Issue 82-206

October 26, 1982

## CIVIL PROCEEDINGS

U.S. SECURITIES AND EXCHANGE COMMISSION

ANTHONY MATERIA PRELIMINARILY ENJOINED; COMPLAINT AMENDED; TEMPORARY RESTRAINING ORDER SIGNED

The New York Regional Office (NYRO) announced that on October 4 the Honorable Charles L. Brieant, U.S. District Judge for the Southern District of New York, signed an Order of Preliminary Injunction and Freeze of Assets against Anthony Materia (A. Materia), a former employee of Bowne of New York City, Inc. A. Materia was preliminarily enjoined from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 143-e thereunder (the antifraud provisions). The Order also prevents A. Materia from dissipating or disposing of any assets in connection with his purchases of the securities of Evans-Aristocrat Industries, Inc.

Additionally, the NYRO announced that on October 19, it filed an Amended Complaint in which the Commission alleges that A. Materia also purchased or caused to be purchased the securities of four additional public companies which were the targets of tender offers on the basis of material nonpublic information he misappropriated in his capacity as a Bowne employee. The complaint also alleges that A. Materia conveyed material nonpublic information concerning proposed tender offers to his wife, C. Materia, who purchased the securities of three of the companies. The complaint seeks to enjoin the Materias from further violations of the antifraud provisions of Section 10(b) and 14(e) of the Exchange Act, a disgorgement of approximately \$215,000 in profits and other equitable relief. On October 19 Judge Brieant signed a Temporary Restraining Order and an Order to Show Cause (Orders). The Orders provide that C. Materia is temporarily restrained from violating the antifraud provisions of the Exchange Act, and further restrain the disposition of all assets of the Materias relating to their transactions in any securities pending a hearing on the Commission's application for a preliminary injunction against A. Materia and his wife, Concetta Materia. (SEC v. Anthony Materia and Concetta Materia, 82 Civil 6225, S.D.N.Y., CLB). (LR-9789)

### COMMISSION ANNOUNCEMENTS

STAFF ACCOUNTING BULLETIN NO. 49

The Commission has issued Staff Accounting Bulletin No. 49, which provides the staff's views regarding disclosures by bank holding companies of loans to public and private sector borrowers located in countries that are experiencing liquidity problems. (SAB-49)

FOR FURTHER INFORMATION CONTACT: Marc D. Oken at (202) 272-2157

## **INVESTMENT COMPANY ACT RELEASES**

THE NORTH CAROLINA CASH MANAGEMENT TRUST

A notice has been issued giving interested persons until November 12 to request a hearing on the applications of The North Carolina Cash Management Trust (formerly North Carolina Cash Management Fund), an open-end, diversified, management investment company, for an order, pursuant to Section 6(c) of the Investment Company Act of 1940, exempting it from the provisions of Section 2(a)(41) of the Act and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit it to use the amortized cost method to value its portfolio securities. (Rel. IC-12751 - Oct. 21)

# SECURITIES ACT REGISTRATIONS OF A DECEMBER 1

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; if the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION pursuant to Rule 415.

- (S-18) 21ST CENTURY ENVELOPE CO., INC., 900 Grand Blvd., Deer Park, NY 11729 (516) 586-0062 4,160 units (each unit consists of one hundred shares of common stock and warrants to purchase twenty shares of common stock. Underwriter: Invemed Associates, Inc., 375 Park Ave., New York, NY 10152. The company was organized to manufacture and market a two-way envelope usable for both original transmission and return mailing. (File 2-79895-NY Oct. 20) (Br. 8)
- (S-3) PUBLIC SERVICE COMPANY OF NEW MEXICO, Alvarado Sq., Albuquerque, NM 87158 (505) 848-2700 - 1,500,000 shares of common stock. Underwriters: Kidder, Peabody & Co. Incorporated and Dean Witter Reynolds Inc. The company is engaged in the generation, transmission, distribution and sale of electricity. (File 2-79936 - Oct. 22) (Br. 8)
- (S-3) AVON PRODUCTS, INC., 9 West 57th St., New York, NY 10019 (212) 546-6015 -\$200 million of debt securities. The company is engaged in the manufacture and sale of cosmetics, fragrances, jewelry and accessories, among other things. (File 2-79952 - Oct. 22) (Br. 9) [S]
- (S-3) ROHM AND HAAS COMPANY, Independence Mall West, Philadelphia, PA 19105 (215) 592-3000 20,000 shares of common stock. (File 2-79955 Oct. 22) (Br. 2)
- (S-3) MID-CONTINENT TELEPHONE CORPORATION, 100 Executive Pkwy., Hudson, OH 44236 (216) 650-7000 - 600,000 shares of common stock. Underwriters: Merrill Lynch White Weld Capital Markets Group and The Ohio Company. (File 2-79956 - Oct. 22) (Br. 7)
- (S-8) SEARS ROEBUCK AND CO., Sears Tower, Chicago, IL 60684 (312) 875-1966 \$20 million in plans. (File 2-79957 Oct. 22) (Br. 2)
- (S-8) KILLEARN PROPERTIES, INC., 7118 Beech Ridge Trail, Tallahassee, FL 32312 (904) 893-2111 180,000 shares of common stock. (File 2-79958 Oct. 22) (Br. 5)
- (S-8) WEATHERFORD INTERNATIONAL INCORPORATED, 4605 Post Oak Pl., Houston, TX 77027 (713) 621-8500 200,000 shares of common stock. (File 2-79960 Oct. 22) (Br. 3)
- (S-3) SOUTHWESTERN PUBLIC SERVICE COMPANY, SPS Tower, Tyler at Sixth, Amarillo, TX 79170 (806) 378-2840 1,400,000 shares of common stock. Underwriters: Dillon, Read & Co. Inc. The company is engaged in the generation, transmission, distribution and sale of electric energy. (File 2-79965 Oct. 22) (Br. 8)
- (S-3) FIRST VIRGINIA BANKS, INC., One First Virginia Plaza, 6400 Arlington Blvd., Falls Church, VA 22046 (703) 241-3655 - \$25 million of notes. (File 2-79966 - Oct. 22) (Br. 1) [S]
- (S-3) PITTSBURGH NATIONAL CORPORATION, Fifth Avenue and Wood St., Pittsburgh, PA 15222 (412) 355-2666 \$50 million of debt securities. (File 2-79967 Oct. 22) (Br. 1) [S]
- (S-6's) MUNICIPAL INVESTMENT TRUST FUND, TWO HUNDRED FIFTY-THIRD MONTHLY PAYMENT SERIES; MUNICIPAL INVESTMENT TRUST FUND, NINETEENTH FLOATING RATE SERIES, One Liberty Plaza, 165 Broadway, New York, NY 10080 an indefinite number of units of beneficial interest (each Series). Depositors: Merrill Lynch, Pierce, Fenner & Smith Incorporated, One Liberty Plaza, 165 Broadway, New York, NY 10080, Dean Witter Reynolds Inc., Bache Halsey Stuart Shields Incorporated and Shearson/American Express Inc. (File 2-79968; 2-79969 Oct. 22) (Br. 17 New Issues)
- (S-1) BANCSHARES CORPORATION, 304-306 River Rd., P.O. Box 27, Luling, LA 70070 (504) 785-2265 125,000 shares of common stock. (File 2-79970 Oct. 22) (Br. 1) [S]

- (S-8) PARTNERS OIL COMPANY, Capital Bank Plaza, 333 Clay St., Suite 2400, Houston, TX 77002 (713) 658-8374 - 500,000 shares of common stock. (File 2-79971 - Oct. 25) (Br, 3)
- (S-1) VIPONT LABORATORIES, INC., 220 East Olive St., Fort Collins, CO 80524 (303) 482-3126 1,000,000 units. The company will develop, produce and market cosmetics, over-the-counter and therapeutic oral hygiene products. (File 2-79972 - Oct. 25)
- (S-3) THE COCA-COLA COMPANY, 310 North Ave., N.W., Atlanta, GA 30313 (404) 898-2121 \$200 million of notes. The company is a manufacturer and distributor of soft drink concentrates and syrups. (File 2-79973 - Oct. 25) (Br. 1) [S]
- (S-3) BEVERLY ENTERPRISES, 873 South Fair Oaks Ave., Pasadena, CA 91105 (213) 577-6111 -1,200,000 shares of common stock. Underwriters: Merrill Lynch White Weld Capital Markets Group and Stephens Inc. The company is an operator of health care facili-(File 2-79974 - Oct. 25) (Br. 6)
- (S-8) THE E. F. HUTTON GROUP INC., One Battery Park Plaza, New York, NY 10004 (212) 742-5000 - 1,000,000 shares of common stock. (File 2-79976 - Oct. 25) (Br. 2)
- (S-8) WALGREEN CO., 200 Wilmot Rd., Deerfield, IL 60015 (312) 948-5000 1,000,000 shares of common stock; 600,000 shares of common stock. (File 2-79977; 2-79978 -Oct. 25) (Br. 4)
- (S-3) THE RYLAND GROUP, INC., 10221 Wincopin Circle, Columbia, MD 21044 (301) 730-7222 - 300,000 shares of common stock. (File 2-79979 - Oct. 25) (Br. 9)
- (S-6) NUVEEN TAX-EXEMPT BOND FUND, SERIES 237, 209 South La Salle St., Chicago, IL 60604 - an indefinite number of units. Depositor: John Nuveen & Co. Incorporated. (File 2-79982 - Oct. 25) (Br. 18 - New Issue)
- (S-8) OCILLA INDUSTRIES, INC., 477 Madison Ave., New York, NY 10022 (212) 752-7408 -150,000 shares of common stock. (File 2-79984 - Oct. 25)
- (S-3) BOW VALLEY INDUSTRIES LTD., 1800, 321 Sixth Ave., S.W., P.O. Nox 6610, Station D, Calgary, Alberta, Canada T2P 2V8 (403) 231-1211 910,000 shares of cumulative redeemable convertible Class D preferred shares, Series L, and 210,000 shares of cumulative rdeeemable convertible Class D preferred shares, Series 2. (File 2-79987 - Oct. 25) (Br. 4)
- (S-1) UNITED TELEVISION, INC., 6975 York Avenue South, Minneapolis, MN 55435 (612) 925-5118 - \$50 million of % subordinated debentures, due November 1, 1997. Underwriter: Drexel Burnham Lambert Incorporated. The company owns and operates television stations. (File 2-79988 - Oct. 25) (Br. 7)
- (S-8) UNITED MERCHANTS AND MANUFACTURERS, INC., 1407 Broadway, New York, NY 10018 (212) 930-3900 - 500,000 shares of common stock. (File 2-79989 - Oct. 25) (Br. 8)

### REGISTRATIONS EFFECTIVE

- Sept. 4: Peoples National Bancorp, Inc., 2-78872.
- Oct. 13: Implant Technologies, Inc., 2-79317-C.
- Oct. 15: Aristar, Inc., 2-73167.
- Oct. 18: Raychem Corporation, 2-79555; Sonoma Vineyards, 2-71929; Tyler Corpora-
- tion, 2-79585.
  Oct. 19: American Greetings Corporation, 2-79827; Atlantic Richfield Company, 2-79800; Denny's Inc., 2-79834; R.J. Reynolds Industries, Inc., 2-79796; Software AG Systems Group, Inc., 2-79736.

#### REGISTRATIONS WITHDRAWN

- Washington Water Power Company, 2-76288.
- 5; Barter Systems, Inc., 2-74519-FW.
- Oct. 5: Barter Systems, Inc., 2, 700.
  Oct. 7: Transition Metals Corporation, 2-76137-S.
- 8: Filmmedia Corporation, 2-74338.
- 12: Copper Mountain Hotel Corporation, 2-75912; Enterprise Futures Fund -Öct.
- Series II, 2-76644.
  Oct. 13: ANB Bankshares, Inc., 2-71196; Emerex Oil Company, 2-71833.
  Oct. 18: Rise, Ltd., 2-73966 (abandoned).

## **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

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AMERICAN HOIST & DERRICK CO ARCTIC ENTERPRISES INC	COM	13D	10/19/82	310 5.0	02657310 0.0	NEW
AMREP CORP MORGENS WATERFALL & CO ET	COM AL	13D	10/20/82	245 7.2	03215910 6.8	UPDATE
ATLAS CORP PIONEER CORP	COM NE	₩ 13D	10/12/82	149 5.0	04926730 5.8	UPDATE
CADO SYS CORP CONTINENTAL TELECOM INC	COM	13D	10/14/82	279 5.6	12760410 5.2	UPDATE
CAPITAL RESOURCES INC ANTONELLI D.F.JR ET AL	COM	14D-1	10/21/82	0 N/A	14060090 N/A	NEW
CONSOLIDATED DIL & GAS INC PITCAIRN CO ET AL	COM	13D	10/14/82	724 7.3	20970510 0.0	NEW
EPSCD INC CENTURY PARTNERS	COM	13D	10/14/82	161 12.4	29435310 8.5	UPDATE
HCA INC ACQUISION LIFE INSURANCE (	COM ET AL	13D	10/14/82	2,704 100.0	40412110 70.0	UPDATE
DMEX HEIZER CORP	CL AA F	PFD 13D	10/12/82	1,647 50.4	68210370 50.4	UPDATE
OMEX HEIZER CORP	CL AAA	PFD 13D	10/12/82	1,329 41.4	68210380 41.4	UPDATE
OMEX HEIZER CORP	COM	13D	10/12/82	7,861 96.5	68210390 16.1	UPDATE
PROPERTY INVS COLO BARTON JF CONTRACTING CO E	SH BEN	INT 13D	9/29/82	1,831 73.8	74343810 39.1	UPDATE
ROSPATCH CORP MCDONALD EDWARD D	COM	13D	10/ 8/82	142 11.9	77820410 0.0	NEW
SUSQUEHANNA CORP NEFIBOUW BV	COM	13D	10/15/82	3,094 51.4	86910410 50.6	UPDATE
SUSQUEHANNA CORP NEFIBOUW BV	CL A CL	IM CONV 13D	PREF 10/15/82	783 49.6	86910420 48.4	UPDATE
TENNESSEE COMMERCE CORP RUSSELL SIMPSON ET AL	COM	13D	10/14/82	31 14.7	88043390 13.6	UPDATE