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# SEC NEWS OF SECURITY AND U.S. SECURITY AND OCTOBER 16, 1989

# **NOTICE OF COMMISSION MEETINGS**

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday morning. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, NW, Washington, DC. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

CLOSED MEETING - TUESDAY, OCTOBER 24, 1989 - 2:30 p.m.

The subject matter of the October 24 closed meeting will be: Settlement of administrative proceedings of an enforcement nature; Institution of injunctive actions; Dismissal of injunctive action; Regulatory matter regarding financial institutions; Consideration of <a href="mailto:amicus">amicus</a> participation.

OPEN MEETING - WEDNESDAY, OCTOBER 25, 1989 - 2:00 p.m.

The subject matter of the October 25 open meeting will be:

- (1) The Commission will consider (1) approval of a proposed rule change by the New York Stock Exchange to trade stock baskets on the NYSE Floor; (2) approval of a proposed rule change by the Chicago Board Options Exchange to trade stock baskets on the CBOE Floor; (3) approval of a proposed rule change and transaction reporting plan by the Midwest Stock Exchange to establish an after-hours trading session for the execution of transactions in portfolios of securities through its new automated Portfolio Trading System; (4) approval of two separate orders granting the NYSE unlisted trading privileges in stocks contained in the Standard and Poor's (StP) 500 Index and granting the CBOE unlisted trading privileges in stocks contained in the StP 100 and 500 Indexes that are not currently registered for trading on the NYSE and CBOE, respectively, for the limited purpose of trading market baskets; and (5) issuance of a Commission release proposing for comment adoption of new Rule 12a-7 under the Securities Exchange Act of 1934, which will exempt from Section 12(a) of the Act, solely for the purpose of market basket trading, securities included in a standardized market basket product approved by the Commission under Section 19(b) of the Act. FOR FURTHER INFORMATION, PLEASE CONTACT George Scargle at (202) 272-2371.
- (2) Consideration of an application filed by T. Rowe Price Associates, Inc. on behalf of T. Rowe Price Spectrum Fund, Inc. for a conditional Commission order under Sections 6(c), 17(b), and 17(d) and Rule 17d-1 of the Investment Company Act of 1940 to permit the Spectrum Fund to acquire shares of funds within the T. Rowe Price group of funds in excess of the limitations imposed by Section 12(d)(1) and to permit certain affiliated transactions otherwise prohibited by Sections 17(a) and 17(d). FOR FURTHER INFORMATION, PLEASE CONTACT Bibb L. Strench at (202) 272-2856.

AT TIMES CHANGES IN COMMISSION PRIORITIES REQUIRE ALTERATIONS IN THE SCHEDULING OF MEETING ITEMS. FOR FURTHER INFORMATION AND TO ASCERTAIN WHAT, IF ANY, MATTERS HAVE BEEN ADDED, DELETED OR POSTPONED, PLEASE CONTACT: Barbara Green at (202) 272-2000

# CORPORATE REORGANIZATION RELEASES

SAHLEN & ASSOCIATES, INC.

On October 2, the Commission, in its statutory advisory role under the Bankruptcy Code, filed a brief in an appeal to the district court addressing the issue of whether indemnification of the legal defense costs of the debtors' current officers and directors to defend lawsuits arising out of their pre-petition conduct may be authorized as an administrative expense of the estate under Section 503(b)(1)(A) of the Code. The brief takes the position that these costs may be granted such administrative priority if the court finds, on the basis of the record, that the continued service of the officers and directors is beneficial to the estate and that the benefits to be derived from their continued service justify the amount of advances for legal fees. In this case, since no adequate showing was made, the Commission asserted that the matter should be remanded to the bankruptcy court for further proceedings. (In re Sahlen & Associates, Inc., No. 89 Civ 6120, DNE, SDNY). (Rel. CR-385)

FOR FURTHER INFORMATION CONTACT: Martha H. McNeely at (202) 272-3088

# **INVESTMENT COMPANY ACT RELEASES**

FIRST CHARTER LIFE INSURANCE COMPANY

A notice has been issued giving interested persons until November 6 to request a hearing on an application filed by First Charter Life Insurance Company, First Charter Variable Annuity Account, and CNL, Inc., for an order exempting them from the provisions of Sections 26(a)(2) and 27(c)(2) of the Investment Company Act to permit the deduction of mortality and expense risk charges from the assets of the Account. (Rel. IC-17163 - Oct. 12)

# **HOLDING COMPANY ACT RELEASES**

CENTRAL AND SOUTH WEST CORPORATION

An order has been issued authorizing a proposal by Central and South West Corporation (CSW), a registered holding company, and its subsidiaries, Central Power and Light Company, Public Service Company of Oklahoma, Southwestern Electric Power Company, West Texas Utilities Company, Transok, Inc., and Central and South West Services, Inc., to amend their short-term borrowing program, through March 31, 1991, to permit Transok to issue short-term notes to the CSW system money pool and banks, in outstanding amounts of up to \$120 million. (Rel. 35-24966 - Oct. 10)

# LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWAL GRANTED

An order has been issued granting the application of COMPUTER CONSOLES, INC. to withdraw its 7-3/4% convertible subordinated debentuers, due 1998, from listing and registration on the American Stock Exchange. (Rel. 34-27350)

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the common stock, \$.05 par value, of TECHNODYNE, INC. (Rel. 34-27356)

UNLISTED TRADING SOUGHT

Notices have been issued giving interested persons until October 31 to comment on the application of the following stock exchanges for unlisted trading privileges in the specified number of issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system: Cincinnati Stock Exchange - 22 issues. (Rel. 34-27351); and the Midwest Stock Exchange - five issues. (Rel. 34-27353)

### UNLISTED TRADING GRANTED

An order has been issued granting the application of the <u>Philadelphia Stock Exchange</u> for unlisted trading privileges in the common stock of 37 issues which are listed and registered on one or more other national securities exchanges and are reported in the consolidated transaction reporting system. (Rel. 34-27352)

# **SELF-REGULATORY ORGANIZATIONS**

### NOTICE OF PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change under Rule 19b-4 (SR-MSRB-89-6) that amends the Board's rule on professional qualifications by eliminating the Board's Financial and Operations Principal (FINOP) Examination and, thus, requiring FINOP candidates to qualify through the National Association of Securities Dealers' FINOP Examination. Publication of the proposal is expected to be made in the <a href="Federal Register">FEDERAL REGISTER</a> during the week of October 16. (Rel. 34-27349)

### TEMPORARY ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted temporary accelerated approval to a proposed rule change filed by the New York Stock Exchange (SR-NYSE-89-29) which provides an exemption from the Compliance Official Qualification (Series 14) Examination for Specialists and "upstairs trading" organizations. (Rel. 34-27355)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change filed by the New York Stock Exchange (SR-NYSE-89-28) which provides an exemption from the Compliance Official Qualification (Series 14) Examination for those Exchange members and member organizations who generate public business income not in excess of \$500,000 in a calendar year, and who introduce all accounts to another broker/dealer. (Rel. 34-27357)

### AMENDMENT TO PROPOSED RULE CHANGE

The Mid est Stock Exchange filed an amendment to a proposed rule change (SR-MSE-87-13) to modify its Co-Specialist Evaluation Questionnaire. (Rel. 34-27358)

### AMENDMENTS TO INTERMARKET TRADING SYSTEM PLAN

The participants of the Intermarket Trading System have filed amendments to the Plan for creating and operating an Intermarket Communication Linkage to (a) recognize the use of the "Regional Computer Interface" by the Boston Stock Exchange (Third Amendment); (b) allow for Pre-Opening Application to be based on the closing price on the New York Stock Exchange or the American Stock Exchange in certain circumstances (Eighth Amendment); (c) clarify that a specialist/market maker who has sent a Pre-opening response has a responsibility to seek a report of execution (Third Amendment); and (d) clarify the procedures for resolving third participating market center tradethroughs (Third Amendment). (Rel. 34-27359)

# TRUST INDENTURE ACT RELEASES

### 3 BEALLS HOLDING CORPORATION

An order has been issued under the Trust Indenture Act of 1939 on an application by 3 Bealls Holding Corporation that the trusteeship of First Interstate Trust Company of New York under two Company indentures, and of Bankers Trust Company under two Company indentures, is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify the Trust Companies from acting as trustee under their respective indentures. (Rel. TI-2230)

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNE	R	FORM	EVENT DATE	SHRS(000)/	CUSIP/ PRIOR%	FILING STATUS
AMERICAN CAMPGROUNDS SOUTHMARK CORP ET AL	COM	14D-1	10/11/89	2,017 33.6		UPDATE
BARRY R G CORP OHIO JACARANDA PARTNERS	COM	13D	9/28/89	241 6.5		NEW .
BELVEDERE CORP TRUSTCORP BK OH ET AL	COM	13D	9/29/89	0 0.0		HEW
CASPEN DIL INC MYERS SAM B	COM PA	R \$0.50 13D	8/15/89		14762110 0.1	UPDATE
CASTLE ENERGY CORP METALLGESELLSCHAFT CORP	COM PA	R \$0.50 13D	10/10/89		14844920 21.3	UPDATE
CENTURION GOLD LTD SEAMAN CARL	COM	13D	9/29/89		15699310 19.0	UPDATE
CITIZENS HLDG CD SCHERER RONALD E ET AL	COM	13D	4/26/89		17499310 0.0	NEW
DYNAMICS CORP AMER GAMCO INVESTORS INC ET AL	COM	130	10/ 9/89	732 18.1		UPDATE
GENCORP INC GAMCO INVESTORS INC ET AL	COM	13D	10/10/89	2,986 9.4		UPDATE
HEALTH CO INTL INC CRAMER ROSENTHAL MCGLYNN	COM ET AL	13D	10/ 4/89	751 9.8	42220010 8.3	UPDATE
HI SHEAR INDS INC GAMCO INVESTORS INC ET AL	COM	13D	10/ 9/89	2,233 38.1	42839910 37.1	UPDATE
INCOME OPPORTUNITY REALTY TR AMERICAN RLTY TR ET AL	SH BEN	INT : 13D	9/19/89	309 8.5	45333710 6.3	UPDATE
LIBERTY MILITARY SALES INC BOOTHE THOMAS C ET AL	COM	13D	10/ 2/89	4,779 55.6	53072110 0.0	NEW
LYPHOMED INC FUJISAWA PHARMACEUTICAL C	COM O LTD	14D-1	10/11/89	18,351 61.1		UPDATE
LYPHOMED INC GAMCO INVESTORS INC ET AL	COM	13D	10/ 2/89		55233310 0.0	HEW
MICRO DISPLAY SYS INC FISH EDWARD H ET AL	COM PAI	8 \$0.08 13D			<b>59483320</b> 5.6	UPDATE
NL INDS INC SIMMONS HARDLD C.ET AL	COM NEI	# 13D	10/10/89		62915640 6.6	UPDATE

PEPUBLIC AUTOMOTIVE PTS INC KORSANT PHILIP B ET AL	: COM 13D	9/28/89	186 6.5	76028010 8.5 UPDATE	
SPARTECH CORP BUECHLER BRADLEY B	CDM NEW 13D	6/15/88	236 7.0	84722020 0.0 <b>NEW</b>	
TIERCO GROUP INC DREXEL BURNHAM LAMBERT G	COM ROUP 13D	9/30/89	371 17.4	88650610 37.7 UPDATE	
WHARE RES LTD GOLDCORE INVMNTS LTD	COM 13D	10/ 5/89	7,488 44.7	96226010 43.5 UPDATE	

# **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Changes in Registrant's Certifying Accountant. Item 4.
- Item 5. Other Materially Important Events.
  Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE	8K ITEM NO.	7 8	DA TE	COMMENT
INTERLAKE CORP JMB MORTGAGE PARTNERS LTD III	DE	x x	x	09/27/89	
JMB NORTGAGE PARTNERS LTD III	IL	¥	X	09/05/89	
JMB MORTGAGE PARTNERS LTD IV JOHNSON PRODUCTS CO INC KANEB PIPE LINE PARTNERS L P KANEB SERVICES INC KCR TECHNOLOGY INC KIRBY EXPLORATION CO INC LIFE CARE COMMUNITIES CORP	ΙL	X	X	09/05/89	
JOHNSON PRODUCTS CO INC	DE	X	X	10/02/89	
KANEB PIPE LINE PARTNERS L P	DE	X	X	09/26/89	
KANEB SERVICES INC	DE	X	X	09/26/89	
KCR TECHNOLOGY INC	DE	X	X		
KIRRY EXPLORATION CO INC	NV		X	04/10/89	AMEND
LIFE CARE COMMUNITIES CORP	PA	x		10/05/89	
LOUISIANA LAND & EXPLORATION CO	MD	x		10/01/89	
LVI GROUP INC	DE	X	X	09/29/89	
MARKET GUIDE INC	NY		X	08/01/89	AMEND
LVI GROUP INC MARKET GUIDE INC MAST KEYSTONE INC MAXUS ENERGY CORP /DE/ MCN CORP MDC HOLD INGS INC	IA	~ x x x		09/14/89	AMEND
MAXUS ENERGY CORP /DE/	DE	X		10/05/89	
MCM CORP	NC	X	X	10/09/89	
MDC HOLDINGS INC MECHANICS & FARMERS SAVINGS BANK FSB SER METHANICS & FARMERS SAVINGS BANK FSB SER MECHANICS & FARMERS SAVINGS BANK	DE	X		10/06/89	
MECHANICS & FARMERS SAVINGS BANK FSB		X	X	09/05/89	
MECHANICS & FARMERS SAVINGS BANK FSB		X	X	09/09/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X	X	09/05/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X	X	09/19/89	
MECHANICS & FARMERS SAVINGS BANK FSB SER		X	X	09/19/89	
MERCARI COMMUNICATIONS GROUP LTD	CO	X		08/01/89	
MGN HA CONNUNTCATIONS CO	DE	X	X	09/15/89	
MID STATE TRUST II	DE	X		10/09/89	
MINIMATIC IMPLANT TECHNOLOGY INC	DE	NO ITEMS		09/27/89	
MIRACLE RECREATION EQUIPMENT CO	IA	X		10/03/89	
MISSION VALLEY RANCORP	CA.	X X	X	09/29/89	
MISSOURI RIVER SERVICES INC	DE	X		10/09/89	
NATIONAL LAMPOON THE	NY	x		09/21/89	
MIRACLE RECREATION EQUIPMENT CO MISSION VALLEY BANCORP MISSOURI RIVER SERVICES INC NATIONAL LAMPOON INC NEW ENGLAND CRITICAL CARE INC NEW ENGLAND ELECTRIC SYSTEM NEW ENGLAND POWER CO NORTHERN PACIFIC CORP	DE	x	X	09/29/89	
NEW FACIAND STECTRIC SYSTEM	MA	¥	X	10/11/89	
NEW ENGLAND PRIMER CO	MA	Ŷ	••	10/11/89	
MOTHER DACTET CODE	DE	NO ITEMS		09/21/89	AMEND

	STATE	SK ITEM I	NO.		
NAME OF ISSUER	CODE	1 2 3 4		DATE	COMMENT
CNBANCORP INC OUTBOARD MARINE CORP OZO DIVERSIFIED AUTOMATION INC /CO/ PACAD INC PACIFIC COAST SAVINGS TRUST 1989-1 PAINE WEBBER QUALIFIED PLAN PROPERTY FUN PAK MAIL CENTERS OF AMERICA INC PARKER & PARSLEY DEVELOPMENT PARTNERS L PARTICIPATING DEVELOPMENT FUND 86 PERPETUAL SAVINGS BANK TRUST 1989-1	05			00/05/00	
CHITROADO MADEME CODO	DE	•	X X X X X	09/25/89 09/26/89	
OF DIVERSIFIED ANTOMATION THE /CO/	rn DE	•	X X	09/27/89	
PACAD INC	n <del>e</del>		X X	09/27/89	
PACIFIC COAST SAVINGS TRUST 1989-1	VA		XX	09/25/89	
PAINE WEBBER QUALIFIED PLAN PROPERTY FUN	DE		X X	09/25/89	
PAK MAIL CENTERS OF AMERICA INC	CO	X	X	09/29/89	
PARKER & PARSLEY DEVELOPMENT PARTNERS L	TX		x	07/24/89	AMEND
PARKER & PARSLEY DEVELOPMENT PARTNERS L	TX	,	X X	10/10/89	
PARTICIPATING DEVELOPMENT FUND 86	CT		XX	09/26/89	
PARTICIPATING DEVELOPMENT FUND 86 PERPETUAL SAVINGS BANK TRUST 1989-1 PHILADELPHIA ELECTRIC CO PHOENIX ADVANCED TECHNOLOGY INC PHOENIX FARMLAND INVESTORS LTD PARTNERSH	CT VA	X :		09/25/89	
PHILADELPHIA ELECTRIC CO	PA		X	10/06/89	
PHOENIX ADVANCED TECHNOLOGY INC	FL			10/06/89	
PHUENIX PARPLANU INVESTURS LTU PARTNERSH	CI		XX	09/26/89	
FROENIA NOC FEREN CID	1 ^	u u	ХX	09/26/89	
PHONEMATE INC /CA/ PHOTOCOMM INC	CA AZ	X	X	09/11/89	AMEND
PIONEER FINANCIAL SERVICES INC /DE	NE NE		v X	10/04/89 09/27/89	AMEND
POLESTAR EXPLORATION INC	EN		^ x	09/30/89	
PRIMERICA CORP /NEW/	DE		x x	09/27/89	
POLESTAR EXPLORATION INC PRIMERICA CORP /NEW/ PRIDRITY GNE INC PRO DEX INC PSN COMMUNICATIONS INC	NV		x x x	10/09/89	
PRO DEX INC	CA	, х		09/18/89	AMEND
PSN COMMUNICATIONS INC	DE		X	09/28/89	
REALTY PARKING PROPERTIES LP	DE	X	X	09/25/89	
REPUBLIC FEDERAL SAVINGS & LOAN ASSOCIAT	CA		x x	09/19/89	
REPUBLIC FEDERAL SAVINGS & LOAN ASSOCIAT	CA		x x	09/25/89	
RETIREMENT LIVING TAX EXEMPT MORTGAGE FU	DE		X .	10/10/89	
RONSON CORP	ŊJ		X-14-X	09/19/89	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST			X X	10/04/89	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY		X X	10/04/89	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY	x	XX	10/04/89	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST RURAL ELECTRIC COOPERATIVE GRANTOR TRUST	NY		X X X X	10/04/89 10/04/89	
RYLAND MORTGAGE SECURITIES CORP	VA		XX	09/25/89	
RYLAND MORTGAGE SECURITIES CORP	VĀ		x x	09/27/89	
SBT BANCORP INC	ĎĒ	x	<b>.</b> .	10/03/89	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE		x x	09/27/89	
SEARS MORTGAGE SEC CORP MORT PASS THR CE	DE		X X	09/27/89	
SECTOR ASSOCIATES LTD	DE		х х	06/16/89	
SECURITY PACIFIC AUTO FINANCE CORP	ÐE		X	09/29/89	
SGT INTERNATIONAL	UT		X X	09/28/89	
SEH MORTGAGE TRUST SERIES 1989-1	2		X X	09/25/89	
SLH MORTGAGE TRUST SERIES 1989-1 SOVRAN FINANCIAL CORP SPECTRUM CONTROL INC SPROUSE RELTZ STORES INC	VA		X X	09/26/89	AMEND
SPECTRUM CONTROL INC	PA		A A	10/10/89	
distract KEITE STOKES 140			X	10/02/89	
STAR BANC CORP /DE/ Sun bay group inc	OH UT		X X X X	10/10/89 09/22/89	
TELCO COMMUNICATIONS INC	CO		^ ^ X	09/28/89	
TEXAS EASTERN CORP	DE	X	x	09/07/89	
THERMAL PROFILES INC	NY	x	~	09/27/89	
TIS MORTGAGE ACCEPTANCE CORP	DE		x	10/01/89	
TJ INTERNATIONAL INC	DE		X X	08/24/89	
TRIANGLE HOME PRODUCTS INC/DE	DE	×		10/05/89	
TYLAN CORP	CA	X	X	10/06/89	
UNION CORP	DE		X	09/29/89	
UNITED SECURITY BANCORPORATION	WA	X		09/22/89	
US BANCORP /OR/	OR		X X	10/11/89	
US GENERAL CORPORATION	NV		X	10/05/89	
USAIR GROUP INC	DE		X	10/10/89	
UTAH MEDICAL PRODUCTS INC	UT	X ,		09/25/89	
V F CORP /PA/	PA		X	10/05/89	
XYTRONYX INC XYVISION INC	DE DE		X X X X	10/03/89 10/02/89	
22ND CENTURY INDUSTRIES INC	FL	,	^	05/04/89	AMEND
CEMA PERIOR FRANCES FIRST			••		· · •

		OV TERM NO			
NAME OF ISSUER	CODE	1 2 3 4 5 6	7 8	DATE	COMMENT
ALGETTE COSMETICS INC AMERICAN GUARANTY FINANCIAL CORP BARRY R G CORP /OH/ BASEBALL CARD SOCIETY INC CAMELOT CORP CAPITAL REALTY INVESTORS TAX EXEMPT FUND	₽▲	×	x	09/27/89	
AMERICAN GUARANTY FINANCIAL CORP	OR	х	x	09/27/89	
BARRY R G CORP JOH/	ОН	X	X	09/27/89	
BASEBALL CARD SOCIETY INC	DE	X		10/04/89	
CAMELOT CORP	CO	X	X	08/08/89	
CAPITAL REALTY INVESTORS TAX EXEMPT FUND	DE	X X X X		09/21/89	
CHARLER FUNER SISTEMS INC	DE		X	10/06/89	
CIMM INC COMERICA INC /NEW/ CONTINENTAL MEDICAL SYSTEMS INC /DE/ CONTINENTAL SAVINGS OF AMERICA SERIES 19 CRESTLINE INC DAIN INCOME PROPERTIES IV DAIN INCOME PROPERTIES—86 DAIN PENSION INVESTORS 84 DAIN PENSION INVESTORS 85 DAIN PROPERTIES 81 LTD DAIN REAL ESTATE PARTNERS I DAIN REAL ESTATE PARTNERS II DAIN REAL ESTATE PARTNERS II DAIN SOUTHEAST GROWTH PROPERTIES DIETWORKS OF AMERICA INC ERC INTERNATIONAL INC /NEW EXECUTIVE TELECARD LTD FUTURESAT INDUSTRIES INC GULF SOUTHWEST BANCORP INC HANA BIOLOGICS INC HIBERNIA NATIONAL BANK 1989 A GRANTOR TR HOLLYWOOD PARK OPERATING CO HOLLES MICROSYSTEMS INC	NY	X		08/08/89	
CUMERICA INC /NEW/	DE	×	J	10/06/89	
CONTINENTAL MEDICAL STSTEMS INC /UE/	CA	^ •	Ŷ	09/29/89 09/25/89	
CRESTLINE INC	CA	Ŷ	^	10/04/89	
DAIN INCOME PROPERTIES IV	DE	x ^	x	10/11/89	
DAIN INCOME PROPERTIES-86	MN	X	X	10/11/89	
DAIN PENSION INVESTORS 84	MN	X	X	10/11/89	
DAIN PENSION INVESTORS 85	MN	X	X	10/11/89	
DAIN PROPERTIES 81 LTD	MN	X	X	10/11/89	
DAIN REAL ESTATE PARTNERS I	MN	X	X	10/11/89	
DAIN REAL ESTATE PARTNERS II	MN	X	X	10/11/89	
DAIN SOUTHEAST GROWTH PROPERTIES	MN	X	X	10/11/89	
DAIN TEXAS PAKINERS	MN	, x	X	10/11/89	
DIETMURKS UP AMERICA INC.	NJ	X	X	09/01/89	
EXECUTIVE TELECADO LID	UE DE	Ŷ	^	10/12/89 09/12/89	
FITTIRESAT INDUSTRIES INC	N I	Υ ^	¥	10/02/89	
GULE SOUTHWEST BANCORP INC	TX	^ x	^	09/29/89	
HANA BIOLOGICS INC	DE	×		10/12/89	
HIBERNIA NATIONAL BANK 1989 A GRANTOR TR		x	X	09/27/89	
HOLLYWOOD PARK OPERATING CO	DE	x	X	10/11/89	
HOLMES MICROSYSTEMS INC	TX	хх		10/06/89	
HON INDUSTRIES INC	IA	X	^	10/11/89	
HOPE TECHNOLOGIES INC /NV	NV	X	X	10/03/89	
INTER REGIONAL FINANCIAL GROUP INC	DE	Χ		10/11/89	
INTERNATIONAL 800 TELECOM CORP	DE	X		09/12/89	
ITT FINANCIAL CURP	DE	x	X	10/06/89	
MADON THO	DE	^ x	X	09/30/89 09/27/89	
GULF SOUTHWEST BANCORP INC HANA BIOLCGICS INC HIBERNIA NATIONAL BANK 1989 A GRANTOR TR HOLLYWOOD PARK OPERATING CO HOLMES MICRGSYSTEMS INC HON INDUSTRIES INC HOPE TECHNOLOGIES INC /NV INTER REGIONAL FINANCIAL GROUP INC INTERNATIONAL BOO TELECOM CORP ITT FINANCIAL CORP JONES MEDICAL INDUSTRIES INC /DE/ MAPCO INC MELLON BANK CORP MERRILL LYNCH MORTGAGE INVESTORS INC /DE MERRILL LYNCH MORTGAGE INVESTORS INC SER MEM UA COMMUNICATIONS CO MIZLOU COMMUNICATIONS CO INC NASHVILLE PARKVIEW PARTNERS NEW YORK TESTING LABORATORIES INC NIAGARA MOHAWK POWER CORP /NY/ NL INDUSTRIES INC	PA	^	â	10/12/89	
MERRILL LYNCH MORTGAGE INVESTORS INC /DE	DE		x	09/29/89	
MERRILL LYNCH MORTGAGE INVESTORS INC SER	DE		X	09/29/89	
MGM UA COMMUNICATIONS CO	DE	X		10/10/89	
MIZEOU COMMUNICATIONS CO INC	DE	X		10/06/89	
NASHVILLE PARKVIEW PARTNERS	MN	X	X	10/11/89	
NEW YORK TESTING LABORATORIES INC	NY	X		10/10/89	
NIAGARA MOHAWK POWER CORP /NY/	NY	NO ITEMS		10/12/89	
				10/10/89	
NORTH DALLAS PARTNERS	MN	X	X	10/11/89	
PACIFIC GAS & ELECTRIC CD	CA	X		10/11/89	
PAULEY PETROLEUM INC	DE	X		09/26/89	
PHS INDUSTRIES INC	CO	X	X	09/11/89	
POLARIS AIRCRAFT INCOME FUND I	CA	X		09/28/89	
POLARIS AIRCRAFT INCOME FUND 11	CA	X		09/28/89	
POLARIS AIRCRAFT INCOME FUND V	CA	X X		09/28/89	
PRISM CAPITAL CORP DUIXOTE CORP	Cū	X	X	09/13/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE DE	X		10/03/89 10/05/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE	X X		10/05/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE	â		10/05/89	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE	â		10/05/89	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE	x		10/05/89	
REALMARK PROPERTY INVESTORS LTD PARTNERS	DE	x		10/05/89	
REPUBLIC RESOURCES CORP	DK	X ^	x	09/28/89	
SAINT LOUIS RAINTREE PARTNERS LTD	MN	×	X	10/11/89	
SAINT LOUIS SUNSWEPT PARTNERS LTD	MN	x	X	10/11/89	
SAN MIGUEL PARTNERS	MN	X	X	10/11/89	
SCIENTIFIC MEASUREMENT SYSTEMS INC/TX	TX	X		10/11/89	
SECURITY CAPITAL CORP/DE/	DE	X X	X	09/29/89	
SUMMCORP	IN	X		09/27/89	

# RECENT 8K FILINGS CONT.

NAME OF ISSUER	ST ATE	8K ITEM NO. 1 2 3 4 5 6 7 8	DATE COMMENT
THOMSON MCKINNON ASSET MANAGEMENT L P TODD SHIPYARDS CORP USX CORP VALHI INC /DE/	DE NY DE	X X X X X	10/04/89 10/11/89 10/10/89
WEINGARTEN REALTY INVESTORS /TX/	TX	X X X X	10/10/89 10/05/89