

ACE Simplified Entry/Cargo Release

September, 2012



**U.S. Customs and
Border Protection**

Simplifying the Entry Process

- The Simplified Process joint industry-CBP Workgroup was established April 2011 with the goal of formulating viable solutions that are mutually beneficial and diminish the administrative burden related to importing into the U.S.
- An outgrowth of that work was Simplified Entry, which reduces the number of duplicative data elements required to obtain release of products for cargo, eliminating the current entry (CBP Form 3461)



Simplified Entry Benefits

- **Enhances Cargo Security**

- Allows CBP and the Trade to identify and address potential risks earlier in the process

- **Streamlines the Release of Cargo**

- Earlier risk assessment decisions
- Earlier and more detailed messaging

- **Reduces Transaction Costs**

- Reduces transaction costs for importers and CBP by requesting filing data once
- Greater predictability; allows importers to make logistical arrangements in advance of arrival
- Reduces “exceptions” needing special processing after arrival



Simplified Entry Overview

1. Filers will Submit a Streamlined Data Submission

Filers will submit a reduced amount of information—12 required and three optional data elements—prior to the arrival of the merchandise for transporting the cargo to the U.S.

3. Filers can Update the Data for Accuracy

It will also allow pilot participants to update entry information, providing CBP more accurate data and enhancing cargo security

2. Filers can Provide the Information in Advance

The simplified entry can be filed earlier in the import process, allowing an expanded window of opportunity to identify potential risks earlier

4. More Detailed and Earlier Messaging

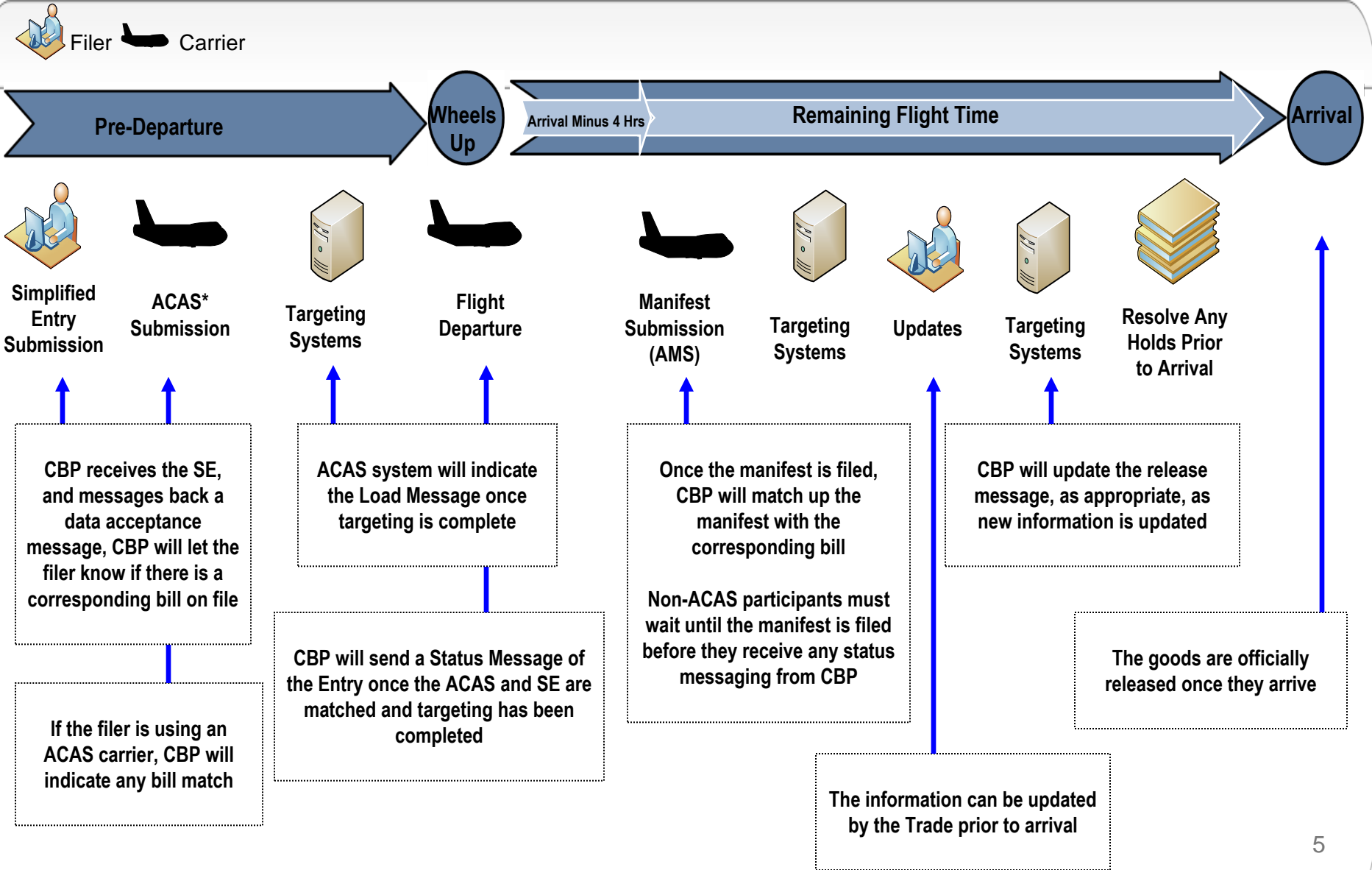
Document requests will look to identify specific documents as appropriate and can begin while goods are still overseas

This simplifies the merchandise release process, allows for more streamlined electronic transmission for the filer, and assists importers in finalizing cargo movement logistics



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Simplified Entry Process



Simplified Entry Data

- Simplified Entry streamlines the data elements on CBP's 3461 entry form
 - This promotes the concept of “the owner of the data knows the data best,” resulting in more accurate information
 - After the streamlining, the following Simplified Entry data set replaces the CBP 3461:
 - ✓ Importer of Record Number
 - ✓ Buyer Name and Address
 - ✓ Buyer EIN
 - ✓ Seller Name and Address
 - ✓ Manufacturer/Supplier Name and Address
 - ✓ HTS 10-Digit Number
 - ✓ Country of Origin
 - ✓ Bill of Lading/House Airway Bill Number
 - ✓ Bill of Lading Issuer Code
 - ✓ Entry Number
 - ✓ Entry Type
 - ✓ Estimated Shipment Value
 - Ship to Party Name and Address (*optional*)
 - Consolidator Name and Address (*optional*)
 - Container Stuffing Location (*optional*)



Pilot Participant Requirements*

- Air Mode of Transportation (MOT)
- Brokers must be C-TPAT certified
- Basic consumption entries only (Entry Type = 01 or 11)
- Only shipments covered by a continuous bond
- No split shipments
- No other government agency requirements
- Port of Unlading, Port of Entry, and Port of Arrival must be the same
- Manifest quantities must equal the release quantities
- Simplified Entry & Summary transactions must be filed in ACE

*As additional functionality is phased into the pilot, these requirements will change



Simplified Entry Pilot Participants

A.N. Deringer, Inc	Expeditors	FedEx Trade Networks
FH Kaysing	Janel Group of New York	Kuehne + Nagel Inc.
Livingston International	Page & Jones, Inc.	UPS



Simplified Entry Pilot Ports

Initial Pilot Ports	Indianapolis, Chicago, Atlanta
August Expansion	Seattle, San Francisco, Oakland, Los Angeles
September Expansion	Dallas/Ft. Worth, Houston, Miami, Newark, JFK, Boston



Simplified Entry Pilot Status Summary

- November 9, 2011, a Federal Register Notice was published announcing the initial pilot of Simplified Entry for the Air Mode of Transportation
- December 2, 2011, CBP announces selection of initial nine pilot participants
- May 29, 2012, first Simplified Entries were filed at the three initial pilot ports of Indianapolis, Chicago and Atlanta by pilot participants
- August 14, 2012, a Federal Register Notice was published announcing further expansion of the pilot test of Simplified Entry for the Air Mode of Transportation to additional participants
- As of August 27, 2012, more than 11,800 Simplified Entries have been filed for more than 400 importers of record



Simplified Entry Pilot Next Steps

- CBP is also working to expand the pilot functionality in the future to include additional capabilities:
 - Participating Government Agency (PGA) Message Set
 - Simplified Entry transaction set
 - Single Transaction Bonds
 - Automatic cancellations and deletions
 - Document Image System
 - Adding Simplified Entry reports
 - Adding Remote Location Filing
- Information about the Simplified Entry Pilot and other Trade Transformation Initiatives is available on CBP.gov at:

http://www.cbp.gov/xp/cgov/trade/trade_transformation/





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