# sec news digest August 23, 1989

Issue 89-161

# **RULES AND RELATED MATTERS**

RULE 15c2-6 ADOPTED

The Commission adopted Rule 15c2-6 (the Penny Stock Fraud Rule), which would impose sales practice requirements on broker-dealers who recommend purchases of certain low-priced, non-NASDAO over-the-counter securities to persons other than established customers. Rule 15c2-6 is effective as of January 1, 1990. (Rel. 34-27160)

FOR FURTHER INFORMATION CONTACT: Dan Gray at (202) 272-2848

### COMMISSION ANNOUNCEMENTS

FOIA APPEAL OF REBECCA E. SWENSON

The General Counsel sent a letter to Rebecca E. Swenson in response to her request for documents reflecting submissions by self-regulatory organizations of proposed listing standards and the Commission's responses thereto; and for all documents prepared by the Commission with respect to whether listing standards must be submitted for Commission approval under Section 19 of the Securities Exchange Act of 1934. The General Counsel determined that Ms. Swencon's request for SRO proposals was not reasonably specific, and that, since the Commission's files of these records are not retrievable by topic, it was not possible to identify the particular documents sought. Moreover, such SRO proposals are, to the extent maintained by the Commission, available through the Public Reference Branch. The General Counsel also determined that the FOIA Officer properly withheld the Commission's internal memoranda under Exemption 5 because all responsive documents are pre-decisional and were prepared by the staff for the purpose of advising the Commission during its deliberations prior to its adopting Rule 19c-4. (Rel. FOIA-123)

# CIVIL PROCEEDINGS

COMPLAINT NAMES ARNOLD S. COHEN, OTHERS

The Atlanta Regional Office filed a Complaint on August 3 in federal court in Chattanooga, Tennessee against Coal Corporation of America, Continental Coal Reserves Ltd., Cumberland Mountain Coal Mine Ltd., Arnold S. Cohen, Joseph A. Banks, William F. McLaughlin, John R. Waterman, William W. Dean, and Daniel R. Jonkers, seeking injunctive relief and an accounting and disgorgement of investor funds involving the registration, antifraud, and broker-dealer registration provisions involving coal mining investment operations in Tennessee. The Complaint alleged that defendants raised approximately \$3.5 million from 550 investors in 35 states. (SEC v. Coal Corporation of America, et al., USDC EDTN, Civil Action No. 1-89-364).

# CRIMINAL PROCEEDINGS

KIM G. GIRDNER INDICTED

The Denver Regional Office, the Salt Lake City Branch Office, and the U.S. Attorney for the District of Utah announced that on August 9 the grand jury for the Central Division of the District of Utah returned an indictment against Kim G. Girdner alleging seven counts of perjury. Girdner is charged with having willfully given material false statements to the Commission, which statements were contrary to oath.

Girdner, formerly of Salt Lake City, was employed as a securities trader during the two times that his testimony was taken by the Commission. His alleged false statements concern pre-1933 corporations and his knowledge of any common thread among them, whether Jerry D. Timothy was connected to the companies, whether Girdner was compensated in stock or otherwise to make a market in a company's stock, and whether Girdner knew if anyone had a control block of stock in the companies. (U.S. v. Kim G. Girdner, USDC DUT, Central Division, Criminal Action No. 89-CR-0150W). (LR-12221)

# **INVESTMENT COMPANY ACT RELEASES**

#### RYAN MORTGAGE ACCEPTANCE CORPORATION V

A conditional order has been issued granting Ryan Mortgage Acceptance Corporation V an exemption from all provisions of the Investment Company Act in connection with the issuance of collateralized mortgage obligations and the sale of certain residual interests. (Rel. IC-17113 - Aug. 21)

STRONG U.S. GOVERNMENT RESERVES, INC.; PRUDENTIAL GUARANTEED ADJUSTABLE FUND, L.P.

Notices have been issued giving interested persons until September 14 to request a hearing on an application filed by Strong U.S. Government Reserves, Inc.; and Prudential Guaranteed Adjustable Fund, L.P. for an order declaring that they have ceased to be investment companies. (Rel. IC-17114; IC-17115 - Aug. 21)

# **HOLDING COMPANY ACT RELEASES**

#### NORTHEAST UTILITIES

A supplemental order has been issued authorizing a proposal by Northeast Utilities, a registered holding company, and its subsidiaries, The Connecticut Light & Power Company (CL&P) and Western Massachusetts Electric Company (WMECO), whereby the subsidiaries will amend their existing \$350 million credit facility to permit Northeast to borrow up to \$100 million outstanding at any time and from time-to-time, under the same terms and conditions. (Rel. 35-24923 - Aug. 18)

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the common stock, \$.01 par value, of ADAMS-RUSSELL INC. (Rel. 34-27145)

# **SELF-REGULATORY ORGANIZATIONS**

#### NOTICE OF PROPOSED RULE CHANGES

A proposed rule change has been filed under Rule 19b-4 by: The Pacific Stock Exchange (SR-PSE-89-19) to require equity floor brokers to "give-up" options market maker clearing symbols for equity trades originating on the options floor and sent out over the Intermarket Trading System. (Rel. 34-27146); and The Philadelphia Stock Exchange (SR-Phlx-89-39) that would provide procedures governing the commencement of trading on Phlx when it opens an ITS stock before any other market. (Rel. 34-27149)

Publication of the proposals are expected to be made in the Federal Register during the week of August 21.

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by The Philadelphia Stock Exchange (SR-Phlx-88-32) to clarify its evaluation procedures for equity specialist units. (Rel. 34-27147)

# **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 FHC COMPCARE INC, 240 CORPORATE BVLD, NORFOLK, VA 23502 (804) 459-5100 12,821,702 (\$29,571,806) COMMON STOCK. 34,077,730 (\$34,077,730) STRAIGHT BONDS. (FILE 33-30406 AUG. 14) (BR. 6 NEW ISSUE)
- S-1 DEFINICON INTERNATIONAL CORP, 1100 BUSINESS CTR, NEWBURY PARK, CA 91320
  (805) 499-0652 1,725,000 (\$5,175,000) COMMON STOCK. 1,875,000 (\$6,562,500)
  COMMON STOCK. 1,875,000 (\$8,437,500) COMMON STOCK. 150,000 (\$150)
  WARRANTS, OPTIONS OR RIGHTS. 150,000 (\$540,000) COMMON STOCK. UNDERWRITER:
  JAMES THOMAS ASSOCIATES INC, WEGARD L C & CO INC. (FILE 33-30410 AUG. 14) (BR. 10 NEW ISSUE)
- S-1 SYSTEMS EQUIPMENT CORP, 574 UNDERHILL BLVD, SYOSSET, NY 11791 (516) 921-3400 805,000 (\$644,000) COMMON STOCK. 1,400,000 (\$1,120,000) COMMON STOCK. 805,000 (\$805,000) COMMON STOCK. 70,000 (\$70) WARRANTS, OPTIONS OR RIGHTS. 70,000 (\$70,000) COMMON STOCK. (FILE 33-30416 AUG. 15) (BR. 7 NEW ISSUE)
- S-8 FREEPORT MCMORAN INC, 1615 POYDRAS ST, NEW ORLEANS, LA 70112 (504) 582-4000 3,000,000 (\$102,750,000) COMMON STOCK. (FILE 33-30417 AUG. 15) (BR. 1)
- S-3 PRESIDIO OIL CO, 5613 DTC PKWY STE 750, C/O GRANT E THAYER, ENGLEWODD, CO 80111 (303) 773-0100 2,434,427 (\$13,845,803.56) COMMON STOCK. (FILE 33-30418 AUG. 15) (BR. 3)
- S-18 DAVID ENTERPRISES INC, 10 MAPLE AVE, HOBART, NY 13788 (607) 538-1173 2,350,000 (\$1,612,500) COMMON STOCK. 600,000 (\$1,200,000) COMMON STOCK. 15,000 (\$1) WARRANTS, OPTIONS OR RIGHTS. 15,000 (\$13,500) COMMON STOCK. 180,000 (\$270,000) COMMON STOCK. UNDERWRITER: CASTLE SECURITIES CORP. (FILE 33-30426-NY AUG. 07) (BR. 11 NEW ISSUE)
- S-8 ADVANCED MARKETING SERVICES INC, 4747 MORENA BLVD STE 200, SAN DIEGO, CA 92117 (619) 581-2232 225,000 (\$2,671,875) COMMON STOCK. (FILE 33-30467 AUG. 10) (BR. 7)
- S-1 GENERAL TECHNOLOGIES GROUP LTD, 11 LINCOLN ST, COPIAGUE, NY 11726 (516) 842-7676 5,600,000 (\$4,000,000) WARRANTS, OPTIONS OR RIGHTS. 7,105,000 (\$7,105,000) COMMON STOCK. 7,105,000 (\$10,657,500) COMMON STOCK. 2,065,000 (\$1,615,000) WARRANTS, OPTIONS OR RIGHTS. 1,120,000 (\$1,400,000) COMMON STOCK. (FILE 33-30498 AUG. 14) (BR. 8)
- S-8 PACIFIC WESTERN BANCSHARES INC /DE/, 333 W SANTA CLARA ST, SAN JOSE, CA 95113 (408) 244-1700 1,500,000 (\$3,353,500) COMMON STOCK. (FILE 33-30499 AUG. 15) (BR. 1)

# **ACQUISITIONS OF SECURITIES.**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	P	FORM	EVENT DATE	SHRS (000) / %DWNED	CUSIP/ PRIOR%	FILING STATUS
AMDURA CORP CILLUFFO FRANK J A ET AL	COM	13D	8/17/89	1,272 18.7		UPDATE
AMVESTORS FINL CORP MURRELL LESLIE A	COM	13D	8/ 9/89	743 5.9	03234310 4.4	UPDATE
AMVESTORS FINL CORP MURRELL T M	COM	13D	8/ 9/89	1,419 11.4		UPDATE
AUTOMOBILE PROTH CORP-APCO DAVIS J MORTON ET AL	COM	13D	7/28/89	1,453 23.3		UPDATE
BIO TECHNOLOGY GEN CORP ELLIOTT ASSOC LP ET AL	COM	13D	8/ 7/89	1,231 19.4	09057810 16.1	UPDATE
BLUE CHIP VALUE FD INC LEVIN JOHN A & CO ET AL	COM	13D	8/ 8/89	492 5.3	09533310 0.0	NEW
C A BLOCKERS INC WILLIAMS JONNIE R P	COM	IBD	8/ 7/89	834 10.9	12468010 11.9 (	
CAPITAL CITY BK GRP SMITH ROBERT HILL	COM	13D	8/ 8/89	292 9.4	13967410 6.5	UPDATE
CAPITAL CITY BK GRP SMITH WILLIAM G JR	COM	13D	8/ 8/89	293 9.4	13967410 6.5	UPDATE
CAPITAL CITY BK GRP SMITH WILLIAM GODFREY	COM	13D	8/ 8/89		13967410 23.1	
CENTURY TEL ENTERPRISES INC		13D	0.444.400	1,854		UPDATE
GAMCO INVESTORS INC ET AL		135	8/16/89	6.2	J. 1	5. 2
COASTLAND CORP FLORIDA KATZ ERWIN I		\$0.20 13D		153	19058020	UPDATE
COASTLAND CORP FLORIDA	COM PAF	\$0.20 13D	₩. I.	153 24.6 72	19058020 12.5 20621920	UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I CONCORD FABRICS INC	COM PAR CL A L DEPOSIT	\$0.20 13D	W.I. 8/ 9/89 8/10/89	153 24.6 72 4.0 N/A	19058020 12.5 20621920	UPDATE UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A FINE HOMES INTL L P	COM PAR CL A L DEPOSIT	\$0.20 13D 13D 13D	W.I. 8/ 9/89 8/10/89	153 24.6 72 4.0 N/A N/A	19058020 12.5 20621920 5.6 31782610 0.0	UPDATE UPDATE NEW
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP	COM PAR CL A L DEPOSIT	\$0.20 13D 13D 13D 14D-1	W.I. 8/9/89 8/10/89 8/18/89	153 24.6 72 4.0 N/A N/A 1,139 5.2	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010	UPDATE UPDATE NEW NEW
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART	COM PAR CL A DEPOSIT	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D	W.I. 8/ 9/89 8/10/89 8/18/89 7/27/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010	UPDATE UPDATE NEW NEW UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART FREEPORT MCMORAN ENERGY PART	COM PAR CL A DEPOSIT	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D 1 UNIT 13D	W.I. 8/9/89 8/10/89 8/18/89 7/27/89 8/14/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1 56,247 53.6	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010 78.1 35699010 50.5	UPDATE  NEW  NEW  UPDATE  UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART FREEPORT MCMORAN ENERGY PART MCMORAN DIL & GAS CO  GREAT FALLS BANCORP	COM PAR CL A L DEPOSIT COM DEPOSIT DEPOSIT	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D 1 UNIT 13D	W.I. 8/9/89 8/10/89 8/18/89 7/27/89 8/14/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1 56,247 53.6	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010 78.1 35699010 50.5 39038010 5.9	UPDATE  NEW  NEW  UPDATE  UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART FREEPORT MCMORAN ENERGY PART MCMORAN DIL & GAS CO  GREAT FALLS BANCORP SIMONELLI MICHAEL A	COM PAR  CL A  DEPOSIT  COM  DEPOSIT  DEPOSIT  COM	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D 1 UNIT 13D	W.I. 8/9/89 8/10/89 8/18/89 8/14/89 8/14/89 8/14/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1 56,247 53.6 33 6.2 850 8.8	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010 78.1 35699010 50.5 39038010 5.9 40051610 0.0	UPDATE  UPDATE  UPDATE  UPDATE  UPDATE  UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART FREEPORT MCMORAN ENERGY PART MCMORAN DIL & GAS CO  GREAT FALLS BANCORP SIMONELLI MICHAEL A  GTECH CORP DLJ CAPITAL ET AL	COM PAR CL A L DEPOSIT COM DEPOSIT DEPOSIT COM COM	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D 1 UNIT 13D 13D	W.I. 8/9/89 8/10/89 8/18/89 8/14/89 8/14/89 8/14/89 8/14/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1 56,247 53.6 33 6.2 850 8.8 850 8.8	19058020 12.5 20621920 5.6 31782610 0.0 32024510 78.1 35699010 78.1 35699010 50.5 39038010 5.9 40051610 0.0 40051610 5.8	UPDATE  UPDATE  UPDATE  UPDATE  UPDATE  NEW  UPDATE
COASTLAND CORP FLORIDA KATZ ERWIN I  CONCORD FABRICS INC TWEEDY BROWNE CO L P ET A  FINE HOMES INTL L P MERRILL LYNCH & CO ET AL  FIRST FINANCIAL MGMT CORP MERCURY ASSET MGMT  FREEPORT MCMORAN ENERGY PART FREEPORT MCMORAN ENERGY PART MCMORAN DIL & GAS CO  GREAT FALLS BANCORP SIMONELLI MICHAEL A  GTECH CORP DLJ CAPITAL ET AL  GTECH CORP MARKOWICZ VICTOR ET AL  NATIONAL HERITAGE INC	COM PAR CL A L DEPOSIT COM DEPOSIT COM COM COM	\$0.20 13D 13D 13D 14D-1 13D 1 UNIT 13D 1 UNIT 13D 13D 13D	W.I. 8/9/89 8/10/89 8/18/89 7/27/89 8/14/89 8/14/89 8/ 1/89 8/ 8/89	153 24.6 72 4.0 N/A N/A 1,139 5.2 85,191 81.1 56,247 53.6 33 6.2 850 8.8 850 8.8	19058020 12.5 20621920 5.6 31782610 0.0 32024510 0.0 35699010 78.1 35699010 50.5 39038010 5.9 40051610 0.0 40051610 5.8 63634610 65.6 67625510	UPDATE  NEW  NEW  UPDATE  UPDATE  NEW  UPDATE  NEW  UPDATE

POP RADIO CORP HERITAGE MEDIA CORP	COM	13D	8/ 7/89	1,802 54.9	73281710 52.0 UPDAT	E
REGIONAL FED BANCORP INC CUMBERLAND LAKE SHELL ET		13D	8/11/89	54 7.1	75891910 5.1 UPDAT	E
RESEARCH INDS CORP KARISSIM CORP ET AL	COM	13D	8/15/89	663 12.2		14
SEVEN DAKS INTL INCP CUMBERLAND ASSOCIATES	COM	13D	8/11/89	680 10.6	81787510 0.0 NEW	
SORG INC CENTURY PARTNERS	COM	13D	8/16/89	423 18.1	83584810 14.3 UPDAT	E
UNITED MO BANCSHARES INC KEMPER R CROSBY	COM	13D	8/11/89	2,450 18.5	91102210 26.9 UPDAT	E
VIDEO JUKEBOX NETWORK INC MORAN ASSET MGMT ET AL	COM	13D	7/27/89		92699410 11.7 UPDAT	E
XIOX CORP WELLING WILLIAM H	CDM	13D	8/14/89	529 50.4		
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ASK COMPUTER SYS INC STATE OF WISCONSIN INVEST	BD COM	13D	8/ 4/89	780 5.9	00190310 0.0 MEW	
AMERICAN ECOLOGY CORP BROWNING FERRIS	COM	13D	8/11/89	3,200 100.0	02553310 0.0 NEW	
AMERICAN FRUCTOSE CORP ARCHER DANIELS MIDLAND	CL A	13D	8/ 3/89	123 2.3	02629620 5.9 UPDAT	E
AMERICAN INTL GROUP INC STARR C V & CO	COM	13b	12/20/88	4.002 2.4	02687410 0.0 MEW	
AMERICAN INTL GROUP INC STARR FOUNDATION	СШМ	13D	12/20/88	7:414 4.5	02687410 0.0 NEW	
AMERICAN INTL GROUP INC STARR INTL CO	COM	13D	7/31/89	27,204 16.6	02687410 0.0 NEW	
ASSIX INTL INC BEISANZ J THEODORE	COM	13D	8/15/89	430 9.9	04543310 0.0 MEW	
ASSIX INTL INC NEWTON R PARK III	COM	13D	8/15/89	1,734 39.9	04543310 0.0 NEW	
BROWN ROBERT C & CO INC CAPITALCORP INC	COM	141-1	8/18/89	1,361 52.9	11516710 0.0 NEW	
BROWN ROBERT C & CO INC SCHAEFFER RONALD D ET AL	COM	13D	8/14/89	1,456 56.6		]H
CONTROL RESOURCE INDS INC ROPART PARTNERS L P ET AL		13D	8/17/89	670 12.7		Έ
CDUNSELLORS TANDEM SECS FD I SEQUOIA PARTNERS ET AL	CIDM	13D	8/16/89	651 13.4		E
CRUISE AMER INC WIGHT RUSSELL B JR ET AL	COM	13D	8/ 8/8 <b>9</b>	335 6.1		
DAHLBERG INC ROBERTSON COLMAN & STEPHE	COM NS	13D	8/16/89	0 0.0	23376410 7.0 UPDAT	Έ
FALCONBRIDGE LTD NORANDA INC ET AL	COM	14D-1	8/18/89	21,457 28.6	30609910 24.7 UPDA1	Έ

FALCOMBRIDGE LTD MORANDA INC ET AL	COM	14D-1	8/18/89	21,457 28.6	30609910 24.7	RVSION
FIRST SB FSB CLOVIS N MEX GIBSON JAMES F	COM	13D	8/ 1/89	58 8.6	33590910 0.0	
FUR VAULT INC SCHWARTZ FRED	COM	13D	7/21/89	2 0.0	36105510 6.3	UPDATE
HEALTHDYNE INC CONTINENTAL HEALTH AFFILI	COM ATES	13D	8/17/89	667 4.5	42220310 7.4	
ITALY FD INC FRIENDLY PARTNERS ET AL	COM	13D	7/27/89	1,492 23.5	46539510 23.2	UPDATE
JAN BELL MARKETING INC CRAIG DRILL CAPITAL	COM	13D	7/25/89	982 5.1	47076010 0.0	
JOHNSTOWN CONS RLTY TR FRIEDMAN WILLIAM S ET AL	SH BEN	INT 13D	8/ 1/89	970 7.9	47952810 5.7	
KIMBARK DIL & GAS CO KEMMERER JOHN L ET AL	COM	13D	8/ 8/89	0 0.0	49435510 N/A	UPDATE
LABARGE PACHOLDER ASSOC ET AL	UNTS BI	ENF INT 13D	8/11/89	782 <sup>,</sup> 5.8		UPDATE
LOS ANGELES SECS GROUP JAECK BRENDA J	COM	13D	5/31/89	5,863 <b>29.</b> 3		
MARINE TRANS LINES INC MACTIER JAMES ALLAN	COM	13D	8/ 2/89	236 8.0	56944610 7.3	
MATERIALS RESH CORP SONY USA ET AL	COM	14D-1	8/21/89	0 0.0	57668010 0.0	NEW
MICHAEL'S STORES INC MSI HLDGS INC ET AL	COM	13D	8/ 8/89	4,030 41.6	59408710 0.0	
MICRO MASK INC HOYA CORP	COM	14D-1	8/21/89	2,200 97.3	59485310 0.0	UPDATE
MONITOR LABS LEAR SIEGLER MEASUREMENT	COM CTLS	14D-1	8/18/89	1,639 92.5		UPDATE
NATIONAL INCOME RLTY TR FRIEDMAN WILLIAM S ET AL	SH BEN		8/ 4/89	1,887 16.6		UPDATE
NEW YORK BANCORP INC MALLOY PATRICK E III	COM	13D	8/ <b>9</b> /89	208 6.0	64938910 0.0	
NU MED INC HPB ASSOC L P ET AL	COM NE	13D	8/ 8/89	576 6.6	67090320 0.0	
PIEDMONT FED.CORP GRIFFIN WILLIAM M ET AL	COM	13D	8/11/89	123 5.1	72014210 0.0	
SMITHTOWN BANCORP DICANIO VINCENT	COM	13D	8/11/89	32 7.3	83244910 0.0	
SYRACUSE SUPPLY CO BARCLAY H DOUGLAS ET AL	COM	13D	8/15/89	481 34.8		UPDATE
WARD WHITE GROUP BOOTS COMPANY PLC	ORD	13D	8/15/89	35,501 30.0	93409510 39.8	
XIDX CORP NEW YORK & FOREIGN SECS C	COM DRP	13D	8/ 8/89	15 1.5	98390510 29.8	UPDATE

# **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Changes in Control of Registrant.
- Acquisition or Disposition of Assets.
- Item 3.
- Bankruptcy or Receivership. Changes in Registrant's Certifying Accountant. Item 4.
- Item 5. Other Materially Important Events.
  Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	SK TTEM NO.		
NAME OF ISSLER	CODE		DATE	COMMENT
MBNA CREDIT CARD TRUST 1987-A MBNA CREDIT CARD TRUST 1988-A MBNA CREDIT CARD TRUST 1988-B MBNA CREDIT CARD TRUST 1988-C MBNA CREDIT CARD TRUST 1989 A	NY	X	08/14/89	
MBNA CREDIT CARD TRUST 1988-A	NY	х х	08/14/89	
MBNA CREDIT CARD TRUST 1988—A MBNA CREDIT CARD TRUST 1988—C MBNA CREDIT CARD TRUST 1988—C MBNA CREDIT CARD TRUST 1989 A MECHANICS & FARMERS SAVINGS BANK FSB SER MEDICAL MANAGEMENT OF AMERICA INC MELLON BANK CORP	NY	X X	08/14/89	
MBNA CREDIT CARD TRUST 1988-C	NY	X	08/14/89	
MBNA CREDIT CARD TRUST 1989 A		X	08/14/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X X	06/13/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X X	06/27/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X X	07/11/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X X	07/11/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		x x	07/25/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X X	07/25/89	
MEDICAL MANAGEMENT OF AMERICA INC	₽ <b>E</b>	X	07/31/89	
MELLON BANK CORP	PA	x	08/15/89	
MELLON BANK CORP MERIT DIVERSIFIED INTERNATIONAL INC MERRILL LYNCH & CO INC	UT	x	03/11/89	
MERRILL LYNCH & CO INC	DE	x x	08/14/89	
MLH INCOME REALTY PARTNERSHIP VI	NY	x x	68/01/89	
MLH INCOME REALTY PARTNERSHIP VI MULTI MEDIA BARTER LTD NACCO INDUSTRIES INC	DE	X	08/02/89	
NACCO INDUSTRIES INC	9€	•	05/26/89	AMEND
NATIONAL MEDICAL HEALTH CARD SYSTEMS INC	NY	X	08/03/89	
NATIONAL TECHTEAM INC /DE/	DE	x x	07/26/89	
NET 2 1 P	0.E	x x x	07/31/89	
NODAWAY VALLEY CO	TA	x	08/03/89	
NORTH AMERICAN VENTURES INC	MD	^ x x	07/28/89	
NORTH AND CRANRESPIES INC /HI/	M T	^ x	06/07/89	AMEND
NIL MEST INDISTRIES INC	ne ne	χ x̂	07/27/89	ATEND
NITO! CYCTEM INC	DA	x ^	07/31/99	
MMNT CADITAL COMID THE	NC	x x	08/01/89	
ADDAPTINISTICS INC	05	NO ITEMS	06/12/89	AMEND
CHILDON ASS CHITE HOTELS L D	CA	X X	06/27/89	A TENU
CUITION THOOME COME TO	CA	â â		
OUTLOOK INCOME FUND O	CA	• 0 0	06/27/89	
NATIONAL MEDICAL HEALTH CARD SYSTEMS INC NATIONAL TECHTEAM INC /DE/ NET 2 L P NDDAHAY VALLEY CD NORTH AMERICAN VENTURES INC NORTHLAND CRANBERRIES INC /WI/ NU WEST INDUSTRIES INC NUTRI SYSTEM INC OMNI CAPITAL GROUP INC OPPORTUNISTICS INC OUTLOOK ALL SUITE HOTELS L P OUTLOOK INCOME FUND 10 OUTLOOK INCOME FUND 9 OUTLOOK INCOME FUND 9 OUTLOOK PENSION INVESTORS OUTLOOK PROPERTIES FUND IV PACESETTER BUSINESS PROPERTIES PACIFIC WESTERN BANCSHARES INC /DE/	CA	х х х х	06/27/89	
OUTEOUR INCOME GROWTH FUND VIII	CA	X X	06/27/89	
OUTLOOK PENSION INVESTORS	CA	X X	06/27/89	
PACESETTER BUSINESS PROPERTIES PACIFIC WESTERN BANCSHARES INC /DE/	CA	_ x x	06/27/89	
PACESETTER BUSINESS PROPERTIES	CA	X	08/01/89	AMEND
PACIFIC WESTERN BANCSHARES INC /DE/	υE	x x	08/04/89	
PENNWALT CORP	PA	x x	08/03/89	
PEPSICO INC	NC OK	X	07/01/89	AMEND
PETROLEUM INVESTMENTS LTD	OK	X	05/31/89	AMEND
PREMIER ACCEPTANCE CORP /MN/	DE	X X	08/02/89	
PRUDENTIAL EACHE FOGELMAN STRATEGIC MORT		X	06/06/89	
RANGER AMERICAS INC	DE	NO ITEMS	<b>07/06/</b> 89	
REALMARK PROPERTY INVESTORS LIMITED PART		X	02/17/89	AMEND
REALMARK PROPERTY INVESTORS LTD PARTNERS		x	07/05/89	AMEND
REALTY PARKING PROPERTIES LP	0E	×	08/01/89	
REGENCY AFFILIATES INC	DE	x x	08/07/89	
RENT RITE RESERVATION NETWORK INC	NM	X X	07/10/89	AMEND
REPUBLIC FECERAL SAVINGS & LOAN ASSOCIAT		x x	07/27/89	

	STATE					
NAME OF ISSUER	CODE	1 2 3 4	5 6	7 8	DATE	COMMENT
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE		x	x	07/25/89	
RESIDENTIAL FUNDING MORTGAGE SECURITIES RETAIL SERVICES INC ROCHESTER COMMUNITY SAVINGS BANK ROMAN CAPITAL CORP SAFEGUARD SCIENTIFICS INC	DΕ		x	â	08/04/89	
POCHECTED COMMINITY CAVINGS RANK	NY		×	^	08/15/89	
ROMAN CAPITAL CORD	co		x		08/08/89	
SAFEGUARD SCIENTIFICS INC	PA			x	05/26/89	
CONO	~ 4		X	x	08/03/89	
SEARS CREDIT ACCOUNT TRUST 1988 A	IL		X	X	08/15/89	
SEARS CREDIT ACCOUNT TRUST 1988 A SEARS CREDIT ACCOUNT TRUST 1988 B SEARS CREDIT ACCOUNT TRUST 1988 C SEARS CREDIT ACCOUNT TRUST 1989 A SEARS CREDIT ACCOUNT TRUST 1989 B	Ť L		X	X	08/15/89	
SEARS CREDIT ACCOUNT TRUST 1988 C	ĪĹ		X	X	08/15/89	
SEARS CRECIT ACCOUNT TRUST 1989 A	IL		X	X	08/15/89	
SEARS CREDIT ACCOUNT TRUST 1989 B	IL		X	X	08/15/89	
SECURITY PACIFIC CORP	DE		X	X	08/03/89	
SFFED CORP	DE	X		X	08/02/89	
SHAWMUT NATIONAL 1988 A GRANTOR TRUST				X	08/01/89	
SHELLER GLOBE CORP	OH	X		X	08/01/89	
SHUR SCAPE SYSTEM INC	CO	X			07/31/89	
SMITH CORONA CORP	DE		X		08/11/89	
SHITH LABORATORIES INC	īL		X	X	07/28/89	
SNL FINANCIAL CORP	UT		X	X	07/27/89	
STAMFORD CAPITAL GROUP INC	DE	X		X	07/31/89	
SHELLER GLOBE CORP SHUR SCAPE SYSTEM INC SMITH CORONA CORP SMITH LABCRATORIES INC SNL FINANCIAL CORP STAMFORD CAPITAL GROUP INC STEPAN CO	DE	NO ITEM	S		08/14/89	
STUKELY USA INC	MI	X		X	08/01/89	
STOPWATCH INC	DE			X	06/01/89	AMEND
THERMAL EXPLORATION CO	CA		X		07/14/89	
THERMAL EXPLORATION CO THOMSON MCKINNON ASSET MANAGEMENT L P TIS MORTGAGE ACCEPTANCE CORP	DE		X		07/27/89	AMEND
TIS MORTGAGE ACCEPTANCE CORP	DE		X	X	08/01/89	
TODD SHIPYARDS CORP	NY NV FL DE		X	X	08/14/89	
TRANTEX INC	NV		X		07/14/89	
TRIANGLE GROUP INC	LF.		X	X	07/31/89	
	DE	J	×	X	08/09/89	
UMC ELECTRONICS CO	DE	X X			07/31/89	
UNIROYAL PLASTICS ACQUISITION CORP	KS	^	x	X	07/28/89 08/08/89	
UNITED TELECOMMUNICATIONS INC VARITY CORP	K2		x	^	08/05/89	
WALL STREET FINANCIAL CORP /DE/	0E	x		x	11/16/88	
WASHINGTON MUTUAL SAVINGS BANK SERIES A		^	X		06/30/89	
WHITMAN MEDICAL CORP	NJ		Хĺ	x	07/24/89	
WILLEY JOHN & SONS INC	NY		~	x	06/01/89	AMEND
WILLOW INVESTMENTS INC	NV	х		~	06/19/89	AMEND
HER FOODS INC	VA.	^	X		07/25/89	W.1F116
WOMENS HEALTH CENTERS OF AMERICA INC	CA		x		07/31/89	
MORKSHOP LTD	NV	хх	^	X	07/31/89	
YEA GROUP INC	D€			x	06/30/89	AMEND
I DO DINUTE AIM					<del></del>	~··•