAME OF ISSUER	STATE CODE	8K ITEM NO. 1 2 3 4 5 6 7	DATE	COMM ENT
DC TRADING C DEVELOPMENT CORP.				
CC TRADING & DEVELOPMENT CORP DEFIANCE PRECISION PRODUCTS INC	DE	NO ITEMS	04/04/89	AM EN C
DIGINETRICS INC		X	04/18/89	AMEND
DUNES HOTELS & CASINOS INC	NY NY	x	03/01/89	AMENC
DUPONT E I DE NEMOURS & CO	DE		04/28/89	
EARTHWORM INC	NY	x x	05/17/89	
ENCORE COMPUTER CORP /DE/	DE	x ^ x	04/20/89 04/27/89	
ENT ERTAINMENT RESOURCES INC	CO	^ x ^	09/26/88	
		^ x	05/01/89	
EXPERTELLIGENCE INC	CA	x ^	05/12/89	
EXCEL PROPERTIES LTD  EXPERTELLIGENCE INC  F A COMPUTER TECHNOLOGIES INC  FALCON OIL & GAS CO INC  FAMILY BANCORP  FEDERAL EXPRESS CORP  FIRST BANC SECURITIES INC  FLOW VENTURES LTD  FORC MOTOR CREDIT CO  FPL GROUP CAPITAL INC  FTB VENTURES LTD  GRASON INDUSTRIES INC  GREASE MONKEY HOLDING CORP  GREENERY REFABILITATION GROUP INC  GROVE CAPITAL CORP	DE	^ x	03/17/89	AMENC
FALCON OIL & GAS CO INC	CO	X X	05/08/89	
FAMILY BANCORP	MA	NO ITEMS	05/12/89	
FEDERAL EXPRESS CORP	DE	х х	05/16/89	
FIRST BANC SECURITIES INC	h V	X	02/24/89	AMENE
FLOW VENTURES LTD	NV	X	05/12/89	
FORD MOTOR CREDIT CO	DE	х х	05/12/89	
FPL GROUP CAPITAL INC	FL	X	05/12/89	
FTB VENTURES LTD	IL	X X	11/02/88	
GRASON INDUSTRIES INC	IA	X X	04/27/89	
GREASE MONKEY HOLDING CORP	UT	NO ITEMS	05/12/89	
GREENERY REFABILITATION GROUP INC	DE	X X	05/01/89	
		X	03/03/89	AMENC
GROW VENTURES CORP	DE	X X	05/01/89	
GUINESS CAPITAL FUNDING INC	FL	X	05/15/89	
HEH OIL TOOL CO INC	DE	X X	04/05/89	AMENC
HANOVER BANCORP INC	PA	NO ITEMS	01/20/89	AM EN C
HEALTH & REFABILITATION PROPERTIES TRUST		ХХ	05/01/89	
HOMECARE MANAGEMENT INC	DE	X X	05/11/89	
HOPPER SOLICAY CORP	MD	Х Х	05/05/89	
ILL INDIS CENTRAL RAILROAD CO/DE	DE	X X	05/09/89	
ILLINOIS CENTRAL TRANSPORTATION CO Incomnet inc		X X	05/09/89	
INFOTECHNOLOGY INC	CA DE	ХХ	12/31/88	414 514 6
INTER CITY GAS CORP	DE	X X	02/28/89	AMENC
INTERCELL CORP	CO	^ x ^	05/12/89	
INTERNATIONAL GAME TECHNOLOGY	NV	х ^ х	04/29/89 01/01/89	AMENIC
INTERNATIONAL META SYSTEMS INC/CA/	CA	^ x x̂	05/12/89	AMENC
ICWA SOUTHERN INC	AI	^ x ^	04/24/89	
IONA SOUTHERN UTILITIES CO	DE	x	04/24/89	
I SR AM CO INC	DE	, X	03/01/89	AMENC
ISS INTERNATIONAL SERVICE SYSTEM INC	DE	NO ITEMS	12/30/88	AMENC
JAEGER INDUSTRIES INC	DE	X	11/05/87	
L AS ER CORP	UT	X X	04/17/89	
LEECO DIAGNOSTICS INC	MI	X X	05/10/89	
LEGAL RESEARCH CLEARINGHOUSE INC	CO	X	04/01/89	
LEISURE TECHNOLOGY INC	DE	X	03/17/89	AMENC
LINCOLN EQUITIES CORP	CO	X	05/10/89	
LORAL CORP /NY/	NY	X X X	04/27/89	
LOS ANGELES SECURITIES GROUP	UT	X X X	04/14/89	
LSI LIGHTING SYSTEMS INC	DE	X X	04/17/89	AM EN C
MANAGEMENT TECHNOLOGIES INC	NY	X	04/28/89	
MARLTON TECHNOLOGIES INC	NJ	X X	04/27/89	
MAXWELL LABCRATORIES INC /DE/	DE	Х	02/27/89	AMENC
MENA CREDIT CARD TRUST 1987-A	NY	X	05/12/89	
MENA CREDIT CARD TRUST 1988-A	NY	X	05/12/89	
MENA CREDIT CARD TRUST 1988-8	NY	X X	05/12/89	
MENA CREDIT CARD TRUST 1988-C	NY	X	05/12/89	
MEDICAL MANAGEMENT OF AMERICA INC	DE	X	03/04/89	AMENC
MEGA GROUP INC MER ET INC /OF/	NY	X X	03/01/89	AMENC
METROPOLITAN BROADCASTING HOLDING CO /DE	OH	X X	04/28/89	
MID SOUTH CORP	DE Ga	X	04/20/89	
MONTANA PRECISION MINING LTD	GA hA	х х х х	05/01/89	
MOONSTONE INC	CO		03/29/89	
MORGAN STANLEY GROUP INC /DE/	DE		04/28/89	
MR ROCTER CORP	DE	X X	05/15/89	
···· HOUTEN OUPF	UE	^	04/29/89	

	STATE	AK ITEM NO.	
NAME OF ISSUER	CCDF	1 2 3 4 5 6 7	DATE COMMENT
	25		05/11/00
NATIONAL CITY CORP	DE MA	X X	05/11/89
NEW ENGLAND REALTY ASSOCIATES LIMITED PA NOBLE ROMANS INC	IN	X X X	02/27/89 AMENC 05/01/89
NCRSTAN INC	MN	^	04/17/89
NORTH GEORGIA NATIONAL BANCSHARES INC	GA	x î	05/09/89
OLYMPIA CAPITAL CORP	co	$\mathbf{x} \mathbf{x}  \hat{\mathbf{x}}  \hat{\mathbf{x}}  \hat{\mathbf{x}}$	05/08/89
ONNIX FINANCIAL GROUP INC	FL	X	03/31/89
PANTASOTE INC	DE	x x x	05/04/89
PARALLEL PETROLEUM CORP /DE/	DE	X	03/21/89 AMENC
PARKER AUTOMOTIVE CORP	DE	X	03/07/89 AMENC
PCR INTERNATIONAL INC	NY	X	04/28/89
PHILIPS INDUSTRIES INC /OH/	OH	X	05/05/89
PI HOLDINGS INC	DE	NO ITEMS	05/12/89
PCLARIS AIRCRAFT INCOME FUND V	CA	X X	04/27/89
POWERSTAR INC	CO	NO ITEMS	01/31/89
PROPERTIES OF AMERICA INC	MA	NO ITEMS	05/12/89
PURITAN BENNETT CORP	DE	X X	05/02/89
REGENCY ELECTRONICS INC	IN	NO ITEMS	02/28/89 AMENC
RESERVE EXPLORATION CO	CO UT	X X X	05/11/89
RESOURCE TECHNOLOGY GROUP INC	DE	•	05/08/89
RURAL HOUSING TRUST 1987-1	UE	X X	05/01/89 03/14/89 AMENE
SCEPTRE RESOURCES LTD SEARS CREDIT ACCOUNT TRUST 1988 A	IL		03/14/89 AMENC 05/15/89
SEARS CREDIT ACCOUNT TRUST 1988 B	IL	X X X X	05/15/89
SEARS CREDIT ACCOUNT TRUST 1988 C	iL	â â	05/15/89
SEARS CREDIT ACCOUNT TRUST 1989 A	iì	â â	05/15/89
SECTOR ASSOCIATES LTD	DE	Ŷ	03/24/89 AMENE
SEVEN DAKS INTERNATIONAL INC	TN	x	04/30/89
SHAWMUT NATIONAL 1988 A GRANTOR TRUST	•••	n x	05/03/89
SHEARSON LEHMAN PASS THROUGH SECURITIES	DE	x	04/25/89
SIL CO INC /NV/	NV	хх	05/01/89
SIL GAN CORP	DE	х	03/01/89 AMEND
SILK SILK SILK INTERNATIONAL INC	FL	X X	03/08/89
SCNOCO PRODUCTS CO	SC	X X	04/14/89
SPELLING ENTERTAINMENT INC	DE	X	03/01/89 AMENC
STERLING CABLE FUND INC /CO/	CO	X	01/31/89 AMENC
STONE CONTAINER CORP	DE	X	03/02/89 AMENC
STORAGE TECHNOLOGY CORP	DE	X	05/11/89
SUMMA MEDICAL CORP	NM	X	12/14/88 AMENC
SUMMA MEDICAL CORP	NM	X	02/03/89 AMENE
SUMMIT TAX EXEMPT L P III SUNLITE INC	DE	X X	05/01/89
	DE	X	05/11/89
TEKNA TOOL INC THERMO PROCESS SYSTEMS INC	UT	x x x	
THRIFTY RENT A CAR SYSTEM INC	DE OK	X NO ITEMS	03/03/89 AMENC
TPI ENTERPRISES INC	NJ	NO ITEMS	05/15/89
TRI COAST ENVIRONMENTAL CORP	CO	x x	02/24/89 AMEND 05/08/89
TRINITY TOWNE INVESTMENTS INC	CO	^ x̂	12/16/88 AMENC
U S SHELTER CORP /DE/	DE	x	05/09/89
UNIVERSAL VELTRONICS CORP	DE	x x	05/12/89
VARLEN CORP	DE	n x	03/07/89 AMENC
VENTURIAN CORP	MN	x	05/02/89
VITRO DIAGNOSTICS INC	NV	х х	04/28/89
VTX ELECTRONICS CORP	DE	x x	04/27/89
WASHINGTON REAL ESTATE INVESTMENT TRUST	DC	X	05/09/89
WELLS REAL ESTATE FUND II-OW	G A	X	04/14/89
WITTER DEAN REALTY INCOME PARTNERSHIP II	DE	X X	04/26/89
WITTER DEAN REALTY YIELD PLUS L P	DE	X X	04/26/89
X ET A CORP	OK	X X	05/12/89