# FEDERAL COMMUNICATIONS COMMISSION



# WIRELESS TELECOMMUNICATIONS BUREAU FEE REQUIREMENTS FOR FCC 605 EFFECTIVE September 4, 2012

UNIVERSAL LICENSING SYSTEM

Part 13 Restricted Radiotelephone Service

Part 13 Commercial Radio Service

Part 80 Ship Radio Service

Part 87 Aircraft Radio Service

Part 95 General Mobile Radio Service

Part 97 Amateur Radio Service

This is an unofficial compilation of the radio services and requests for FCC 605 actions that are subject to fees. The public should consult the Commission's Rules as set out in Title 47 of the Code of Federal Regulations (CFR) for application filing requirements. Further information on fees may be obtained in Part 1, Subpart G of the CFR or in the Commission's official decision implementing the Congressional Schedule of Charges. This decision is published in the FCC Record or may be purchased from the Commission's current copy contractor.

The fee amounts contained in this guide are subject to review annually and may result in changes to these amounts. The FCC will issue a notice to reflect any changes.

# QUICK-FORM APPLICATION FOR AUTHORIZATION IN THE SHIP, AIRCRAFT, AMATEUR, RESTRICTED AND COMMERCIAL OPERATOR, AND GENERAL MOBILE RADIO SERVICES

The instructions that follow are for filing FCC 605 applications. Determine the radio service and purpose of filing in the information that follows. For information on ULS electronic filing and most current information, visit WTB's website at <a href="http://wireless.fcc.gov/uls">http://wireless.fcc.gov/uls</a> or call (877) 480-3201 (TTY 717-338-2824). To provide quality service, all telephone calls are recorded. Fee information and mailing requirements for electronic filing are also provided on-line when the application is submitted.

If you wish to conduct business with the FCC, you need an FCC Registration number (FRN). This number is mandatory for a filing and used to uniquely identify you in all transactions with the FCC. It is important to have the FRN available when contacting or submitting documents to the FCC. Unless the payer and the applicant are the same person or entity, a separate FRN number must be used for the payer and for the applicant. If you are acting as an agent for an entity, and the remittance (*i.e.*, check or credit card) carries your name as an official designated to pay on behalf of the entity, you must include the entity's name both as payer and application in order to use the same FRN. Failure to provide your FRN will result in your application being returned as unprocessable.

# **OBTAINING YOUR FCC REGISTRATION NUMBER (FRN)**

- Go to www.fcc.gov, click FCC Registration Number (FRN) Commission Registration System (CORES) link at the left of the page.
- Check your pre-assigned number at <a href="https://www.fcc.gov">www.fcc.gov</a>, <a href="https://www.fcc.gov">FCC Registration Number (FRN) Commission Registration System (CORES)</a> link at the left of the page.
- File FCC 160, (CORES Registration). You may obtain the form at <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a>, by calling (877) 480-3201 (TTY 717-338-2824), or by calling the FCC's Fax Information System by dialing (202) 418-0177, catalog ID #3000160. Mailing instructions are on the form.

If you are unable to register electronically, you can still submit your application for a Registration Number (FCC 160) directly to U. S. Bank (along with your filing documents and payment) or fax the FRN registration form to the CORES Helpdesk at (202) 418-7869 for some filing procedures. U. S. Bank will register you and you will receive a confirmation letter from the FCC through the U.S. Postal Service mail.

#### PASSWORD RESET

If you forget your password for your FRN:

- Go to www.fcc.gov and click on the FCC Registration Number (FRN) Commission Registration System (CORES) link at the left of the page and then click on UPDATE. This will take you to where you originally registered. Under the block where you are to enter your password, click on online password reset and the system will walk you through to reset your password.
- You can request a password reset at <a href="https://esupport.fcc.gov/password.htm">https://esupport.fcc.gov/password.htm</a>.
- You can request a Personal Security Question (PSQ) at <a href="https://esupport.fcc.gov/psq.htm">https://esupport.fcc.gov/psq.htm</a>
- Questions regarding PSQ and password resets can be addressed by calling the FCC at 1-877-480-3201.

# METHOD OF PAYMENT (DO NOT SEND CASH)

**NOTE:** The U.S. Treasury will reject Credit Card transactions greater than \$49,999.99. This limit includes multiple transactions on the same Credit Card totaling more than this limit in a single day. For transactions greater than \$49,999.99, an alternative method of payment must be used. Payment methods can be found at <a href="http://www.fcc.gov/fees">http://www.fcc.gov/fees</a>. {Reference: Treasury Bulletin No. 2005-03 (<a href="http://www.fms.treas.gov/tfm/vol1/bull.html">http://www.fms.treas.gov/tfm/vol1/bull.html</a>).}

- Online Payment Online credit card payments are encouraged. Online credit card payment is an available option when filing
  electronic application submissions. The Commission will accept Visa, MasterCard, American Express, and Discover credit cards. If
  you choose this method of combined filing/payment, do not send an FCC 159 to U. S. Bank.
- Pay Fees Individual ULS applicants who have already submitted applications earlier but did not pay while filing can use Pay Fees. Visit http://wireless.fcc.gov/uls/ and click on Pay Fees under the 'Quick Links'. For ULS users who submit a large volume of applications for wireless licenses using <a href="Electronic Batch Filing (EBF)">Electronic Batch Filing (EBF)</a>, Pay Fees enable you to pay your fees online by credit card and submit FCC 159 applications simultaneously. The Commission will accept Visa, MasterCard, American Express, and Discover credit cards. The applicant must remit payment within 10 calendar days of submitting the application, or the application will be dismissed. Payment received more than ten (10) days after electronic filing of an application on a Bureau/Office electronic filing system (e.g., ULS) will be forfeited (see §§1.934 and 1.1111).

FCC 1070Y Sept 2012 • Mail In Payment - Applicants can file online and not make a payment immediately and print the FCC 159 provided at the end of the submission. The FCC 159 along with form of payment should then be submitted to Federal Communications Commission, P. O. Box 979097, St. Louis, MO 63197-9000. Again, the applicant must remit payment within 10 calendar days of submitting the application, or the application will be dismissed. Payment received more than ten (10) days after electronic filing of an application on a Bureau/Office electronic filing system (e.g., ULS) will be forfeited (see §\$1.934 and 1.1111). Payment of fees may be made by check, bank draft, money order, credit card or wire transfer. If paying by check, bank draft, money order or wire transfer, your remittance must be denominated in U.S. dollars, drawn on a U.S. financial institution and made payable to "FCC." No postdated, altered or third party checks will be accepted. No checks will be accepted for processing if older than six months. The Commission will accept Visa, MasterCard, American Express, and Discover credit cards.

If paying by wire, applicants located in foreign countries should contact their local bank to determine what U.S. financial institution their bank is affiliated with that will allow a transfer of funds. Please keep in mind that there may be an additional transfer fee added from your bank for this service. If an additional cost is required by your bank, and you have not included additional funds to cover this cost, it will be taken out of the funds that will be transferred. This will result in your remaining transfer amount being insufficient to cover the fee for the filing. Please check with your bank prior to completing this transaction to determine their policy. For further instructions in making payment by wire see our website at <a href="http://www.fcc.gov/fees/wiretran.html">http://www.fcc.gov/fees/wiretran.html</a>.

Payment of fees, fines, and other debts may also be made by electronic payment. There are two types of electronic payments available. The first type is designed specifically for larger corporations capable of CPU/CPU communication. Under this method, the payer bank wires funds directly to the Commission's lockbox bank. As with cash payments, the funds must be wired from a U.S. financial institution. The second type is designed for smaller businesses and individuals. Under this method, the lockbox bank is given authority to withdraw funds from the customer's bank account. Authorization can be transmitted by a personal computer or by calling a toll-free number. To obtain more information about electronic payment and how it works, please contact the Revenue and Receivables Operations Group at (202) 418-1995. For further information on making payment by electronic transfer see our website at <a href="http://www.fcc.gov/fees/electran.html">http://www.fcc.gov/fees/electran.html</a>.

# **REMITTANCE ADVICE - FCC 159**

The Remittance Advice, FCC 159, must accompany payment to the Federal Communications Commission for Regulatory Fees, Application Processing Fees, Fines, Forfeitures, Freedom of Information Act (FOIA) billings or any other debt due to the FCC. The information on this form is collected and stored in a database to ensure credit of full payment of monies due, to expedite any refunds due, and to service public inquiries. Please refer to Part B/C of this guide for specific form requirements. Reproduced forms are acceptable. FCC 159C is a remittance advice continuation sheet that must be used when paying for more than two call signs in a single filing or when paying for multiple applicants in a single filing. Each call sign and/or applicant must be listed separately on the FCC 159/FCC 159C.

Ways to obtain an FCC 159:

- Go to <a href="http://www.fcc.gov/formpage.html">http://www.fcc.gov/formpage.html</a>.
- Call the FCC's Form Distribution Center at 1-800-418-FORM [3676].
- Call the FCC's Fax Information System by dialing (202) 418-0177, catalog ID #3000159.
- Call the FCC at (877) 480-3201 (TTY 717-338-2824).

# DEBT COLLECTION IMPROVEMENT ACT

In accordance with the Debt Collection Improvement Act (DCIA), the Commission will withhold action on applications and other requests for benefits upon discovery that the entity applying for or seeking benefits is delinquent in its non-tax debts owed to the Commission, and dismiss such applications or requests if the delinquent debt is not resolved. The Commission's application fee rules have been amended to state that if payment of the delinquent debt is not made or satisfactory arrangements for payment are not made, we will withhold action on applications and other requests.

# FEE EXEMPT APPLICATIONS

Please check the appropriate block on your application and provide the proper documentation, as required, to certify that your application is fee exempt. 47 CFR, Part 1, § 1.1116 of the Commission's rules explains who qualifies as fee exempt.

# MANAGING DIRECTOR DECISIONS

Requests for waivers, fee determinations, reconsiderations, applications for review, deferments, and specific refund requests are referred directly to the Office of the Managing Director. Each request is forwarded to the Office of General Counsel for review and legal determination. 47 CFR, Part 1, §§ 1.1115 & 1.1119 govern the Commission's policies in these instances. The requester will receive written notification of the Managing Director's decision. These decisions are published monthly and are placed in FCC Docket 86-285. All such requests must be submitted in writing to:

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# Managing Director Federal Communications Commission 445 12<sup>th</sup> Street, SW, Room 1A625 Washington, DC 20554

# WAIVER OF APPLICATION FEE, FEE DETERMINATIONS, AND DEFERRAL OF APPLICATION FEE PROCESS

The required filing fee must be paid for the service requested using the normal process. All requests for waiver of application fee and fee determinations are filed directly with the Managing Director in Washington, DC. If the Commission grants the waiver request or the fee determination results in a lower fee, a refund will be issued. Deferral of application fees are also filed in Washington, DC, and have a limit of up to six months with good cause. Deferrals of fees are considered when the inability to pay the required fee is due to a financial hardship (*i.e.*, bankruptcy), and must be accompanied by supporting documentation.

#### REFUND PROCESS

The appropriate Bureau/Office handles routine refund requests. When a Bureau/Office determines that a refund is warranted, it is forwarded to the Office of the Managing Director, Revenue and Receivables Operations Group for processing. The Revenue and Receivables Operations Group (RROG) handles all approved refund requests. Once the refund request is approved, the refund process usually takes 7-10 business days. Once a request has been reviewed and processed by RROG, it is forwarded to the U.S. Treasury in San Francisco, California where a check is issued to the payer of the remittance. For further information on return or refund of charges, refer to 47 CFR, Part 1, § 1.1115 of the Commission's rules.

# MAILING INSTRUCTIONS

**Paper applications requiring a fee** must be submitted to Federal Communications Commission, P. O. Box 979097, St. Louis, MO, 63197-9000 or hand delivered to the U.S. Bank, Attn: FCC Government Lockbox #979097, SL-MO-C2-GL, 1005 Convention Plaza, St. Louis, MO 63101. **This address is for hand or courier delivery only.** 

Parties hand-delivering applications or filings may receive dated receipt copies of the application or filing from the acceptance clerk at the time of delivery. Receipts will be provided for mail-in applications or filings if an extra copy of the application or filing is provided along with a self-addressed, stamped envelope. Only one piece of paper per application will be stamped for receipt purposes. A "stamp and receipt" copy must be placed on top of the original package and clearly identified as a return copy.

**Non-feeable paper applications** should be mailed to Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245. Hand-deliveries and messenger-deliveries should be delivered to Federal Communications Commission, 1280 Fairfield Road, Gettysburg, PA 17325.

#### **OBTAINING FORMS**

All FCC forms can be downloaded from FCC's website at http://www.fcc.gov/formpage.html, by calling the FCC's Forms Distribution Center at (800) 418-FORM (3676); or the FCC's Fax Information System by dialing (202) 418-0177.

# **OUESTIONS**

If you have questions regarding your application, fee, or information on CORES, call (877) 480-3201 (TTY 717-338-2824). To provide quality service and ensure security, all telephone calls are recorded.

If mailing otherwise, use the appropriate mailing address noted below.

FCC 1070Y Sept 2012 Further fee exemptions may be identified with a specific radio service in this guide. See 47 CFR, Part 1, § 1.1116 of the Commission's rules for further explanation of who qualifies as a fee exemption.

The following are exempt from ALL fee requirements:

- Governmental Entities.
- Requests for <u>Special Temporary Authority in the Ship and Aircraft Radio Services.</u>
- <u>Amateur</u> (except Vanity).

The following are exempt from either application and/or regulatory fee requirements:

- <u>Non-profit applicants</u> are required to pay the application fee. However, they are exempt from paying the regulatory fee, provided a current Determination Letter accompanies the application from the Internal Revenue Service documenting their non-profit status under IRS Code § 501 or current certification as a non-profit corporation or other non-profit entity by state or other governmental authority.
- No regulatory fee is required for <u>Commercial Radio Operators</u> Licenses.
- No application fee is required for <u>Amateur Vanity</u> licenses.

# RESTRICTED RADIOTELEPHONE OPERATOR PERMIT WHO NEEDS A RESTRICTED RADIOTELEPHONE OPERATOR PERMIT?

At least one person holding a Restricted Radiotelephone Operator Permit is required aboard stations in the maritime and aviation services when:

1) Making international flights, voyages, or communications; 2) using frequencies under 30 MHz; 3) using a satellite ship earth station, or 4) operating a vessel subject to the Bridge to Bridge Act (including domestic operation).

# WHO DOESN'T NEED A RESTRICTED RADIOTELEPHONE OPERATOR PERMIT?

#### For mobile stations:

1) On board a voluntarily equipped ship using only VHF frequencies on domestic voyages <u>OR</u> using a radar, EPIRB, survival craft, or on-board station; 2) on board an aircraft using only VHF frequencies on domestic voyages <u>OR</u> using radar, radio altimeter, transponder, or other automated radionavigation transmitter; or 3) at a domestically operated marine utility station.

#### For fixed stations:

1) At shore radar, shore radiolocation, maritime support, or shore radionavigation stations; 2) at a coast station or aeronautical ground station using only VHF frequencies; or 3) at an aeronautical enroute station which automatically transmits digital communications to aircraft.

You no longer need a Restricted Radiotelephone Operator Permit to operate a Broadcast station (e.g., AM, FM, TV). This requirement was eliminated on 12/1/95 (Report and Order, MM Docket No. 94-130 released 10/23/95).

# **Restricted Radiotelephone Operator**

NOTE: Aliens who are not legally eligible for employment in the United States should also use FCC 605.

#### **New (Lifetime Permit)**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PARR \$60.00 Fee

#### **Duplicate/Replacement Permit**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PADM \$60.00 Fee

#### **Commercial Radio Operator**

Renewal or Renewal/Modification of Marine Radio Operator Permit, GMDSS Radio Operator License, GMDSS Restricted Radio Operator License, GMDSS Radio Maintainer License, GMDSS Radio Operator/Maintainer License, or First, Second or Third Class Radiotelegraph Operator Certificate

- FCC 605
- FCC 159
- Payment/Fee Type Code: PACS \$60.00 Fee

#### **Duplicate**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PADM \$60.00 Fee

PART 13 FEE EXEMPTIONS: General Radio Operators License (Lifetime); purposes of New, Modification, Administrative Update, Amendment to a Pending Application, Cancel and Withdrawal for the following are fee exempt: Marine Radio Operator Permit; GMDSS Radio Operator License; GMDSS Restricted Radio Operator License; GMDSS Radio Maintainer License; GMDSS Radio Operator/Maintainer License; First, Second or Third Class Radiotelegraph Operator Certificates; and Six Months Service Endorsements or Ship Radar Endorsements.

**PART 13 PHOTOGRAPH REQUIREMENTS:** All applications for First, Second or Third Class Radiotelegraph Operator Certificates should include two signed passport-sized photographs except Cancels and Withdrawals.

PART 13 PROOF-OF-PASSING CERTIFICATES (PPC): All applications for purpose of NEW for the following license types require a PPC to be submitted with their filing unless filed by a Commercial Operator License Examination Manager (COLEM): General Radio Operators License (Lifetime), Marine Radio Operator Permit, GMDSS Radio Operator License, GMDSS Restricted Radio Operator License, GMDSS Radio Maintainer License, GMDSS Radio Operator/Maintainer License, and First, Second or Third Class Radiotelegraph Operator Certificates. Six Months Service Endorsements or a Ship Radar Endorsement also require a PPC. Send a completed FCC 605 for endorsements with the required documentation to the Gettysburg office.

# PART 13 MAILING ADDRESSES:

Filings requiring a fee should be mailed to:

Federal Communications Commission

P. O. Box 979097

St. Louis, MO 63197-9000

Filings not requiring a fee should be mailed to:

Federal Communications Commission

1270 Fairfield Road

Gettysburg, PA 17325-7245

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# Part 80 Ship Radio Service

The instructions that follow are for filing applications. Select the purpose of filing and follow the instructions below:

#### New, Renewal or Renewal/Modification

- FCC 605
- FCC 159
- Application Payment/Fee Type Code: PASM \$60.00 Fee AND
   Regulatory Payment/Fee Type Code: PASR \$100.00 (For a new Fleet license, multiply number of stations in the Fleet by \$160.00.)

#### **Modification or Non-Profit**

Note: A license is not transferable to another person or vessel. In these cases, the new owner must apply for a NEW license (see above instructions).

- FCC 605
- FCC 159
- Payment/Fee Type Code: PASM \$60.00 Fee (For a Fleet license modification, multiply number of stations in the Fleet by \$60.00. If adding stations to a fleet license, multiply the number of stations to be added by \$60.00.)

#### **Duplicate License**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PADM \$60.00 Fee (Per Call Sign

# **Exemption from Ship Station Requirement or Rule Waiver**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PDWM (For Rule Waiver, multiply the number of stations by the number of rule sections by \$180.00. For Ship Exemptions, multiply the number of exemptions by \$180.00.)

NOTE: To request a ship emergency exemption, telephone (202) 418-0680 or FAX (202) 418-2643.

#### PART 80 FEE EXEMPTIONS:

Eligibles filing as Governmental Entities are exempt from fees. Additionally, no fee is required for Administrative Update, Amendment to a Pending Application (unless adding call signs, adding waivers, or changing Exemption for the Fee(s) to 'No'), Special Temporary Authority, a Request for Withdrawal of a Pending Application, or Request for Cancellation of License.

#### PART 80 MAILING ADDRESSES:

Filings requiring a fee should be mailed to: Federal Communications Commission P. O. Box 979097 St. Louis, MO 63197-9000

Filings not requiring a fee should be mailed to:

Federal Communications Commission 1270 Fairfield Road Gettysburg, PA 17325-7245

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# Part 87 Aircraft Radio Service

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The instructions that follow are for filing applications. Select the purpose of filing and follow the instructions below:

#### New, Renewal or Renewal/Modification

- FCC 605
- FCC 159
- Application Payment/Fee Type Code: PAAM \$60.00 AND
   Regulatory Payment/Fee Type Code: PAAR \$100.00 (For Fleet licenses, multiply number of stations in the Fleet by \$160.00)

#### **Modification or Non-Profit**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PAAM \$60.00 Fee (For a Fleet license modification, multiply number of stations in the Fleet by \$60.00. If adding stations to a fleet license, multiply the number of stations to be added by \$60.00.)

# **Duplicate License**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PADM \$60.00 Fee (Per Call Sign)

#### **Rule Waiver**

- FCC 603, FCC 605
- FCC 159
- Payment/Fee Type Code: PDWM \$180.00 Fee (multiply the number of stations by the number of rule sections by \$180.00)

# **PART 87 FEE EXEMPTIONS:**

Eligibles filing as Governmental Entities are exempt from fees. Additionally, there is no fee required for applications filed with purposes of Administrative Update, Amendment (unless adding call signs, adding waivers, or changing Exemption for the Fee(s) to 'No'), Request to Cancel a License, Special Temporary Authority, a Request for Withdrawal of a Pending Application, Assignment of authorization or Transfer of control.

# **PART 87 MAILING ADDRESSES:**

Filings requiring a fee should be mailed to: Federal Communications Commission P. O. Box 979097 St. Louis, MO 63197-9000

# Filings not requiring a fee should be mailed to:

Federal Communications Commission 1270 Fairfield Road Gettysburg, PA 17325-7245 The instructions that follow are for filing applications. Select the purpose of filing and follow the instructions below:

#### New, Renewal or Renewal/Modification

- FCC 605
- FCC 159
- Application Payment/Fee Type Code: PAZM \$60.00 Fee AND Regulatory Payment/Fee Type Code: PAZR - \$25.00 (Per Call Sign)

# **Modification or Special Temporary Authority**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PAZM \$60.00 Fee (Per Call Sign)

# **Duplicate License**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PADM \$60.00 Fee (Per Call sign)

#### **Rule Waiver**

- FCC 605
- FCC 159
- Payment/Fee Type Code: PDWM \$180.00 Fee (multiply the number of stations by the number of rule sections by \$180.00)

# PART 95 FEE EXEMPTIONS:

Eligibles filing as Governmental Entities are exempt from fee payment. Additionally, there is no fee required for applications filed with purposes of Amendment (unless adding call signs, adding waivers, or changing Exemption for the Fee(s) to 'No'), Administrative Update, Request to Cancel a License, or a Request for Withdrawal of a Pending Application.

# **PART 95 MAILING ADDRESSES:**

Filings requiring a fee should be mailed to: Federal Communications Commission P. O. Box 979097 St. Louis, MO 63197-9000

Filings not requiring a fee should be mailed to: Federal Communications Commission 1270 Fairfield Road Gettysburg, PA 17325-7245

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# Part 97 Amateur Radio Service

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The instructions that follow are for filing applications. Select the purpose of filing and follow the instructions below:

# **Initial Vanity License or Renewal Vanity License**

- FCC 605
- FCC 159
- Payment/Fee Type Code: WAVR \$15.00 Fee (Per Call Sign)

# **PART 97 FEE EXEMPTIONS:**

There is no fee required for applications filed in the Amateur Radio Service other than an application that requests an Amateur Station Vanity call sign (initial or change) or an application to Renew an amateur station vanity station call sign.

# **PART 97 MAILING ADDRESSES:**

Filings requiring a fee should be mailed to: Federal Communications Commission P. O. Box 979097 St. Louis, MO 63197-9000

Filings not requiring a fee should be mailed to:

Federal Communications Commission 1270 Fairfield Road Gettysburg, PA 17325-7245