

# sec news digest

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Issue 93-133

July 13, 1993

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U.S. SECURITIES  
EXCHANGE COMMISSION

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## CIVIL PROCEEDINGS

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### CIVIL PENALTIES AGAINST MUTUAL FUND MANAGERS

On July 2, Summary Judgment was granted by the U.S. District Court for the Northern District of Texas against Strategic Management, Inc. (SMI), Leroy S. Brenna and Dorothy Brenna of Dallas, Texas permanently enjoining them from violations of the antifraud, proxy and other provisions of the federal securities laws. In addition, the Court ordered defendants Leroy Brenna and Dorothy Brenna (Brennas) to each pay civil money penalties in the amount of \$100,000 within 30 days of the entry of the Order.

SMI and the Brennas were the managers of the Strategic Group of Mutual Funds, which at one time had more than \$160 million under management. According to the Commission's complaint, filed by its Fort Worth office on November 19, 1991, the defendants caused the Strategic Funds to disseminate proxy statements which failed to disclose that the Brennas stood to receive a secret \$2 million payment in connection with SMI's assignment of the advisory contracts for the Strategic Funds to another investment adviser registered with the Commission. That adviser, Cheshier Hall, and its President, John Hall, were later enjoined by the Commission in an action initiated by its Los Angeles office. [SEC v. Strategic Management, Inc., et al., USDC, ND/Tx, Dallas Division, CA No. 3-91CB2489-P] (LR-13701)

### STEVEN WEIL ORDERED TO PAY DISGORGEMENT

The Commission announced that on June 29, 1993 the Honorable Kenneth L. Ryskamp, U.S. District Judge for the Southern District of Florida, in West Palm Beach, Florida, after an evidentiary hearing, entered an order against defendant Steven G. Weil (Weil) of Lauderhill, Florida. The order required that Weil disgorge \$279,975 in ill-gotten gains derived from his sales of the unregistered common stock of International Communications, Inc. (ICOM), in violation of Sections 5(a) and 5(c) of the Securities Act of 1933. On November 17, 1992, the court granted the Commission's motion for summary judgment against Weil, entered a permanent injunction against him on January 12, 1993 and amended the injunction on March 30, 1993.

The Commission's complaint, which was filed on June 26, 1989, alleged that Weil and others sold the common stock of ICOM, an assetless shell corporation, in unregistered transactions, and that Weil and others engaged in a scheme to defraud the investing public through various news releases and other statements by misrepresenting,

among other things, that ICOM had contracts to broadcast sporting events, produce films, and had acquired a television station, when in fact, those statements were false. [SEC v. Arthur Tuchinsky, Harold Levy, Steven G. Weil, Alan H. Burningham, William B. Hesterman, Jr., Richard L. Hoffman and Herbert S. Cannon, Case No. 89-6488-CIV-RYSKAMP, SD/Fla.] (LR-13702)

#### JOHN ALDRED PERMANENTLY BARRED

The Commission announced that on June 16, 1993 the Honorable Edward C. Reed, U.S. District Judge for the Northern District of Nevada issued a permanent injunction against John B. Aldred (Aldred) of Reno, Nevada. The order enjoins Aldred from future violations of the registration and antifraud provisions and included a promoter bar. Aldred consented to the issuance of the permanent injunction without admitting or denying the allegations of the Commission's complaint. The issues of disgorgement and an officer/director bar remain open.

The complaint alleged that Aldred was involved in a fraudulent scheme to distribute the stock of Pacific Waste Management, Inc. (Pacific Waste). He participated in creating a market for the stock by distributing false and misleading information about the company's sole business activity, a purported toxic waste disposal plant in the Republic of Palau. While he was acting as a promoter for Pacific Waste, Aldred was also a registered representative associated with a Denver, Colorado based broker-dealer, Private Investors Cartel, Ltd. Aldred allowed control persons of Pacific Waste to open an account for a Channel Islands company with Private Investors for the purpose of selling control stock into the U.S. market in an unregistered distribution. [SEC v. Pacific Waste Management, Inc., et al., Civil No. CV-N-93-232-ECR, USDC Nevada] (LR-13703)

#### FRED SCHIEMANN PERMANENTLY BARRED

The Commission announced that on June 16, 1993 the Honorable Edward C. Reed, U.S. District Judge for the Northern District of Nevada issued a permanent injunction against Fred V. Schiemann (Schiemann) of Reno, Nevada. The order enjoins Schiemann from aiding and abetting future violations of the antifraud provisions. Schiemann consented to the issuance of the permanent injunction without admitting or denying the allegations of the Commission's complaint. The issue of the amount of disgorgement was left open.

The complaint alleged that while acting as a certified public accountant for the company, Pacific Waste Management, Inc. (Pacific Waste), Schiemann fraudulent inflated assets of the company in two audited financial statements distributed to the public and market makers. The inflated audited financial statements were relied on by an attorney to issue an opinion that those selling the securities of Pacific Waste need not comply with Rule 15c2-6. Broker-dealers relied on the opinion and did not comply with Rule 15c2-6 when soliciting the securities of Pacific Waste to their retail customers. Schiemann was aware that these audited financial statements would be relied on for this purpose. [SEC v. Pacific Waste Management, Inc., et al., Civil No. CV-N-93-232-ECR, USDC Nevada] (LR-13704)

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## HOLDING COMPANY ACT RELEASES

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### EASTERN EDISON COMPANY

An order has been issued authorizing Eastern Edison Company (Eastern), a wholly owned electric public-utility subsidiary company of Eastern Utilities Associates, a registered holding company, to engage in financing. Eastern proposes to borrow on or before December 31, 1994 the proceeds from certain refunding Pollution Control Revenue Bonds (Refunding Bonds) to redeem certain outstanding Pollution Control Revenue Bonds. Eastern further proposes to issue and sell through December 31, 1994 \$175 million of unsecured medium-term notes and/or unsecured notes. (Rel. 35-25851)

### METROPOLITAN EDISON COMPANY

An order has been issued authorizing Metropolitan Edison Company (Met-Ed), a wholly owned electric public-utility subsidiary company of General Public Utilities Corporation, a registered holding to engage in financing. Met-Ed proposes to make cash capital contributions to its wholly owned subsidiary company, York Haven Power Company through December 31, 1997 up to an aggregate principal amount of \$14 million. (Rel. 35-25852)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING PRIVILEGES SOUGHT

Notices have been issued giving interested persons until July 30 to comment on the applications of four exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Boston Stock Exchange - 3 issues (Rel. 34-32610); Philadelphia Stock Exchange - 34 issues (Rel. 34-32613); Cincinnati Stock Exchange - 1 issue (Rel. 34-32614); and Midwest Stock Exchange - 13 issues (Rel. 34-32615).

### UNLISTED TRADING PRIVILEGES GRANTED

Orders have been issued granting the applications of two exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are listed in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Philadelphia Stock Exchange - 7 issues (Rel. 34-32611); and Midwest Stock Exchange - 6 issues (Rel. 34-32612).

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGES

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-93-32) that amends Part II, Schedule D to the NASD By-Laws to clarify the NASD's authority to deny inclusion of particular issuers in the Nasdaq System under certain enumerated circumstances. In addition, the proposed rule change clarifies the NASD's authority to deny inclusion or apply additional or more stringent criteria for initial or continued inclusion or suspend or terminate the inclusion in the Nasdaq System of Securities of issuers managed, controlled or influenced by persons with histories of securities or commodities violations. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-32605)

The Philadelphia Stock Exchange filed a proposed rule change (SR-PHLX-93-17) relating to activities of clerks on the trading floor. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-32606)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-93-23) relating to fees imposed by the CBOE for delayed submission of trade information. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-32607)

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-93-11) to amend the criteria for the listing and maintenance of common stock. Publication of the proposal is expected in the Federal Register during the week of July 12. (Rel. 34-32617)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 TJX COMPANIES INC /DE/, 770 COCHITUATE RD, FRAMINGHAM, MA 01701 (508) 390-1000 - 3,050,000 (\$98,553,125) COMMON STOCK. (FILE 33-49747 - JUL. 07) (BR. 13)
- S-8 NOVAMETRIX MEDICAL SYSTEMS INC, 1 BARNES INDUSTRIAL PARK RD, WALLINGFORD, CT 06492 (203) 265-7701 - 150,000 (\$618,750) COMMON STOCK. (FILE 33-65290 - JUN. 30) (BR. 8)
- S-8 INTERSTATE GENERAL CO L P, 222 SMALLWOOD VILLAGE CTR, ST CHARLES, MD 20602 (301) 843-8600 - 850,000 (\$4,781,250) COMMON STOCK. (FILE 33-65300 - JUN. 30) (BR. 9)
- S-8 BANKAMERICA CORP, BANK OF AMERICA CTR, 555 CALIFORNIA ST, SAN FRANCISCO, CA 94104 (415) 622-3530 - 3,486,029 (\$152,513,768) COMMON STOCK. (FILE 33-65326 - JUL. 06) (BR. 1)

REGISTRATIONS CONTINUED

- S-3 SCHWAB CHARLES CORP, 101 MONTGOMERY ST, SAN FRANCISCO, CA 94104 (415) 627-7000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-65342 - JUL. 01) (BR. 12)
- S-4 OLSTEN CORP, OLSTEN BLDG, ONE MERRICK AVE, WESTBURY, NY 11590 (516) 832-8200 - 13,231,573 (\$313,129,360) COMMON STOCK. (FILE 33-65354 - JUL. 01) (BR. 6)
- S-8 SARATOGA SPRING WATER CO, 11 GEYSER RD, SARATOGA SPRINGS, NY 12866 (518) 584-6363 - 466,000 (\$3,555,580) COMMON STOCK. (FILE 33-65356 - JUL. 01) (BR. 11)
- S-8 KIRSCHNER MEDICAL CORP, 9690 DEERCO RD, TIMONIUM, MD 21093 (410) 560-3333 - 400,000 (\$3,150,000) COMMON STOCK. (FILE 33-65358 - JUL. 01) (BR. 8)
- S-8 TODAYS MAN INC, 835 LANCER DR, MOORESTOWN WEST CORPORATE CNTR, MOORESTOWN, NJ 08057 (609) 235-5656 - 800,000 (\$12,000,000) COMMON STOCK. (FILE 33-65360 - JUL. 01) (BR. 2)
- N-2 EUROPE FUND INC, C/O WARBURG INV MANAGEMENT INTERNAT LTD, 780 THIRD AVE, NEW YORK, NY 10017 (212) 751-8340 - 1,668,918 (\$16,806,004) COMMON STOCK. (FILE 33-65368 - JUL. 01) (BR. 17)
- S-8 AIR METHODS CORP, 7301 SOUTH PEORIA, P O BOX 4114, ENGLEWOOD, CO 80112 (303) 790-0587 - 572,881 (\$3,337,031) COMMON STOCK. (FILE 33-65370 - JUL. 01) (BR. 8)
- S-1 GRAFF PAY PER VIEW INC /DE/, 532 BROADWAY, NEW YORK, NY 10012 (212) 941-1434 - 3,754,632 (\$33,791,688) COMMON STOCK. (FILE 33-65394 - JUL. 01) (BR. 7)
- S-8 PUBLIC SERVICE CO OF NEW MEXICO, ALVARADO SQUARE, MS2706, ALBUQUERQUE, NM 87158 (505) 848-2700 - 2,000,000 (\$26,250,000) COMMON STOCK. (FILE 33-65418 - JUL. 01) (BR. 8)
- S-8 DIAL CORP /DE/, DIAL TOWER, DIAL CORPORATE CNTR, PHOENIX, AZ 85077 (602) 207-4000 - 450,000 (\$17,465,625) COMMON STOCK. (FILE 33-65420 - JUL. 02) (BR. 2)
- S-8 DIAL CORP /DE/, DIAL TOWER, DIAL CORPORATE CNTR, PHOENIX, AZ 85077 (602) 207-4000 - 10,000 (\$391,250) COMMON STOCK. (FILE 33-65422 - JUL. 02) (BR. 2)
- S-8 DIAL CORP /DE/, DIAL TOWER, DIAL CORPORATE CNTR, PHOENIX, AZ 85077 (602) 207-4000 - 10,000 (\$391,250) COMMON STOCK. (FILE 33-65424 - JUL. 02) (BR. 2)
- S-3 BEAR STEARNS ASSET BACKED INVESTORS CORP, 245 PARK AVE, NEW YORK, NY 10167 (212) 272-2000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. UNDERWRITER: BEAR STEARNS & CO INC. (FILE 33-65426 - JUL. 01) (BR. 12)
- S-1 ENVIROGEN INC, 4100 QUAKERBRIDGE RD, LAWRENCEVILLE, NJ 08648 (609) 936-9300 - 2,587,500 (\$13,875,469) COMMON STOCK. UNDERWRITER: ALLEN & CO, BLECH D & CO. (FILE 33-65430 - JUL. 02) (BR. 8)
- S-3 ROHR INC, FOOT OF H STREET, CHULA VISTA, CA 91910 (619) 691-4111 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 33-65432 - JUL. 02) (BR. 12)
- S-8 NOVELL INC, 122 EAST 1700 SOUTH, PROVO, UT 84606 (801) 429-7000 - 341,152 (\$8,699,376) COMMON STOCK. (FILE 33-65440 - JUL. 02) (BR. 9)
- S-4 UNIFI INC, 7201 WEST FRIENDLY RD, P O BOX 19109, GREENSBORO, NC 27419 (919) 294-4410 - 2,831,141 (\$20,683,464) COMMON STOCK. (FILE 33-65454 - JUL. 02) (BR. 7)
- N-2 BLACKROCK CALIFORNIA INVESTMENT QUALITY MUNICIPAL TRUST INC, ONE SEAPORT PLAZA, NEW YORK, NY 10292 (212) 214-3332 - 150 (\$7,500,000) PREFERRED STOCK. UNDERWRITER: KIDDER PEABODY & CO INC. (FILE 33-65456 - JUL. 02) (BR. 17)

REGISTRATIONS CONTINUED

- N-2 BLACKROCK NEW JERSEY INVESTMENT QUALITY MUNICIPAL TRUST INC, ONE SEAPORT PLAZA, NEW YORK, NY 10292 (212) 214-3332 - 150 (\$7,500,000) PREFERRED STOCK. UNDERWRITER: KIDDER PEABODY & CO INC. (FILE 33-65458 - JUL. 02) (BR. 17)
- S-8 VIDEOTELECOM CORP/DE/, 108 WILD BASIN RD, AUSTIN, TX 78746 (515) 314-2700 - 230,000 (\$1,092,500) COMMON STOCK. (FILE 33-65464 - JUL. 02) (BR. 7)
- S-8 VIDEOTELECOM CORP/DE/, 108 WILD BASIN RD, AUSTIN, TX 78746 (515) 314-2700 - 100,000 (\$670,000) COMMON STOCK. (FILE 33-65472 - JUL. 02) (BR. 7)
- S-8 PALFED INC, 107 CHESTERFIELD ST S, AIKEN, SC 29801 (803) 642-1400 - 2,176,982 (\$1,087,500) COMMON STOCK. (FILE 33-65480 - JUL. 02) (BR. 2)
- S-8 PALFED INC, 107 CHESTERFIELD ST S, AIKEN, SC 29801 (803) 642-1400 - 150,000 (\$1,087,500) COMMON STOCK. (FILE 33-65482 - JUL. 02) (BR. 2)
- S-8 PALFED INC, 107 CHESTERFIELD ST S, AIKEN, SC 29801 (803) 642-1400 - 200,000 (\$1,450,000) COMMON STOCK. (FILE 33-65484 - JUL. 02) (BR. 2)
- S-1 VICAL INC, 9373 TOWNE CENTRE DR, STE 100, SAN DIEGO, CA 92121 (619) 453-9900 - 2,300,000 (\$18,975,000) COMMON STOCK. (FILE 33-65490 - JUL. 02) (BR. 4)
- S-1 MARVEL HOLDINGS INC, 38 EAST 63RD ST, NEW YORK, NY 10021 (212) 688-9000 - 259,273,707 (\$149,999,977) STRAIGHT BONDS. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-65496 - JUL. 02) (BR. 12)
- S-1 LANDRYS SEAFOOD RESTAURANTS INC, 1400 POST OAK BLVD, STE 1010, HOUSTON, TX 77056 (713) 850-1010 - 2,300,000 (\$27,600,000) COMMON STOCK. UNDERWRITER: BRADFORD J C & CO, DEAN WITTER REYNOLDS INC. (FILE 33-65498 - JUL. 02) (BR. 11 - NEW ISSUE)
- S-8 CHINA INTERNATIONAL TRUST & INVESTMENT CORP, TWO WORLD TRADE CENTER, STE 2250, NEW YORK, NY 10048 - 150,000,000 (\$150,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-65500 - JUL. 02) (BR. 12 - NEW ISSUE)
- S-3 AUTOMOTIVE INDUSTRIES HOLDING INC, 4508 IDS CENTER, MINNEAPOLIS, MN 55402 (612) 332-6828 - 5,290,000 (\$140,185,000) COMMON STOCK. UNDERWRITER: KIDDER PEABODY & CO INC, LEHMAN BROTHERS. (FILE 33-65502 - JUL. 02) (BR. 5)
- S-2 VICTORIA FINANCIAL CORP, 5915 LANDEBROOK DR, CLEVELAND, OH 44124 (216) 461-3461 - 1,380,000 (\$16,387,500) COMMON STOCK. (FILE 33-65508 - JUL. 02) (BR. 10)
- F-6 CHAMPION TECHNOLOGY HOLDINGS LTD, 111 WALL STREET 5TH FL, NEW YORK, NY 10043 (212) 657-7691 - 10,000,000 (\$500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-65522 - JUL. 02) (NEW ISSUE)
- S-3 CYGNUS THERAPEUTIC SYSTEMS, 400 PENOBSCOT DR, REDWOOD CITY, CA 94063 (415) 369-4300 - 3,450,000 (\$22,856,250) COMMON STOCK. UNDERWRITER: HAMBRECHT & QUIST INC, PATNEWEBBER INC. (FILE 33-65524 - JUL. 02) (BR. 4)
- S-3 IMMUNE RESPONSE CORP, 5935 DARWIN COURT, CARLSBAD, CA 92008 (619) 431-7080 - 1,226,144 (\$15,326,800) COMMON STOCK. (FILE 33-65528 - JUL. 02) (BR. 4)
- S-8 ATHENA NEUROSCIENCES INC/DE, 800F GATEWAY BLVD, SOUTH SAN FRANCISCO, CA 94080 (415) 877-0900 - 50,000 (\$425,000) COMMON STOCK. (FILE 33-65534 - JUL. 02) (BR. 4)
- S-8 ATHENA NEUROSCIENCES INC/DE, 800F GATEWAY BLVD, SOUTH SAN FRANCISCO, CA 94080 (415) 877-0900 - 650,000 (\$5,525,000) COMMON STOCK. (FILE 33-65536 - JUL. 02) (BR. 4)
- S-8 BLYTH HOLDINGS INC, 1065 E HILLSDALE BLVD #300, FOSTER CITY, CA 94404 (415) 571-0222 - 1,340,000 (\$20,167,000) COMMON STOCK. (FILE 33-65538 - JUL. 02) (BR. 10)

REGISTRATIONS CONTINUED

- S-8 SEEQ TECHNOLOGY INC, 47131 BAYSIDE PARKWAY, FREMONT, CA 94538 (510) 226-7400 - 1,400,000 (\$1,487,500) COMMON STOCK. (FILE 33-65544 - JUL. 02) (BR. 3)
- S-8 W W CAPITAL CORP, METRO NORTH BLDG STE 200, 11990 GRANT ST, NORTHGLENN, CO 80233 (303) 452-5000 - 350,000 (\$175,000) COMMON STOCK. (FILE 33-65554 - JUL. 02) (BR. 6)
- S-3 ATRIX INTERNATIONAL INC, 14301 EWING AVE S, BURNSVILLE, MN 55337 (612) 894-6154 - 1,022,498 (\$1,789,371) COMMON STOCK. (FILE 33-65556 - JUL. 02) (BR. 10)
- S-8 HEALTH MANAGEMENT SYSTEMS INC, 401 PARK AVE S, NEW YORK, NY 10016 (212) 685-4545 - 1,300,000 (\$16,692,000) COMMON STOCK. (FILE 33-65560 - JUL. 02) (BR. 10)
- S-8 BIOSOURCE INTERNATIONAL INC, 950 FLYNN RD STE A, CAMARILLO, CA 93012 (805) 987-0086 - 600,000 (\$1,275,000) COMMON STOCK. (FILE 33-65562 - JUL. 02) (BR. 4)
- S-8 ACCESS HEALTH MARKETING INC, 11020 WHITE ROCK ROAD, CORDOVA, CA 95670 (916) 851-4000 - 400,000 (\$3,418,800) COMMON STOCK. (FILE 33-65564 - JUL. 02) (BR. 6)
- S-8 RESHONE INTERNATIONAL INVESTMENT GROUP LTD, 14724 VENTURA BLVD STE 1000, C/O BRUCE VARON, SHERMAN OAKS, CA 91403 (818) 783-5223 - 15,000 (\$148,125) COMMON STOCK. (FILE 33-65566 - JUL. 02) (BR. 12)
- S-8 UNOCAL CORP/DE, 1201 W FIFTH ST, LOS ANGELES, CA 90017 (213) 977-7600 - 5,000,000 (\$148,125,000) COMMON STOCK. (FILE 33-65576 - JUL. 06) (BR. 11)
- S-8 INTERNATIONAL MICROELECTRONIC PRODUCTS INC, 2830 N FIRST ST, SAN JOSE, CA 95134 (408) 432-9100 - 500,000 (\$1,015,500) COMMON STOCK. (FILE 33-65578 - JUL. 02) (BR. 3)
- S-8 JEFFERSON SAVINGS BANCORP INC, 14915 MANCHESTER RD, BALLWIN, MO 63011 (314) 227-3000 - 429,812 (\$4,590,420) COMMON STOCK. (FILE 33-65586 - JUL. 02) (BR. 1)
- S-4 MOBILE NATIONAL CORP, 68 ST FRANCIS ST, P O BOX 3067, MOBILE, AL 36652 (205) 431-7800 - 1,556,530 (\$11,300,407) COMMON STOCK. (FILE 33-65588 - JUL. 02) (BR. 1)
- S-8 AUGAT INC, 89 FORBES BLVD, P O BOX 448, MANSFIELD, MA 02048 (508) 543-4300 - 600,000 (\$9,975,000) COMMON STOCK. (FILE 33-65590 - JUL. 02) (BR. 3)
- S-3 KOHLS CORPORATION, N54 W13600 WOODALE DR, MENOMONEE FALLS, WI 53051 (414) 783-5800 - 5,750,000 (\$209,875,000) COMMON STOCK. UNDERWRITER: BAIRD ROBERT W & CO INC, BLAIR WILLIAM & CO, MONTGOMERY SECURITIES, MORGAN STANLEY & CO INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-65592 - JUL. 02) (BR. 2)
- S-3 BETHLEHEM STEEL CORP /DE/, 1170 EIGHTH AVE, BETHLEHEM, PA 18016 (215) 694-2424 - 90,000,000 (\$90,000,000) STRAIGHT BONDS. UNDERWRITER: SALOMON BROTHERS INC. (FILE 33-65594 - JUL. 02) (BR. 6)
- S-1 ATA RESEARCH PROFUTURES DIVERSIFIED FUND L P, 6500 GREENVILLE AVE STE 300, DALLAS, TX 75206 (214) 373-7606 - 26,363 (\$50,000,856) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-65596 - JUL. 02) (BR. 12)
- S-8 MIDWEST BANCSHARES INC /DE/, 3225 DIVISION ST, BURLINGTON, IA 52601 (319) 754-6526 - 45,500 (\$524,671) COMMON STOCK. (FILE 33-65598 - JUL. 02) (BR. 1)
- S-3 OWENS & MINOR INC, 4800 COX RD, GLEN ALLEN, VA 23060 (804) 747-9794 - 238,094 (\$4,345,215) COMMON STOCK. (FILE 33-65602 - JUL. 02) (BR. 9)
- S-3 IRT PROPERTY CO, 200 GALLERIA PKWY STE 1400, ATLANTA, GA 30339 (404) 955-4406 - 500,000 (\$6,026,550) COMMON STOCK. (FILE 33-65604 - JUL. 06) (BR. 5)
- S-8 OWENS & MINOR INC, 4800 COX RD, GLEN ALLEN, VA 23060 (804) 747-9794 - 200,000 (\$3,650,000) COMMON STOCK. (FILE 33-65606 - JUL. 02) (BR. 9)

REGISTRATIONS CONTINUED

- S-8 PRINCETON DIAGNOSTIC LABORATORIES OF AMERICA INC, 100 CORPORATE COURT, SOUTH PLAINFIELD, NJ 07080 (908) 769-8500 - 319,667 (\$279,708) COMMON STOCK. (FILE 33-65610 - JUN. 28) (BR. 6)
- S-8 PRODUCTION OPERATORS CORP, 11302 TANNER RD, HOUSTON, TX 77041 (713) 466-0980 - 350,000 (\$7,367,500) COMMON STOCK. (FILE 33-65612 - JUL. 06) (BR. 4)
- S-8 MODAMI SERVICES INC, 2255 GLADES RD STE 200 E, BOCA RATON, FL 33431 (407) 995-0605 - 150,000 (\$318,750) COMMON STOCK. (FILE 33-65614 - JUL. 02) (BR. 3)
- S-8 BADGER METER INC, 4545 W BROWN DEER RD, C/O CORPORATE SECRETARY, MILWAUKEE, WI 53223 (414) 355-0400 - 100,000 (\$2,037,500) COMMON STOCK. (FILE 33-65618 - JUN. 30) (BR. 8)
- S-11 CHASE MORTGAGE FINANCE CORP, ONE CHASE MANHATTAN PLZ, C/O A HARDY EUBANKS III, NEW YORK, NY 10081 (212) 552-1358 - 3,000,000,000 (\$3,000,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-65622 - JUL. 06) (BR. 11)
- S-8 GAYLORD ENTERTAINMENT CO, 2802 OPRYLAND DRIVE, NASHVILLE, TN 37214 (615) 871-6776 - 150,000 (\$6,487,500) COMMON STOCK. (FILE 33-65624 - JUL. 01) (BR. 7)
- S-8 MODAMI SERVICES INC, 2255 GLADES RD STE 200 E, BOCA RATON, FL 33431 (407) 995-0605 - 25,000 (\$62,500) COMMON STOCK. (FILE 33-65628 - JUN. 24) (BR. 3)
- S-1 INTERDIGITAL COMMUNICATIONS CORP, 2200 RENAISSANCE BLVD STE 105, KING OF PRUSSIA, PA 19406 (215) 278-7800 - 2,070,000 (\$51,750,000) PREFERRED STOCK. UNDERWRITER: OPPENHEIMER & CO INC, PRUDENTIAL SECURITIES INC. (FILE 33-65630 - JUL. 06) (BR. 8)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AER ENERGY RESOURCES INC LINDSETH JON A ET AL	COM 13D	7/ 1/93	5,270 37.3	00094410 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
AER ENERGY RESOURCES INC LINDSETH JON A ET AL	COM 13D	7/ 1/93	5,270 37.3	00094410 0.0	RVISION
ARIS INDS INC APOLLO ARIS PARTNERS LP	COM 13D	6/30/93	9,111 76.6	04040110 0.0	NEW
ASTEC INDS INC OVERSEAS LENDING CORP ET AL	COM 13D	6/15/93	277 5.9	04622410 11.2	UPDATE
ASTEC INDS INC OVERSEAS LENDING CORP ET AL	COM 13D	6/15/93	277 5.9	04622410 11.2	RVISION
BOSTON FIVE BANCORP INC ALPINE ASSOCIATES ET AL	COM 13D	7/ 2/93	377 5.3	10066210 0.0	NEW
BOSTON FIVE BANCORP INC ALPINE ASSOCIATES ET AL	COM 13D	7/ 2/93	377 5.3	10066210 0.0	RVISION
CANTON INDUSTRIAL A-Z PROFESSIONAL CONSULTANTS	COM 13D	6/10/93	370 5.0	13821930 37.1	UPDATE
CANTON INDUSTRIAL SENKOVSKI ALEXANDER W IRREV TR	COM 13D	6/10/93	686 9.3	13821930 0.0	NEW
CHALLENGER INTL LTD CFM INTL LTD ET AL	COM 13D	6/30/93	683 7.0	15758210 5.6	UPDATE
CHALLENGER INTL LTD CFM INTL LTD ET AL	COM 13D	6/30/93	683 7.0	15758210 5.6	RVISION
CODENOLL TECHNOLOGY CORP HOECHST CELANESE CORP	COM 13D	7/ 2/93	726 15.7	19190010 0.0	NEW
CYPROS PHARMACEUTICAL CORP LEVINE BERNARD B	COM 13D	6/30/93	471 16.7	23280810 0.0	NEW
ELCO INDS INC ELCO INDS INC ESOP	COM 13D	6/25/93	358 7.2	28442010 6.0	UPDATE
ENCLEAN INC RUST INTL INC ET AL	COM 14D-1	7/12/93	158 2.7	29254210 0.0	NEW
ENEX OIL GAS INCOME PROG I ENEX ACQUISITION CORP	LTD INT 13D	6/30/93	N/A N/A	29274388 0.0	UPDATE
ENEX OIL GAS INCOME PROG I ENEX ACQUISITION CORP	LTD INT 13D	6/30/93	N/A N/A	29274388 0.0	RVISION
EVERGREEN HEALTHCARE INC COOK PETER C ET AL	COM 13D	6/30/93	715 7.7	30023910 0.0	NEW
EVERGREEN HEALTHCARE INC HAVEMAN ROBERT ET AL	COM 13D	6/30/93	894 9.7	30023910 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
EVERGREEN HEALTHCARE INC HUIZENGA PETER ET AL	COM 13D	6/30/93	1,430 15.5	30023910 0.0	NEW
EVERGREEN HEALTHCARE INC PETTY WILLIAM G JR ET AL	COM 13D	6/30/93	907 9.8	30023910 0.0	NEW
FMS FINANCIAL CORP YATES CHARLES B	COM 13D	6/28/93	142 11.0	30250910 10.8	UPDATE
FINANCIAL INSTNS INS GROUP FYFE JOHN F	COM 13D	7/ 1/93	55 5.1	31758810 0.0	NEW
FINANCIAL INSTNS INS GROUP FYFE JOHN F	COM 13D	7/ 1/93	55 5.1	31758810 0.0	RVSTION
FIRST FINL FD INC TIGER ET AL	COM 13D	6/30/93	2,132 22.6	32022810 28.7	UPDATE
FIRST FINL FD INC TIGER ET AL	COM 13D	6/30/93	2,132 22.6	32022810 28.7	RVSTION
FUTURE HEALTHCARE INC CHEMED CORP	COM 13D	6/29/93	256 7.5	36091110 0.0	NEW
FUTURE HEALTHCARE INC CHEMED CORP	COM 13D	6/29/93	256 7.5	36091110 0.0	RVSTION
FUTURE HEALTHCARE INC HARPEL JAMES W ET AL	COM 13D	6/29/93	318 10.3	36091110 6.3	UPDATE
FUTURE HEALTHCARE INC HARPEL JAMES W ET AL	COM 13D	6/29/93	318 10.3	36091110 6.3	RVSTION
HUGHES SUPPLY INC MARTIN DONALD C	COM 13D	6/30/93	272 6.5	44448210 0.0	NEW
HUGHES SUPPLY INC MARTIN DONALD C	COM 13D	6/30/93	272 6.5	44448210 0.0	RVSTION
ICO INC BAKER HUGHES INC	COM 13D	7/ 9/93	400 5.7	44929420 11.4	UPDATE
ICO INC BAKER HUGHES INC	COM 13D	7/ 9/93	400 5.7	44929420 11.4	RVSTION
ICO INC PACHOLDER ASSOC	COM 13D	7/ 1/93	1,692 22.8	44929420 22.8	UPDATE
ICO INC PACHOLDER ASSOC	COM 13D	7/ 1/93	1,692 22.8	44929420 22.8	RVSTION
INSIGNIA FINANCIAL GROUP SECURITY PROPERTIES INV INC	COM CL A 13D	6/30/93	2,486 12.3	45795610 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
MARCADE GROUP INC GOREN JAMES G ET AL	COM 13D	6/30/93	9,111 76.6	56613910 100.0	UPDATE
MARCADE GROUP INC GOREN JAMES G ET AL	COM 13D	6/30/93	9,111 76.6	56613910 100.0	RVSIOW
MARCADE GROUP INC LIFTON ROBERT K ET AL	COM 13D	6/30/93	9,111 76.6	56613910 100.0	UPDATE
MARCADE GROUP INC LIFTON ROBERT K ET AL	COM 13D	6/30/93	9,111 76.6	56613910 100.0	RVSIOW
MARINE DRILLING CO INC KEYES WILLIAM O	COM PAR \$0.01 13D	6/29/93	1,528 4.0	56824020 6.0	UPDATE
MARTIN LAWRENCE LTD EDITIONS HOLDERER ERICH	COM 13D	7/ 6/93	296 5.0	57326910 0.0	NEW
MILES HOMES INC DEGEORGE PETER R ET AL	COM 13D	7/ 6/93	4,880 56.6	59926810 56.6	UPDATE
MILES HOMES INC DEGEORGE PETER R ET AL	COM 13D	7/ 6/93	4,880 56.6	59926810 56.6	RVSIOW
MUELLER INDS INC SOROS GEORGE ET AL	COM 13D	7/ 7/93	4,700 48.7	62475610 47.5	UPDATE
MUELLER INDS INC SOROS GEORGE ET AL	COM 13D	7/ 7/93	4,700 48.7	62475610 47.5	RVSIOW
NATIONAL INTERGROUP INC CENTAUR PARTNERS IV ET AL	COM 13D	6/24/93	4,344 22.1	63654010 20.2	UPDATE
PROSPECT GROUP INC DAVIDSON M H & CO	COM 13D	7/ 6/93	203 8.0	74291850 5.8	UPDATE
PROLER INTL CORP TIGER ET AL	COM 13D	7/ 1/93	767 16.3	74339610 15.3	UPDATE
SECURITY FIRST CORP ANDERSON ROBERT L	COM 13D	6/30/93	93 5.6	81499610 5.1	UPDATE
SECURITY FIRST CORP ANDERSON ROBERT L	COM 13D	6/30/93	93 5.6	81499610 5.1	RVSIOW
SISKON GOLD CORP BATTLE MTN GOLD CO	COM CL A 13D	7/ 6/93	437 6.0	82971520 6.4	UPDATE
SYSTEMS CTR INC COOK ROBERT E	COM 13D	7/ 1/93	0 0.0	87189310 13.8	UPDATE
SYSTEMS CTR INC COOK ROBERT E	COM 13D	7/ 1/93	0 0.0	87189310 13.8	RVSIOW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
TRITON GROUP LTD NEW MORGENS E & WATERFALL B ET AL	COM 13D	6/25/93	2,090 10.5	89675710 0.0	NEW
TRITON GROUP LTD NEW MORGENS E & WATERFALL B ET AL	COM 13D	6/25/93	2,090 10.5	89675710 0.0	RVISION
TUCSON ELEC PWR CO PHILIP MORRIS CAPITAL ET AL	COM 13D	7/ 7/93	10,504 6.5	89881310 15.7	UPDATE
TUCSON ELEC PWR CO PHILIP MORRIS CAPITAL ET AL	COM 13D	7/ 7/93	10,504 6.5	89881310 15.7	RVISION
WETTERAU PPTYS INC CUTLER MELVIN S ET AL	COM 13D	6/30/93	69 5.0	96200410 0.0	NEW
WITH DESIGN IN MIND INTL INC DECO DISC INDS	COM NO PAR 13D	7/ 2/93	250 7.5	97739520 0.0	NEW