

**ATTENTION:**

**This document issued in conjunction with the NEH National Digital Newspaper Program (<http://www.neh.gov/grants/guidelines/ndnp.html>) award competition. For general program information, see <http://www.loc.gov/ndnp/> .**

# The National Digital Newspaper Program (NDNP) Technical Guidelines for Applicants

Document Control.....	2
Overview of Technical Approach for 2013-15 NDNP Awards.....	2
Deliverables .....	3
Technical Details .....	5
Selection.....	5
Scanning and Master Image Format .....	6
OCR and Associated Information.....	8
Other Derivative Files.....	10
Metadata.....	11
Technical Validation of Digital Objects .....	12
Delivery of Digital Assets.....	13
Appendices.....	15
Appendix A: Digital Asset Metadata Elements - Dictionary.....	17
Appendix B: File Format Profiles and Specifications .....	36
Appendix C: XML Metadata Templates.....	49
Appendix D: Batch, File and Directory Structure on Delivery Media .....	74
Appendix E: Associated Online Resources .....	77

## Document Control

Revision	Date	Summary of Change

## Overview of Technical Approach for 2013-15 NDNP Awards

The National Digital Newspaper Program is a long-term effort and the technical environment will change as the program continues. The National Endowment for the Humanities (NEH) and the Library of Congress (LC) have selected a technical approach to balance long-term objectives and shorter-term constraints. These include:

- convenient accessibility over the World Wide Web for the general public to the entire collection as it grows, through a consistent interface and using proven technology;
- page images of sufficient spatial and tonal resolution to support effective performance of OCR (optical character recognition) software and representation of printed half-tones, given the limitations of microfilm, expecting that future improvements in OCR and image processing will be applied to the same images;
- the use of digital formats with a high probability of sustainability - in particular, using standard formats where possible and proprietary formats only where widely adopted;
- and attention to the cost of digital conversion and maintenance of the resulting assets.

The goal of the program is to enhance access to newspapers through a Web-accessible NDNP delivery application, open and freely available to the public. This delivery application will provide access to a substantive amount of newspaper content selected for

its historical value with broad geographic coverage and consistent sustainable digital assets to support effective use by scholars and the general public. This award cycle is a continuation of the initial program development phase.

As the program progresses, the approach and associated guidelines will be evaluated and revised based on feedback from awardees, experience in providing access to historic newspapers online, and technological advances.

In summary, the current technical approach is based on:

- grayscale images (scanned for maximum resolution possible between 300-400 dpi, relative to the original material) from microfilm
- OCR with word-bounding boxes, with recognition of columns, but without segmentation of pages into articles,
- structural metadata for pages, issues, editions, and titles to support a chronologically-based browsing interface,
- copies of all page images and associated metadata at LC,
- an interface designed specifically for access to historic newspapers in the public domain, mounted at LC (the interface will permit full-text searches with retrieval of individual page images, and highlighting of search words on the images), and
- the ability of awardees to re-use any digital assets created for NDNP in other systems or for other purposes.

NEH and LC recognize that other institutions may choose other approaches or formats for their own digital repository and delivery systems and thus either weigh costs and benefits differently or wish for compatibility to existing systems. Applicants may pursue local approaches in parallel with participation in NDNP, with the overall goal of providing effective widespread access to newspapers through scanning and text conversion and evaluating alternative interfaces for navigating and exploring large collections of newspapers. Applicants who use other formats locally must be capable of providing digital assets to the NDNP according to the specifications described below.

The National Digital Newspaper Program supports a consistent technical specification for digital newspaper reproductions and associated metadata in order to maintain parity of services for materials from a variety of institutions and collections and to support the “best practices” of today’s understanding of digital preservation needs.

## **Deliverables**

Awardees are expected to deliver the following to the Library of Congress, to allow construction of a permanent archive and a unified interface for searching and browsing the entire NDNP collection. After the cooperative agreements are announced, LC will convene a meeting of awardees to review these technical guidelines, and establish work-plan milestones, and specifications for 2013-15 deliverables.

### **For each title**

- Up-to-date MARC record from the CONSER OCLC database, fully conformant to current standards for cataloging U.S. **print** newspapers [original format only, not microform],
- Additional title-level metadata related to the title run/s digitized and delivered (see Appendix A: Digital Asset Metadata Elements), and
- Newspaper History Essay – scope and content (in English) of each title, history and significance – 500 words.

Note: MARC records need only be delivered individually to LC if that CONSER record is created/updated/edited as a result of the title being selected for NDNP.

### **For each issue/edition**

- Structural metadata for issues/editions digitized and organized by date (see Appendix A: Digital Asset Metadata Elements)

### **For each newspaper page**

- Page image in two raster formats
  - Grayscale, scanned for maximum resolution possible between 300-400 dpi, relative to the original material, uncompressed TIFF 6.0 (see Scanning below and Appendix B – File Format Profiles),
  - Same image, compressed as JPEG2000 (see Scanning below and Appendix B – File Format Profiles),
- OCR text and associated bounding boxes for words (see OCR details below and Appendix B – File Format Profiles), 1 file per page image,
- PDF Image with Hidden Text, i.e., with text and image correlated (see OCR details below and Appendix B – File Format Profiles),
- Structural metadata to relate pages to title, date, and edition, to sequence pages within issue or section; and to identify associated image and OCR files (see Appendix A: Digital Asset Metadata Elements and Appendix C – XML Metadata Templates), and
- Technical metadata to support the functions of a trusted repository (see Appendix A: Digital Asset Metadata Elements, Appendix B – File Format Profiles and Appendix C – XML Metadata Templates).

### **For each microfilm reel digitized:**

- A second-generation (2N) duplicate silver negative microfilm, made from the camera master, will be barcoded and deposited with the Library of Congress on completion of the award (LC to supply barcodes for all reels), and
- Technical metadata concerning the quality characteristics of the film used for digitization (See Appendix A – Digital Asset Metadata Elements/Reel Information) will be encoded in a METS object with other digital assets (See Appendix C – XML Metadata Templates.).

Note: All digital objects must conform and validate to NDNP technical specifications as described in Appendices B and C. See **Technical Validation of Digital Objects** below, for more information.

## **For the award**

- List of newspaper titles, and associated publishing information, identified as having non-NDNP digitized archives available on the Web, as described in the NEH Program Guidelines (<http://www.neh.gov/grants/guidelines/ndnp.html>). This list should include title-level bibliographic information and information on the digital resource available. Further details will be specified at the 2013-15 awardees' meeting, post-award.

## **Technical Details**

### **Selection**

The goals of the overall project, the chronological scope (1836-1922), and the intellectual criteria for selecting newspaper titles for the program are described in NEH Program guidelines (<http://www.neh.gov/grants/guidelines/ndnp.html>). To ensure the highest quality and most usable digital products and services, the process for selection of a newspaper title for inclusion in the NDNP should also incorporate a technical analysis of the microfilm to be scanned.

For NDNP (and the associated collection of duplicate microfilm negatives (2N)) to be as complete as possible, the following guidelines should be followed:

1. Complete (or majority of) title run should be available on microfilm without restrictions that interfere with the goals of the program;
2. An effort should be made to deliver as complete a title run, within the prescribed date range, as possible. Locating and substituting a limited number of scanned images from paper may be necessary to complete the run.

Several technical factors will affect the success of microfilm scanning and optical character recognition (OCR). The following factors should be considered during the selection process. They include:

1. The quality of original text and microfilm capture. Poorly prepared original material, no matter how well microfilmed, yields poor results. Microfilm of bound material may have page curvature, gutter shadows, or out of focus pages that influence digital image quality. Preference in selection should be given to titles on higher quality microfilm.
2. The reduction ratio used when microfilming the original newspaper. This ratio directly influences image quality and OCR results. The lower the reduction ratio (below 20x) the better. (If the reduction ratio is too high to allow scanning at 400 dpi, tests on sample images may be performed to determine if a lower resolution (e.g., 350 dpi) provides acceptable confidence levels in OCR text.)

3. The camera master negative microfilm duplicated for scanning should have resolution test patterns readable at 5.0 or higher. For camera master microfilm without resolution test charts, resolution can be estimated by comparison to film with resolution test charts and original material.
4. Variations in density within images and between exposures. Such variations require adjustment of scanning parameters within a reel. Density readings should follow current standards, but the range should ideally be narrower than the standards allow (e.g. .90-1.20). Best results are obtained from microfilm with variations in density readings of no more than 0.2 within an image and between exposures.
5. Confidence level through OCR testing of sample page images. Searchable text using OCR is a key discovery element of NDNP. For a camera master negative that is questionable with respect to any of the above criteria (resolution, reduction ratio, densities, etc.), sample digital images may need to be tested for usable OCR confidence levels to determine suitability for selection.

Note: The current guidelines for microfilming newspaper for the USNP are available at <http://www.loc.gov/rr/news/usnp/usnpguidelinesp.html>.

## **Scanning and Master Image Format**

Scanning specifications should follow these guidelines:

- scan from a clean second-generation duplicate silver negative microfilm (to be deposited with the Library of Congress at the end of the award period);
- capture specifications are 8-bit grayscale at the maximum resolution possible, between 300 and 400 dpi, relative to the physical dimensions of the original newspaper, rather than the microfilm. For the scanner operator to achieve this, the microfilm reduction ratio must be known or derived by other means;
- a standards-based target film strip should be scanned at the start of each session, to monitor scanning equipment performance. Target test images should be delivered along with the page images;
- provide the master page images, delivered to LC, as uncompressed images in TIFF 6.0 format.

Newspapers microfilmed two sheets per frame should be split into two separate image files (and assigned appropriate metadata). To improve appearance and OCR accuracy, images with more than 3 degrees of skew should be de-skewed. Page image files should be cropped to the page edge (not to the text block boundaries), retaining the actual edge and up to ¼ inch beyond.

In general, the goal of the NDNP cropping specification is to produce as complete a page image as possible in order to best enable long-term management and access needs into the future. For film created against a white or neutral-colored background, newspaper images may be cropped based on the text block and appropriate padding.

All operations that change the image dimensions, spatial resolution, or orientation (e.g., cropping, de-skewing) must be made to the TIFF before OCR, since the OCR output is expected to include bounding-box coordinates to relate words and characters to their position on the page in the search interface. The grayscale master TIFF files delivered to LC must have the same characteristics with respect to cropping and deskewing as the images used for OCR, but the TIFF should have no other enhancements (bitonalization, sharpening, contrast enhancement, etc.) used in the OCR-creation process.

To maximize workflow efficiency, existing microfilming target frames may be captured as images and delivered with other digital assets, and described in the reel metadata object (see Appendix C – XML Metadata Templates). Capture of these images and creation of derivative files and associated metadata is *optional*. If included in NDNP deliveries, such images will be treated as digital assets for archiving but not normally displayed in the NDNP access interface, as they represent an artifact of the microfilming process rather than intellectual content of the collection.

In addition, a standards-based scanning target film strip, as specified by Library of Congress, should be scanned at the start of each session, to monitor scanning equipment performance. Target test images should be delivered along with the page images, and described in the reel metadata object appropriately (see Appendix C – XML Metadata Templates). Specific test targets and quality analysis tools will be discussed with awardees at the post-award awardees' meeting. Targets will need to be purchased by individual awardees from a specified source (currently: 35mm Grayscale Preservation Microfilm Target, available from Image Science Associates, <http://imagescienceassociates.com>, approx. cost \$360/strip).

NDNP follows recommendations of the Federal Agencies Digitization Guidelines Initiative ( <http://www.digitizationguidelines.gov/> ) and utilizes the standard NISO Z39.87 Data Dictionary – Technical Metadata for Digital Still Images for master images. To support LC's responsible custodianship of these images, the headers for all image deliverables (TIFF, JPEG2000, and PDF) should incorporate tagged metadata relating to the creation and rendering of the images (e.g., tile specifications, if used), per Appendix B.

### **Summary of Scanning Guidelines**

1. Digital reproductions should be made from a preservation copy of microfilm, a clean second-generation duplicate silver negative.
2. Technical scanning requirements: maximum resolution possible between 300-400 dpi, relative to physical dimensions of the original material; 8-bit grayscale; TIFF 6.0 uncompressed.

3. Two-up film should be split so that there is one page image per file.
4. De-skew images with a skew of greater than 3 degrees. (Greater skew leads to less accurate OCR.)
5. Crop to include visible edge of page, retaining up to ¼ inch beyond edge.
6. Optional: Capture microfilm target frames. These image files to be identified in reel metadata; will not be used for display.
7. Capture additional scanning resolution targets, i.e., 35mm Grayscale Preservation Microfilm Target, (2 images per reel--target as specified by LC) at the start of each session, to monitor scan quality. These scan target images should be delivered with microfilm target images and page images and identified in reel metadata.

Note: the grayscale images sent to LC must have exactly the same dimensions, spatial resolution, skew, and cropping as the images used for OCR.

## **OCR and Associated Information**

Machine-readable text allows users to search a newspaper or a collection of newspapers for names of people and places, and for phrases, and provides the potential to use more powerful data-mining or natural language analysis techniques to locate relevant articles. The provision of machine-readable text correlated with page images is a tremendous aid to users seeking to navigate the complicated layouts and large, text-intensive pages of newspapers. It permits the examination of the relationships between various articles, visually and textually. The NDNP access interface is based on a fully automated approach to text conversion without subpage-level segmentation or subpage-level metadata.

OCR software creates machine-readable text from scanned page images and permits full-text searching of the contents of newspaper pages. Bounding-box data relates words to their position on the image. Coordinates describe the position and outer dimensions of a box enclosing a character or word, and/or space(s), in the original image. The NDNP application searches OCR text at the page-level, using bounding-box coordinates for words to correlate text elements to position on the page, so that search words can be highlighted in the interface.

Each page digitized for NDNP must be accompanied by OCR text encoded using the ALTO (Analyzed Layout and Text Object) XML schema, Version 2.0 or greater, with the additional clarifications stated in Appendix B – File Format Profiles.

Newspapers selected for NDNP digitization may contain text published in English, French, German, Italian and/or Spanish. Non-English language text in the ALTO XML must be encoded by TextBlock to automate differentiation between language sets, using



*ISO 639-2: Codes for the representation of names of languages: alpha-3 codes.* Other languages may be encoded using ISO 639-2, by TextBlock, but will not receive language-specific search support in Chronicling America at this time. The awardee institution is responsible for providing relevant language expertise to review the quality of the converted content and related metadata. Automated language recognition should be applied by title, rather than by reel or batch.

Note: German text must incorporate technical processing that includes Fraktur/black letter specific tools. More information will be available at the annual awardee meeting.

In addition to non-English language-encoding for full-text search, associated MARC title records should be reviewed and, if necessary, updated to reflect the presence of non-English text.

**Important:** The page images delivered must correspond in dimensions, orientation, and skew to those used for the OCR. Any text correction must retain the integrity of the ALTO positional coordinates.

### **Summary of OCR Guidelines**

#### **Highlighted elements for OCR files (see Appendix B for full specification):**

1. One OCR text file per page image. (Discrete files should be produced for each page, rather than for a multi-page issue or entire title).
2. Each OCR text file name corresponds to the page image it represents.
3. Text in UTF-8 character set.
4. No graphic elements saved with the OCR text.
5. OCR text ordered column-by-column (that is, in a natural reading order).
6. OCR text file with bounding-box coordinate data at the word level.
7. OCR will conform to the ALTO XML schema, Version 2.0 or greater.
8. All page images must be accompanied by an ALTO XML file containing recognized text.

#### **If possible, additional elements for OCR files:**

1. Confidence level data at the page, line, character, and/or word level.
2. Point size and font data at the character or word level.

Note: Zones for articles will not be used in the interface. If the OCR process selected by an awardee does generate coordinates for zones, the segmentation data must be removed from the METS/ALTO object and/or batch, prior to delivery to LC.

#### **For non-English language text:**

1. “Language” attribute must be expressed by textblock, as most appropriate for the content. (Although use of “eng” is recommended for English text, text with unspecified language attribute will default to “eng” (English) for search and discovery purposes).

2. Language encoding must be using *ISO 639-2: Codes for the representation of names of languages: alpha-3 codes*.
  - a. See <http://www.loc.gov/standards/iso639-2/>.
3. Although newspaper title selection with non-English language material should be limited to French, German, Italian, or Spanish, at this time, if other (minimal) non-English text is identified, it can be encoded with the appropriate ISO 639-2 code.
4. For titles with non-English text, review and, if necessary, update associated MARC record to reflect non-English language use.
5. German text must incorporate technical processing that includes Fraktur/black letter specific tools.

Note: Any ALTO text without specific language encoding will be treated as English for text indexing and searching.

### **Other Derivative Files**

In addition to the master TIFF image file and OCR text using the ALTO schema, the awardee institution will provide a searchable PDF (Portable Document Format) Image with Hidden Text for each page image and a JPEG2000 compressed image file (.JP2).

PDFs will provide an image of the original page that can be conveniently printed and downloaded, supporting within-page searching for words, external to the NDNP search system. LC will use the separate OCR output file as the basis for search in its access interface. The PDF Image with Hidden Text can be created at the time of processing by the OCR application.

### **Highlighted elements for PDF files (see Appendix B for full specification)**

1. PDF Image with Hidden Text for each page image.
2. Each searchable PDF file name corresponds to the page image it represents.
3. The PDF files should incorporate appropriate XMP metadata per Appendix B – File Format Profiles.
4. The page image will be grayscale, downsampled to 150dpi and encoded using a medium JPEG quality setting.
5. The PDF will not contain any bookmarks, links, named destinations, comments, forms, Javascript actions, external cross references, alternate images, embedded thumbnails, annotations, or private data.

The JPEG2000, Part 1, (or ISO-15444) compressed image files delivered will provide a flexible production master image that can be used to efficiently provide appropriate data to end users. For background information on the origin of the JPEG2000 profile used by NDNP, see the following report, NDNP Historical Newspaper JPEG2000 Profile ([http://www.loc.gov/ndnp/pdf/NDNP\\_JP2HistNewsProfile.pdf](http://www.loc.gov/ndnp/pdf/NDNP_JP2HistNewsProfile.pdf) ).

For the NDNP access interface, LC has developed and employs a zooming capability based on JPEG2000 wavelet compression. This technology not only compresses the newspaper image effectively but also permits the presentation of image segments dynamically, at the user's request.

**Highlighted elements of JPEG2000 format:**

1. JPEG2000 image for each page image.
2. Each JPEG2000 will incorporate appropriate XMP metadata per Appendix B – File Format Profiles.
3. The JPEG2000 will be 6 decomposition levels, and 25 quality levels.
4. JPEG2000 compression will be 8:1.

**Metadata**

One aim of the LC/NEH partnership in establishing the National Digital Newspaper Program is to integrate historical newspaper collections digitized by many institutions into a single searchable resource, allowing users to search across multiple titles with a single query. To achieve this while allowing institutions the flexibility to incorporate materials into their own catalog systems and online services, NDNP awardees must ensure LC has access to updated title-level bibliographic records from CONSER and metadata for various levels of granularity within the digital reproductions.

Each newspaper digitized through NDNP must be supported by coherent metadata, to provide intellectual access and support navigation of the structure of the publication, by date, section, etc. The tables in Appendix A list the elements appropriate at the newspaper title level, the issue/edition level, and the page level. [The tables indicate whether elements are mandatory and whether they are repeatable.] The access interface will permit direct identification and citation at each level through persistent identifiers. The identification of newspaper titles will be based on Library of Congress Control Numbers (LCCNs), since not all historical newspapers have been assigned International Standard Serial Numbers (ISSNs) or another unique identifier. These metadata specifications will be discussed at the awardees' annual meeting.

All newspaper titles selected for digitization under NDNP must be under bibliographic control per U.S. newspaper cataloging guidelines maintained by the Cooperative Online Serials Cataloging (CONSER) program and included in the CONSER database hosted within the OCLC Online Union Catalog (WorldCat). Each title must have a full bibliographic record at the title-level for the original materials (*not microfilm*). If pre-existing, the CONSER records must be reviewed and updated as necessary by the awardee institution. If updated or edited, records should be exported and delivered to LC before submission of associated digitized pages. Such export records should be in MARC 21 Communications format, UTF-8 encoding.

All LCCNs provided in metadata must be *normalized* to MARC21 standard. (See <http://www.loc.gov/marc/lccn-namespace.html> for more information on *LCCN normalization*.)

Provide issue/edition metadata for all **known** issue/edition occurrences, i.e. if microfilm reel includes information (target or Guide to Contents) indicating an issue/edition was known to be published but is not available as a digital asset at this time, create a record for that issue/edition and use the Issue Present Indicator to indicate the issue/edition the record described is not available.

Provide page metadata for all **known** page occurrences, i.e. if microfilm reel includes information (target or Guide to Contents) indicating a page was known to be published but is not available as a digital asset at this time, create a record for that page and use the Page Present Indicator to indicate the page the record describes is not available. Note, however, that a page record should not be created for a page if the issue which the page is part of has been identified as missing.

For issue, the combination of LCCN, Issue Date, and Edition Order can be used as a unique identifier. For page, the combination of LCCN, Issue Date, Edition Order, and Page Sequence Number will be unique.

In addition to Issue and page metadata, also produce reel metadata objects that describe individual scanned reels and filmed targets. Some fields, as indicated in the Metadata Dictionary and XML templates, are *optional* and not used within the NDNP system to manage or provide access to data. Awardees should use their own discretion in determining whether capture of this data is useful for their own needs.

Awardees will deliver all digital assets in METS object structure (Metadata Encoded Transmission Schema), according to an XML Batch template structure. (See Appendix C – XML Metadata Templates.)

## Technical Validation of Digital Objects

All NDNP Award digital objects must be *validated* prior to delivery to LC. NDNP utilizes a program-specific software application - distributed to all awardees and updated as needed - to ensure technical conformance with the digital object profiles and specifications, as well as authenticity (through the incorporation of a digital signature and checksums). The software is distributed as the NDNP Digital Viewer and Validator (DVV), and allows users to view and validate a batch through a Windows graphic user interface, or to validate from a DOS or Linux command line processor.

NDNP has developed the validation process by using and extending the JHOVE (JSTOR/Harvard Object Validation Environment – see <<http://hul.harvard.edu/jhove>>) toolkit. JHOVE enables the identification, validation, and characterization of files. Each file format, e.g., TIFF, is supported by a separate module. The NDNP Validation Library, included in the NDNP DVV, "wraps" JHOVE and extends JHOVE's existing TIFF, PDF,

and JPEG2000 modules with the NDNP-specific validation rules. In addition, the Validation Library uses a combination of existing XML schemas and Schematron schemas, implementing validation in a custom JHOVE module, and uses JHOVE's format characterization abilities to populate the preservation and technical metadata sections of Issue and Reel METS XML objects. The NDNP Validation Library also calculates a checksum for each file that is written into the METS XML objects as a digital signature. This signature can be used to verify validity throughout the digital lifecycle.

For more on the technical approach of digital object validation, see Justin Littman, "A Technical Approach and Distributed Model for Validation of Digital Objects." *D-Lib Magazine*, May 2006. <http://www.dlib.org/dlib/may06/littman/05littman.html>.

### **Summary of All Digital Asset Deliverables**

1. Validated Master digital page image format = TIFF 6.0 uncompressed,
2. Validated OCR text file with bounding-box coordinates = 1 text file per page,
3. Validated PDF Image with Hidden Text = 1 PDF per page,
4. Validated derivative digital page image format = JPEG2000 (.JP2) using specified compression options,
5. Validated metadata using METS in accordance with guidelines in Appendices A and C.

Note: The four digital files associated directly with a newspaper page (.TIF, .JP2, .PDF, and OCR) are expected to use the same file identifiers with distinct file extensions.

Valid file format examples are available for download at <http://www.loc.gov/ndnp/>.

### **Delivery of Digital Assets**

Awardees will deliver all digital assets to LC in a METS object structure (Metadata Encoded Transmission Schema), according to an XML Batch template structure. (See Appendix C – XML Metadata Templates.)

For delivery, the awardee shall organize the page images and related files for each newspaper title in a hierarchical directory structure sufficient for identification of the individual digital assets from the metadata provided. (See Appendix D – File and Directory Structure on Delivery Media.) Assets delivered to LC as prescribed in this directory structure are converted by LC to conformance with the "BagIt" specification, a hierarchical package format for transferring digital content (see <http://www.cdlib.org/inside/diglib/bagit/bagitspec.html> for background information).

A given delivery device should encompass a single batch, with authenticity verified on the delivery media. Awardees will name each batch conforming to NDNP batch naming specifications (see Appendix D). The precise directory structure and batch naming

specification will be discussed at the post-award awardee meeting and include successive sub-directories based on LCCN, reel number, and issue date with edition sequence. (Note: initial sample batches follow a different naming scheme than regular batches, see Appendix D for details.) An XML Batch file should be created per the template in Appendix C.

Delivery of digital assets to LC should primarily be via tracked shipment of durable external hard drives without write-protection (preferably, USB 2.0 or 3.0 enabled). The possibility of delivery via Internet2-enabled server-to-server file transfer will be discussed at the annual awardees' conference (resource planning should be based on use of durable external hard drives). Awardees should plan for adequate temporary storage locally (approx. 54 Mb per page – including TIFF, JP2, PDF, OCR, metadata) during the transfer and verification process at LC. Awardees should plan to deliver data batches to LC monthly (no more than 10,000 pages per month), with an expected response time of 6-8 weeks for LC data acceptance and ingestion.

Further options and specifications for delivery will be specified at the 2013-15 awardees' meeting, post-award.

## **Appendices**

(Note: Latest versions of these specifications (in use by 2012-14 NDNP Awardees) are available on the Profiles and Specifications page of the LC NDNP Web Site at <http://www.loc.gov/ndnp/> )





## Appendix A: Digital Asset Metadata Elements - Dictionary

### NOTES:

- Metadata elements below are described by original object. Elements may appear in more than one digital object per NDNP specifications.

Data Description	Data Type	Example	Notes	Repeatable R= repeatable NR = non-repeatable	Mandatory M=mandatory MA=mandatory, if available O=optional	Xpath (see XML templates) and/or Data location
<b>General Information</b>						
Awardee Name	string	New York Public Library	name of institution that received the NEH award	NR	M	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/metsHdr/mets:agent/mets:name
Award Year	enumeration	2013	Year of NEH award under which the digitization of this content was funded.	NR	M	Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/batchHdr/batch:agent/batch:awardYear
Original Source Repository	string	Multiple examples:  Library of Congress; Washington, DC  or  New York Public Library; New York, NY	Owner of original source that was digitized (micro-film or paper) ; city and state postal abbreviation	NR	M	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="pageModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem[@type="original"]/mods:location/mods:physicalLocation/@displayLabel  mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="targetModsBib1"]/mets:mdWrap/mets:xmlData/mo

						ds:mods/mods:relatedItem/mods:location/mods:physicalLocation/@displayLabel
Original Source Repository Code	enumeration	dlc	Normalized MARC organization code of owner of source. See <a href="http://www.loc.gov/marc/organizations/org-search.php">http://www.loc.gov/marc/organizations/org-search.php</a> for more information and code list.	NR	MA	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="pageModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem[@type="original"]/mods:location/mods:physicalLocation</p> <p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="targetModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:location/mods:physicalLocation</p>
Digital Responsible Institution	string	<p>Multiple examples:</p> <p>Library of Congress; Washington, DC</p> <p>or</p> <p>Library of Virginia; Richmond, VA</p>	Awardee institution; city and state postal abbreviation	NR	M	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="pageModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:note[@type="agencyResponsibleForReproduction"]/@displayLabel</p> <p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="techTargetModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:note/@displayLabel</p> <p>mets:mets[@TYPE="urn:library-of-</p>

						<p>congress:ndnp:mets:microfilmReel"] /mets:dmdSec[@ID="targetModsBib 1"]/mets:mdWrap/mets:xmlData/mo ds:mods/ mods:note/@displayLabel</p> <p>TIFF: ImageProducer</p> <p>PDF: rdf:Description/dc:description/ rdf:Alt/rdf:li JPEG2000: rdf:Description/ dc:description/ rdf:Alt/rdf:li</p>
Digital Responsible Institution Code	enumeration	Multiple examples: dlc  oru  vi	Normalized MARC organization code of Awardee. See <a href="http://www.loc.gov/marc/organizations/org-search.php">http://www.loc.gov/marc/organizations/org-search.php</a> .	NR	MA	<p>mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:newspaper:iss ue"]/mets:dmdSec[@ID="pageMods Bib1"]/mets:mdWrap/mets:xmlData/ mods:mods/mods:note[@type="age ncy ResponsibleForReproduction"]</p> <p>mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:dmdSec[@ID="techTargetMo dsBib"]/mets:mdWrap/mets:xmlData /mods:mods/mods:note</p> <p>mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:dmdSec[@ID="targetModsBib 1"]/mets:mdWrap/mets:xmlData/mo ds:mods/ mods:note</p> <p>Xml:xml[@TYPE="urn:library-of- congress:ndnp:batch"]/batchHdr/bat ch:agent/batch:awardee</p>

Batch name <i>Sample</i>	String	batch_dlc_2012sample	For initial sample batch, use this naming structure:  batch_[MARC organization code*]_[year of award]sample	NR	M	Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/batchHdr/batch:agent/batch:name
Batch name <i>Production</i>	String	batch_dlc_alpha	For production batches, use this naming structure: batch_[MARC organization code*]_[keyword**].  *For MARC Organization code, see <a href="http://www.loc.gov/marc/organizations/org-search.php">http://www.loc.gov/marc/organizations/org-search.php</a> .  ** Batch keywords are unique within the deliveries of a given awardee throughout their program participation. Keywords (in alpha order for a given grant period) are selected by awardees. NOTE: all batch names must be lower-cased			

			only. Only 2 underscores (batch_[orgcode]_[keyword] are permitted per batch name (e.g., batch_dlc_thomasjefferson)			
<b>Title Information</b>						
LCCN	string	sn83031150 or 2007123234	Use canonical (normalized) form of LCCN for associated title bibliographic description. See <a href="http://www.loc.gov/marc/lccn-namespace.html">http://www.loc.gov/marc/lccn-namespace.html</a>	NR	M	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:identifier/@type</p> <p>Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/newspapertitle:lccn</p> <p>Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/issue:lccn</p> <p>PDF: rdf:Description/dc:description/rdf:Alt/rdf:li</p> <p>JPEG2000: rdf:Description</p> <p>JPEG2000: rdf:Description/dc:description/rdf:Alt/rdf:li</p>
Title	string	National forum (Washington, D.C.)	Combine MARC 245\$a and 260\$a	NR	M	PDF: rdf:Description/dc:title/rdf:Alt/rdf:li

		Washington Evening Times (Washington, D.C.)	or MARC 130\$a  (Use of the MARC 130 field is permitted only if the field is present and well-formed.)			PDF: rdf:Description/dc:description/ rdf:Alt/rdf:li  JPEG2000: rdf:Description/ dc:title/ rdf:Alt/rdf:li  JPEG2000: rdf:Description/ dc:description/ rdf:Alt/rdf:li  mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:newspaper:iss ue"]@LABEL
Publication Location	string	Washington, D.C.  or  Chicago, Ill.	MARC 260\$a	NR	M	PDF: rdf:Description/dc:title/ rdf:Alt/rdf:li  PDF: rdf:Description/dc:description/ rdf:Alt/rdf:li  JPEG2000: rdf:Description/ dc:title/ rdf:Alt/rdf:li
Volume Number	string	27	Following SICI standard: (1.) All numeric information shall be converted to arabic numbers. (2) Alphabetic data used as enumeration designations shall be transcribed as they appear on the piece, and converted to uppercase.	NR	O	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:newspaper:iss ue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/ mods:mods/mods:relatedItem/mods :part/mods:detail[@type="volume"]/ mods:number
Edition Order	positive integer	Multiple examples:	Default is 1. If more than one edition on this	NR	M	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:newspaper:iss

		1 2 3	date, number in chronological order.			ue”]/mets:dmdSec[@ID=“issueMod sBib”]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:part/mods:detail[@type=“edition”]/mods:number  Xml:xml[@TYPE=“urn:library-of-congress:ndnp:batch”]/issue:edition Order  JPEG2000: rdf:Description
Edition Label	string	Multiple examples: Late City Final  Two Stars (Final Edition)  Extra	If present, record as printed. (If not present, do not generate.)  If symbol is used to indicate edition label (e.g., two stars), describe the visual symbols and the meaning of those symbols in parentheses (i.e. if 2 stars are used to represent Final Edition, then Field value should be "Two Stars (Final Edition)".)	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“issueMod sBib”]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:part/mods:detail[@type=“edition”]/mods:caption  mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]@LABEL
Issue Number	string	3	Following SICI standard: (1.) All numeric information shall be converted to arabic numbers. (2) Alphabetic data used as enumeration	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“issueMod sBib”]/mets:xmlData/mods:mods/mods:relatedItem/mods:part/mods:detail[@type=“issue”]/mods:number

			designations shall be transcribed as they appear on the piece, and converted to uppercase.			
Issue Date	date	1908-03-21	Actual date issued, corrected if necessary. Use this particular ISO 8601 style: YYYY-MM-DD.	NR	M	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:originInfo/mods:dateIssued</p> <p>Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/issue:issueDate</p> <p>PDF: rdf:Description/dc:title/rdf:Alt/rdf:li</p> <p>PDF: rdf:Description/dc:description/rdf:Alt/rdf:li</p> <p>JPEG2000: rdf:Description</p> <p>JPEG2000: rdf:Description/dc:title/rdf:Alt/rdf:li</p> <p>JPEG2000: rdf:Description/dc:date/rdf:Seq/rdf:li</p> <p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]@LABEL</p>



Issue Present Indicator	string	Not digitized, published	Valid values are: Present;  Not digitized, published;  Not digitized, not published;  Not digitized, publishing unknown.  Note: "Present" means Published and digitized).	NR	M	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:note
Issue Present Comment	string	No issue published due to weather.	To record any additional known information indicated in film on missing issues.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:note/@displayLabel
Issue Date As Labeled	date	1908-03-21	If date printed was in error (not the date issued), this field reflects the incorrect date as printed. Include this field only if date printed was in error. Use this particular ISO 8601 style: YYYY-MM-DD.	R	MA	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="issueMod sBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:originInfo/mods:dateIssued[@qualifier="questionable"]
<b>Page Information</b>						
Section Label	string	B	If present, record as printed, to reflect logical Section navigation. (If	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="sectionMo

			not present, do not generate.) Could be blank, “C,” “IV,” “3,” “Business,” etc. If more than one Section heading per page, record dominant heading or combine headings (limit to approx. 6 words).			dsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:part/mods:detail[@type=“section label”]/mods:number
Page Sequence Number	positive integer	13	This orders the pages within an issue, regardless of printed page number. See Page Number field below. This field is particularly useful for multi-section titles.	NR	M	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:part/mods:extent[@unit=“pages”]/mods:start  JPEG2000: rdf:Description
Page Number	string	B3	Exactly as printed. If not printed, element should be omitted. If not used, element should be omitted.	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:part/mods:detail[@type=“page number”]/mods:number  PDF: rdf:Description/dc:title/ rdf:Alt/rdf:li  JPEG2000: rdf:Description/dc:title/ rdf:Alt/rdf:li
Page Physical Description	string	microfilm	Valid values: microfilm, microfiche, print.	NR	M	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:iss

						ue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem[@type=“original”]/mods:physicalDescription/mods:form  TIFF: FileSource
Page Present Indicator	string	Present	Valid values are: Present;  Not digitized, published  Not digitized, not published; Not digitized, publishing unknown.  Note: “Present” means Published and digitized.	NR	M	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:note[@type=“noteAboutReproduction”]
Page Present Comment	string	Best copy available	To record any additional known information indicated in film.	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:note[@type=“noteAboutReproduction”]/@displayLabel
<b>Reel Information</b>						
Reel Number	string	375892205698	Reel number to correspond with LC barcode supplied for all duplicate microfilm reels deposited with LC. --Not	NR	MA	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:newspaper:issue”]/mets:dmdSec[@ID=“pageModsBib1”]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem[@typ

			mandatory if page is missing (even if page is represented by a target).			<p>e="original"]/mods:identifer[@type="reel number"]</p> <p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="techTargetModsBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:identifer[@type="reel number"]</p> <p>Xml:xml[@TYPE="urn:library-of-congress:ndnp:batch"]/reel:reelnumber</p> <p>TIFF: Document Name</p> <p>PDF: rdf:Description/dc:identifer/rdf:Alt/rdf:li</p> <p>JPEG2000: rdf:Description/dc:identifer/ rdf:Alt/rdf:li</p>
Reel Sequence Number	positive integer	1	This orders the records <b>within a reel</b> . Indicate position of the image within the microfilm reel sequence.	NR	MA	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"]/mets:dmdSec[@ID="pageModsBib1"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem[@type="original"]/mods:identifer[@type="reel sequence number"]</p> <p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="techTargetModsBib"]/mets:mdWrap/mets:xmlData</p>

						<p>/mods:mods/mods:relatedItem/mods:identifier[@type="reel sequence number"]</p> <p>TIFF: ImageUniqueID</p> <p>PDF: rdf:Description/dc:identifier/rdf:Alt/rdf:li</p> <p>JPEG2000: rdf:Description/dc:identifier/rdf:Alt/rdf:li</p>
Object Format	string	Microfilm	Valid value (currently): Microfilm	NR	M	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="targetModsBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:relatedItem/mods:physicalDescription</p> <p>TIFF: FileSource</p>
Tech Target Label	string	Preservation Microfilm Scanner Target PMT1	Valid value (currently): Preservation Microfilm Scanner Target PMT1	NR	M	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:dmdSec[@ID="techTargetModsBib"]/mets:mdWrap/mets:xmlData/mods:mods/mods:titleInfo/mods:title</p>
Titles (on Reel)	string	Multiple examples: National forum (Washington, D.C.)  The Weekly	Combine MARC 245\$a and 260\$a or MARC 130\$a. (Use of the MARC 130 field is permitted only if the field is present and	NR	O	<p>mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:titles</p>

		Roundabout (Frankfort, KY)	well-formed.) Use title that corresponds to the folder in which the reel XML file resides. Make note of multiple titles in “comments” element (below).			
Start Date	date	1881-11-22	Use this particular ISO 8601 style: YYYY- MM-DD.	NR	O	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:amdSec/techMD[@ID="reelT echMD"]/mets:mdWrap/mets:xmlDa ta/ndnp:reelTechMD/ndnp:startDate
End Date	date	1881-11-16	Use this particular ISO 8601 style: YYYY- MM-DD.	NR	O	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:amdSec/techMD[@ID="reelT echMD"]/mets:mdWrap/mets:xmlDa ta/ndnp:reelTechMD/ndnp:endDate
Position	string	2a	1a, 2a, 1b, 2b	NR	O	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:amdSec/techMD[@ID="reelT echMD"]/mets:mdWrap/mets:xmlDa ta/ndnp:reelTechMD/ndnp:position  TIFF: Orientation
Reduction Ratio	string	20x	If stated, transcribe. If not stated, estimate.	NR	O	mets:mets[@TYPE="urn:library-of- congress:ndnp:mets:microfilmReel"] /mets:amdSec/techMD[@ID="reelT echMD"]/mets:mdWrap/mets:xmlDa ta/ndnp:reelTechMD/ndnp:reduction Ratio

Capture Resolution Original	string	300	Resolution relative to original material, measured in pixels/inch (or mm.).	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:captureResolutionOriginal
Capture Resolution Film	string	6000	Resolution relative to microfilm, measured in pixels/inch (or mm.). Capture Resolution Film = Reduction Ratio x Capture Resolution_Original.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:captureResolutionFilm
Guide To Contents Present Flag	boolean	true	Valid values are "true" for present; "false" for missing.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:guideToContentsPresentFlag
Guide To Contents String	string	Title established April 30...	If present, transcribe text from Guide to Contents, as it appears on film	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:guideToContentsString
Date Microfilm Created	string	1986	Date of microfilm creation	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:dateMicrofilmCreated
Loose Leaves Flag	boolean	false	For original material; Valid values: true, false	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]

						/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:looseLeavesFlag
Bound Volume Flag	boolean	true	For original material; Valid values: true, false	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:boundVolumeFlag
Comments	string		Any general comments regarding the reel, duplication process, or content, etc. If listing multiple titles on the reel, include associated LCCNs and date spans of each title.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:comments
Dimensions	string	17x23 in.	From original materials, if possible, or from N.W. Ayer & Son. N.W. Ayer & Son's American Newspaper Annual. Philadelphia : N.W. Ayer and Son, 1880-1909, or other sources.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:dimensions
Pages Per Issue	positive integer	4	Estimate from microfilm, or from N.W. Ayer & Son. N.W. Ayer & Son's American Newspaper Annual. Philadelphia : N.W. Ayer and Son, 1880-	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:pagesPerIssue



			1909, or other sources. See <a href="http://www.loc.gov/rr/news/news_research_tools/ayersdirectory.html">http://www.loc.gov/rr/news/news_research_tools/ayersdirectory.html</a>			
Number Of Resolution Targets	positive integer	2	Refers to microfilming resolution targets.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:numberOfResolutionTargets
Resolution Of Master	floating point number	7.1	Resolution of original camera master microfilm. Record actual number from line-pair resolution test pattern on film, if available.	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:resolutionOfMaster
Resolution Of Master: Comments	string		Any comments relating to the resolution of the camera master microfilm	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:resolutionCommentMaster
Density Reading Master	floating point number	0.91	Ten readings per reel.	R	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:densityReadingMaster
Average Density Master	floating point number	0.95	The average density of the camera master microfilm	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelT

						echMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:averageDensityMaster
Dmin Master	floating point number	0.2	The minimum density point on the camera master microfilm	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:microfilmReel”]/mets:amdSec/techMD[@ID=“reelTechMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:dminMaster
Resolution Of Duplicate Negative	floating point number	6.3	Resolution of the duplicate negative used for digitization. Record actual number from line-pair resolution test pattern on film, if available.	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:microfilmReel”]/mets:amdSec/techMD[@ID=“reelTechMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:resolutionOfDuplicateNegative
Resolution Of Duplicate Negative: Comments	string	Weak 7.1	Any comments relating to the resolution of the duplicate negative used for digitization	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:microfilmReel”]/mets:amdSec/techMD[@ID=“reelTechMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:resolutionCommentDuplicateNegative
Density Reading Duplicate Negative	floating point number	1.14	Ten readings per reel.	R	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:microfilmReel”]/mets:amdSec/techMD[@ID=“reelTechMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:densityReadingDuplicateNegative
Average Density Duplicate Negative	floating point number	1.1	The average density of duplicate master negative used for digitization	NR	O	mets:mets[@TYPE=“urn:library-of-congress:ndnp:mets:microfilmReel”]/mets:amdSec/techMD[@ID=“reelTechMD”]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:average

						DensityDuplicateNegative
Dmin Duplicate Negative	floating point number	0.15	The minimum density point on the duplicate negative used for digitization	NR	O	mets:mets[@TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"]/mets:amdSec/techMD[@ID="reelTechMD"]/mets:mdWrap/mets:xmlData/ndnp:reelTechMD/ndnp:dminDuplicateNegative

## Appendix B: File Format Profiles and Specifications

NOTE: Latest versions of Profiles and Specifications are available on the LC NDNP Web Site at <http://www.loc.gov/ndnp/guidelines/>.

### NDNP TIFF Profile

Version 1.7

#### CHANGES in 1.7:

1. Changes to resolution limits.

#### CHANGES in 1.6:

1. Corrections to tags 282, 283, and 42016.
  1. The TIFF will conform with the TIFF 6.0 specification, except it is not required that values be word offset<sup>1</sup>. A tag must be provided if it is required by the TIFF 6.0 specification and its value is not the default.
  2. The TIFF will be 8-bit grayscale.
  3. The TIFF will not be compressed.
  4. Image processing will not be applied to the TIFF, except for deskewing. The TIFF will be as close to the original produced by the scanner as possible. Deskewing will be applied if the skew is greater than 3 degrees.
  5. TIFF resolution must be maximum possible between 300 and 400 dpi, relative to physical dimensions of the original material. If this is not possible, contact NDNP.
  6. The image should be cropped to the page edge (not to the text block boundaries).

In addition to the tags required by the TIFF 6.0 specification, the following tags are required. The tag must be provided if the value is not the default as given in the TIFF 6.0 specification:

TIFF Tag #:	269
TIFF Tag Name:	DocumentName
TIFF Type:	ASCII
Notes:	Use Microfilm reel # (barcode). If not converted from microfilm, use normalized LCCN.

TIFF Tag #:	42016
TIFF Tag Name:	ImageUniqueID
Z39.87 #:	6.2.1
Z39.87 Name:	UniqueImageID

---

<sup>1</sup>This is a common problem with many TIFF producing applications and is readily handled by most TIFF rendering applications.

TIFF Type: ASCII

Notes: Use reel sequence number. Must be unique within reel. If not converted from microfilm, use “[Issue date in CCYY-MM-DD format]\_[edition order]\_[page sequence number]”. For example, “1909-03-20\_1\_13”.

TIFF Tag #: 274  
TIFF Tag Name: Orientation  
Z39.87 #: 6.2.4  
Z39.87 Name: Orientation

TIFF Tag #: 41728  
TIFF Tag Name: FileSource  
Z39.87 #: 7.1  
Z39.87 Name: SourceType  
TIFF Type: ASCII  
Value: “microfilm” or “microfiche” or “print”  
Note: Contact NDNF if none of the permitted values are appropriate.

TIFF Tag #: 315  
TIFF Tag Name: Artist  
Z39.87 #: 7.3  
Z39.87 Name: ImageProducer  
Notes: Use the following format: “institution name; scanning contractor”.  
The semicolon and scanning contractor are omitted if not applicable.

TIFF Tag #: 271  
TIFF Tag Name: Make  
Z39.87 #: 7.6.1.1  
Z39.87 Name: ScannerManufacturer

TIFF Tag #: 272  
TIFF Tag Name: Model  
Z39.87 #: 7.6.1.2.1  
Z39.87 Name: ScannerModelName  
Note: Include model number (optional).  
Include serial number (required).  
Use the following format: “model name, model number, SN#serial number”

TIFF Tag #: 305  
TIFF Tag Name: Software  
Z39.87 #: 7.6.2.1  
Z39.87 Name: ScanningSoftware  
Note: Include version.

TIFF Tag #: 306  
TIFF Tag Name: DateTime

Z39.87 #: 7.9  
Z39.87 Name: DateTimeCreated  
Note: See the TIFF 6.0 specification for the proper formatting of this tag.

The following are clarifications of the TIFF 6.0 specification, based on commonly encountered mistakes or additional NDNP requirements:

TIFF Tag #: 256  
TIFF Tag Name: ImageWidth  
Note: ImageWidth is measured in pixels.

TIFF Tag #: 257  
TIFF Tag Name: ImageLength  
Note: ImageLength is measured in pixels.

TIFF Tag #: 296  
TIFF Tag Name: ResolutionUnit  
Value: "2" (inches) or "3" (centimeters)  
Note: Specifying a unit of measurement is required. A value of "2" (inches) is encouraged.

TIFF Tag #: 282  
TIFF Tag Name: XResolution  
Note: Xresolution is a Rational, as defined by the TIFF 6.0 specification. ImageWidth (Tag 256) is the numerator and the length of the source (measured in the units specified in ResolutionUnit (Tag 296)) is the denominator.

TIFF Tag #: 283  
TIFF Tag Name: YResolution  
Note: Yresolution is a Rational, as defined by the TIFF 6.0 specification. ImageLength (Tag 257) is the numerator and the width of the source (measured in the units specified in ResolutionUnit (Tag 296)) is the denominator.

TIFF Tag #: 277  
TIFF Tague Name: SamplesPerPixel  
Value: 1

## NDNP JPEG 2000 Profile

Version 2.8

### CHANGES IN 2.8:

1. Updated rdf:Description references in XML Box metadata template to match NDNP element names in Digital Asset Metadata Elements – Dictionary.

### CHANGES IN 2.7:

1. Removed code-block style requirement.
1. The JPEG 2000 file will conform with JP2 file format as specified in ISO/IEC 15444- 1:2000 (i.e., JPEG 2000, Part 1).
2. The JPEG 2000 file will be prepared after any image processing or clean-up is performed. The JPEG 2000 file will correspond with the image that is used for OCR.
3. The JPEG 2000 file's brand will be “jp2 ”, version will be “0” and compatibility will be “jp2 ”. (Note the space after jp2.)
4. The JPEG 2000 file's image X origin, image Y origin, tile X origin, and tile Y origin will be 0.
5. The JPEG 2000 file will contain only one component.
6. The bit depth of that component will be 8.
7. The JPEG 2000 file's height and width will be the same as the TIFF master file.
8. The JPEG 2000 file's tile header will not contain coding style default, coding style component, quantization default, and quantization component marker segments.
9. The JPEG 2000's progression order will be RLCP (resolution, layer, component, position) or RLPC.
10. The JPEG 2000 will have 6 decomposition levels.
11. The JPEG 2000 will have 25 quality layers. The bits per pixel for each quality layer will be:  
1,0.84,0.7,0.6,0.5,0.4,0.35,0.3,0.25,0.21,0.18,0.15,0.125,0.1,0.088,0.07,0.0625,0.05,0.04419,0.03716,0.03125,0.025,0.0221,0.01858,0.015625.
12. The JPEG 2000's code-block size will be 64x64.
13. The JPEG 2000's code-block style will be bypass.
14. The JPEG 2000 will use the 9-7 irreversible filter.
15. The JPEG 2000 will be compressed so that it is about one-eighth of the TIFF or 1 bit per pixel.
16. The JPEG 2000 will use 1024x1024 tiles.
17. The JPEG 2000's color specification must be either the monochrome (grayscale) enumerated color space or the Monochrome Input restricted ICC profile.
18. The JPEG 2000 file will not contain regions of interest or precincts.
19. The JPEG 2000 file will not contain intellectual property rights information.
20. It is recommended that information about the codec used to encode the JPEG 2000 file (e.g., name, version) be included. The preferred method to do this is an XML Box containing the relevant MIX elements.

21. The JPEG 2000 file will contain an XML Box that conforms with the following:

For newspaper pages:

```
<?xml version="1.0"?>
<rdf:RDF xmlns:rdf="http://www.w3.org/1999/02/22-rdfsyntax-ns#">
  <rdf:Description rdf:about="urn:library-
ofcongress:ndnp:mets:newspaper:page://#LCCN#/#Issue Date#/#Edition
Order#/#Page Sequence Number#"
xmlns:dc="http://purl.org/dc/elements/1.1/">
  <dc:format>image/jp2</dc:format>
  <dc:title>
    <rdf:Alt>
      <rdf:li xml:lang="en">#Title#.#Issue Date# [p #Page
Number#].</rdf:li>
    </rdf:Alt>
  </dc:title>
  <dc:description>
    <rdf:Alt>
      <rdf:li xml:lang="en">Page from #Title# (newspaper).
[See LCCN: #LCCN# for catalog record.]. Prepared on behalf
of #Digital Responsible Institution#.</rdf:li>
    </rdf:Alt>
  </dc:description>
  <dc:date>
    <rdf:Seq>
      <rdf:li xml:lang="x-default">#Issue Date#</rdf:li>
    </rdf:Seq>
  </dc:date>
  <dc:type>
    <rdf:Bag>
      <rdf:li xml:lang="en">text</rdf:li>
      <rdf:li xml:lang="en">newspaper</rdf:li>
    </rdf:Bag>
  </dc:type>
  <dc:identifier>
    <rdf:Alt>
      <rdf:li xml:lang="en">Reel number #Reel Number#.
Sequence number #Reel Sequence Number#.</rdf:li>
    </rdf:Alt>
  </dc:identifier>
</rdf:Description>
</rdf:RDF>
```

*Note: dc:identifier may be omitted if the image is not created from microfilm.*

For example:

```
<?xml version="1.0"?>
<rdf:RDF xmlns:rdf="http://www.w3.org/1999/02/22-rdfsyntax-ns#">
  <rdf:Description rdf:about='urn:library-
ofcongress:ndnp:mets:newspaper:page://sn82015056/1910-05-
28/1/2'
xmlns:dc='http://purl.org/dc/elements/1.1/'>
  <dc:format>image/jp2</dc:format>
  <dc:title>
    <rdf:Alt>
```



```

        <rdf:li xml:lang="en">The national forum.(Washington,
D.C.) 1910-05-28 [p 2].</rdf:li>
        </rdf:Alt>
</dc:title>
<dc:description>
        <rdf:Alt>
        <rdf:li xml:lang="en">Page from The national forum
        (newspaper). [See LCCN: sn 82015056 for
catalog record.]. Prepared on behalf of Library of Congress.</rdf:li>
        </rdf:Alt>
</dc:description>
<dc:date>
        <rdf:Seq>
        <rdf:li xml:lang="x-default">1910-05-28</rdf:li>
        </rdf:Seq>
</dc:date>
<dc:type>
        <rdf:Bag>
        <rdf:li xml:lang="en">text</rdf:li>
        <rdf:li xml:lang="en">newspaper</rdf:li>
        </rdf:Bag>
</dc:type>
<dc:identifier>
        <rdf:Alt>
        <rdf:li xml:lang="en">Reel number 23454234545.
Sequence number 5.</rdf:li>
        </rdf:Alt>
</dc:identifier>
</rdf:Description>
</rdf:RDF>

```

For targets:

```

<?xml version="1.0"?>
<rdf:RDF xmlns:rdf="http://www.w3.org/1999/02/22-rdfsyntax-ns#">
  <rdf:Description rdf:about="#urn:library-
ofcongress:ndnp:mets:newspaper:target://#Reel Number#/#Reel
Sequence Number#"
  xmlns:dc="http://purl.org/dc/elements/1.1/">
    <dc:format>image/jp2</dc:format>
    <dc:description>
      <rdf:Alt>
        <rdf:li xml:lang="en">Target from microfilm reel
#Reel Number#. Prepared on behalf of #Digital Responsible
Institution#.</rdf:li>
      </rdf:Alt>
    </dc:description>
    <dc:identifier>
      <rdf:Alt>
        <rdf:li xml:lang="en">Reel number #Reel number#.
Sequence number #Reel Sequence Number#.</rdf:li>
      </rdf:Alt>
    </dc:identifier>
  </rdf:Description>
</rdf:RDF>

```

To generate a JPEG 2000 with Kakadu, use:

```
kdu_compress -i YOURINPUT.pgm -o YOUROUTPUT.jp2 -rate  
1,0.84,0.7,0.6,0.5,0.4,0.35,0.3,0.25,0.21,0.18,0.15,0.125,0.1,0.088,0.0  
75,0.0625,0.05,0.04419,0.03716,0.03125,0.025,0.0221,0.01858,0.015625  
Clevels=6 Stiles={1024,1024} Corder=RLCP -jp2_box YOURMETADATA.xml
```

*Note that the metadata xml file must begin with “xml “ followed by a newline.*

To generate a JPEG 2000 with Aware, use:

```
j2kdriver -i YOURINPUT.tif -t JP2 -p RLCP -w I97 6 -B 1 -p RLCP --tile-  
size 1024 1024 -yB 0 1 -yB 1 0.84 -yB 2 0.7 -yB 3 0.6 -yB 4 0.5 -yB 5  
0.4 -yB 6 0.35 -yB 7 0.3 -yB 8 0.25 -yB 9 0.21 -yB 10 0.18 -yB 11 0.15  
-yB 12 0.125 -yB 13 0.1 -yB 14 0.088 -yB 15 0.075 -yB 16 0.0625 -yB 17  
0.05 -yB 18 0.04419 -yB 19 0.03716 -yB 20 0.03125 -yB 21 0.025 -yB 22  
0.0221 -yB 23 0.01858 -yB 24 0.015625 --set-output-jp2-add-metadata-box  
XML YOURMETADATA.xml -o YOUROUTPUT.jp2
```

## NDNP PDF Profile

Version 2.2

### CHANGES IN 2.2:

2. Updated rdf:Description references in XMP metadata template to match NDNP element names in Digital Asset Metadata Elements – Dictionary.

### CHANGES IN 2.1:

1. Removed specific PDF/A requirements.
  2. Added general PDF/A recommendation.
- 
1. The PDF will contain only one page, which will contain an image of the newspaper page with text “behind” the image.
  2. The image will grayscale, downsampled to 150dpi and encoded using JPEG, using a medium (or 40) quality setting. (If these settings are found to be suboptimal, please contact NDNP.)
  3. Only the 14 standard Type1 fonts may be used. These fonts will not be embedded.
  4. The page may have a page label. The page label will be the page number as it appears in the image.
  5. Text streams will be Flate encoded.
  6. Text should not be placed in the same location as other text. (Note: Some OCR applications will place a small amount of text in the same location as other text. However, text should not deliberately be placed in the same location as other text.)
  7. The page will not contain any bookmarks, links, named destinations, comments, forms, Javascript actions, external cross references, alternate images, embedded thumbnails, annotations, or private data.
  8. The PDF will not be tagged. (Note: PDFs of newspapers tagged with Adobe Acrobat's automated tagging are generally inaccurate and not useful for Read Aloud functionality.)
  9. The PDF will open to Fit Page sizing.
  10. The PDF will open to single page layout.
  11. The PDF will open with neither document outline nor thumbnail images available.
  12. The PDF will open with the tool bar, menu bar, and user interface elements visible.
  13. The PDF will not open centered in the screen.
  14. The PDF will not be encrypted, digitally signed, or have any security.
  15. It is recommended that the PDF be linearized (also known as “Fast Web View”).
  16. The PDF will be compatible with Acrobat 5.0 or later.
  17. Except where conflicting with any of the other requirements of this profile, conforming to PDF/A (ISO 19005-1) is recommended.
  18. The PDF's document XMP metadata conform with the following:

For newspaper pages:

```
<rdf:Description rdf:about="#The appropriate uuid#"
xmlns:dc="http://purl.org/dc/elements/1.1/">
  <dc:format>application/pdf</dc:format>
  <dc:title>
    <rdf:Alt>
      <rdf:li xml:lang="en">#Title#. #Issue Date# [p #Page
Number#].</rdf:li>
    </rdf:Alt>
  </dc:title>
  <dc:description>
    <rdf:Alt>
      <rdf:li xml:lang="en">Page from #Title# (newspaper). [See
LCCN: #LCCN# for catalog record.]. Prepared on behalf of #Digital
Responsible Institution#.</rdf:li>
    </rdf:Alt>
  </dc:description>
  <dc:date>
    <rdf:Seq>
      <rdf:li xml:lang="x-default">#Issue Date#</rdf:li>
    </rdf:Seq>
  </dc:date>
  <dc:type>
    <rdf:Bag>
      <rdf:li xml:lang="en">text</rdf:li>
      <rdf:li xml:lang="en">newspaper</rdf:li>
    </rdf:Bag>
  </dc:type>
  <dc:identifier>
    <rdf:Alt>
      <rdf:li xml:lang="en">Reel number #Reel Number#. Sequence
number #Reel Sequence Number#.</rdf:li>
    </rdf:Alt>
  </dc:identifier>
</rdf:Description>
```

*Note: dc:identifier may be omitted if the image is not created from microfilm.*

For example:

```
<rdf:Description rdf:about='uuid:813e0307-eef2-4b52-8fd2-902bfade3ca3'
xmlns:dc='http://purl.org/dc/elements/1.1/'>
  <dc:format>application/pdf</dc:format>
  <dc:title>
    <rdf:Alt>
      <rdf:li xml:lang="en">The national forum.(Washington, D.C.)
1910-05-28 [p 2].</rdf:li>
    </rdf:Alt>
  </dc:title>
  <dc:description>
    <rdf:Alt>
      <rdf:li xml:lang="en">Page from The national forum
(newspaper). [See LCCN: sn 82015056 for catalog record.].
Prepared on behalf of Library of Congress.</rdf:li>
    </rdf:Alt>
  </dc:description>
  <dc:date>
```

```

        <rdf:Seq>
        <rdf:li xml:lang="x-default">1910-05-28</rdf:li>
        </rdf:Seq>
</dc:date>
<dc:type>
    <rdf:Bag>
    <rdf:li xml:lang="en">text</rdf:li>
    <rdf:li xml:lang="en">newspaper</rdf:li>
    </rdf:Bag>
</dc:type>
<dc:identifier>
    <rdf:Alt>
    <rdf:li xml:lang="en">Reel number 23454234545. Sequence
number 5.</rdf:li>
    </rdf:Alt>
</dc:identifier>
</rdf:Description>

```

For targets:

```

<rdf:Description rdf:about="#The appropriate uuid#"
xmlns:dc="http://purl.org/dc/elements/1.1/">
    <dc:format>application/pdf</dc:format>
    <dc:description>
        <rdf:Alt>
        <rdf:li xml:lang="en">Target from microfilm reel #Reel
Number#. Prepared on behalf of #Digital Responsible
Institution#.</rdf:li>
        </rdf:Alt>
    </dc:description>
    <dc:identifier>
        <rdf:Alt>
        <rdf:li xml:lang="en">Reel number #Reel number#. Sequence
number #Reel Sequence Number#.</rdf:li>
        </rdf:Alt>
    </dc:identifier>
</rdf:Description>

```

## **NDNP OCR Profile**

Version 1.14

### CHANGES in 1.14:

1. Added language code specification information for German text.
2. Added clarification about non-English text language codes.

### CHANGES in 1.13:

1. Changed version of ALTO to 2.0.
2. Added language code specification for non-English text and acceptable language codes.

### CHANGES in 1.12:

1. Required use of HEIGHT and WIDTH for Page element.

### CHANGES IN 1.11:

1. Changed version of ALTO to 1-4.

### CHANGES IN 1.10:

1. Added clarification of hyphenation.

### CHANGES IN 1.9:

1. Added prohibition on multiple Strings in same location.
2. Further clarified natural reading order.

### CHANGES IN 1.8:

1. ALTO version updated to 1-2.

### CHANGES IN 1.7:

1. Added clarification about column organization.
1. OCR text will be encoded using the ALTO (Analyzed Layout and Text Object) schema, Version 2.0, with the additional clarifications stated below.
2. The value for MeasurementUnit will be "inch1200," which is 1/1200 of an inch.
3. The use of the SourceImageInformation\fileName element is required. This should include the path if the path contains useful information (e.g., identifying the newspaper title and/or issue).
4. The use of the OCRProcessing element is encouraged.
5. If the OCRProcessing element is used, the use of the ProcessingSoftware element is required. If the software does not have a commercial name, the name of the executable may be used.
6. For all applicable elements, the use of STYLEREFS and language are encouraged.
7. For the Page element, the use of PRINTED\_IMG\_NR, QUALITY, POSITION, and PROCESSING are encouraged.
8. For the Page element, the use of HEIGHT and WIDTH are required.

9. For the Page element, the entire page may be included in the PrintSpace. (Thus, use of TopMargin, LeftMargin, RightMargin, and BottomMargin are not required.)
10. The use of Illustration, GraphicalElement, and ComposedBlock are not required.
11. The use of non-rectangular blocks is not encouraged.
12. The use of SP and HYP are encouraged.
13. For a TextLine, the use of BASELINE is discouraged.
14. For a String, the use of ALTERNATIVE, WC, and CC is encouraged if available.
15. For a String, the use of HEIGHT, WIDTH, HPOS, and VPOS is required.
16. The coordinates of Strings should not overlap. In other words, for each location on a page, there should only be one String. (If alternatives are desired, ALTERNATIVE should be utilized, not multiple Strings.)
17. OCR text must be in natural reading order. Thus, OCR text should reflect columns of the original newspaper and be ordered column-by-column. In addition, the ordering of all elements should reflect the original newspaper. (That is, reading order should be indicated by the ordering of elements, for example, Strings should be in reading order.)
18. Non-English text must be encoded at the TEXTBLOCK, using ISO 639-2 alpha-3 language codes. The following non-English codes will be searchable using language specific tools (e.g. word variants, stemming, diacritics) by Chronicling America: "fre" (French), "ger" (German), "ita" (Italian), and "spa" (Spanish). German text in Fraktur fonts must incorporate technical processing that includes Fraktur/black letter specific tools. Other non-English codes may be used, but will be treated as English by Chronicling America until further notice.

Note: a single ALTO document may have multiple languages encoded within individual TEXTBLOCKs (e.g. bilingual newspaper pages), but a single TEXTBLOCK may only have a single language.

#### Additional clarifications:

1. For the ProcessingStepSettings, the settings can be specified as the command-line arguments given to the processing software.
2. For a String, the CONTENT should be a word, not a character.
3. If a hyphen splits a word at the end of a line, the OCR file should represent both fragments of the word, the hyphen, and the complete word. See the following example, where the word "experts" was split at the end of a line.

```
<String ID="P5_ST00015" HPOS="5508" VPOS="24344" WIDTH="170"
HEIGHT="61" CONTENT="ex" SUBS_TYPE="HypPart1"
SUBS_CONTENT="experts" WC="0.96" CC="111"/>
<HYP CONTENT="-" />
</TextLine>
<TextLine ID="P5_TL00003" HPOS="3146" VPOS="24425" WIDTH="2532"
HEIGHT="108">
<String ID="P5_ST00016" HPOS="3146" VPOS="24439" WIDTH="288"
HEIGHT="94" CONTENT="perts" SUBS_TYPE="HypPart2"
SUBS_CONTENT="experts" WC="0.99" CC="00001" />
```

4. If the hyphenated word occurs in the middle of a line, the hyphen should be left in

place. See the following example where the word is "re-examination" occurred in the middle of a line.

```
<String ID="P2_ST03691" HPOS="11428" VPOS="15727" WIDTH="897"  
HEIGHT="89" CONTENT="re-examination" WC="0.97"  
CC="01001011010110" STYLEREFS="TXT_5"/>
```

5. Automated language recognition should be applied by title, rather than by reel or batch.



## Appendix C: XML Metadata Templates

### ISSUE XML Metadata Template, v.1.9

```
<?xml version="1.0" encoding="UTF-8"?>
<!--NDNP Newspaper issue profile-->
<!--Justin Littman, OSI-->
<!--Version 1.9-->
<!--Note that an issue may have additional elements/attributes
added/removed as it passes from awardee/vendor and through the pre-
ingest and ingest processes.
    Elements/attributes that do not apply to all steps have been
indicated below.
    amdSec (and children): Should only be added by Validation
Application.
    RECORDSTATUS: Should only be added by Validation Application.
-->
<!--INSTRUCTIONS:
1. Omit all comments. (Comments in all caps may be left in for
clarity.)
2. Treat all attribute values in brackets as comments that should be
replaced with the appropriate values.
3. Omit xsi:schemaLocation
4. Optional fields that are not going to be populated should be
omitted rather than left blank. For example, if a page does not have a
page number, omit <mods:detail type="page
number"><mods:number></mods:number></mods:detail>.
-->

<!--CHANGES in 1.8:
1. Added reference to digital signature in metsHdr.
2. Removed individual file digital signatures.

    CHANGES in 1.9:
1. Added physicalDescription to page mods to support originals other
than microfilm.
-->
<mets TYPE="urn:library-of-congress:ndnp:mets:newspaper:issue"
PROFILE="urn:library-of-congress:mets:profiles:ndnp:issue:v1.5"
LABEL="[Newspaper title], [issue date][, editionLabel (if present)]"
    xmlns:mix="http://www.loc.gov/mix/"
    xmlns:ndnp="http://www.loc.gov/ndnp"
    xmlns:premis="http://www.loc.gov/standards/premis"
    xmlns:mods="http://www.loc.gov/mods/v3"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xmlns:xlink="http://www.w3.org/1999/xlink"
    xmlns:dsig="http://www.w3.org/2000/09/xmldsig#"
    xmlns="http://www.loc.gov/METS/"
    xsi:schemaLocation="http://www.loc.gov/METS/ ../schema/ndnptoms.v1-
4.xsd http://www.loc.gov/mods/v3 ../schema/ndnptoms-3-1.xsd
http://www.loc.gov/ndnp ../schema/ndnp.xsd
http://www.loc.gov/standards/premis ../schema/Object.xsd
```

http://www.loc.gov/mix/ http://www.loc.gov/standards/mix/mix.xsd  
http://www.w3.org/2000/09/xmlsig# ../schema/xmlsig-core-schema.xsd">

```
<!--METS HEADER-->
  <metsHdr CREATEDATE="2004-11-12T09:00:00" RECORDSTATUS="Validated"
  ADMID="dsig metsPremis"><!--CREATEDATE should be populated with
  creation date of the record. RECORDSTATUS and ADMID should only be set
  by Validation Application.-->
    <agent ROLE="CREATOR" TYPE="ORGANIZATION">
      <name><!--Awardee, if the awardee created the METS record.
  If LC (or an LOC vendor) created the METS record, should be "Library of
  Congress"--></name>
    </agent>
  </metsHdr>

  <!--DESCRIPTIVE METADATA-->
  <!--Descriptive metadata for issue-->
  <dmdSec ID="issueModsBib">
    <mdWrap MDTYPE="MODS" LABEL="Issue metadata">
      <xmlData>
        <mods:mods>
          <mods:relatedItem type="host">
            <mods:identifier type="lccn"><!--lccn of
  newspaperTitle, normalized according to http://www.loc.gov/marc/lccn-
  namespace.html (required)--></mods:identifier>
            <mods:part><!--required-->
              <mods:detail type="volume">
                <mods:number><!--volumeNumber
  (optional)--></mods:number>
              </mods:detail>
              <mods:detail type="issue">
                <mods:number><!--issueNumber
  (optional)--></mods:number>
              </mods:detail>
              <mods:detail type="edition"><!--required-->
                <mods:number><!--editionOrder
  (required)--></mods:number>
                <mods:caption><!--editionLabel
  (optional)--></mods:caption>
              </mods:detail>
            </mods:part>
          </mods:relatedItem>
          <mods:originInfo><!--required-->
            <mods:dateIssued encoding="iso8601"><!--
  issueDate (required)--></mods:dateIssued>
            <mods:dateIssued encoding="iso8601"
  qualifier="questionable"><!--issueDateAsLabeled (optional)--
  ></mods:dateIssued>
            </mods:originInfo>
            <mods:note type="noteAboutReproduction"
  displayLabel="[issuePresentComment, otherwise
  omit]">Present</mods:note><!--issuePresentIndicator must be valid
  enumeration (required)-->
          </mods:mods>
        </xmlData>
      </mdWrap>
    </dmdSec>
```

```

<!--Descriptive metadata for section-->
<dmdSec ID="sectionModsBib1">
  <mdWrap MDTYPE="MODS" LABEL="Section metadata">
    <xmlData>
      <mods:mods>
        <mods:part>
          <mods:detail type="section label">
            <mods:number><!--sectionLabel (optional)-->
          </mods:number>
          </mods:detail>
        </mods:part>
      </mods:mods>
    </xmlData>
  </mdWrap>
</dmdSec>

<!--Descriptive metadata for page-->
<dmdSec ID="pageModsBib1">
  <mdWrap MDTYPE="MODS" LABEL="Page metadata">
    <xmlData>
      <mods:mods>
        <mods:part>
          <mods:extent unit="pages">
            <mods:start><!--pageSequenceNumber
(required)--></mods:start>
            </mods:extent>
            <mods:detail type="page number">
              <mods:number><!--pageNumber (optional)-->
            </mods:detail>
          </mods:part>
          <mods:relatedItem type="original">
            <mods:physicalDescription>
              <mods:form type="microfilm" /><!--Valid
values are microfilm, microfiche, or print. See following dmdSec for
an example of a print original.-->
            </mods:physicalDescription>
            <mods:identifier type="reel number"><!--
reelNumber (required if converted from microfilm)--></mods:identifier>
            <mods:identifier type="reel sequence
number"><!--reelSequenceNumber (required if converted from microfilm)-->
            </mods:identifier>
            <mods:location>
              <mods:physicalLocation authority="marcorg"
displayLabel="[sourceRepository]"><!--sourceRepositoryCode (from
http://www.loc.gov/marc/organizations)--></mods:physicalLocation>
            </mods:location>
          </mods:relatedItem>
          <mods:note type="agencyResponsibleForReproduction"
displayLabel="[digitalResponsibleInstitution]"><!--
digitalResponsibleInstitutionCode (from
http://www.loc.gov/marc/organizations)--></mods:note>
          <mods:note type="noteAboutReproduction"
displayLabel="[pagePresentComment, otherwise

```

```

omit]">Present</mods:note><!--pagePresentIndicator must be valid
enumeration-->
    </mods:mods>
  </xmlData>
</mdWrap>
</dmdSec>
<!--Repeat dmdSec for each page-->

<amdSec>
  <!--TECHNICAL METADATA.-->
  <!--All technical metadata is added by trusted validator-->
  <techMD ID="metsPremis">
    <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
      <xmlData>
        <premis:object>
          <premis:objectCharacteristics>
            <premis:significantProperties>
              <ndnp:exemptionSet>
                <ndnp:code>TEST1</ndnp:code>
                <ndnp:code>TEST2</ndnp:code>
              </ndnp:exemptionSet>
            </premis:significantProperties>
          </premis:objectCharacteristics>
        </premis:object>
      </xmlData>
    </mdWrap>
  </techMD>

  <!--PREMIS technical metadata for digital master for page 1.
Added by trusted validator.-->
  <techMD ID="masterPremis1">
    <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
      <xmlData>
        <premis:object>
          <premis:objectCharacteristics>
            <premis:fixity>
              <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
              <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
              <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
            </premis:fixity>
            <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
            <premis:format>
              <premis:formatDesignation>

<premis:formatName>image/tiff</premis:formatName>
              </premis:formatDesignation>
            </premis:format>
            <premis:significantProperties>
              <ndnp:exemptionSet>
                <ndnp:code>TEST1</ndnp:code>
                <ndnp:code>TEST2</ndnp:code>
              </ndnp:exemptionSet>
            </premis:significantProperties>
          </premis:objectCharacteristics>
        </premis:object>
      </xmlData>
    </mdWrap>
  </techMD>

```

```

        </ndnp:exemptionSet>
        </premis:significantProperties>
    </premis:objectCharacteristics>
    <premis:creatingApplication>
        <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
        <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
        <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (required for master)-->
        </premis:creatingApplication>
    </premis:object>
</xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for master image for each page-->

<!--MIX technical metadata for digital master for page 1.
Added by Trusted Validator.-->
    <techMD ID="masterMix1">
        <mdWrap MDTYPE="NISOIMG" LABEL="NISO still image metadata"
>
        <xmlData>
            <mix:mix>
                <mix:BasicImageParameters>
                    <mix:Format>
                        <mix:Compression>

<mix:CompressionScheme>1</mix:CompressionScheme><!--Compression scheme
(required)-->
                                </mix:Compression>
                                <mix:PhotometricInterpretation>

<mix:ColorSpace>0</mix:ColorSpace><!--Colorspace (required)-->
                                    </mix:PhotometricInterpretation>
                                    </mix:Format>
                                    </mix:BasicImageParameters>
                                    <mix:ImageCreation>

<mix:SourceType>Microfilm</mix:SourceType><!--sourceType (required for
master)-->
                                                <mix:ImageProducer><!--awardee; vendor
(required for master; optional for others)--></mix:ImageProducer>
                                                <mix:ScanningSystemCapture>
                                                    <mix:ScanningSystemHardware>
                                                        <mix:ScannerManufacturer><!--
scannerManufacturer (required for master)--></mix:ScannerManufacturer>
                                                            <mix:ScannerModel><!--
scannerModelName (required for master)--></mix:ScannerModel>
                                                                </mix:ScanningSystemHardware>
                                                                    </mix:ScanningSystemCapture>
                                                                        </mix:ImageCreation>
                                                                            <mix:ImagingPerformanceAssessment>
                                                                                <mix:SpatialMetrics>

```

```

<mix:SamplingFrequencyUnit>2</mix:SamplingFrequencyUnit><!--
SamplingFrequencyUnit (required)-->

<mix:XSamplingFrequency>400</mix:XSamplingFrequency><!--
XSamplingFrequency (required)-->

<mix:YSamplingFrequency>400</mix:YSamplingFrequency><!--
YSamplingFrequency (required)-->
    <mix:ImageWidth>1</mix:ImageWidth><!--
ImageWidth (required)-->
    <mix:ImageLength>1</mix:ImageLength><!--
-ImageLength (required)-->
    </mix:SpatialMetrics>
    <mix:Energetics>

<mix:BitsPerSample>8</mix:BitsPerSample><!--Bits per sample (required)-
->
    </mix:Energetics>
    </mix:ImagingPerformanceAssessment>
    </mix:mix>
    </xmlData>
    </mdWrap>
    </techMD>
    <!--techMD is repeated for master image for each page, unless a
master image has identical values as a previous master image, in which
case repeating is not necessary-->

    <!--PREMIS technical metadata for primary service image for
page 1 Added by Trusted Validator.-->
    <techMD ID="primaryServicePremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>
                    <premis:objectCharacteristics>
                        <premis:fixity>
                            <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
                            <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
                            <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
                        </premis:fixity>
                        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
                    <premis:format>
                        <premis:formatDesignation>

<premis:formatName>image/jp2</premis:formatName>
                            </premis:formatDesignation>
                        </premis:format>
                    </premis:objectCharacteristics>
                    <premis:creatingApplication>
                        <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>

```

```

        <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
        <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
        </premis:creatingApplication>
    </premis:object>
</xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for service image for each page-->

<!--MIX technical metadata for primary service image for page
1. Added by Trusted Validator.-->
<techMD ID="primaryServiceMix1">
    <mdWrap MDTYPE="NISOIMG" LABEL="NISO still image metadata">
        <xmlData>
            <mix:mix>
                <mix:BasicImageParameters>
                    <mix:Format>
                        <mix:Compression>

<mix:CompressionScheme>1</mix:CompressionScheme><!--Compression scheme
(required)-->
                                </mix:Compression>
                                <mix:PhotometricInterpretation>

<mix:ColorSpace>0</mix:ColorSpace><!--Colorspace (required)-->
                                </mix:PhotometricInterpretation>
                                </mix:Format>
                                </mix:BasicImageParameters>
                                <mix:ImagingPerformanceAssessment>
                                <mix:SpatialMetrics>

<mix:SamplingFrequencyUnit>2</mix:SamplingFrequencyUnit><!--
SamplingFrequencyUnity (required)-->

<mix:XSamplingFrequency>400</mix:XSamplingFrequency><!--
XSamplingFrequency (required)-->

<mix:YSamplingFrequency>400</mix:YSamplingFrequency><!--
YSamplingFrequency (required)-->
                                <mix:ImageWidth>1</mix:ImageWidth><!--
ImageWidth (required)-->
                                <mix:ImageLength>1</mix:ImageLength><!--
-ImageLength (required)-->
                                </mix:SpatialMetrics>
                                <mix:Energetics>

<mix:BitsPerSample>8</mix:BitsPerSample><!--Bits per sample (required)-
->
                                </mix:Energetics>
                                </mix:ImagingPerformanceAssessment>
                            </mix:mix>
                        </xmlData>
                    </mdWrap>

```

```

    </techMD>
    <!--techMD is repeated for primary service image for each page,
unless a primary service image has identical values as a previous
primary service image, in which case repeating is not necessary-->

    <!--PREMIS technical metadata for other derivative for page 1.
Added by Trusted Validator.-->
    <techMD ID="otherDerivativePremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>
                    <premis:objectCharacteristics>
                        <premis:fixity>
                            <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
                            <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
                            <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
                        </premis:fixity>
                        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
                    </premis:format>
                    <premis:formatDesignation>

<premis:formatName>application/pdf</premis:formatName>
                    </premis:formatDesignation>
                </premis:objectCharacteristics>
                <premis:creatingApplication>
                    <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
                    <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
                    <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
                </premis:creatingApplication>
            </premis:object>
        </xmlData>
    </mdWrap>
</techMD>
<!--techMD is repeated for derivative image for each page-->

    <!--PREMIS technical metadata for ocr text for page 1. Added by
Trusted Validator.-->
    <techMD ID="ocrTextPremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>
                    <premis:objectCharacteristics>
                        <premis:fixity>
                            <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>

```



```

        <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
        <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
        </premis:fixity>
        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
        <premis:format>
        <premis:formatDesignation>

<premis:formatName>text/xml</premis:formatName>
        </premis:formatDesignation>
        </premis:format>
</premis:objectCharacteristics>
        <premis:creatingApplication>
        <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
        <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
        <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
        </premis:creatingApplication>
        </premis:object>
    </xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for ocr text for each page.-->

<!--XML digital signature for Mets. Added by Trusted Validator
prior to ingest.-->
    <digiprovmD ID="dsig">
        <mdWrap LABEL="Mets record validation signature"
MDTYPE="OTHER" OTHERMDTYPE="XML-Signature">
            <xmlData>
                <dsig:Signature>
                    <dsig:SignedInfo>
                        <dsig:CanonicalizationMethod
Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-
20010315#WithComments"/>
                        <dsig:SignatureMethod
Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-SHA-11"/>
                        <dsig:Reference URI="">
                            <dsig:Transforms>
                                <dsig:Transform
Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
                            </dsig:Transforms>
                            <dsig:DigestMethod
Algorithm="http://www.w3.org/2000/09/xmldsig#SHA-11" />
                            <dsig:DigestValue
>a6YyprHlfzy49l30S/sTFDviaE8=</dsig:DigestValue>
                            </dsig:Reference>
                        </dsig:SignedInfo>
                        <dsig:SignatureValue
>FmuNM4sto5vVntkO1+WWi4H0DaiWvzU8Bak7j3K9wQxEWVDvt7EoOg==</dsig:Signatu
reValue>

```

```

        <dsig:KeyInfo>
            <dsig:KeyValue>
                <dsig:DSAKeyValue>

<dsig:P>/X9TgR11EilS30qcLuzk5/YRt1I870QAwX4/gLZRJmlFXUAIuftZPY1Y+r/F9bo
w9subVWzXgTuA
HTRv8mZgt2uZUKWkn5/oBHsQIsJPu6nX/rfGG/g7V+fGqKYVDwT7g/bTxR7DAjVUE1oWkTL
2dfOu
K2HXKu/yIgMZndFIAcc=</dsig:P><dsig:Q>l2BQjxUjC8yykrmCouuEC/BYHPU=</dsig
:Q><dsig:G>9+GghdabPd7LvKtcNrhXuXmUr7v6OuqC+VdMCz0HgmdRWVeOutRZT+ZxBxCB
gLRJFnEj6EwoFhO3
zwkyjMim4TwWeotUfI0o4KOuHiuzpnWRbqN/C/ohNWLx+2J6ASQ7zKTxvqhrRkImog9/hWuW
fBpKL
Zl6Ae1UlZAFMO/7PSSo=</dsig:G><dsig:Y>IQy0sz7MUZsAcnddcG1z8Nc5Cx68xbVNCI
8DjOrahDarTyvFmOymnYNJ+TkmkGJZxGEpt6lGFaRX
mFKwPaq9P6SHOZc56712XZMidIIeMrlZ2xOJjzC5H4EvOLxEQgQP3p/9cywAA/fNkRdSfF9
MeLwL
XdJkkjE6zEN7eYgSjkM=</dsig:Y>
                </dsig:DSAKeyValue>
            </dsig:KeyValue>
            <dsig:KeyName>NDNP1.0</dsig:KeyName>
        </dsig:KeyInfo>
    </dsig:Signature>
</xmlData>
</mdWrap>
</digiprovMD>
</amdSec>

<!--FILE SECTION-->
<fileSec>
    <!--File group for page 1-->
    <fileGrp ID="pageFileGrp1">
        <file ID="masterFile1" USE="master" ADMID="masterPremis1
masterMix1"><!--ADMID should reference appropriate IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="/page.tif" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
        <file ID="serviceFile1" USE="service"
ADMID="primaryServicePremis1 primaryServiceMix1"><!--ADMID should
reference appropriate IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="/page.jp2" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
        <file ID="otherDerivativeFile1" USE="derivative"
ADMID="otherDerivativePremis1"><!--ADMID should reference appropriate
IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="/page.pdf" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
        <file ID="ocrFile1" USE="ocr" ADMID="ocrTextPremis1"><!--
ADMID should reference appropriate IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="/page.xml" /><!--xlink:href should be populated with a
file path relative to this file-->
    </fileGrp>
</fileSec>

```

```

        </file>
    </fileGrp>
    <!--Repeat fileGrp for each page-->
</fileSec>

<!--STRUCTURAL MAP-->
<structMap xmlns:np="urn:library-of-congress:ndnp:mets:newspaper">
    <div TYPE="np:issue" DMDID="issueModsBib"><!--DMDID should
reference appropriate IDs.-->
        <div TYPE="np:section" DMDID="sectionModsBib1"><!--[An
issue may or may not have sections. If the issue does not have
sections, this div level will be omitted.]-->
            <div TYPE="np:page" DMDID="pageModsBib1"><!--DMDID
should reference appropriate IDs.-->
                <fptr FILEID="masterFile1" />
                <fptr FILEID="serviceFile1" />
                <fptr FILEID="otherDerivativeFile1" />
                <fptr FILEID="ocrFile1" />
            </div>
            <!--div repeated for each page.-->
        </div>
        <!--div repeated for each section.-->
    </div>
</structMap>
</mets>

```

## REEL XML Metadata Template, v. 1.7

```
<?xml version="1.0" encoding="UTF-8"?>
<!--NDNP Reel profile-->
<!--Justin Littman, OSI-->
<!--Version 1.7-->
<!--Note that a reel may have additional elements/attributes
added/removed as
    it passes from awardee/vendor and through the pre-ingest and ingest
processes.
    Elements/attributes that do not apply to all steps have been
indicated below.
    amdSec (and children): Should only be added by Validation
Application.
    RECORDSTATUS: Should only be added by Validation Application.
-->
<!--INSTRUCTIONS:
1. Omit all comments. (Comments in all caps may be left in for
clarity.)
2. Treat all attribute values in brackets as comments that should be
replaced with the appropriate values.
3. Omit xsi:schemaLocation
4. Reel sequence numbers should not be assigned to tech targets. Reel
sequence numbers should begin with the first image on
    the content reel beginning with 1.
-->

<!-- CHANGES in 1.7:
1. Changed titles, startDate, endDate, position, reductionRatio,
dateMicrofilmCreated, captureResolutionOriginal,
captureResolutionOriginal, guideToContentsPresentFlag, looseLeavesFlag,
boundVolumeFlag, dimensions, pagesPerIssue, numberOfResolutionTargets,
resolutionOfMaster, resolutionCommentMaster, densityReadingMaster,
averageDensityMaster, dminMaster, resolutionOfDuplicateNegative,
resolutionCommentDuplicateNegative, densityReadingDuplicateNegative,
averageDensityDuplicateNegative, and dminDuplicateNegative to optional.
2. Changed reelTechMD to optional.
-->

<!-- CHANGES in 1.6:
1. Changed the number of required tech targets to between 1 and 5.
-->

<!--CHANGES in 1.5:
1. Added reference to digital signature in metsHdr.
2. Removed individual file digital signatures.
3. Added physicalDescription to page mods to be consistent with issue.
4. Added dmdSec for tech targets.
-->

<mets TYPE="urn:library-of-congress:ndnp:mets:microfilmReel"
PROFILE="urn:library-of-congress:mets:profiles:ndnp:microfilmReel:v1.4"
LABEL="[reel number]"
```

```

    xmlns:mix="http://www.loc.gov/mix/"
xmlns:ndnp="http://www.loc.gov/ndnp"
xmlns:premis="http://www.loc.gov/standards/premis"
xmlns:mods="http://www.loc.gov/mods/v3"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:xlink="http://www.w3.org/1999/xlink"
xmlns:dsig="http://www.w3.org/2000/09/xmldsig#"
xmlns="http://www.loc.gov/METS/"
xsi:schemaLocation="http://www.loc.gov/METS/ ../schema/ndnptoms.v1-
4.xsd http://www.loc.gov/mods/v3 ../schema/ndnpmods-3-1.xsd
http://www.loc.gov/ndnp ../schema/ndnp.xsd
http://www.loc.gov/standards/premis ../schema/object.xsd
http://www.loc.gov/mix/ http://www.loc.gov/standards/mix/mix.xsd
http://www.w3.org/2000/09/xmldsig# ../schema/xmldsig-core-schema.xsd">

    <!--METS HEADER-->
    <metsHdr CREATEDATE="2004-11-12T09:00:00" RECORDSTATUS="Validated"
ADMID="digSig"><!--CREATEDATE should be populated with creation date of
the record. RECORDSTATUS should only be set by Validation
Application.-->
        <agent ROLE="CREATOR" TYPE="ORGANIZATION">
            <name><!--Awardee, if the awardee created the METS record.
If LC created the METS record, should be "Library of Congress"--
></name>
            </agent>
        </metsHdr>

    <!--Descriptive metadata for tech target-->
    <dmdSec ID="techTargetModsBib">
        <mdWrap MDTYPE="MODS" LABEL="Tech Target metadata">
            <xmlData>
                <mods:mods>
                    <mods:titleInfo>
                        <mods:title> Preservation Microfilm Scanner
Target PMT1</mods:title>
                    </mods:titleInfo>
                    <mods:note type="agencyResponsibleForReproduction"
displayLabel="[digitalResponsibleInstitution]"><!--
digitalResponsibleInstitutionCode (from
http://www.loc.gov/marc/organizations)--></mods:note>
                </mods:mods>
            </xmlData>
        </mdWrap>
    </dmdSec>

    <!--Descriptive metadata for target-->
    <dmdSec ID="targetModsBibl">
        <mdWrap MDTYPE="MODS" LABEL="Target metadata">
            <xmlData>
                <mods:mods>
                    <mods:relatedItem type="original">
                        <mods:physicalDescription>
                            <mods:form type="microfilm" /><!--Microfilm
is only valid value.-->
                        </mods:physicalDescription>
                        <mods:identifier type="reel number"><!--
reelNumber (required)--></mods:identifier>
                    </mods:relatedItem>
                </mods:mods>
            </xmlData>
        </mdWrap>
    </dmdSec>

```

```

        <mods:identifier type="reel sequence
number"><!--reelSequenceNumber (required)--></mods:identifier>
        <mods:location>
            <mods:physicalLocation authority="marcorg"
displayLabel="[sourceRepository]"><!--sourceRepositoryCode (from
http://www.loc.gov/marc/organizations)--></mods:physicalLocation>
            </mods:location>
        </mods:relatedItem>
        <mods:note type="agencyResponsibleForReproduction"
displayLabel="[digitalResponsibleInstitution]"><!--
digitalResponsibleInstitutionCode (from
http://www.loc.gov/marc/organizations)--></mods:note>
        </mods:mods>
    </xmlData>
</mdWrap>
</dmdSec>
<!--Repeat dmdSec for each page-->

<amdSec>
    <!--TECHNICAL METADATA-->
    <!--NDNP technical metadata for reel (optional)-->
    <techMD ID="reelTechMD">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="NDNP Reel Technical
Metadata" LABEL="NDNP technical metadata for microfilm reel">
            <xmlData>
                <ndnp:reelTechMD>
                    <ndnp:titles>[Titles (optional)]</ndnp:titles>
                    <ndnp:startDate>1919-01-01</ndnp:startDate><!--
Start Date (optional)-->
                    <ndnp:endDate>1920-01-01</ndnp:endDate><!--End
Date (optional)-->
                    <ndnp:position>1a</ndnp:position><!--Position
(optional)-->
                    <ndnp:reductionRatio>[Reduction Ratio
(optional)]</ndnp:reductionRatio>
                    <ndnp:captureResolutionOriginal
measurement="pixels/inch">300</ndnp:captureResolutionOriginal><!--
Capture resolution of original (optional)-->
                    <ndnp:captureResolutionFilm
measurement="pixels/inch">6000</ndnp:captureResolutionFilm><!--Capture
resolution of film (optional)-->

                    <ndnp:guideToContentsPresentFlag>true</ndnp:guideToContentsPresentFlag>
                    <!--Guide to contents present (optional)-->
                    <ndnp:guideToContentsString>[Guide to Contents
String (optional)]</ndnp:guideToContentsString>
                    <ndnp:dateMicrofilmCreated>[Date microfilm
created (optional)]</ndnp:dateMicrofilmCreated>

                    <ndnp:looseLeavesFlag>true</ndnp:looseLeavesFlag><!--Loose leaves
(optional)-->

                    <ndnp:boundVolumeFlag>true</ndnp:boundVolumeFlag><!--Loose leaves
(optional)-->

                    <ndnp:comments>[Comments
(optional)]</ndnp:comments>

```

```

        <ndnp:dimensions>[Dimensions
(optional)]</ndnp:dimensions>
        <ndnp:pagesPerIssue>4</ndnp:pagesPerIssue><!--
Pages per issue (optional)-->

<ndnp:numberOfResolutionTargets>5</ndnp:numberOfResolutionTargets><!--
Number of resolution targets (optional)-->

<ndnp:resolutionOfMaster>7.1</ndnp:resolutionOfMaster><!--Resolution of
master (optional)-->
        <ndnp:resolutionCommentMaster>[Resolution
comment master (optional)]</ndnp:resolutionCommentMaster>

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 1 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 2 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 3 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 4 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 5 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 6 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 7 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 8 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 9 master (optional)-->

<ndnp:densityReadingMaster>0.91</ndnp:densityReadingMaster><!--Density
reading 10 master (optional)-->

<ndnp:averageDensityMaster>0.91</ndnp:averageDensityMaster><!--Average
density master (optional)-->
        <ndnp:dminMaster>0.20</ndnp:dminMaster><!--Dmin
master (optional)-->

<ndnp:resolutionOfDuplicateNegative>7.1</ndnp:resolutionOfDuplicateNega
tive><!--Resolution of DuplicateNegative (optional)-->

<ndnp:resolutionCommentDuplicateNegative>[Resolution comment
DuplicateNegative (optional)]</ndnp:resolutionCommentDuplicateNegative>

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicat
eNegative><!--Density reading 1 DuplicateNegative (optional)-->

```

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 2 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 3 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 4 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 5 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 6 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 7 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 8 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 9 DuplicateNegative (optional)-->

<ndnp:densityReadingDuplicateNegative>0.91</ndnp:densityReadingDuplicateNegative><!--Density reading 10 DuplicateNegative (optional)-->

<ndnp:averageDensityDuplicateNegative>0.91</ndnp:averageDensityDuplicateNegative><!--Average density DuplicateNegative (optional)-->

<ndnp:dminDuplicateNegative>0.20</ndnp:dminDuplicateNegative><!--Dmin DuplicateNegative (optional)-->

</ndnp:reelTechMD>

</xmlData>

</mdWrap>

</techMD>

<!--TECHNICAL METADATA.-->

<!--All technical metadata is added by trusted validator-->

<!--PREMIS technical metadata for digital master for page 1.

Added by trusted validator.-->

<techMD ID="masterPremis1">

<mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS object metadata">

<xmlData>

<premis:object>

<premis:objectCharacteristics>

<premis:fixity>

<premis:messageDigestAlgorithm>SHA-

1</premis:messageDigestAlgorithm>

<premis:messageDigest><!--The SHA-1 checksum (Required)--></premis:messageDigest>

<premis:messageDigestOriginator>Library of Congress</premis:messageDigestOriginator>

</premis:fixity>



```

        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
        <premis:format>
            <premis:formatDesignation>

<premis:formatName>image/tiff</premis:formatName>
            </premis:formatDesignation>
        </premis:format>
    </premis:objectCharacteristics>
    <premis:creatingApplication>
        <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
        <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
            <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (required for master)-->
            </premis:creatingApplication>
        </premis:object>
    </xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for master image for each page-->

<!--MIX technical metadata for digital master for page 1.
Added by Trusted Validator.-->
    <techMD ID="masterMix1">
        <mdWrap MDTYPE="NISOIMG" LABEL="NISO still image metadata"
>
        <xmlData>
            <mix:mix>
                <mix:BasicImageParameters>
                    <mix:Format>
                        <mix:Compression>

<mix:CompressionScheme>1</mix:CompressionScheme><!--Compression scheme
(required)-->
                            </mix:Compression>
                            <mix:PhotometricInterpretation>

<mix:ColorSpace>0</mix:ColorSpace><!--Colorspace (required)-->
                                </mix:PhotometricInterpretation>
                                </mix:Format>
                            </mix:BasicImageParameters>
                            <mix:ImageCreation>

<mix:SourceType>Microfilm</mix:SourceType><!--sourceType (required for
master)-->
                                    <mix:ImageProducer><!--awardee; vendor
(required for master; optional for others)--></mix:ImageProducer>
                                    <mix:ScanningSystemCapture>
                                        <mix:ScanningSystemHardware>
                                            <mix:ScannerManufacturer><!--
scannerManufacturer (required for master)--></mix:ScannerManufacturer>
                                            <mix:ScannerModel><!--
scannerModelName (required for master)--></mix:ScannerModel>

```

```

        </mix:ScanningSystemHardware>
        </mix:ScanningSystemCapture>
    </mix:ImageCreation>
    <mix:ImagingPerformanceAssessment>
        <mix:SpatialMetrics>

<mix:SamplingFrequencyUnit>2</mix:SamplingFrequencyUnit><!--
SamplingFrequencyUnit (required)-->

<mix:XSamplingFrequency>400</mix:XSamplingFrequency><!--
XSamplingFrequency (required)-->

<mix:YSamplingFrequency>400</mix:YSamplingFrequency><!--
YSamplingFrequency (required)-->
        <mix:ImageWidth>1</mix:ImageWidth><!--
ImageWidth (required)-->
        <mix:ImageLength>1</mix:ImageLength><!--
-ImageLength (required)-->
        </mix:SpatialMetrics>
        <mix:Energetics>

<mix:BitsPerSample>8</mix:BitsPerSample><!--Bits per sample (required)-
->
        </mix:Energetics>
    </mix:ImagingPerformanceAssessment>
</mix:mix>
</xmlData>
</mdWrap>
</techMD>
    <!--techMD is repeated for master image for each page, unless a
master image has identical values as a previous master image, in which
case repeating is not necessary-->

    <!--PREMIS technical metadata for primary service image for
page 1 Added by Trusted Validator.-->
    <techMD ID="primaryServicePremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>
                    <premis:objectCharacteristics>
                        <premis:fixity>
                            <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
                            <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
                            <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
                        </premis:fixity>
                        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
                        <premis:format>
                            <premis:formatDesignation>

<premis:formatName>image/jp2</premis:formatName>
                            </premis:formatDesignation>
                        </premis:format>

```

```

        </premis:objectCharacteristics>
        <premis:creatingApplication>
            <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
            <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
            <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
            </premis:creatingApplication>
        </premis:object>
    </xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for service image for each page-->

<!--MIX technical metadata for primary service image for page
1. Added by Trusted Validator.-->
    <techMD ID="primaryServiceMix1">
        <mdWrap MDTYPE="NISOIMG" LABEL="NISO still image metadata">
            <xmlData>
                <mix:mix>
                    <mix:BasicImageParameters>
                        <mix:Format>
                            <mix:Compression>

<mix:CompressionScheme>1</mix:CompressionScheme><!--Compression scheme
(required)-->
                                </mix:Compression>
                                <mix:PhotometricInterpretation>

<mix:ColorSpace>0</mix:ColorSpace><!--Colorspace (required)-->
                                    </mix:PhotometricInterpretation>
                                    </mix:Format>
                                    </mix:BasicImageParameters>
                                    <mix:ImagingPerformanceAssessment>
                                    <mix:SpatialMetrics>

<mix:SamplingFrequencyUnit>2</mix:SamplingFrequencyUnit><!--
SamplingFrequencyUnity (required)-->
                                        <mix:ImageWidth>1</mix:ImageWidth><!--
ImageWidth (required)-->
                                            <mix:ImageLength>1</mix:ImageLength><!--
-ImageLength (required)-->
                                                </mix:SpatialMetrics>
                                                <mix:Energetics>

<mix:BitsPerSample>8</mix:BitsPerSample><!--Bits per sample (required)-
->
                                                    </mix:Energetics>

```

```

        </mix:ImagingPerformanceAssessment>
    </mix:mix>
</xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for primary service image for each page,
unless a primary service image has identical values as a previous
primary service image, in which case repeating is not necessary-->

    <!--PREMIS technical metadata for other derivative for page 1.
Added by Trusted Validator.-->
    <techMD ID="otherDerivativePremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>
                    <premis:objectCharacteristics>
                        <premis:fixity>
                            <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
                            <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
                            <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
                        </premis:fixity>
                        <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
                        <premis:format>
                            <premis:formatDesignation>

<premis:formatName>application/pdf</premis:formatName>
                            </premis:formatDesignation>
                        </premis:format>
                    </premis:objectCharacteristics>
                    <premis:creatingApplication>
                        <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
                        <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
                        <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
                    </premis:creatingApplication>
                </premis:object>
            </xmlData>
        </mdWrap>
    </techMD>
<!--techMD is repeated for derivative image for each page-->

    <!--PREMIS technical metadata for ocr text for page 1. Added by
Trusted Validator.-->
    <techMD ID="ocrTextPremis1">
        <mdWrap MDTYPE="OTHER" OTHERMDTYPE="PREMIS" LABEL="PREMIS
object metadata">
            <xmlData>
                <premis:object>

```

```

        <premis:objectCharacteristics>
            <premis:fixity>
                <premis:messageDigestAlgorithm>SHA-
1</premis:messageDigestAlgorithm>
                <premis:messageDigest><!--The SHA-1
checksum (Required)--></premis:messageDigest>
                <premis:messageDigestOriginator>Library
of Congress</premis:messageDigestOriginator>
            </premis:fixity>
            <premis:size>1</premis:size><!--Size of the
file in bytes. (Required)-->
            <premis:format>
                <premis:formatDesignation>

<premis:formatName>text/xml</premis:formatName>
                </premis:formatDesignation>
            </premis:format>
        </premis:objectCharacteristics>
        <premis:creatingApplication>
            <premis:creatingApplicationName><!--
creatingApplicationName (optional)--></premis:creatingApplicationName>
            <premis:creatingApplicationVersion><!--
creatingApplicationVersion (optional)--
></premis:creatingApplicationVersion>
            <premis:dateCreatedByApplication>2004-11-
22T00:00:00</premis:dateCreatedByApplication><!--
dateCreatedByApplication (optional)-->
            </premis:creatingApplication>
        </premis:object>
    </xmlData>
</mdWrap>
</techMD>
<!--techMD is repeated for ocr text for each page.-->

<!--XML digital signature for Mets. Added by Trusted Validator
prior to ingest.-->
    <digiprovMD ID="digSig">
        <mdWrap LABEL="Mets record validation signature"
MDTYPE="OTHER" OTHERMDTYPE="XML-Signature">
            <xmlData>
                <dsig:Signature>
                    <dsig:SignedInfo>
                        <dsig:CanonicalizationMethod
Algorithm="http://www.w3.org/TR/2001/REC-xml-c14n-
20010315#WithComments"/>
                        <dsig:SignatureMethod
Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-SHA-11"/>
                        <dsig:Reference URI="">
                            <dsig:Transforms>
                                <dsig:Transform
Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
                            </dsig:Transforms>
                            <dsig:DigestMethod
Algorithm="http://www.w3.org/2000/09/xmldsig#SHA-11" />
                            <dsig:DigestValue
>a6YyprHlfzy49l30S/sTFDviaE8=</dsig:DigestValue>
                        </dsig:Reference>
                    </dsig:SignedInfo>
                </dsig:Signature>
            </xmlData>
        </mdWrap>
    </digiprovMD>

```

```

        </dsig:SignedInfo>
        <dsig:SignatureValue
>FmuNM4sto5vVntkO1+WWi4H0DaiWvzU8Bak7j3K9wQxEWVDvt7EoOg==</dsig:Signatu
reValue>

        <dsig:KeyInfo>
            <dsig:KeyValue>
                <dsig:DSAKeyValue>

<dsig:P>/X9TgR11Eils30qcLuzk5/YRt1I870QAwX4/gLZRJmlFXUAIuftZPY1Y+r/F9bo
w9subVWzXgTuA
HTRv8mZgt2uZUKWkn5/oBHSQIsJPu6nX/rfGG/g7V+fGqKYVDwt7g/bTxR7DAjVUE1oWkTL
2dfOu
K2HXKu/yIgMZndFIAcc=</dsig:P><dsig:Q>l2BQjxUjC8yykrmCouuEC/BYHPU=</dsig
:Q><dsig:G>9+GghdabPd7LvKtcNrhXuXmUr7v6OuqC+VdMCz0HgmdRWVeOutRZT+ZxBxCB
gLRJFneJ6EwoFhO3
zwkyjMim4TwWeotUfI0o4K0uHiuzpnWRbqN/C/ohNWLx+2J6ASQ7zKTxvqhRkImog9/hWuW
fBpKL
Zl6Ae1UlZAFMO/7PSSo=</dsig:G><dsig:Y>IQy0sz7MUZsAcnddcG1z8Nc5Cx68xbVNCI
8DjOrahDarTyvFmOymnYNJ+TkmkGJZxGEpt6lGFaRX
mFKwPaq9P6SHOZc56712XZMidIIEmrlZ2xOJjzC5H4EvOLxEQgQP3p/9cywAA/fNkRdSfF9
MeLwL
XdJkkjE6zEN7eYgSjkM=</dsig:Y>
                </dsig:DSAKeyValue>
            </dsig:KeyValue>
            <dsig:KeyName>NDNP.1.0</dsig:KeyName>
        </dsig:KeyInfo>
    </dsig:Signature>
</xmlData>
</mdWrap>
</digiprovMD>

</amdSec>

<!--FILE SECTION-->
<fileSec>
    <!--File group for first target-->
    <fileGrp ID="targetFileGrp1">
        <file ID="masterFile1" USE="master" ADMID="masterPremis1
masterMix1"><!--ADMID should reference appropriate IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="../../page.tif" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
        <file ID="serviceFile1" USE="service"
ADMID="primaryServicePremis1 primaryServiceMix1"><!--ADMID should
reference appropriate IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="../../page.jp2" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
        <file ID="otherDerivativeFile1" USE="derivative"
ADMID="otherDerivativePremis1"><!--ADMID should reference appropriate
IDs.-->
            <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="../../page.pdf" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>

```

```

        <file ID="ocrFile1" USE="ocr" ADMID="ocrTextPremis1"><!--
ADMID should reference appropriate IDs.-->
        <FLocat LOCTYPE="OTHER" OTHERLOCTYPE="file"
xlink:href="../../page.xml" /><!--xlink:href should be populated with a
file path relative to this file-->
        </file>
    </fileGrp>
    <!--Repeat fileGrp for each target-->
</fileSec>

<!--STRUCTURAL MAP-->
<structMap xmlns:np="urn:library-of-congress:ndnp:mets:newspaper">
    <div TYPE="np:reel" ADMID="reelTechMD">
        <!--The techtargetreel are the targets that LC requires be
shot before each reel. Mandatory.-->
        <div TYPE="np:techtargetreel">
            <div TYPE="np:target" DMDID="techTargetModsBib">
                <fptr FILEID="masterFile1" />
                <fptr FILEID="serviceFile1" />
                <fptr FILEID="otherDerivativeFile1" />
                <fptr FILEID="ocrFile1" />
            </div>
            <!--div repeated for each tech target. There must
between 1 and 5.-->
            </div>
            <div TYPE="np:target" DMDID="targetModsBib1"><!--DMDID
should reference appropriate IDs.-->
                <!--Note: To keep this template brief, the same files
are being re-used below. In a real instance, these should be different
files than above.-->
                <fptr FILEID="masterFile1" />
                <fptr FILEID="serviceFile1" />
                <fptr FILEID="otherDerivativeFile1" />
                <fptr FILEID="ocrFile1" />
            </div>
            <!--div repeated for each target.-->
            </div>
        </structMap>

</mets>

```

## BATCH XML Metadata Template, v. 1.6

```
<?xml version="1.0" encoding="UTF-8"?>
<!--NDNP Batch profile-->
<!--Version 1.6-->
<!--INSTRUCTIONS:
  1. Omit all comments. (Comments in all caps may be left in for
  clarity.)
  2. Treat all attribute values in brackets as comments that
  should be replaced with the appropriate values.
  3. Omit any element which takes a value if there is no value.
  For example, omit the encyclopediaEntry element if encyclopediaEntry
  is not being provided.
  4. The sequence of elements is required.
-->
<!--
CHANGES IN 1.6:
  1. Added clarification to issue and reel elements.
CHANGES IN 1.5:
  1. Omitted references to xsi:schemaLocation.
  2. Added clarification about encyclopediaEntry and
  newspaperTitle elements.
CHANGES IN 1.4:
  1. Added awardee and awardYear attribute.
CHANGES IN 1.3:
  1. Added additional clarification about xsi:schemaLocation.
  2. Added additional clarification about sequence.
  3. Added encyclopedia element.
CHANGES IN 1.2:
  1. Added name attribute.
-->

<batch name="batch_dlc_eagle" awardee="dlc" awardYear="2008"
xmlns="http://www.loc.gov/ndnp"><!--NAME is the name of the batch.
AWARDEE is the awardee MARC Org Code (from
http://www.loc.gov/marc/organizations). AWARD YEAR is the NEH award
phase for which the content was created, given as a 4 digit year.-->

  <!--ENCYCLOPEDIA-->
  <encyclopediaEntry><!--A relative path of the Mets file. For
  example:-->./encyclopedia/encyclopedia.xml</encyclopediaEntry>
  <!--Repeat encyclopediaEntry for each encyclopedia entry-->
  <!--encyclopediaEntry element used internally by LC. Not
  required for awardees. -->

  <!--NEWSPAPER TITLE-->
  <newspaperTitle lccn="sn78890351"><!--A relative path of the
  Mets file.-->./mets.xml</newspaperTitle><!--lccn should be lccn,
  normalized according to http://www.loc.gov/marc/lccn-namespace.html-
  -->
  <!--Repeat newspaperTitle for each newspaper title-->
  <!--DO NOT DO THIS:
    <newspaperTitle lccn="sn78890351" />
  -->
```



```
<!--newspaperTitle element used internally by LC. Not required
for awardees. -->

<!--ISSUE-->
<issue lccn="sn78890351" issueDate="2004-12-03"
editionOrder="1"><!--A relative path of the Mets file.--
>./1/1/mets.xml<!--lccn should be a normalized LCCN.--></issue>
<issue lccn="sn78890351" issueDate="2004-12-03"
editionOrder="1">./1/2/mets.xml</issue>
<!--Repeat issue element for each issue-->

<!--REEL-->
<reel reelNumber="[reelNumber]"><!--A relative path of the Mets
file. -->./mets.xml</reel>
<!--Repeat reel element for each reel-->
</batch>
```

## Appendix D: Batch, File and Directory Structure on Delivery Media

The basic batch file structure organization\* is as follows. See below for additional explanation. See <http://chroniclingamerica.loc.gov/data/> for examples.

```
batch_dlc_alpha/  
  batch.xml  
  sn83045433/  
    0010049324a/  
      0010049324a.xml  
      0001.tif  
      1905112901/  
        1905112901.xml  
        0004.tif  
        0004.jp2  
        0004.pdf  
        0004.xml
```

Each batch will have a unique name constructed as “batch” underscore “Awardee MARC Org code” underscore “keyword.” All characters used in batch names shall be lower-cased. All batch names shall include and be limited to 2 underscores. Each keyword will be unique to a given Awardee throughout their participation in the program. Keywords should be alphabetic within a given award.

*Example:*

batch\_dlc\_alpha

NOTE: For sample batches, associated keyword must be “[AwardYear]sample”. All other batch details are the same.

*Example:*

batch\_dlc\_2013sample

In the root directory of the portable hard drive will be the single batch file pointing to each Issue/Edition and reel record on the delivery media.

*Example:*

batch.xml

Each title will be contained in its own directory, name matching the title’s LCCN.

*Example:*

/sn83045433/

Within each title directory, subdirectories will be created for each scanned reel, with names matching the barcode on each reel.

*Example:*  
/sn83045433/0010049324a/

Within each reel subdirectory will be one metadata file for the reel, named after its barcode number, the target images for that reel, the images of the currently used standards-based scanning resolution technical targets, and a subdirectory for each newspaper issue/edition, named after its date and edition order (typically 01).

*Example reel metadata file:*  
/sn83045433/0010049324a/0010049324a.xml

*Example subdirectory for the only edition from January 24, 1905:*  
/sn83045433/0010049324a/1905012401/

The metadata for that edition would be:  
/sn83045433/0010049324a/1905012401/1905012401.xml

In each issue/edition subdirectory will be the metadata file for that issue, and all TIFF, JP2, PDF and OCR files for the pages in that issue/edition.

If the issue/edition is noted as missing from the guide to contents or other filmed targets, the proper issue/edition subdirectory can be created and contain the metadata file, but **no** TIFF, JP2, PDF or OCR files.

*Example for “issue missing November 29, 1905”:*  
/sn83045433/0010049324a/1905112901/1905112901.xml

Image and OCR files shall be named in four digit, one-up manner, according to the order of appearance on the scanned reel, whether the image is a target or page.

*For example, a reel might contain, in order, a technical target (whose TIFF would be named):*

/sn83045433/0010049324a/0001.tif

*a title target:*

/sn83045433/0010049324a/0002.tif

*a guide to contents:*

/sn83045433/0010049324a/0003.tif

*and newspaper pages:*

/sn83045433/0010049324a/1905012401/0004.tif

/sn83045433/0010049324a/1905012401/0005.tif

/sn83045433/0010049324a/1905012401/0006.tif

etc.

Each JP2, PDF and OCR file will have the same prefix as the TIFF file from which it was derived, and will be located in the same directory as its matching TIFF.

*Example JP2, PDF and OCR files:*

/sn83045433/0010049324a/1905012401/0004.jp2

/sn83045433/0010049324a/1905012401/0004.pdf

/sn83045433/0010049324a/1905012401/0004.xml

\*For batches containing content derived from paper, rather than microfilm negative, substitute a directory called “print” for the reel directory, and no targets will be scanned in that directory. All other file structures remain the same.

*Example:*

batch\_dlc\_alpha/

batch.xml

sn83045433/

print/

1905112901/

1905112901.xml

0004.tif

0004.jp2

0004.pdf

0004.xml

## Appendix E: Associated Online Resources

### Program Information

- National Digital Newspaper Program (National Endowment for the Humanities) – <http://www.neh.gov/projects/ndnp.html>
- National Digital Newspaper Program (Library of Congress) - <http://www.loc.gov/ndnp/>
- Chronicling America: Historic American Newspapers - <http://chroniclingamerica.loc.gov/>

### Award Application Information

- National Digital Newspaper Program Application Guidelines (NEH) – <http://www.neh.gov/grants/guidelines/ndnp.html>
- National Digital Newspaper Program Technical Guidelines (LC)– <http://www.loc.gov/ndnp/guidelines/>

### Technical Background

- NDNP Guidelines and Resources (LC) - <http://www.loc.gov/ndnp/guidelines/>
- JSTOR/Harvard Object Validation Environment - <http://hul.harvard.edu/jhove>
- Justin Littman, “A Technical Approach and Distributed Model for Validation of Digital Objects.” *D-Lib Magazine*, May 2006. <http://www.dlib.org/dlib/may06/littman/05littman.html>
- BagIt Specification - <http://www.cdlib.org/inside/diglib/bagit/bagitspec.html>

### Technical Information - Metadata

- MARC Standard - <http://www.loc.gov/marc/>
- METS (Metadata Encoding and Transmission Standard) - <http://www.loc.gov/standards/mets/>
- CONSER (Cooperative Online Serials) Program - <http://www.loc.gov/acq/conser/>
- LCCN Namespace - <http://www.loc.gov/marc/lccn-namespace.html>
- MARC Organizations - <http://www.loc.gov/marc/organizations/org-search.php>
- ISO 639-2: Codes for the representation of names of languages: alpha-3 codes - [http://www.loc.gov/standards/iso639-2/php/code\\_list.php](http://www.loc.gov/standards/iso639-2/php/code_list.php)

### Technical Background - Imaging

- Federal Agencies Digitization Guidelines Initiative – <http://www.digitizationguidelines.gov/>
- Image Science Associates - <http://imagescienceassociates.com>

- NDNP Historical Newspaper JPEG2000 Profile -  
[http://www.loc.gov/ndnp/guidelines/docs/NDNP\\_JP2HistNewsProfile.pdf](http://www.loc.gov/ndnp/guidelines/docs/NDNP_JP2HistNewsProfile.pdf)

### **Additional Background**

- United States Newspaper Program (NEH) -  
<http://www.neh.gov/projects/usnp.html>
- United States Newspaper Program Technical Guidelines (LC)-  
<http://www.loc.gov/rr/news/usnp/usnpguidelinesp.html>
- Newspaper and Current Periodicals Reading Room – Digital Reference Collections
  - [Rowell's American Newspaper Directory](#) (1869-1877)
  - [Ayer's American Newspaper Annual](#) (1880-1909)
  - [Ayer's American Newspaper Annual & Directory](#) (1910-1922)
  - [Rowell's and Ayer's All-In-One Search](#) (University of North Texas)