

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 14:19:26

2. **Agency:** 016

3. **Bureau:** 00

4. **Name of this Investment:** Title II

5. **Unique Project (Investment) Identifier:** 016-00-01-02-01-2045-00

6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The objective of Title II is to provide a single system for processing virtually all Title II initial claims and client related post entitlement actions in an online interactive mode. The net effect of Title II is a greater capability to process work at the customer's first point of contact with the Agency, online user access to more comprehensive customer information and an automated system that is easier and less costly to maintain and modify. More efficient systems processing will reduce internal hand-offs of work and also result in fewer calls and office visits from beneficiaries. Increasing automation will eliminate labor-intensive manual processes and free up resources that can then be diverted to front-line customer service functions. In the process of moving toward more efficient systems, older more costly applications are being identified for removal and replacement with more efficient processes within the Title II System. The Title II system undergoes continuous modifications because of the need to respond to new legislation, correct existing production problems, further integrate with other Agency systems, take advantage of new technology, etc. The consolidation of the systems will be less costly to maintain and reduces risk while increasing automation. T2 contributes to the strategic objectives in SSA's Annual Performance Plan in it's efforts to improve service through technology. It also provides significant social net benefits to the general public by minimizing the time spent resolving questions and issues regarding claims.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. **Did the Agency's Executive/Investment Committee approve this request? ***

- a. **If "yes," what was the date of this approval? ***

10. **Contact information of Program/Project Manager?**

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
5-260-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.1	*	*	*	*	*
5-310-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.2	*	*	*	*	*
5-410-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-411-SS 00056001 1	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
5-450-SS 00056001 1	Task Based Indefinite Delivery/Indefinite	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
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Quantity (ID/IQ)
Time & Materials (T&M)
Task Order

5-880-SS000560011	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2008-09-30	2008-09-30	2009-09-29	\$0.0	*	*	*	*	*
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SS000560011	Task Based Indefinite Delivery/Indefinite Quantity (ID/IQ) Time & Materials (T&M) Task Order	Y	2009-09-30	2009-09-30	2010-09-29	\$0.0	*	*	*	*	*
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2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Improve Our Retiree and Other Core Services	*	*	Percent of individuals who do business with SSA rating the overall service as excellent, very good, or good	FY 2008 Actual - 81%	83%	81%
2010	Improve Our Retiree and Other Core Services	*	*	Percent of individuals who do business with SSA rating the overall service as excellent, very good, or good	FY 2008 Actual - 81%	83.5%	Actual results will be available in FY2011
2009	Preserve the Public's Trust in Our Programs	*	*	Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error	FY 2008 Actual - 99.7% O/P, 99.9% U/P	99.8% O/P, 99.8% U/P	Actual results will be available in June 2010
2009	Improve Our Retiree and Other Core Services	*	*	Percent of Retirement and Survivors Insurance claims receipts processed up to the budgeted level	FY 2008 Actual - (4,236,455) 101.2%	100% (4,543,000)	104% (4,742,218)
2011	Improve Our Retiree and Other Core Services	*	*	Percent of Retirement and Survivors Insurance claims receipts processed up to the budgeted level	FY 2008 Actual - (4,236,455) 101.2%	100% (4,637,000)	Actual results will be available in FY 2012
2009	Preserve the Public's Trust in Our Programs	*	*	Reliability and availability: percent that critical systems are available.	FY 2008 - 99.23%	99%	99.86%
2010	Preserve the Public's Trust in Our Programs	*	*	Reliability and availability: percent that critical systems are available.	FY 2008 - 99.23%	99%	Actual results will be available in FY 2011
2011	Preserve the Public's Trust in Our Programs	*	*	Reliability and availability: percent that critical systems are available.	FY 2008 - 99.23%	99%	Actual results will be available in FY 2012
2010	Preserve the Public's Trust in Our Programs	*	*	Percent of Old-Age, Survivors and	FY 2008 Actual - 99.7% O/P, 99.9% U/P	99.8% O/P, 99.8% U/P	Actual results will be available in FY 2011

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error			
2010	Improve Our Retiree and Other Core Services	*	*	Percent of Retirement and Survivors Insurance claims receipts processed up to the budget level	FY 2008 Actual - (4,236,455) 101.2%	100% (4,666,000)	Actual results will be available in FY 2011
2011	Improve Our Retiree and Other Core Services	*	*	Percent of individuals who do business with SSA rating the overall service as excellent, very good, or good	FY 2008 Actual - 81%	83.5%	Actual results will be available in FY 2012
2011	Preserve the Public's Trust in Our Programs	*	*	Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error	FY 2008 - 99.7% O/P 99.9% U/P	99.8% O/P 99.8% U/P	Actual results will be available in FY 2012
2009	Improve Our Retiree and Other Core Services	*	*	Achieve the target percentage of retirement claims filed online	FY 2008 Actual - 18.9%	26%	32%
2010	Improve Our Retiree and Other Core Services	*	*	Achieve the target percentage of retirement claims filed online	FY 2008 Actual - 18.9%	38%	Actual results will be available in FY 2011
2011	Improve Our Retiree and Other Core Services	*	*	Achieve the target percentage of retirement claims filed online	FY 2008 Actual - 18.9%	44%	Actual results will be available in FY 2012

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY02 - Rel 2.2, 2.3 & 3 (Developmental)	\$14.9	\$14.3	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
FY06 - Process Analysis	\$0.0	\$0.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Planning	\$0.1	\$0.1	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Requirements	\$0.1	\$0.1	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Design	\$0.1	\$0.1	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Development	\$0.5	\$0.5	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Validation	\$0.1	\$0.1	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Rel 5 Testing	\$0.0	\$0.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Rel 5 Training	\$0.0	\$0.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY06 - Maint & Minor Modifications	\$4.6	\$4.6	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY07 - Title II Rel 4.0 Val, Inst & PIR	\$0.3	\$0.3	2006-10-01	2006-10-01	2007-01-31	2007-01-31	100.00%	100.00%
FY02 - Rel 2.2, 2.3 & 3 (Maintenance)	\$1.5	\$1.0	2001-10-01	2001-10-01	2002-09-30	2002-09-30	100.00%	100.00%
FY07 - Title II Rel 5.0 Plan, Req, Des, Dev, Val, Test, Inst & PIR	\$0.6	\$0.6	2006-10-01	2006-10-01	2007-03-30	2007-03-30	100.00%	100.00%
FY07 - Title II Rel 6.0 Plan, Req, Des, Dev, Val, Test, Inst & PIR	\$4.1	\$3.5	2006-10-01	2006-10-01	2007-06-29	2007-11-27	100.00%	100.00%
FY07 - Title II Rel 7.0 Plan, Req, Des, Dev, Val, Test, Inst & PIR	\$4.3	\$6.1	2006-10-01	2006-10-01	2007-09-28	2007-11-30	100.00%	100.00%
FY07 - Title II Support Activities & Maint	\$2.0	\$2.2	2006-10-01	2006-10-01	2007-09-28	2007-09-28	100.00%	100.00%
FY08 - Title II	\$0.4	\$0.1	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Planning and Analysis T2R9 and T2R11								
FY08 - Requirements T2R9 and T2R11	\$1.1	\$1.4	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY08 - Design T2R9 and T2R11	\$0.6	\$0.5	2007-10-01	2007-10-01	2008-09-29	2008-06-28	100.00%	100.00%
FY08 - Development T2R9 and T2R11	\$2.2	\$3.1	2007-10-01	2007-10-01	2008-09-29	2008-07-25	100.00%	100.00%
FY08 - Validation T2R9 and T2R11	\$5.4	\$7.4	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY08 - Testing T2R9 and T2R11	\$0.2	\$0.0	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY03 - Rel 2.3, 3 & 4 (Developmental)	\$21.6	\$20.7	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
FY08 - Training T2R9 and T2R11	\$0.1	\$0.0	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY08 - Implementation T2R9 and T2R11	\$0.1	\$0.1	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY08 - Post Implementation T2R9 and T2R11	\$0.1	\$0.0	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY08 - Support Activities T2R9 and T2R11	\$2.3	\$1.7	2007-10-01	2007-10-01	2008-09-29	2008-09-29	100.00%	100.00%
FY09 - Planning & Analysis - Release 13.0	\$0.1	\$0.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Requirements - Release 13.0	\$0.3	\$0.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Design - Release 13.0	\$0.1	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Development - Release 13.0	\$1.1	\$3.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Validation - Release 13.0	\$2.6	\$5.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 - Testing - Release 13.0	\$0.2	\$0.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY03 - Rel 2.3, 3 & 4 (Maintenance)	\$1.4	\$1.3	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
FY09 - Training - Release 13.0	\$0.0	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Implementation - Release 13.0	\$0.3	\$0.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Post Implementation - Release 13.0	\$0.1	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Post Implementation - Release 11.0	\$0.0	\$0.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Support Activities	\$2.0	\$4.0	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Management	\$2.7	\$2.7	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - T2 Post Entitlement Modernization (P&A)	\$0.8	\$0.4	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY10 - T2 Systems (Maintenance)	\$3.8	\$2.2	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY10 - Management	\$0.9	\$0.4	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
Title II - FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY03 - Rel 2.3, 3 & 4 (Acquisition Contractor)	\$2.2	\$2.1	2002-10-01	2002-10-01	2003-09-30	2003-09-30	100.00%	100.00%
Title II - FY12	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Title II - FY13	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY04 - Rel 3 (Developmental) finish enhancements to Release 3. Ensure batch and online functionality mesh properly	\$15.7	\$14.5	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY04 - Rel 3 (Maintenance)	\$1.4	\$1.4	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY05 - Rel 4 (Developmental) continue	\$0.9	\$0.9	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
work on Signature Proxy and other user								
FY05 - Rel 4 (Maintenance)	\$0.8	\$0.7	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%

* - Indicates data is redacted.