

sec news digest

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Issue 93-15

January 26, 1993

JAN 26 1993

CIVIL PROCEEDINGS

U.S. SECURITIES
EXCHANGE COMMISSION

CIVIL ACTION AGAINST PEOPLES FINANCIAL RESOURCES CORP., ET AL.

The Commission announced that on January 25 the Honorable Julie E. Carnes, U.S. District Judge for the Northern District of Georgia, entered an ex parte Temporary Restraining Order and an ex parte asset freeze against defendants Peoples Financial Resources Corporation, a Delaware corporation (Peoples), Peoples Financial Resources Corporation, a Nevada corporation (Peoples Nevada), and Donald Paul Clark.(Clark). In addition, an ex parte asset freeze was entered and a constructive trust was imposed against relief defendants Glen Schuster, Cynthia Clark, Paul Welch and Westsphere Dunshaw Patton, Ltd.

The Commission's complaint alleges that since 1986 Clark has conducted through Peoples the fraudulent and unregistered offer and sale of securities, in the form of memberships in investment clubs, Peoples preferred stock, and interests in automatic teller machines, in violation of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act), Sections 10(b) and 15(a) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and Sections 203(a), 206(1) and 206(2) of the Investment Advisers Act of 1940 (Advisers Act). The complaint also alleges the defendant Peoples Nevada has conducted fraudulent and unregistered sales of securities in the form of preferred stock, in violation of Section 5(a), 5(c) and 17(a) of the Securities Act, and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

The securities have been sold based on numerous misrepresentations and material omissions, including, among others, the riskiness of the investments, the actual use of investor proceeds and the financial condition of Peoples.

During the course of the foregoing conduct, the relief defendants received substantial funds from Peoples.

A hearing is scheduled for February 4, 1993 to show cause why a preliminary injunction should not be granted. [SEC v. Peoples Financial Resources Corporation, a Delaware Corporation, Peoples Financial Resources Corp., a Nevada Corporation, and Donald Paul Clark, Civil Action No. 1:93-CV-0161-JEC] (LR-13497)

INVESTMENT COMPANY ACT RELEASES

KIDDER PEABODY CALIFORNIA TAX EXEMPT MONEY FUND, ET AL.

A notice has been issued giving interested persons until February 16, 1993 to request a hearing on an application filed by Kidder Peabody California Tax Exempt Money Fund, et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from the provisions of Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The order would permit certain investment companies to issue and sell multiple classes of shares representing interests in the same investment portfolio and assess and, under certain circumstances, waive or reduce a contingent deferred sales charge on certain redemptions of their shares. (Rel. IC-19226 - January 22)

NEW CENTURY FUND, INC., ET AL.

A notice has been issued giving interested persons until February 22, 1993 to request a hearing on an application filed by New Century Fund, Inc. and New Century Management, Inc. for a conditional order under Sections 6(c) and 23(b) of the Investment Company Act. Applicants seek relief from the provisions of Sections 18(d) and 23(b) to permit New Century Fund, Inc. to sell a limited amount of its shares on a delayed basis at the same price per share as the initial public offering price. (Rel. IC-19228 - January 25)

TECHNOLOGY FUNDING MEDICAL PARTNERS I, L.P., ET AL.

A conditional order has been issued on an application filed by Technology Funding Medical Partners I (Partnership), Technology Funding Inc., and Technology Funding Ltd. under Section 6(c) of the Investment Company Act granting an exemption from the provisions of Sections 2(a)(19) and 2(a)(3)(D). The order determined that the independent general partners of the Partnership are not "interested persons" of the Partnership, the other general partners, or the principal underwriter of the Partnership solely by reason of their status as general partners of the Partnership and co-partners of the other general partners. The order also determined that no limited partner owning less than five percent of the units of limited partnership interest in the Partnership is an "affiliated person" of the Partnership or any of its partners solely by reason of being a limited partner of the Partnership and a co-partner of the other limited partners and the general partners. (Rel. IC-19229 - January 25)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until February 12, 1993 to comment on the application of PEC Israel Economic Corporation to withdraw its Common Stock \$1.00 Par Value, from listing and registration on the American Stock Exchange. (Rel. 34-31754)

A notice has been issued giving interested persons until February 12, 1993 to comment on the application of Medchem Products, Inc. to withdraw its Common Stock \$.01 Par Value, from listing and registration on the American Stock Exchange. (Rel. 34-31755)

A notice has been issued giving interested persons until February 12, 1993 to comment on the application of Waterhouse Investor Services, Inc. to withdraw its Common Stock, \$.01 Par Value, from listing and registration on the American Stock Exchange. (Rel. 34-31756)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-Amex-92-48) to increase its annual fee imposed on listed company equity issues. The Amex proposes to increase the annual fee for stocks, with separate categories based on the number of outstanding shares, to a minimum fee of \$6,500 and a maximum fee of \$14,500. Publication of the proposal is expected in the Federal Register during the week of January 25. (Rel. 34-31752)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Midwest Stock Exchange (SR-MSE-92-16) to waive its P&L System Reports fee for two months has become effective upon filing. (Rel. 34-31753)

SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

The following is a list of significant no-action and interpretative letters recently issued by the Division of Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Securities Transfer Association, Inc.	1934 Act Rule 17Ad-15	8/21/92	8/21/92

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
(CORRECTION:) Certain Pension Funds of General Motors Corporation	1934 Act Section 10(b) Rule 10b-6	9/4/92	9/9/92
Chiquita Brands International	1934 Act Section 13(e) Rule 13e-4	9/30/92	10/2/92
Bank of North Dakota	1934 Act Section 3(a)(6), (43), (44)	10/22/92	10/22/92
MFS Financial Services, Inc.	1934 Act Rule 10b-10(f)	11/3/92	11/3/92
International Family Entertainment, Inc.	1934 Act Section 10(b) Rules 10b-6, 10b-13, and 14e-1	11/13/92	11/16/92
The Snowy Owl Condominium Unit Owners' Association	1934 Act Section 15(a)	11/17/92	11/17/92
CRT Government Securities, Ltd.	1934 Act Section 15(a)	7/27/92	11/24/92
SCANA Corporation	1934 Act Section 10(b) Rule 10b-6	12/15/92	12/17/92

*This item represents a correction of an item appearing in the list of significant letters of 10/9/92.

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

REGISTRATIONS

- S-4 GALEN HEALTH CARE INC, 201 WEST MAIN STREET, NULL, LOUISVILLE, KY 40202
(502) 580-3763 - 162,000,000 (\$1,202,338,000) COMMON STOCK. (FILE 33-49291 - JAN. 19)
(BR. 13)
- S-6 DEFINED ASSET FUNDS MUNICIPAL INVT TR FD MON PYMT SER 525,
MERRILL LYNCH PIERCE FENNER & SMITH, ONE LIBERTY PLZ, 21ST FL, 165 BROADWAY, NEW YORK,
NY 10080 (NUL) L - - INDEFINITE SHARES. (FILE 33-49293 - JAN. 19) (NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUN INVT TR FD MULTISTATE SERIES 28, 450 LEXINGTON AVE,
C/O DAVIS POLK & WARDWELL, NEW YORK, NY 10017 (212) 450-4500 - INDEFINITE SHARES.
(FILE 33-49295 - JAN. 19) (NEW ISSUE)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 676, 333 W WACKER DRIVE, CHICAGO, IL 60606 -
INDEFINITE SHARES. (FILE 33-49299 - JAN. 19) (NEW ISSUE)
- S-6 KEMPER INSURED CORPORATE TRUST SERIES 10, 77 WEST WACKER DR 5TH FLR, CHICAGO, IL
60601 - INDEFINITE SHARES. (FILE 33-56972 - JAN. 14) (BR. 16 - NEW ISSUE)
- S-6 KEMPER INSURED CORPORATE TRUST SERIES 8 & 9, 77 WEST WACKER DR 5TH FLR, CHICAGO, IL
60601 - INDEFINITE SHARES. DEPOSITOR: KEMPER SECURITIES INC. (FILE 33-56974 -
JAN. 14) (BR. 16 - NEW ISSUE)
- S-1 NATHANS FAMOUS INC, 1400 OLD COUNTRY RD, WESTBURY, NY 11590 (516) 338-8500 -
1,875,000 (\$19,050,000) COMMON STOCK. UNDERWRITER: LANDENBURG THALMANN & CO INC.
(FILE 33-56976 - JAN. 14) (BR. 1)
- S-8 HALTER VENTURE CORP, 16910 DALLAS PKWY STE 100, DALLAS, TX 75248 (214) 248-1922 -
500,000 (\$2,312,500) COMMON STOCK. (FILE 33-56980 - JAN. 14) (BR. 12)
- S-3 ATHENA NEUROSCIENCES INC/DE, 800F GATEWAY BLVD, SOUTH SAN FRANCISCO, CA 94080
(415) 877-0900 - 3,450,000 (\$29,325,000) COMMON STOCK. UNDERWRITER:
BROWN ALEX & SONS INC, PAINWEBBER INC. (FILE 33-56994 - JAN. 15) (BR. 4)
- S-8 AMERICAN RESIDENTIAL HOLDING CORP, 11119 N TORREY PINES RD, LA JOLLA, CA 92037
(619) 535-4900 - 585,359 (\$10,353,537) COMMON STOCK. (FILE 33-56996 - JAN. 15)
(BR. 11)
- S-8 AMERICAN RESIDENTIAL HOLDING CORP, 11119 N TORREY PINES RD, LA JOLLA, CA 92037
(619) 535-4900 - 143,675 (\$2,541,251) COMMON STOCK. (FILE 33-56998 - JAN. 15) (BR. 11)
- S-3 BROAD INC/MD/, 11601 WILSHIRE BLVD, LOS ANGELES, CA 90025 (310) 312-5000 -
3,450,000 (\$91,425,000) PREFERRED STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE
33-57028 - JAN. 14) (BR. 10)
- S-1 SUMMIT HEALTH LTD, 2600 W MAGNOLIA BLVD, BURBANK, CA 91050 (818) 841-8750 -
50,000,000 (\$50,000,000) STRAIGHT BONDS. UNDERWRITER:
DONALDSON LUFKIN & JENRETTE SECURITIES, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE
33-57032 - JAN. 14) (BR. 5)
- S-1 TANOX BIOSYSTEMS INC, 10301 STELLA LINK STE 110, HOUSTON, TX 77025 (713) 664-2288 -
2,300,000 (\$29,900,000) COMMON STOCK. (FILE 33-57036 - JAN. 14) (BR. 4)
- S-3 BANPONCE CORP, 209 MUNOZ RIVERA AVE, POPULAR CENTER BUILDING, HATO REY, PR 00918
(809) 765-9800 - 400,000,000 (\$400,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE
33-57038 - JAN. 14) (BR. 1)
- S-3 MERRY LAND & INVESTMENT CO INC, 624 ELLIS ST, AUGUSTA, GA 30903 (706) 722-6756 -
5,175,000 (\$76,641,750) COMMON STOCK. (FILE 33-57040 - JAN. 14) (BR. 5)

REGISTRATIONS CONTINUED

- S-2 ROTECH MEDICAL CORP, 4506 LB MCLEOD RD STE F, ORLANDO, FL 32811 (407) 841-2115 - 1,725,000 (\$22,856,250) COMMON STOCK. UNDERWRITER: NEEDHAM & CO INC, WHEAT FIRST BUTCHER & SINGER CAPITAL MAR. (FILE 33-57042 - JAN. 15) (BR. 6)
- S-3 INTERNEURON PHARMACEUTICALS INC, 1 LEDGEMONT CTR, 99 HAYDEN AVE, LEXINGTON, MA 02173 (617) 861-8444 - 3,450,000 (\$37,087,500) COMMON STOCK. UNDERWRITER: COWEN & CO, MONTGOMERY SECURITIES. (FILE 33-57044 - JAN. 15) (BR. 4)
- S-3 SSE TELECOM INC, 1430 SPRING HILL RD, STE 200, MCLEAN, VA 22102 (703) 790-0250 - 789,094 (\$3,353,649) COMMON STOCK. (FILE 33-57046 - JAN. 15) (BR. 7)
- S-8 DEERBANK CORP, 745 DEERFIELD RD, DEERFIELD, IL 60015 (708) 945-2550 - 214,325 (\$10,073,275) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-57048 - JAN. 15) (BR. 2)
- S-3 PROPERTY TRUST OF AMERICA, 1790 COMMERCE PARK DR, EL PASO, TX 79912 (915) 877-3900 - 6,325,000 (\$94,084,375) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-57050 - JAN. 15) (BR. 5)
- S-1 PROTECTIVE LIFE INSURANCE CO, 2801 HIGHWAY 280 SOUTH, BIRMINGHAM, AL 35223 (205) 879-9230 - \$400,000,000 VARIABLE ANNUITY ISSUES. (FILE 33-57052 - JAN. 15) (BR. 20)
- S-3 LEUCADIA NATIONAL CORP, 315 PARK AVE S, NEW YORK, NY 10010 (212) 460-1900 - 74,750,000 (\$74,750,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-57054 - JAN. 15) (BR. 9)
- S-1 TIDE WEST OIL CO, 6666 S SHERIDAN STE 250, TULSA, OK 74133 (918) 488-8962 - 3,622,500 (\$28,300,781) COMMON STOCK. (FILE 33-57058 - JAN. 15) (BR. 4)
- S-8 ZYGO CORP, LAUREL BROOK RD, MIDDLEFIELD, CT 06455 (203) 347-8506 - 250,000 (\$1,375,000) COMMON STOCK. (FILE 33-57060 - JAN. 15) (BR. 8)
- S-8 GMIS INC /DE, 5 COUNTRY VIEW RD, MALVERN, PA 19355 (215) 296-3838 - 300,000 (\$7,579,000) COMMON STOCK. (FILE 33-57062 - JAN. 15) (BR. 10)
- S-3 PSI ENERGY INC, 1000 E MAIN ST, PLAINFIELD, IN 46168 (317) 839-9611 - 2,000,000 (\$200,000,000) PREFERRED STOCK. (FILE 33-57064 - JAN. 15) (BR. 8)
- S-3 GRAHAM FIELD HEALTH PRODUCTS INC, 400 RABRO DR E, HAUPPAUGE, NY 11788 (516) 582-5800 - 237,676 (\$1,440,911) COMMON STOCK. (FILE 33-57066 - JAN. 15) (BR. 9)
- S-8 AURORA ELECTRONICS INC, 17481 RED HILL AVE, IRVINE, CA 92714 (214) 484-9484 - 92,730 (\$1,048,776) COMMON STOCK. (FILE 33-57070 - JAN. 15) (BR. 6)
- S-8 TRICARE INC, 17101 ARMSTRONG AVE STE 200, IRVINE, CA 92714 (714) 250-4092 - 1,285,000 (\$4,564,312) COMMON STOCK. (FILE 33-57072 - JAN. 15) (BR. 6)
- S-8 DE NOVO CORP, 208 WYECROFT RD, OAKVILLE, ONTARIO L6K 3T8 CANADA, A6 (416) 849-6522 - 100,000 (\$97,000) COMMON STOCK. (FILE 33-57084 - JAN. 19) (BR. 9)
- S-8 IES INDUSTRIES INC, 200 FIRST ST SE, CEDAR RAPIDS, IA 52401 (319) 398-4411 - 105,000 (\$3,045,000) COMMON STOCK. (FILE 33-57088 - JAN. 19) (BR. 8)
- S-3 IES INDUSTRIES INC, 200 FIRST ST SE, CEDAR RAPIDS, IA 52401 (319) 398-4411 - 1,200,000 (\$34,800,000) COMMON STOCK. (FILE 33-57090 - JAN. 19) (BR. 8)

REGISTRATIONS CONTINUED

- S-11 DAIWA MORTGAGE ACCEPTANCE CORP, 200 LIBERTY ST, 25TH FLOOR, NEW YORK, NY 10281
(212) 945-0100 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-57118 -
JAN. 15) (BR. 12)
- S-8 BUTLER INTERNATIONAL INC /MD/, 110 SUMMIT AVE, MONTVALE, NJ 07645 (201) 573-8000 -
296,344 (\$1,092,768) COMMON STOCK. (FILE 33-57120 - JAN. 15) (BR. 6)
- S-8 BIOMUNE SYSTEMS INC, 40 E SO TEMPLE STE 342, SALT LAKE CITY, UT 84111 (801) 364-5850
- 7,200,000 (\$450,000) COMMON STOCK. (FILE 33-57122 - JAN. 15) (BR. 12)
- S-4 DIAGNOSTIC HEALTH CORPORATION, 22 INVERNESS CENTER PKWY STE 400, BIRMINGHAM, AL
35242 (205) 980-2500 - 2,600,000 (\$20,173,000) COMMON STOCK. (FILE 33-57124 - JAN. 15)
(BR. 6)
- S-2 USTRAILS INC, 2711 LBJ FRWY STE 254, DALLAS, TX 75234 (214) 243-2228 - 17,416,000
(\$11,668,720) STRAIGHT BONDS. 1,333,764 (\$1,083,684) COMMON STOCK. 194,521
(\$824,769) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-57126 - JAN. 15) (BR. 12)
- N-2 NUVEEN PREMIUM INCOME MUNICIPAL FUND 4 INC, 333 WEST WACKER DR, CHICAGO, IL 60606
(312) 917-7810 - 10,000,000 (\$150,000,000) COMMON STOCK. (FILE 33-57130 - JAN. 15)
(BR. 18 - NEW ISSUE)
- S-4 BARNETT BANKS INC, 50 N LAURA ST, JACKSONVILLE, FL 32202 (904) 791-7720 - 30,000
(\$420,000) COMMON STOCK. (FILE 33-57132 - JAN. 15) (BR. 2)
- S-1 JORGENSEN EARLE M CO /DE/, 3050 E BIRCH ST, BREA, CA 92621 (714) 579-8823 -
155,000,000 (\$155,000,000) STRAIGHT BONDS. UNDERWRITER:
DONALDSON LUFKIN & JENRETTESEC INC, FIRST BOSTON CORP. (FILE 33-57134 - JAN. 15)
(BR. 1)
- S-3 IMMULOGIC PHARMACEUTICAL CORP /DE, ONE KENDALL SQ - BLDG 600, CAMBRIDGE, MA 02139
(617) 494-0060 - 2,875,000 (\$38,812,500) COMMON STOCK. UNDERWRITER:
HAMBRECHT & QUIST INC, MORGAN STANLEY & CO INC. (FILE 33-57138 - JAN. 19) (BR. 4)
- S-1 CARDIOVASCULAR IMAGING SYSTEMS INC, 595 N PASTORIA AVE, SUNNYVALE, CA 94086
(408) 749-9088 - 2,300,000 (\$18,400,000) COMMON STOCK. UNDERWRITER:
MONTGOMERY SECURITIES, VOLPE WELTY & CO. (FILE 33-57140 - JAN. 19) (BR. 8)
- S-2 ALEXANDER ENERGY CORP, 701 CEDAR LAKE BLVD, OKLAHOMA CITY, OK 73114 (405) 478-8686
- 2,990,000 (\$12,333,750) COMMON STOCK. UNDERWRITER: GUERIN & TURNER INC,
HANIFEN IMHOFF INC, PRINCIPAL EPPLER. (FILE 33-57142 - JAN. 19) (BR. 12)
- S-1 ALC COMMUNICATIONS CORP, 30300 TELEGRAPH RD, STE 350, BINGHAM FARMS, MI 48025
(313) 647-4060 - 19,500,909 (\$251,074,203) COMMON STOCK. (FILE 33-57146 - JAN. 19)
(BR. 7)
- S-8 INDIANA ENERGY INC, 1630 N MERIDIAN ST, INDIANAPOLIS, IN 46202 (317) 926-3351 -
25,000 (\$728,125) COMMON STOCK. (FILE 33-57148 - JAN. 19) (BR. 7)
- S-3 IDENTIX INC, 510 N PASTORIA AVE, SUNNYVALE, CA 94086 (408) 739-2000 - 731,903
(\$1,921,245) COMMON STOCK. (FILE 33-57154 - JAN. 19) (BR. 9)
- S-B QUEENSLAND TREASURY CORP, 611 NORTH LARCHMONT BLVD,
C/O M BORZI OBE COMMISSIONER FOR QUEENSL, LOS ANGELES, CA 90004 - 943,923,733
(\$943,923,733) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-57156 - JAN. 19) (BR. 9)

REGISTRATIONS CONTINUED

- S-3 GENEVA STEEL, 10 S GENEVA RD, VINEYARD, UT 84058 (801) 227-9000 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. UNDERWRITER: CITICORP SECURITIES MARKETS INC. (FILE 33-57158 - JAN. 19) (BR. 6)
- S-3 SEQUENT COMPUTER SYSTEMS INC /OR/, 15450 SW KOLL PKWY, BEAVERTON, OR 97006 (503) 626-5700 - 4,956,363 (\$104,083,623) COMMON STOCK. (FILE 33-57160 - JAN. 19) (BR. 10)
- S-3 AMYLIN PHARMACEUTICALS INC, 9373 TOWNE CENTRE DR, SAN DIEGO, CA 92121 (619) 552-2200 - 2,875,000 (\$35,937,500) COMMON STOCK. UNDERWRITER: ALEX BROWN & SONS, MORGAN STANLEY & CO. (FILE 33-57162 - JAN. 19) (BR. 4)
- S-3 CAPSTEAD MORTGAGE CORP, 2001 BRYAN TOWER, STE 3300, DALLAS, TX 75201 (214) 746-8000 - 1,500,000 (\$20,062,500) PREFERRED STOCK. (FILE 33-57164 - JAN. 19) (BR. 5)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
ADVEST GROUP INC KELLOGG PETER R	COM 13D	1/14/93	1,125 11.8	00756610 8.7	UPDATE
ALDEN PRESS CO APC MERGER CORP ET AL	COM 14D-1	1/22/93	4,760 64.5	01429210 0.0	NEW
ALDEN PRESS CO APC MERGER CORP ET AL	COM 14D-1	1/22/93	4,760 64.5	01429210 0.0	RVSION
ALDEN PRESS CO HELSON WILLIAM G	COM 13D	1/17/93	438 5.9	01429210 5.9	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ARGONAUT GROUP COOPERMAN LEON G	COM	130	1/12/93	1,294 5.1	04015710 0.0 NEW
ARGONAUT GROUP COOPERMAN LEON G	COM	130	1/12/93	1,294 5.1	04015710 0.0 RVISION
ASTREX INC AMSTER HOWARD ET AL	COM	130	12/29/92	709 17.2	04635710 0.0 NEW
ASTREX INC AMSTER HOWARD ET AL	COM	130	12/29/92	709 17.2	04635710 0.0 RVISION
AUTOTOTE CORP WEMBLEY INC ET AL	CL A	130	1/15/93	0 0.0	05332310 11.8 UPDATE
AUTOTOTE CORP WEMBLEY INC ET AL	CL A	130	1/15/93	0 0.0	05332310 11.8 RVISION
AUTOTOTE CORP WEMBLEY STADIUM LTD ET AL	CL A	130	1/15/93	417 8.0	05332310 0.0 NEW
AUTOTOTE CORP WEMBLEY STADIUM LTD ET AL	CL A	130	1/15/93	417 8.0	05332310 0.0 RVISION
BSB BANCORP TWEEDY BROMME CO L P ET AL	COM	130	1/14/93	164 5.7	05565210 6.0 UPDATE
BRADLEES INC ML 1BK POSITIONS INC	COM	130	1/11/93	1,000 8.9	10449910 8.9 UPDATE
BRAZILIAN EQUITY FD INC PEAK ASSET MGMT ET AL	COM	130	1/18/93	323 7.0	10588410 5.5 UPDATE
BROADCAST INTL INC COHEN STEVEN A	COM PAR\$0.10NEW	130	1/11/93	357 6.0	11131830 0.0 NEW
BROADCAST INTL INC COHEN STEVEN A	COM PAR\$0.10NEW	130	1/11/93	357 6.0	11131830 0.0 RVISION
CACI INTL INC PFIRMAN LARRY L ET AL	CL A	130	1/21/93	793 7.9	12719030 8.0 UPDATE
CADIZ LD INC QUEEN ANNES GATE ASSET MGMT	COM	130	12/22/92	823 8.3	12754910 7.6 UPDATE
CADIZ LD INC QUEEN ANNES GATE ASSET MGMT	COM	130	12/22/92	823 8.3	12754910 7.6 RVISION
CAGLES INC CAGLE GEORGE DOUGLAS	CL A	130	12/16/92	168 8.0	12770310 7.1 UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
CATALINA MARKETING CORP EDELSON TECHNOLOGY PRTRNS	COM 13D	1/13/93	882 9.4	14886710 9.1	UPDATE
CATALINA MARKETING CORP EDELSON TECHNOLOGY PRTRNS	COM 13D	1/13/93	882 9.4	14886710 9.1	RVISION
CIRCADIAN INC SARLO GEORGE S ET AL	COM 13D	12/11/92	2,042 7.4	17253110 7.2	UPDATE
CIVIC BANCORP CARR DON	COM 13D	12/23/92	312 12.5	17878810 11.1	UPDATE
CIVIC BANCORP CARR DON	COM 13D	12/23/92	312 12.5	17878810 11.1	RVISION
CODE-ALARM INC WINNER INTL CORP	COM 13D	1/11/93	193 7.7	19189310 9.0	UPDATE
CODE-ALARM INC WINNER INTL CORP	COM 13D	1/11/93	193 7.7	19189310 9.0	RVISION
CONTINENTAL CAN INC DEL BAINTON AILEEN MOODY	COM 13D	12/11/92	219 7.7	21118810 9.7	UPDATE
DATA SWITCH CORP CHATTERJEE PURNENDU	COM 13D	1/13/93	1,489 12.4	23786310 11.6	UPDATE
DATASCOPE CORP ROCKER DAVID A	COM 13D	12/29/92	781 5.0	23811310 5.9	RVISION
ELCOR CORP GAMCO INVESTORS INC ET AL	COM 13D	1/21/93	417 5.6	28444310 6.7	UPDATE
FIRST KNOX BANC CORP RAMSER RUSSELL E JR TRUSTEE	COM 13D	1/ 2/93	86 5.3	32065610 5.4	UPDATE
FIRST KNOX BANC CORP RAMSER RUSSELL E JR TRUSTEE	COM 13D	1/ 2/93	86 5.3	32065610 5.4	RVISION
GTECH HLDGS CORP AXA ET AL	COM 13D	1/11/93	10,243 24.3	40051810 35.4	UPDATE
INDEPENDENCE BANCORP INC N J NAPOLITANO JAMES R ET AL	COM 13D	10/16/92	71 5.4	45337710 0.0	NEW
INTL FAMILY ENTMT INC GAMCO INVESTORS INC ET AL	CL B 13D	1/14/93	1,741 8.0	48099510 0.0	NEW
KASLER CORP WASHINGTON DENNIS R ET AL	COM 13D	1/12/93	656 6.5	48579510 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ XONMED	CUSIP/ PRIOR%	FILING STATUS
KASLER CORP WASHINGTON DENNIS R ET AL	COM 13D	1/12/93	656 6.5	48579510 0.0	RVISION
KETEMA INC TWEEDY BROWNE CO L P ET AL	COM 14D-1	1/19/93	305 8.3	49265310 6.9	UPDATE
KINARK CORP ALTAIR CORP	COM 13D	1/19/93	443 12.2	49447410 6.5	UPDATE
LATSHAW ENTPRS INC BIXBY WALTER E JR	COM 13D	12/ 9/92	100 20.5	51839910 20.2	UPDATE
LATSHAW ENTPRS INC BIXBY WALTER E JR	COM 13D	12/ 9/92	100 20.5	51839910 20.2	RVISION
LIBERTY MEDIA CORP TELE-COMMUNICATIONS INC	CL A NEW 13D	1/15/93	2,203 5.1	53071530 0.0	NEW
LIBERTY MEDIA CORP TELE-COMMUNICATIONS INC	CL A NEW 13D	1/15/93	2,203 5.1	53071530 0.0	RVISION
MLX CORP TERIBE LTD ET AL	COM 13D	12/23/92	1,367 5.4	55307610 5.4	UPDATE
MLX CORP THREE CITIES HLDGS ET AL	COM 13D	12/23/92	7,522 29.6	55307610 29.6	UPDATE
ORBOTECH LTD CARROLL ACQUISITION CORP ET AL	ORD 13D	1/21/93	1,102 9.1	68569910 0.0	NEW
PERKIN ELMER CORP SOROS GEORGE ET AL	COM 13D	1/13/93	2,794 8.3	71404110 7.6	UPDATE
STHERN EDUCATORS LIFE INS CO UNITED INSURANCE COS INC	COM 14D-1	1/22/93	0 0.0	84279910 0.0	NEW
STHERN EDUCATORS LIFE INS CO UNITED INSURANCE COS INC	COM 14D-1	1/22/93	0 0.0	84279910 0.0	RVISION
STRIKER PETE CORP COLLINS ACQUISITION GRP INC	COM NEW 13D	1/13/93	2,870 64.2	86331620 0.0	NEW
STRIKER PETE CORP COLLINS ACQUISITION GRP INC	COM NEW 13D	1/13/93	2,870 64.2	86331620 0.0	RVISION
TODD SHIPYARDS CORP BAIRD BRENT D ET AL	COM 13D	1/11/93	802 6.7	88903910 5.4	UPDATE
TODD SHIPYARDS CORP BAIRD BRENT D ET AL	COM 13D	1/11/93	802 6.7	88903910 5.4	RVISION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
USLICO CORP BALDWIN & LYONS ET AL	COM 13D	1/22/93	914 8.5	90329710 9.9	UPDATE
U S ALCOHOL TESTING AMER INC WALSH GREENWOOD & CO ET AL	COM 13D	1/ 8/93	1,856 18.4	91199510 4.4	UPDATE
U S ALCOHOL TESTING AMER INC WALSH GREENWOOD & CO ET AL	COM 13D	1/ 8/93	1,856 18.4	91199510 4.4	RVISION
VEREX LABS INC BIRKLEA LTD	COM 13D	1/ 6/93	6,600 37.0	92340610 0.0	NEW
WAINOCO OIL CORP TORRAY ROBERT E ET AL	COM 13D	1/18/93	1,449 6.6	93067610 7.7	UPDATE
ZYNAXIS INC ALPHI FUND	COM 13D	1/12/93	348 6.7	98986410 0.0	NEW
ZYNAXIS INC ALPHI FUND	COM 13D	1/12/93	348 6.7	98986410 0.0	RVISION

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
PRUDENTIAL BANK & TRUST CO					X	X				12/15/92	
PSI RESOURCES INC	IN					X				12/11/92	AMEND
QUAKER STATE CORP	DE				X					12/30/92	
QUEST BIOTECHNOLOGY INC	MI				X					11/09/92	
QVC NETWORK INC	DE				X	X				01/18/93	