Sec news digest July 6, 1989

ADMINISTRATIVE PROCEEDINGS

DOY L. DANIELS, JR. BARRED

The Commission ordered that Doy L. Daniels, Jr. be permanently barred from association with any broker or dealer. Daniels failed to answer the Order which instituted administrative proceedings on May 9, 1989. The Commission found, based on the Order Instituting Proceedings, that Daniels (1) from January 1986 to August 1987, was a registered representative associated with a registered broker-dealer; (2) on March 30, 1989, was enjoined by the U.S. District Court for the Western District of Tennessee for violating the antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934; and (3) on January 20, 1989, was convicted upon a plea of guilty to securities fraud, mail fraud, and bank fraud, and sentenced to ten years imprisonment and ordered to pay restitution to the victim investors. (Rel. 34-26990)

CIVIL PROCEEDINGS

COMPLAINT NAMES TRX INDUSTRIES, INC., OTHERS

The Commission filed a Complaint for Permanent Injunction and Other Equitable Relief on July 5 in the U.S. District Court for the District of Columbia against TRX Industries, Tony Radecki, John P. Stroup, and Noemi L. Rodriguez Santos. The Commission alleges that (1) TRX violated Sections 10(b) and 13(a)(1), (b)(2)(A), (B)(ii) of the Securities Exchange Act of 1934 and Rules 10b-5 and 13a-11; (2) Radecki violated Sections 5(a), (c) and 17(a) of the Securities Act of 1933, Sections 10(b) and 13(d) of the Exchange Act, and Exchange Act Rules 10b-5, 13b2-1, 13b2-2, and 13d-1 and aided and abetted violations of Section 13(b)(2)(A), (B)(ii); (3) Stroup violated Sections 5(a), (c) of the Securities Act, Section 10(b) of the Exchange Act, and Exchange Act Rule 10b-5; and (4) Santos violated Section 10(b) of the Exchange Act and Exchange Act Rule 10b-5. Simultaneously, the Commission filed a consent and undertaking of each defendant. Without admitting or denying the Complaint's allegations, each defendant consented to a Final Judgment permanently enjoining that defendant from future violations of the securities laws and granting other equitable relief. (SEC v. TRX Industries, Inc., et al., USDC DC, Civil Action No. 89-1899, TPJ). (LR-12150; AAER-234)

CRIMINAL PROCEEDINGS

GUILTY PLEAS ENTERED BY FORMER STOCKBROKERS IN THEFT, UNAUTHORIZED TRADING SCHEME

On June 26, the Boston Regional Office and the U.S. Attorney for the District of Massachusetts announced guilty pleas by Jeffrey E. Gallagher and Allan D. Tigner to charges relating to a scheme to defraud customers and their employer. At the hearing, the Court was advised that Gallagher made unauthorized trades which earned commissions for him but resulted in losses of over \$271,000 to his employer and his customers. In connection with his scheme, Gallagher fraudulently endorsed and cashed 26 checks issued by his employer and gave 17 other checks issued by his employer to Tigner, who then fraudulently endorsed and cashed those checks. Gallagher also allegedly stole a customer's bond worth \$70,000. Sentencing has been set for July 24. (U.S. v. Jeffrey E. Gallagher and Allan D. Tigner, DMA, No. 89-30014-F). (LR-12147)

INVESTMENT COMPANY ACT RELEASES

SIMMS GLOBAL FUND

An order has been issued declaring that Simms Global Fund has ceased to be an investment company. (Rel. IC-17037 - June 30)

ELFUN TRUSTS

A notice has been issued giving interested persons until July 24 to request a hearing on an application filed by Elfun Trusts for an order exempting it from the provisions of Sections 10(a) and 15(c) of the Investment Company Act. The order requested would permit more than 60% of Elfun's trustees to be "interested persons" as defined in Section 2(a)(19) of the Act, and would exempt Elfun from the requirement that a majority of its disinterested trustees approve any renewal of its investment advisory contract. (Rel. IC-17038 - June 30)

A notice has been issued giving interested persons until July 24 to request a hearing on an application filed by Elfun Trusts, Elfun Tax-Exempt Income Fund, Elfun Income Fund, Elfun Global Fund, and Elfun Diversified Fund (Funds) for an order amending previous orders issued. The amended order requested would expand the class of potential Fund unitholders to include not only members of the Elfun Society, but all persons designed by the Funds' trustees, provided their participation does not alter the Funds' status as "employees' securities companies" within the meaning of Section 2(a)(13) of the Investment Company Act. (Rel. IC-17039 - June 30)

HOLDING COMPANY ACT RELEASES

ALABAMA POWER COMPANY

A supplemental order has been issued releasing jurisdiction over the issuance and sale of up to \$18.7 million principal amount of pollution control revenue bonds, Series E, 7.20% Series, due June 1, 2016, by Alabama Power Company, subsidiary of The Southern Company. Jurisdiction continues to be reserved, through March 31, 1991, over Alabama's financing of pollution control facilities in an additional amount of up to \$106.3 million, pending completion of the record. (Rel. 35-24913 - June 27)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWAL GRANTED

An order has been issued granting the application of LOUISIANA-PACIFIC CORPORATION to withdraw its common stock, \$1 par value, from listing and registration on the <u>Pacific</u> <u>Stock Exchange</u>. (Rel. 34-26980)

UNLISTED TRADING GRANTED

Orders have been issued granting the applications of the following exchanges for unlisted trading privileges in the common stock of the specified number of issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system: <u>Pacific Stock Exchange</u> five issues. (Rel. 34-26982); and <u>The Philadelphia Stock Exchange</u> - eight issues. (Rel. 34-26987)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGES

Proposed rule changes have been filed under Rule 19b-4 by: <u>The Pacific Stock Exchange</u> (SR-PSE-89-7) to allow facilitated public customer orders to cross with orders from a source other than proprietary account of the executing member organization and to permit, under certain circumstances, the solicitation of a facilitation order. (Rel. 34-26973); <u>The Chicago Board Options Exchange</u> (SR-CBOE-89-10) to create a one-year pilot which allows approved market makers to elect delta based position limits in market index option classes. (Rel. 34-26974); <u>The Options Clearing Corporation</u> (SR-OCC-

d(9-5) to allow it to require clearing members to submit options clearance and settlement data via its on-line data entry system. (Rel. 34-26975); The New York Stock Exchange (SR-NYSE-89-8) to allow member organizations to cross facilitated public customer orders with the proprietary accounts of other member organizations. Currently such orders can only be crossed with the proprietary account of the public customers member organization. (Rel. 34-26977); and The National Association of Securities Dealers (SR-NASD-89-18) that would amend Part XII, Section 2(b) of Schedule D of its By-Laws, to make trade reporting in NASDAQ/NMS securities more concise and understandable. In order to address instances of duplicative reporting in "agency cross" and "riskless principal" trades, the proposed rule change is intended to modify the NASD's trade reporting requirements to clarify their intent that only one party to the trade is reporting. (Rel. 34-26978)

Fublication of the proposals are expected to be made in the <u>Federal Register</u> during the week of July 3.

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by The National Association of Securities Dealers (SR-NASD-89-18) to amend Part VI, Section 1(d) of Schedule D of its By-Laws to provide that market makers may become registered in a NASDAQ issue on the day after a registration request is entered. (Rel. 34-26976)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 CM COMMUNICATIONS INC, 14675 TITUS ST, PANORAMA CITY, CA 91402 (818) 901-0627 -1,150,000 (\$4,600,000) COMMON STOCK. 100,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$440,000) COMMON STOCK. UNDERWRITER: WHELEN W N & CO INC. (FILE 33-29480-LA - JUN. 23) (BR. 7 - NEW ISSUE)
- S-18 WILLIAM & CLARISSA INC, 915 FRONT ST, SAN FRANCISCO, CA 94111 (415) 986-7455 -1,200,000 (\$6,000,000) COMMON STOCK. 120,000 (\$120) WARRANTS, CPTIONS OR RIGHTS. 120,000 (\$660,000) COMMON STOCK. UNDERWRITER: CADDEN WILLIAM M & CO INC. (FILE 33-29481-L4 - JUN. 23) (BR. 9 - NEW ISSUE)
- S-8 APPLIED BIOSCIENCE INTERNATIONAL INC. PC BOX 2360 METTLERS RD. EAST MILLSTONE. NJ 08875 (20)) 873-2550 - 645,000 (\$16,770,000) COPMON STOCK. (FILE 33-29538 - JUN. 27) (8R. 6)
- S-1 INTERNATIONALISTICS INC, 7441 MARVIN D LOVE FRWY STE 2000, DALLAS, TX 75237 (214) 780-3000 - 1,716,576 (\$658,288) COMMON STOCK. 1,716,576 (\$658,288) COMMON STOCK. 1,716,576 (\$658,268) COMMON STOCK. 1,716,576 COMMON STOCK. (FILE 33-29540 - JUN. 27) (BR. 12 - NEW ISSUE)
- S-3 SOUTHERN BELL TELEPHONE & TELEGRAPH CO, 675 & PEACHTREE ST NE, ATLANTA, GA 30375 (404) 529-8611 - 500,000,000 (\$500,000,000) STRAIGHT BUNDS. (FILE 33-29545 - JUN, 28) (ER. 13)
- S-3 SOUTH CENTRAL EELL TELEPHONE CO, 600 N 19TH ST, BIRNINGHAM, AL 35203 (205) 321-1009 - 300,000,000 (\$300,000,000) STRAIGHT BENDS. (FILE 33-29546 - JUN. 28) (BR. 13)
- S-8 MC1 COMMUNICATIONS CORP, 1133 19TH ST NW. WASHINGTON, DC 20036 (202) 872-1600 12,500,000 (\$487,500,000) COMMON STCCK. (FILE 33-29547 JUN. 28) (BR. 13)
- --- NUVEEN TAX EXEMPT UNIT TRUST SERIES 511 INDEFINITE SHARES. (FILE 33-29548 --JUN. 29) (BR. 22 - NEW ISSUE)
- S-8 MCI COMMUNICATIONS CORP., 1133 19TH ST NW. WASHINGTON, DC 20036 (202) 872-1600 -500,000 (\$19,500,000) COMMON STOCK. (FILE 33-29549 - JUN. 281 (BR. 13)
- S-8 MCI COMMUNICATIONS CORP., 1133 19TH ST NW. WASHINGTON, DC 20036 (202) 872-1600 25,000,000 (\$975,000,000) COMMON STCCK. (FILE 33-29550 JUN. 28) (BR. 13)

- S-6 NUVEEN FAX EXEMPT UNIT TRUST SERIES 512 INDEFINITE SHARES. (FILE 33-29551 -JUN. 29) (BR. 22 - NEW ISSUE)
- S-6 MUNICIPAL INVE TR FD ONE HUNDRED THIRTY FIFTH INTERN TER SER. DAVIS POLK & WARDWELL. 1 CHASE MANHATTAN PLAZA, NY 10005 - INDEFINITE SHARES. (FILE 33-29552 - JUN. 29) (BR. 17 - NEW ISSLE)
- S-6 INTERNATIONAL BOND FD AUSTRALIAN & NEW ZEALAND DOL BD SER 39 INDEFINITE SHARES. (FILE 33-29553 - JUN. 29) (BR. 22 - NEW ISSUE)
- S-6 INTERNATIONAL EUNC FD AUSTRALIAN & NEW ZEALAND DOL BD SER 40 INDEFINITE SHARES. (FILE 33-29554 - JUN. 29) (BR. 22 - NEW ISSUE)
- S-8 CONTEL CORP, 245 PERIMETER CTR PKWY, ATLANTA, GA 30346 (404) 393-8000 700.000 (143,400,000) COMMON STUCK. (FILE 33-25567 JLN. 27) (BR. 7)
- S-4 HERITAGE MEDIA CORP, 13355 NCEL RD STE 1500, DALLAS, TX 75240 (214) 702-7380 -14,300,000 (\$52,115,500) COMMON STOCK. (FILE 33-29577 - JUN. 28) (BR. 8)
- S-3 NORTHERN STATES POWER CO /MN/, 414 NICOLLET FALL, MINNEAPOLIS, MN 55401 (612) 330-5907 - 200,000,000 (\$200,000,000) MORTGAGE BONDS. (FILE 33-29579 - JUN. 28) (BR. E)
- S-1 BUILDERS DESIGN INC /DE/, THO RADNCR CORPORATE CTR STE 114, 100 MATSONFORD RD, RADNGF, PA 19087 (215) 688-0520 - 402 (\$4.020.0001 PREFERRED STOCK. 964.800 (\$723,603) COMMON STOCK. 35 (\$3) WARRANTS, OPTIONS OR RIGHTS. 35 (\$420.000) PREFERRED STOCK. (FILE 33-29580 - JUN. 281 (BR. 6)
- S-3 UNITED DEMINION REALTY TRUST INC, FIVE E FRANKLIN ST, RICHMOND, VA 23219 (804) 780-2691 - 2,300,000 (\$41,975,000) COMMON STOCK. (FILE,33-29581 - JUN. 29) (BR. 5)
- S-8 TREDIGAK INDUSTRIES INC, 701 E BYRD ST. RICHMOND. VA 23219 (804) 788-6025 -15,270,000 (\$15,270,000) CTHER SECURITIES INCLUCING VOTING TRUST. 1,000,000 COMMON STOCK. (FILE 33-29582 - JUN. 29) (BR. 10 - NEW ISSUE)
- S-6 PRUDENTIAL BACKE UNIT TRUSTS CORPORATE HIGH VIELD SERIES 7, ONE SEAPORT PLAZA. 199 WATER STREET, NEW YORK, NY 10292 (FILE 33-29584 - JUN. 29) (BR. 16 - NEW ISSUE)
- S-8 QUANEX CORP, 1500 & LOCP SOUTH, STE 1500, HOUSTON, TX 77027 (713) 961-4600 (FILE 33-29585 JUN. 29) (BR. 6)
- S-4 SCUTHERN NATIONAL CORP /NC/. 500 N CHESTNUT ST. LUMBERTON, NC 28358 (919) 671-2000 (FILE 33-23586 - JUN. 29) (BR. 1)
- S-1 ADVANCED ENVIRONMENTAL RECYCLING TECHNOLOGIES INC. 2001 N SHADY GROVE RD. Springdale, ar 72764 (501) 756-2559 UNDERWRITER: BLAIR D H & CO INC. (FILE 33-29593 -JUN. 28) (BR. 5)
- S-1 SERV TECH INC /TX/, 4115 DLD GALVESTEN RD, HEUSTEN, TX 77017 (713) 644-9974 UNDERWRITER: BREWN ALEX & CO. (FILE 33-29594 - JUN. 28) (BR. 10 - NEW ISSUE)
- S-1 ACVANCED ENVIRONMENTAL RECYCLING TECHNOLOGIES INC, 2001 W SHADY GROVE RD, SPRINGCALE, AR 72764 (501) 756-2559 - 1.437,500 (\$5,750,000) COMMON STOCK. 125,000 (\$125) WARRANTS, CPTICNS CR RIGHTS. 125,000 (\$600,000) COMMON STOCK. 4.687,500 (\$9,375,000) COMMON STOCK. 4.687,500 (\$14,062,500) COMMON STOCK. (FILE 33-29595 -JUN. 28) (BR. 5 - NEW ISSUE)
- S-8 ACUSCN CORP, 1220 CHARLESTCN RD, PC BOX 7393, MOUNTAIN VIEW, CA 94039 (415) 969-9112 - 3,500,000 (\$113,312,500) COMMEN STOCK. (FILE 33-29596 - JUN. 28) (BR. 8)
- S-1 EUFUMED INC, 100 DCBBS LN, CHERRY HILL, NJ 08034 (609) 354-1711 130,000 (\$715,000) COMMON STOCK. 650,000 (\$812,500) COMMON STOCK. 650,000 (\$975,000) CUMMON STOCK. 65,000 (\$6) WARRANTS, OPTIONS OR RIGHTS. 65,000 (\$85,800) COMMON STOCK. UNDERWRITER: SHERMAN FITZPATRICK & CO INC. (FILE 33-29597 - JUN. 28) (BR. 8 - NEW ISSUE)
- S-8 RGSS STORES INC, 8333 CENTRAL AVE, PC BDX 728, NEWARK, CA 94560 (415) 790-4400 460,000 (\$7,400,000) COMMON STOCK. (FILE 33-29598 JUN. 28) (BR. 2)
- S-3 AMERICAN FINANCIAL CORP, ONE E 4TH ST, CINCINNATI, OH 45202 (513) 579-2121 -6,005,000 (\$5,794,825) STRAIGHT BONDS. (FILE 33-29595 - JUN, 28) (BR. 9)

- S-B RGSS STURES INC. 8333 CENTRAL AVE. PC BOX 728. NEWARK. CA 94560 (415) 790-4400 -1,500,000 (\$26,422,500) CCMMON STOCK. (FILE 33-29600 - JUN. 28) (BR. 2)
- S-3 ATICO FINANCIAL CORP, 200 SOUTHEAST FIRST ST, MIAMI, FL 33131 (305) 377-6901 -850,000 (\$3,500,000) COMMON STOCK. (FILE 33-29602 - JUN. 28) (BR. 2)
- S-3 ARIZONA INSTRUPENT CORP. 1100 E UNIVERSITY OR. PO BOX 1930, TEPPE, AZ 85281 (602) 731-3400 - 26,712 (1267,712) COMMON STOCK. (FILE 33-29603 - JUN. 28) (BR. 8)
- S-3 CYCARE SYSTEMS INC, 4343 E CANELBACK RD, STE 320, PHOENIX, AZ 85018 (602) 952-5300 - 200,000 (\$1,400,000) COMMON STOCK. (FILE 33-29604 - JUN. 28) (BR. 10)
- S-3 SECURITY PACIFIC CORP, 333 S HOPE ST, LOS ANGELES, CA 90071 (213) 345-4540 2,250 (\$225,000,000) PREFERRED STOCK. (FILE 33-29605 JUN. 28) (BR. 2)
- S-6 FIRST TRUST COMBINED SERIES 83, 500 & MADISON ST. STE 3000, CHICAGO, IL 60606 -INDEFINITE SHARES. DEPOSITOR: BROWN CLAYTON & ASSOCIATES INC. (FILE 33-29606 -JUN. 28) (BR. 18 - NEW ISSUE)
- S-6 FIRST TRUST COMBINED SERIES 64, 500 N MADISON ST. STE 3000, CHICAGO, 11 60606 -INDEFINITE SHARES. DEPOSITOR: BROWN CLAYTON & ASSOCIATES INC. (FILE 33-29607 -JUN. 28) (BR. 18 - NEW ISSUE)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/DWHER | FORM | EVENT DATE | | CUSIP/ PRIDR% | |
|---|------|---------------|---------------|------------------|--|
| ACA JDE COM UNITED TREND HLDGS BV | 13D | 6/19/89 | 6,725 65.0 | 00387010 0.0 | |
| ALLIANCE PHARMACEUTICAL CORP COM BOEHPINGER INGELEIM INTL GMBH | 13D | 5/26/89 | | 01877310 0.0 | |
| ALLIED SEC INC COM HOLMES NEAL H ET AL | 13D | 6/19/89 | 188 38.5 | 01950910 0.0 | |
| AMERCO COM DESHONG HAPRY & ET AL | 13D | 6/19/89 | - | 02359110 0.0 | |
| AMERICAN CITY BUSINESS JOURN COM Shaw Publishing inc | 13D | 6/27/89 | | 02514310 30.0 | |
| AMERICAN PAC CORP COM AMERICAN FINANCIAL CORP ET AL | 13D | 6/16/89 | | 02874010 7.1 | |
| ATLANFED BANCOPP INC COM GRIFFIN WILLIAM M | 13D | 6726789 | | 04765910 0.0 | |
| BALDWIN PIANO & ORGAN CO COM MERCURY ASSET MGMT | 131 | 6/19/89 | | 05824610 0.0 | |
| BARRY WRIGHT CORP COM Sim Richard G | 13D | 6728789 | | 06888710 0.0 | |

| CFS FINL CORP Morgan Herbert N | COM | 13D | 6/ 9/89 | $\begin{array}{c} 151 \\ 5.0 \end{array}$ | | |
|--|--------------|-------|-----------|---|------------------|----------|
| CAPITOL TRANSAMERICA CORP CAPITOL LAND TITLE INS | COM | 13D | 6/15/89 | 123 7.2 | 14064010 5.7 | UPDATE |
| CELLULAR COMMUNICATIONS INC BTO INVESTMENTS ET AL | COM | 13D | 6/20/89 | 2,623 7.4 | | UPDATE |
| COMPUTER BUSINESS SUPPLIES SANDERS JOHN D | COM | 13D | 6/21/89 | 61 6.8 | | NEω |
| COMSTOCK GROUP INC Spie group inc | CDM | 140-1 | 6/30/89 | 7,693 81.0 | | UPDATE |
| DATRON SYS INC DEL REED WYCLIF ALFRED | сом | 13D | 6/16/89 | | 23817310 13.8 | UPDATE |
| EAGLE CLOTHES INC FIRST CENTURY FINRSH III | COM ET AL | 13D | 6723789 | $550 \\ 6.0$ | | UPDATE |
| FIRST ILL CORP TERRA DANIEL J | CDM | 13D | 5/23/89 | 7,231 28.5 | | RVSIDN |
| FRANKLIN COMPUTER CORP DOVER LTD ET AL | CDM | 13D | 67 9789 . | 583 8.9 | 35254110 8.9 | UPDATE . |
| FUR VAULT INC SCHWARTZ FRED | CDM | 13D | 6/19/89 | 794 6.3 | | UPDATE |
| GORDON JEWELRY CORP ZALE CORP ET AL | CL Ĥ | 14D-1 | 6/28/89 | 7,975 94.3 | | UPDATE |
| HEALTHCARE INTL INC WEIR ELLIDTT H JR | CL A | 13D | 6/25/89 | 392 11.3 | | UPDATE |
| HEALTHCAPE INTL INC WHELAN DAVID L | CL A | 13D | 6725789 | 1,573 45.4 | | UPDATE |
| IDEX CORP GAMCO INVESTORS INC ET AL | CBM | 1 3 B | 6727789 | 1,075 10.4 | | UPDATE |
| MARINE TRANS LINES INC WEIL JOHN D | COM | 130 | 6723789 | 516 17.6 | 56844610 14:2 | UPDATE |
| MCCAW CELLULAR COMMUNICATION MCCAW BRUCE R | CL A | 13D | 6/20/89 | 12,184 9.2 | 57946810 9.2 | UPDATE |
| MCCAW CELLULAR COMMUNICATION MCCAW CRAIG D | CL A | 13D | 6/20/89 | 37,161 28.2 | 57946810 31.4 | UPDATE |
| MERCURY FIN CO TERRA DANIEL J | CDM | 13D | 67 2769 | 3,463 27.3 | 58939510 28.1 | UPDATE |
| NATES SHALL | COM | 13D | 6/19/89 | 221 10.0 | 59026210 8.8 | UPDATE |
| METRO MOBILE CTS INC MCCAW CELLULAR COMM ET AL | | 13D | 6/20/89 | 403 - 1.8 | | UPDATE |
| METRO MOBILE CTS INC MCCAW CELLULAR COMM ET AL | CL B | 13D | 6/20/89 | 1,189 7.5 | 59199130 7.0 | UPDATE |
| PRIME COMPUTER INC DR HOLDINGS INC OF DE | COM | 14D-1 | 7/ 3/89 | 0 0.0 | 74155510 0.0 | |
| SCEPTRE RES LTD NOVERCO INC | CDM | 13D | 6728789 | | 80621410 11.2 | UPDATE |

h

| SCOTT PAPER CO BRASCAN LID | COM | 13D | 6/16/89 | 4,012 5.5 | 80987710 11.0 | RVSION |
|---|-------------|-----------------|------------------|---------------|------------------|--------|
| SHONGUM CORP KARPF LEONARD | COMMON | STOCK 13D | 5723789 | 612 N/A | 82504720 0.0 | неш |
| SOUTHLIFE HLDG CO CAPITAL HLDG CD | COM | 141-1 | 6729789 | 2,921 58.5 | 84454110 58.5 | UFDATE |
| SOUTHLIFE HOLDING CO CAPITAL HLDG CO | \$12.50 | CUM CL 14D-1 | A PFD 6/29/89 | 1,729 88.4 | 84454120 0.0 | UPDATE |
| V BAND CORP FEIL THOMAS E | C DM | 13D | 6/27/89 | 1,532 22.8 | 91819310 24.9 | UPDATE |
| WHITE CLOUD EXPLORATION KIRK MARY ANNE TRUSTEE | CDM | 13B | 3/17/89 | 4,139 42.1 | 96355110 0.0 | NEW |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant.

Item 5. Other Materially Important Events. Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| | STATE | 8K ITEM NO. |
|--|-------|------------------------------|
| NAME OF ISSUER | CODE | 1 2 3 4 5 6 7 8 DATE COMMENT |
| | | |
| WINJAK INC /DE/ | DE | X 06/09/89 |
| ZIEGLER MORTGAGE SECURITIES INC II | WI | X X 05/26/89 |
| 3COM CORP | CA | X 06/21/89 |
| TOKHEIM CORP | IN | X X 06/23/89 |
| TUSSIK INC | DE | X X X 06/26/89 |
| UGI CORP | PA | X X X 06/16/89 |
| UNITED FINANCIAL CORPORATION OF SOUTH CA | DE | X X 06/16/89 |
| UNITED SECURITY BANCSHARES INC | AL | X 06/13/89 AMEND |
| VALLEY NATIONAL CORP | AZ | X X 06/21/89 |
| VERNITRON CORP | DE | X 06/27/89 |
| WABAN INC | DE | X X 06/14/89 |

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RECENT 8K FILINGS CONT.

| NAME OF ISSLER | STATE CODE | 8K 1 2 | | | | | 78 | DATE | COMMENT |
|--|---------------|-------------|-----|-----|--------|---|--------|----------------------|---------|
| ADVANCED TELECOMMUNICATIONS CORP | DE | | | | | | x | 03/01/89 | ANEND |
| AMERICAN CENTURY CORP AMERICAN FOLSING TRUST III /NY/ | DE | | X | | | | | 06/21/89 | |
| AMERICAN HOLSING TRUST III /NY/ | IL | | | | X | | | 06/26/89 | |
| AQUA BUOY CERP | CO | X | 1 | X | | | X | 06/19/89 | |
| BELCOR INC | CA | X | ł. | | | | | 05/31/89 | |
| BORDEAUX PETROLEUM CO | CO | NO | IT | EMS | ; | | | 12/31/89 | AMEND |
| BOSTON CAPITAL TAX CREDIT FUND LTD PARTN | DE | X | | | | | X | 04/17/89 | AMEND |
| CARE CONCEPTS INC | NE | ХХ | | | Х | X | X | 06/1 6/89 | |
| CITICORP MORTGAGE SECURITIES INC | OE | X | | | | | | 06/22/89 | |
| COAST DISTRIBUTION SYSTEM COLONIAL GRCUP INC /MA/ CONSOLIDATEC OIL & GAS INC COUNTRYWIDE CREDIT INDUSTRIES INC DIONEX CORP /DE EAGLE ENERGY SALES INC | CA | NO | IT | ENS | 5 | | | 05/24/89 | AMEND |
| COLONIAL GROUP INC /MA/ | MA | | | | X | | | 06/27/89 | |
| CONSOLIDATED OIL & GAS INC | CO | | | | X | | X | 06/15/89 | |
| COUNTRYWIDE CREDIT INDUSTRIES INC | DE | | | | Х | | X | 06/29/89 | |
| DIONEX CORP /DE | DE | | | | X | | X | 06/27/89 | |
| EAGLE ENERGY SALES INC | N V DE | | | | X | | | 05/19/89 | |
| FASHION CHANNEL NETWORK INC | | | X | | | | X | 06/14/89 | |
| FEDERAL RESCURCES CCRP | NV | | | | X | | X | 06/28/89 | |
| FINANCIAL SERVICES CORPORATION OF THE MI | DE | | | | | | X | 04/14/89 | ANEND |
| GEVALT INC | DE | ХХ | 1 | | | X | | 06/27/89 | |
| GRADCO SYSTEMS INC | CA | | | | X | | X | 06/14/89 | |
| GRAYSTONE VENTURES INC HCA HOSPITAL CORPORATION OF AMERICA Home Interstate Bancorp | CO | | | | | | X | | |
| HCA HOSPITAL CORPORATION OF AMERICA | DE | | | | X | | X | 06/22/89 | |
| HOME INTERSTATE BANCORP | CA | | | | X | | | 06/16/89 | |
| HOSPITAL COPP OF AMERICA /TN/ | TN | | | | X | | Χ, | 06/22/89 | |
| GRAYSTONE VENTURES INC HCA HOSPITAL CORPORATION OF AMERICA HOME INTERSTATE BANCORP HOSPITAL COAP OF AMERICA /TN/ Illingis tocl works inc Independent bancshares inc Integrated fusiness corp Integrated financial group inc International technology corp Judys inc | OE | | | | | | X | 04/17/89 | |
| INDEPENDENT BANCSHARES INC | AL | | | | X | | X | 06/16/89 | |
| INTEGRATED EUSINESS CORP | FL | X | | | | | X | 04/19/89 | |
| INTEGRATED FINANCIAL GROUP INC | FL | X | | | | | X | 04/19/89 | |
| INTERNATIONAL TECHNOLOGY CORP | DE | X | | | X | | X | 06/22/89 | |
| JUDYS INC | DE | | | | X | | X | 06/24/89 | |
| LINCOLN TELECOMMUNICATIONS CO | | | | | X | | X | 06/21/89 | |
| OPTIFUND INC | AZ | X | | | | X | X | 06/28/89 | |
| PHILADELPHIA ELECTRIC CO | PA | | | | X | | | 06/29/89 | |
| PHYSICIANS FEALTH PLAN OF FLORIDA INC PROFIT TECHNOLOGY INC RAPID AMERICAN CORP/DE READING CO RESDEL INDUSTRIES RESORT INCOME INVESTORS INC ROYCE LABERATORIES INC /FL/ | FL | | | X | ~ | | | 06/14/89 | ANEND |
| PROFIT TECHNOLOGY INC | UE | | | | X | | | 06/16/89 | |
| KAPID AMERICAN CURPTUE | UE | <u>لا ت</u> | • | | ~ | | X | 06/20/89 | |
| READING CU | | х х х | , | | X | | ~ | 06/19/89 | |
| KESUEL INDUSIKIES | | | | | X | | X | 06/14/89 06/28/89 | |
| KESUKI INCURE INVESTURS INC | UE | | | | | | ~ | | |
| ROYCE LABORATORIES INC /FL/ | TL EN | | | | X X | | X | 06/27/89 | |
| SAND TECHNOLOGY SYSTEMS INTERNATIONAL IN | | | | | | | X | 06/20/89 | |
| SCIENTIFIC NRG INC | NN TU | X | , | | X | | ~ | 06/26/89 06/10/89 | |
| SEVEN J STOCK FARM INC | TX DE | · · · | • | | x | | X X | 06/01/89 | |
| SHOREHOOD PACKAGING CORP | | | | | x | | ^ | 06/28/89 | |
| SIMPLICITY HOLDINGS INC | DE | | | | | | | 06/27/89 | |
| SMITH BARNEY NORTGAGE CAPITAL CORP | DE CO | NO | * * | CMC | X | | | 12/30/89 | ANEND |
| SOUTHNET CORP | DE | NU | T (| 273 | • | | x | 03/02/89 | ANEND |
| STONE CONTAINER CORP | | | | ~ | | | | 06/22/89 | ANGINU |
| THOUSAND TRAILS INC | WA El | | | X | | | X | 06/22/89 | |
| TRIUMPH CAPITAL INC | FL | ¥ | | X | | | X X | 06/16/89 | |
| HARNER COMMUNICATIONS INC | DE | X | | | XX | | ~ | 06/22/89 | |
| WASTE RECOVERY INC | TX | | | | • | | | VU1 C C1 07 | |

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