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U.S. SECURITIES ANE

sec news digest

Issue 89-194

October 11, 1989

## ADMINISTRATIVE PROCEEDINGS

PROCEEDINGS INSTITUTED AGAINST METROPOLITAN STOCK TRANSFER COMPANY AND MAUREEN A. ABATO

The Commission ordered public administrative proceedings under Section 17A(c) of the Securities Exchange Act of 1934 against Metropolitan Stock Transfer Company and Maureen A. Abato, president and sole owner and employee of Metropolitan.

The Order Instituting Proceedings alleges that from about May 1987 to about December 31, 1988, Metropolitan, aided and abetted by Abato, wilfully violated Sections 17(a), 17(f), 17A(c), and 17A(d) of the Exchange Act and rules thereunder, in that Metropolitan failed to (1) adhere to the turnaround requirements; (2) respond timely to written inquiries and requests; (3) comply with various recordkeeping and record retention requirements; (4) maintain current and accurate master securityholder files and con-trol books; (5) file an annual study and evaluation of internal accounting control; (6) register in the Lost and Stolen Securities Program; (7) fingerprint various employees; (8) timely file an amended Registration Form TA-1; and (9) timely file its annual report on Form TA-2.

A hearing will be scheduled to determine whether the allegations against Metropolitan and Abato are true, and if so, to decide what, if any, remedial action is appropriate. (Rel. 34-27318)

#### PAUL SCHOENGOLD BARRED

The Commission entered an Order Instituting Public Proceedings, Making Findings, and Imposing Remedial Sanctions against Paul Schoengold, formerly a registered representative with two registered broker-dealers.

The Order contains findings that, on July 20, 1987, Schoengold pleaded guilty to conspiracy to commit securities fraud [U.S. v. Marshall A. Zolp, et al., No. 86-CR-0299, DNJ, July 20, 1987] and was sentenced to three years imprisonment (all but six months of the sentence was suspended by the Court), fined \$2,000, ordered to make restitution of \$10,000, and placed on probation for five years from the date of his release from incarceration.

Schoengold consented to the Order which bars him from association with any broker, dealer, investment adviser, investment company, or municipal securities dealer with the proviso that he may apply to become associated with any such entity after three years from the date of the Order or upon completion of his probation, whichever occurs later. (Rel. 34-27331)

## TRADING SUSPENSIONS

TRADING SUSPENDED IN THE SECURITIES OF U.S. ASSURANCE CORPORATION

The Commission announced the temporary suspension of over-the-counter trading in the securities of U.S. Assurance Corporation for the ten-day period beginning at 9:30 a.m. (EDT) on October 11 and terminating at 11:59 p.m. (EDT) on October 20.

The Commission temporarily suspended trading in the company's securities in view of questions raised regarding the adequacy and accuracy of publicly disseminated information concerning, among other things, compliance with the registration provisions of the Securities Act of 1933 and the financial condition of the company. Specifically, among other things, substantial questions have been raised about whether the issuer has distributed and is distributing securities in violation of the Securities Act, the value

of a significant amount of securities it holds as assets, the existence of certain assets including but not limited to debentures, certificates of deposit, and securities it holds as assets, and the completion of a merger with another company. The Commission is of the opinion that the public interest and the protection of investors require a suspension of trading in the company's securities.

If any broker-dealer or other person has information which may relate to this matter, they should contact the Fort Worth Regional Office at (817) 334-3821. (Rel. 34-27354)

### **CIVIL PROCEEDINGS**

FINAL JUDGMENTS OF PERMANENT INJUNCTION ISSUED AGAINST JERRY LAMPLEY, OTHERS

On October 2, the U.S. District Court for the Southern District of Texas issued Final Judgments of Permanent Injunction against Jerry Lampley, Tom Ellzey, Steven Abdo, Ronald Burks, John McCurdy, Sr., Dennis Piller, Donald Rose, William Sanford, Jr., Elmer Mueller, Raymond Proia, Petro-Serve, Ltd., Lampley Oil Co., Inc., and Magnolia Development Corp. Each were enjoined from violating Sections 10(b) and 15(a) of the Securities Exchange Act of 1934, Rule 10b-5, and Sections 5 and 17(a) of the Securities Act of 1933. In addition, Lampley, Ellzey, Abdo, Mueller, Proia, Petro-Serve, Lampley Oil, and Magnolia were ordered to pay disgorgement of various amounts.

The Commission alleged, in its Complaint filed on July 23, 1987, that defendants made material misrepresentations and omissions in connection with the sale of interests in oil and gas ventures, sold the securities in violation of the registration requirements of the Securities Act, and acted as brokers without being registered under the Exchange Act. (SEC v. Petro-Serve, Ltd., et al., Civil Action No. H-87-2350, SDTX, Houston Division). (LR-12269)

#### **CRIMINAL PROCEEDINGS**

#### LEO P. MARIANI INDICTED

The Boston Regional Office and the U.S. Attorney for the District of Massachusetts announced that on September 28 the grand jury returned a two-count felony indictment charging Leo P. Mariani with conspiracy and obstruction of justice in connection with a 1987 Commission investigation into trading in the Coastal Savings Bank securities prior to the announcement of merger discussions between Coastal and Suffield Bank.

The indictment charges that Mariani, a Suffield, Connecticut physicist, met with Robert C. DiGennaro, former chairman and chief executive officer of Suffield Bank, discussed the Commission's investigation, agreed to provide false testimony before the Commission, and gave false, misleading, and evasive testimony under oath before the Commission. (U.S. v. Leo P. Mariani, USDC MA, Cr. No. 89-252-Mc). (LR-12270)

#### HOLDING COMPANY ACT RELEASES

SAVANNAH ELECTRIC AND POWER COMPANY

A supplemental order has been issued authorizing Savannah Electric and Power Company, subsidiary of The Southern Company, to issue and sell at any time through December 31, 1990, up to \$30 million aggregate principal amount of its first mortgage bonds, in one or more series. (Rel. 35-24964 - Oct. 5)

COLUMBIA FUELS, INC.

A notice has been issued giving interested persons until October 30 to request a hearing on a proposal by Columbia Fuels, Inc., and its parent company, Alabama Power Company, to finance the purchase of Alabama's nuclear fuel in the approximate amount of up to \$150 million. (Rel. 35-24965 - Oct. 5)

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## SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the American Stock Exchange (SR-Amex-89-20) to extend and expand an index hedge exemption pilot program. (Rel. 34-27326)

#### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Philadelphia Stock Exchange (SR-Phlx-89-44) to revise the Philadelphia Stock Exchange Automated Communication and Execution System limit order execution criteria. (Rel. 34-27333)

NOTICE OF PROPOSED RULE CHANGES

A proposed rule change has been filed under Rule 19b-4 by: <u>The Government Securities</u> <u>Clearing Corporation</u> (SR-GSCC-89-10) to make various changes to its rules concerning the availability of lists of eligible securities, time frames for settlement of payment obligations, facsimile transmission of notices, and deletion of rules that are redundant. (Rel. 34-27334); and <u>The National Association of Securities Dealers</u> (SR-NASD-89-44) that would extend for one year its quotation linkage with the International Stock Exchange of the United Kingdom and the Republic of Ireland, Ltd. (Rel. 34-27335)

Publication of the proposals are expected to be made in the <u>Federal Register</u> during the week of October 2.

### **TRUST INDENTURE ACT RELEASES**

AMERICAN AIRLINES, INC.

Two orders have been issued under the Trust Indenture Act of 1939 on applications by American Airlines, Inc. that the trusteeship of Connecticut National Bank under two or more indentures to be qualified under the Act, and under one or more of such qualified indentures and under certain other indentures, previously qualified or not subject to qualification under the Act, is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disgualify the Bank from acting as trustee under any of such indentures. (Rel. TI-2229)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 MNC FINANCIAL INC /MD/, 10 LIGHT ST, PO BOX 987, BALTIMORE, MD 21202 (301) 244-6230 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 33-31269 - OCT. 03) (BR. 2)
- S-18 INTERNATIONAL SUPERCONDUCTOR CORP, 25 E SALEM ST, HACKENSACK, NJ 07601 (212) 796-9416 - 678,500 (\$3,392,500) COMMON STOCK. 2,212,500 (\$4,425,000) COMMON STOCK. 59,000 (\$59) WARRANTS, OPTIONS OR RIGHTS. 59,000 (\$354,000) COMMON STOCK. 30,000 (\$30,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-31304-NY -SEP. 28) (BR. 3)
- N-1A FRANKLIN BALANCE SHEET INVESTMENT FUND, 777 MARINERS ISLAND BLVD, SAN MATEO, CA 94404 (415) 570-3000 (FILE 33-31326 - DCT. 02) (BR. 18 - NEW ISSUE)
- S-8 LABARGE INC, 707 N SECOND ST, ST LOUIS, MO 63102 (314) 231-5960 (FILE 33-31330 -OCT. 02) (BR. 7)
- N-1A PARAGON PORTFOLIO, 451 FLORIDA ST, BATON ROUGE, LA 70821 (312) 993-4400 -INDEFINITE SHARES. (FILE 33-31334 - OCT. 03) (BR. 17 - NEW ISSUE)

- N-1A INDEPENDENCE GROUP OF FUNDS INC, CLAYTON BUILDING, 3515 SILVERSIDE ROAD-STE 200, WILMINGTON, DE 19085 (302) 479-1000 (FILE 33-31350 - SEP. 29) (BR. 16 - NEW ISSUE)
- S-1 MED MOBILE INC, 481 EDWARD H ROSS DR, ELMWOOD PARK, NJ 07407 (201) 791-2600 6,620 (\$14,895) COMMON STOCK. 910,000 (\$5,005,000) COMMON STOCK. 910,000 (\$3,185,000) COMMON STOCK. 910,000 (\$3,185,000) COMMON STOCK. (FILE 33-31360 OCT. 03) (BR. 6)
- S-3 SOUTHWESTERN ELECTRIC POWER CO, 428 TRAVIS ST, SHREVEPORT, LA 71156 (318) 222-2141 - 60,000,000 (\$61,050,000) MORTGAGE BONDS. (FILE 33-31363 - OCT. 04) (BR. 13)
- S-6 CORPORATE INCOME FUND TWO HUNDRED NINETY SEVENTH MON PAY SER INDEFINITE SHARES. (FILE 33-31364 - OCT. 04) (BR. 17 - NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MULTISTATE SERIES 6K INDEFINITE SHARES. (FILE 33-31365 - OCT. 04) (BR. 22 - NEW ISSUE)
- S-4 PRIMERICA CORP /NEW/, 65 E 55TH ST, NEW YORK, NY 10022 (212) 891-8900 21,064,539 (\*455,520,656) COMMON STOCK. (FILE 33-31414 OCT. 03) (BR. 12)
- S-3 BOATMENS BANCSHARES INC /MO, 800 MARKET ST, 1 BOATMENS PLZ, ST LOUIS, MO 63101 (314) 554-7720 - 200,000,000 (\$200,000,000) STRAIGHT BONDS. (FILE 33-31415 - OCT. 04) (BR. 2)
- S-8 ELDORADO BANCORP, 17752 E SEVENTEENTH ST, TUSTIN, CA 92680 (714) 830-8800 120,000 (\$2,040,000) COMMON STOCK. (FILE 33-31416 - OCT. 04) (BR. 2)
- S-1 GROWTH FINANCIAL CORP, P 0 BOX 401, BASKINGS RIDGE, NJ 07920 (201) 766-6900 -360,000 (\$3,600,000) COMMON STOCK. (FILE 33-31417 - OCT. 04) (BR. 2)
- S-1 FRANKLIN CONSOLIDATED MINING CO INC, P O BOX 508, IDAHO SPRINGS, CO 80542 (303) 781-7241 - 800,000 (\$800,000) STRAIGHT BONDS. 80,000 (\$88,000) STRAIGHT BONDS. (FILE 33-31418 - OCT. 04) (BR. 1 - NEW ISSUE)
- S-3 MCDONNELL DOUGLAS FINANCE CORP /DE, 340 GOLDEN SHORE, LONG BEACH, CA 90802 (213) 491-3299 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 33-31419 -OCT. 04) (BR. 12)
- S-8 DIGITAL COMMUNICATIONS ASSOCIATES INC, 1000 ALDERMAN DR, ALPHARETTA, GA 30201 (404) 442-4000 - 150,000 (\$3,065,625) COMMON STOCK. (FILE 33-31421 - OCT. 04) (BR. 10)
- S-8 DIGITAL COMMUNICATIONS ASSOCIATES INC, 1000 ALDERMAN DR, ALPHARETTA, GA 30201 (404) 442-4000 - 100,000 (\$2,043,750) COMMON STOCK. (FILE 33-31422 - OCT. 04) (BR. 10)
- S-8 DIGITAL COMMUNICATIONS ASSOCIATES INC, 1000 ALDERMAN DR, ALPHARETTA, GA 30201 (404) 442-4000 - 1,000,000 (\$20,437,500) COMMON STOCK. (FILE 33-31423 - OCT. 04) (BR. 10)

#### **REGISTRATIONS EFFECTIVE**

<u>Apr. 21</u>: Archer-Daniels-Midland Company, 33-30403; Hawaiian Tax-Free Trust, 33-30129; Medphone Corporation, 33-30324; Midlantic Corporation, 33-30504; Oakridge Acquisition, Inc., 33-27767-D; Virginia Electric and Power Company, 33-19694. Aug. 22: Apache Corporation, 33-30549; CUC International Inc., 33-30306; The Chesa-peake and Potomac Telephone Company of Virginia, 33-30049; Masco Industries, Inc., 33-30180; National Quick Lube Ltd., 33-28987; Nuveen Tax-Exempt Unit Trust, Series 515, 33-30038; RCM Technologies, Inc., 33-30109; Ryland Mortgage Securities Corporation, 33-30600; Safety-Kleen Corp., 33-30519; WW Grainger Inc., 33-30502. Aug. 23: Bond Street Corp., 33-29086; Borland International Delaware/Inc., 33-30028; Costar Corporation, 33-29523; Empire State Municipal Exempt Trust Guaranteed Series 50, 33-30131; IDS Certificate Company, 33-26844; International Lease Finance Corporation, 33-30687; Landmark Bancshares Corporation, 33-30315; Neogen Corporation, 33-29844-C; Orion Pictures Corporation, 33-30670; Royce Value Trust, Inc., 33-29748; The South-eastern Savings Institutions Fund, Inc., 33-29796. Aug. 24: Bally's Park Place Funding, Inc., 33-26464; Cigma Ventures, Corp., 33-28471-A; Municipal Investment Trust Fund, Multistate Series 6E, 33-29412; Municipal Securities Trust, Multi State Series 38, 33-29989; New Image Industries, Inc., 33-30050-LA; Provident Bancorp, Inc., 33-30371; Sears, Roebuck & Company, 33-30630; Specialty Retail Services, Inc., 33-29702-NY. Aug. 25: Acclaim Entertainment, Inc., 33-28274; Arizona Instrument Corporation, 33-29603; Ashland Oil, Inc., 33-30592; Commercial Bancorp of Gwinnett, Inc., 33-29775; Docu-Prep, Inc., 33-28472-A; FPL Group, Inc., 33-30587; Kemper Tax-Exempt Insured Income Trust, Series A-62 and Multi-State Series 19 and Kemper Tax-Exempt Income Trust, Series 87, 33-30001; Stone Container Corporation, 33-29647; Summit Technology,

Inc., 33-30407; Telecommunications Growth and Income Fund L.P., 33-26427.

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# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

FUENT SUBSYOON / OUSTBY FILTNE

NAME AND CLASS DF STOCK-DWNER		FORM	EVENT DATE	SHRS(000)× XDWNED	CUSIP/ PRIOR%	FILING STATUS
AMVESTORS FINL CORP C HEITZ MARK V	COM	13D	9/29/89	795 5.4	03234310 3.8	UPDATE
AMVESTORS FINL CORP C LASTER RALPH W JR	COM	13D	9729789	866 5.9	03234310 5.4	UPDATE
AMVESTORS FINL CORP C MURRELL LESLIE A	COM	13D	9/29/89	803 5.4	03234310 5.0	UPDATE
AMVESTORS FINL CORP C MURRELL T M	COM	13D	9/29/89	1,474 10.0	03234310 9.6	UPDATE
BELVEDERE CORP C SPROUT CAPITAL IV ET AL	COM	13D	9729789	2,565 43.2	08117810 0.0	NEW
BIO TECHNOLOGY GEN CORP C NEUROGENETIC CORP	COM	1 3 D	9/21/89	354 6.0	09057810 6.8	UPDATE
CALNETICS CORP C GERLACH CLINTON G ET AL	COM	13D	9/18/89	892 33.0	13133010 30.4	UPDATE
CASTLE ENERGY CORP C METALLGESELLSCHAFT CORP	COM PA	R \$0.50 13D	9/30/89	1,700 40.2		UPDATE
CHARTER ONE FINL INC C FIRST SAVE ASSOCIATES ET AL	COM L	13D	10/ 3/89	279 4.8	16090310 5.1	UPDATE
CHRIS CRAFT INDS INC C GAMCO INVESTORS INC ET AL	COM	13D	10/ 3/89	4,498 18.9	17052010 17.9	UPDATE
CITIZENS & SOUTHERN CORP GA C SOVRAN FINANCIAL CORP ET AL	COM L	13D	9/26/89	12,330 19.9	17312410 0.0	NEW
CONAGRA INC C FMR CORP	COM	13D	9/21/89	2,176 2.7	20588710 5.2	UPDATE
D W G CORP ( METRO MOBILE CTS	COM	13D	9/28/89	988 3.8	23335110 3.8	UPDATE
DEKALB GENETICS CORP C HOLT VIRGINIA R	C <b>O</b> M CL	. <del>A</del> 13D	9/27/89	128 9.4	24487810 8.4	UPDATE
DEKALB GENETICS CORP C ROBERTS DOUGLAS C	COM CL	. A 13D	9727789	135 9.8	24487810 8.3	UPDATE
DEKALB GENETICS CORP ( ROBERTS MARY R	COM CL	. A 13D	9727789	41 3.0	24487810 5.1	UPDATE
DISEASE DETECTION INTL INC ( ENVIRONMENTAL DIAGNOSTICS )	COM INC	13D	9/28/89	30,231 16.1	25499210 17.1	

EAC INDS INC FRITZSCHE PETER B ET AL	CDM	13D	. <b>*</b> 9/28/89	208 10.7	8.3	UPDATE
ELECTROSOUND GROUP INC MITSUBISHI CORP	COM	13D	10/ 2/89	1,000 37.8	28614510	UPDATE
ELECTROSOUND GROUP INC PEIREZ DAVID H ET AL	COM	13D	10/ 2/89	240 9.1		UPDATE
ELECTROSOUND GROUP INC PROJAIN RICHARD	COM	13D	10/ 2/89	137 5.2	28614510 5.2	UPDATE
FEDERAL MOGUL CORP GAMCD INVESTORS INC ET AL	COM	13D	10/ 4/89	3,115 13.5	31354910 12.6	UPDATE
FIRST EXECUTIVE CORP DEL CAMERDN DORT A III ET AL	COM	13D	10/ 6/89	6,103 7.2	32013510 0.0	
FIRST INTST IDWA INC GRAHAM GROUP INC	COM	13D	9/14/89	1,782 14.9	32099810 13.1	RVSION
GRIFFIN TECHNOLDGY INC HERMAN PHILIP ET AL	COM	NEW 13D	9/28/89	144 6.5	39826810 5.1	UPDATE
HUDSON GEN CORP GAMCO INVESTORS INC ET AL	COM	13D	10/ 4/89	653 53.0		UPDATE
ICC TECHNOLOGIES INC WARBURG PINCUS & CO ET AL	COM	13D	10/ 3/89	12,329 69.1	44923810 55.2	UPDATE
IDEX CORP GAMCO INVESTORS INC ET AL	COM	13D	10/ 4/89	1,542 14.3	. 45199310 13.2	UPDATE
INTERIM SYS CORP SULLIVAN FRED R	COM	13D	9/22/89	3,838 14.4	46299510 13.2	
LA QUINTA MTR INNS INC INDUSTRIAL EQUITY	COM	13D	10/ 5/89	894 6.9		UPDATE
LARSON DAVIS INC QUESTAR DEV CORP	COM	13D	10/ 4/89	755 15.1	51731010 11.2	UPDATE
LIDNEL CORP TOUSSIE ROBERT I ET AL	COM	14D-1	10/ 6/89	1,333 9.9	53625710 8.8	UPDATE
LITTLEFIELD ADAMS & CO NAFF LLOYD G	COM	13D	9/26/89	62 5.8	53758110 0.0	
MAX & ERMAS RESTAURANTS INC LIPTON ROGER	COM	1 3 D	10/10/89		57790310 8.9	UPDATE
MEDICAL TECHNOLOGY SYS INC STEINBERG LAWRENCE E	COM	13D	9/21/89		58499530 0.0	NEW
MICRODYNE CORP CUNDILL PETER & ASSOC LTD	COM	13D	8/24/89	361 8.8		UPDATE
MICROTEL FRANCHISE & DEV COR PETRUS INC ET AL	COM	13D	10/ 4/89		59514310 0.0	
NESTOR INC RELIANCE FINANCIAL SVCS C	C <b>om</b> Orp	13D	10/ 6/89	1,231 18.4	64107410 18.0	UPDATE
NU MED INC ALLEN HLDG ET AL	COM	NEW 13D	10/ 5/89	846 9.7	67090320 7.4	UPDATE
DWENS CORNING FIBERGLAS CORP FIDELITY INTL LTD	CDM		9/21/89	1,000 2.5	69073420 5.2	UPDATE
DWENS CORNING FIBERGLAS CORP FMR CORP	COM	NEW 13D	9/20/89	1,000 2.5	69073420 5.2	UPDATE

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PAINE WEBBER GROUP INC FIDELITY INTL LTD	COM	13D	8/11/89	1,793 6.8	69562910 6.2	UPDATE
PAINE WEBBER GROUP INC FMR CORP	COM	13D	8/16/89	1,793 6.8	69562910 6.2	UPDATE
PETROLEUM HELICOPTERS INC SUGGS CAPROLL W	CDM VTG	13D	9/ 8/89	2,050 52.4	71660410 0.0	NEW
PINNACLE WEST CAP CORP FIDELITY INTL LTD	COM	13D	9/21/89	46 0.1	72348410 6.7	UPDATE
PINNACLE WEST CAP CORP FMR CORP	COM	13D	9/19/89	463 0.5	72348410 6.7	UPDATE
POLARDID CORP LFCP CORP (LAZARD FRERES)E1	COM F AL	13D	10/ 2/89	7,174 13.4	73109510 13.2	UPDATE
PUBLIC SVC CO NEW HAMPSHIRE TIGER ET AL	CUM PFI		9729789	528 5.1	74448260 0.0	
QINTEX ENTMT INC MCA TV LTD	COM	13D	10/ 1/89	1,250 5.9	74727510 7.0	UPDATE
QUAKER FABRIC CORP FIDELITY INTL LTD ET AL	CDM	13D	9/29/89		74739810 28.2	UPDATE
QUAKER FABRIC CORP INTEGRITY FUND INC ET AL	COM	13D	9/29/89		74739810 2.9	UPDATE
REPUBLIC SVGS FINL CORP HANSEN ELMER F ET AL	COM	13D	9/29/89		76075810 52.2	UPDATE
ROLLFORM OF JAMESTOWN INC MANGURIAN HARRY T JR	COM	13D	10/ 2/89		77563510 N∕A	UPDATE
SEQUA CORPORATION GAMCO INVESTORS INC ET AL	CL B	13D	10/ 4/89	665 17.4	81732020 16.8	UPDATE
SERVICE RESOURCES CORP INTERNATIONAL FINL GRP/NEV	Com /Ada	13D	9/27/89	1,236 54.1	81760610 52.4	UPDATE
SMARTCARD INTL INC POLY VENTURES LTD	COM	13D	9/19/89	10,067 55.0	83169210 54.3	UPDATE
STATE BK LONG ISLAND BIASE NICOLA	COM	13D	8/24/89	12 1.1	85632010 0.4	UPDATE
TERADYNE INC FIDELITY INTL LTD	COM	13D	9/12/89	984 3.4		UPDATE
TERADYNE INC FMR CORP	COM	13D	9/15/89	984 3.4		UPDATE
TONKA CORP BRAMAH LTD ET AL	CDM	13D	10/ 6/89	1,554 19.5	89027810 29.3	UPDATE
VICON INDS INC CHUGAI BOYEKI (AMERICA) ET	COM F AL	13D	9/29/89	409 15.5		UPDATE
VISTA CHEM CD HARRIS ASSOCIATES INC	COM	13D	9/29/89	915 9.2	92834710 7 <b>.</b> 5	UPDATE

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### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant,

- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	8K ITEM I	NO -			
NAME OF ISSUER				78	DATE	COMMENT
ADVANCED ENERGY RESOURCES INC ALHAMBRA MINES INC AMERICAN EXPLORATION CO ANALYTICAL SURVEYS INC ATLANTIC INCOME PROPERTIES LINITED PARTN AVALON CORP BORLAND INTERNATIONAL INC C A BLOCKERS INC CICHA CORP	NF		¥ .	X	10/02/89	
ALHAMBRA MINES INC	OF		Ŷ	Â.	09/28/89	
AMERICAN EXPLORATION CO	DE	x	^	x	09/22/89	
ANALYTICAL SURVEYS INC	C0	<b>~</b> ,	хx	^	10/05/89	
ATLANTIC INCOME PROPERTIES LINITED PARTN		•	~ ~	X	09/21/89	
AVALON CORP	MO			Ω.	08/16/89	AMEND
BORLAND INTERNATIONAL INC	<b>C</b> A	1	¥	Ŷ	09/27/89	
C A BLOCKERS INC	0E	NO TTENS	~	~	09/15/89	AMEND
CIGNA CORP	DE				09/28/89	
CIGNA CORF CINE SOURCE INC /CO COMMERCIAL PROGRAMMING UNLINITED INC COMMUNITY BANCORP INC/NY CONTROL RESOURCE INDUSTRIES INC CORVALLIS INC CRAZY EDDIE INC	cn		K K		10/94/89	
CONSECTAL BROEPANNING UNITATED INC	ŇV		Â.		10/05/89	
COMMENTE RANCORD INC/NY	NY	x 1		X	10/03/89	
CONTORI DESCHIPCE INDUSTRIES INC	0F	x j		x	09/26/89	
CONTROL RESCORCE INDUSTRIES INC	NV	x x x <sup>^</sup>		^	09/20/89	
CRATY EDDIE INC	DE	~ ~ ~ ,	x	x	10/03/89	
CRAZI EDDIE INC Eadth Criences inc	50	4	X	^	09/27/89	
EARTFUELTED ETNANFTAL FORD	05		X.	¥	10/04/89	
ENDELTTY CONTHERN CORD	GA	x		^	09/19/89	
ETDET NATIONAL CORD /OH/	04		x		09/28/89	
CORVALLIS INC CRAZY EDDIE INC EARTH SCIENCES INC EASTCHESTER FINANCIAL CORP FIDELITY SOUTHERN CORP FIRST NATIONAL CORP /OH/ FRANCHISEIT CORP GF CORP	00	xx	~	X	09/26/89	
GF CORP	OH		X		10/03/89	
GREATER DHID CORP	OH	x	^	Ŷ	09/29/89	
HEALTH & REHABILITATION PROPERTIES TRUST	0H		X	â	09/27/89	
HEADTH & REPADILITATION PROPERTIES TRUST	DE	-	ĸ	^	10/13/89	
HIGH RESOLUTION SCIENCES INC	DE	x		x	12/20/88	
INPERIAL CORP OF AMERICA /DE/	DE. DE	x		^	10/02/89	
INFERIAL SURF OF AMERICA /DE/	UE CA	x		x	09/30/89	
INTEDNATIONAL DOUED MACHINES CODD		Ŷ		x	10/04/89	
TON TERUNOR OCY THE MAGNINES CORP			ĸx		09/28/89	
TFA TECHNOLUGT INC	DE		R ^	Ŷ	10/04/89	
INPERIAL CORP OF AMERICA /DE/ INCONNET INC INTERNATIONAL POWER MACHINES CORP IPM TECHNOLOGY INC K H CORP /DE/ KUSTOM ELECTRONICS INC LAWNAMERICA INC MECHANICS & FARMERS SAVINGS BANK FSB NBT BANCORP INC MECHANICS & CORP		x	•	Ŷ	08/31/89	
KUSION ELECIKONICS INC	05			^	08/31/89	AHEND
LAWMANERIGA ING Mechanice C Cidned C Cavince Bany Eed	DE	NO ITEMS	ĸ	X	09/19/89	ANCINU
NECHANICS & FARMERS SAVINGS DAME FSD	DE	x	•	Ŷ	09/30/89	
NEACEN CORD	UE MT	· .	C	^	09/20/89	
NEUGEN CURP		. ,	•			AMEND
NHC DELLADIA TATION INC		X No tteme			08/09/89	AMEND
NHS KERADILIIAISUN INC	FL DE	NOITEMS		~	06/29/89	AMEND
NUKINEKN PALIFIC CUKP	DE	_ X		X	09/21/89	
NUMBER I SPURIS FAN INC	FL CA	X			06/01/89	AMEND
PACIFIC INLAND BANGURP	CA	,	(		09/20/89	
PANTERAS CORP	10	X )	(	X	09/18/89	
LAWNAMERICA INC NECHANICS & FARMERS SAVINGS BANK FSB NBT BANCORP INC NEOGEN CORP NICHOLS S E INC NMS REHABILITATION INC NORTHERN PACIFIC CORP NUMBER 1 SPORTS FAN INC PACIFIC INLAND BANCORP PANTERAS CORP PERLESS TUBE CO PEGASUS AIRCRAFT PARTNERS II L P PRIMERICA HOLDINGS INC RMED INTERNATIONAL INC RYLAND MORTGAGE SECURITIES CORP	MJ	, ,	(		10/05/89	
PEGASUS AIRCRAFT PARTNERS II L P	DE	X		~	10/05/89	
PRINERICA HOLDINGS INC	DE	)	K	X	09/27/89	
KAED INTERNATIONAL INC	CO	,	C		09/18/89	
				X	09/28/89	
SHITH LABORATORIES INC	IL	)	C	X	09/25/89	
TIME WARNER INC	DE			X	07/24/89	AMEND