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## 26.2. NAMES OF PERSONS.

### Preliminary Note

These guidelines are applicable primarily when establishing headings initially.

### Recording Variant Forms of Name

Record all forms of name found on the chief source of the work being cataloged (including CIP title pages). For forms of name that are not on the chief source but that are found in the normal course of examining the work, be selective: record only those forms that are judged to add important information identifying the author (most commonly, a fuller form of name) or to justify tracing a needed reference. In particular, do not record a form that consists of a surname alone when that form is a variant. References are traced only from recorded forms. See the guidelines below when deciding which recorded forms require references.

### Referring from Variant Forms

1) Normally, do not trace a reference from the old catalog heading. However, if judged useful by the cataloger or for specified projects, a linking reference may be made. If the form of the resulting reference (i.e., the way it is structured, *not* its degree of fullness) is not in accord with current policy, code it "do not make" and trace another reference constructed according to current policy.

2) Trace a reference from each variant that affects the primary elements of the name. For the normal, inverted heading this means variations in all elements to the left of the comma and in the first element to the right of the comma. Do not trace a reference that would normalize to the same form as the heading or a see reference on the same record, or to the same form as a heading on another record.

3) Refer from other variants (i.e., those that do not affect the primary entry elements) when it is judged the access to the catalog would be improved, e.g., when the heading is a common-sounding name.

4) Make one reference from each possible entry element of the name chosen as the heading, including each *separate* particle or prefix but excluding connectives such as "y" and "und." (In this connection, ignore the "Bure" example in AACR2 rule 26.2A3; this is based on actual usage, not an arbitrary permutation of the heading.)

5) Generally, make only one reference from each variant in each script, normally constructing it in the form in which it would be constructed if chosen as the heading (cf. specific instructions in LCRI 26.1, including the exception for nonroman script references). In addition, if applicable, make *one* reference under each surname element, other than particles and prefixes, not already referred from. Normally, do not otherwise make references that are "variants of the variant."

6) In constructing references, prefer forms corresponding to usage over forms corresponding

## 26.2

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to qualifiers (*cf.* the last example below).

Follow these principles when creating new headings, and follow them, also, as closely as possible when evaluating references on existing authority records and when creating automated authority records for headings found in the machine files (or in the manual catalog, if this has been consulted). For already evaluated reference structures, generally, allow the references to stand that are not provided for in these instructions.

### Examples

100 1# \$a Freeman, Robert, \$d 1948-  
670 ## \$a His Hidden treasure, 1980: \$b t.p. (Robert  
Freeman)  
670 ## \$a Phone call to author, 3/31/82 \$b (Robert Eliot  
Freeman; b. 6/18/48)

*(No references)*

100 1# \$a Jenkins, Barbara  
670 ## \$a Jenkins, P. The walk west, 1981: \$b CIP t.p.  
(Barbara Jenkins) CIP data sheet (Barbara Jo  
Pennell Jenkins)

*(No references)*

100 1# \$a Klughorn, Jason  
400 1# \$a Klughorn, Jay  
670 ## \$a His Mud pies, 1980: \$b t.p. (Jason Klughorn)  
CIP data sheet (Jay Klughorn)

100 1# \$a Jackson, Richard L.  
400 1# \$a Jackson, Rick  
670 ## \$a Conflict and cooperation in police labour  
relations, c1980: \$b t.p. (Richard L. Jackson)  
p. 239 (Rick Jackson)

100 1# \$a Inmon, William H.  
400 1# \$a Inmon, W. H. (William H.)  
670 ## \$a His Effective data base design, 1980: \$b t.p.  
(William H. Inmon)  
670 ## \$a His Design review methodology for a data base  
environment, c1982: \$b t.p. (W.H. Inmon)

- 100 1# \$a Hendrix, Louise Butts  
 400 1# \$a Butts, Louise  
 670 ## \$a Her Sutter Buttes, land of Histun Yani, Sutter  
           County, California, c1980: \$b t.p. (Louise  
           Butts Hendrix) about the author (née Louise  
           Butts)
- 100 1# \$a Morgan, C. J. \$q (Carol Jean)  
 400 1# \$a Morgan, Kim  
 400 1# \$a Morgan, Carol Jean  
 670 ## \$a National Career Education Conf. (1977 :  
           Canberra, A.C.T.) Issues in career education,  
           1977: \$b t.p. (C.J. Morgan) p. 141 (Dr. C.J.  
           (Kim) Morgan)  
 670 ## \$a Aust. nat. bib. \$b (Morgan, Carol Jean)
- 100 1# \$a Welch, Kenneth R. G.  
 400 1# \$a Welch, K. R. G. \$q (Kenneth R. G.)  
 670 ## \$a His Herpetology of Europe and southwest Asia,  
           1983: \$b CIP t.p. (K.R.G. Welch) book t.p.  
           (Kenneth R.G. Welch)
- 100 1# \$a Clark, T. J. \$q (Timothy J.)  
 400 1# \$a Clark, Timothy J.  
 670 ## \$a His Image of the people, 1982: \$b t.p. (T.J.  
           Clark)  
 670 ## \$q LC database, 7/14/83 \$b (hdg.: Clark, Timothy  
           J.; usage: T.J. Clark)
- 100 1# \$a Gueligue, E. Sèlidji \$q (Eugène Sèlidji)  
 400 1# \$a Gueligue, Eugène Sèlidji  
 670 ## \$a His Lire, écrire et parler fon, 1978- : \$b t.  
           1, t.p. (E. Sèlidji Gueligue) pref. (Eugène  
           Sèlidji Gueligue)
- 100 1# \$a Meier-David, Huguette  
 400 1# \$a David, Huguette Meier-  
 400 1# \$a Meyer-David, Huguette  
 400 1# \$a Meier David, Huguette  
           (*"Meier-David" and "Meier David" file the same*)  
 400 1# \$a David, Huguette Meyer-  
           (*This is a variant of the variant "400 Meyer-David, Huguette"  
           traced above*)  
 670 ## \$a Zado, R. Die kleine Rodung, 1978: \$b t.p.  
           (Huguette Meier-David) verso t.p. (Huguette  
           Meier David) p. 16 (Huguette Meyer-David)

*not also*

100 1# \$a Moreno, Montserrat  
400 1# \$a Moreno Marimon, Montserrat  
400 1# \$a Marimon, Montserrat Moreno  
670 ## \$a Her Aprendizaje y desarrollo intelectual, 1980:  
    \$b t.p. (Montserrat Moreno) cover p. 4  
    (Montserrat Moreno Marimon)

100 1# \$a Marure, Mateo Antonio, \$d 1783?-1814  
400 1# \$a Marure y Guzmán, Matheo Antonio, \$d 1783?-1814  
400 1# \$a Marure Guzmán, Matheo Antonio, \$d 1783?-1814  
400 1# \$a Marure, Matheo Antonio, \$d 1783?-1814  
400 1# \$a Guzmán, Matheo Antonio Marure y, \$d 1783?-1814  
400 1# \$a Guzmán, Matheo Antonio Marure, \$d 1783?-1814  
*(Only one of the two preceding references should be made; it  
does not matter which)*

670 ## \$a Vela. Un procer preterido, 1980: \$b p. 17  
    (Matheo Antonio Marure y Guzmán) p. 20 (Matheo  
    Antonio Marure Guzmán) p. 21 (Matheo Antonio  
    Marure)  
670 1# \$a Moore, R.E. Hist. dic. of Guat., 1967 \$b  
    (Marure, Mateo Antonio)

100 1# \$a García de Miguel, J. M \$q. (José María)  
400 1# \$a De Miguel, J. M. García \$q (José María García)  
400 1# \$a Miguel, J. M. García de \$q (José María García)  
400 1# \$a García de Miguel, José María  
670 ## \$a His Quimica del cristal, 1978: \$b t.p. (J.M.  
    García de Miguel)  
670 ## \$a Sp 78-Apr \$b (García de Miguel, José María)

100 1# \$a Van der Walt, C. J. \$q (Charl Jacobus)  
400 1# \$a Van der Walt, Charl Jacobus  
400 1# \$a Der Walt, C. J. van \$q (Charl Jacobus)  
400 1# \$a Walt, C. J. van der \$q (Charl Jacobus)

100 1# \$a Mills, R. A. \$q (Rachel A.)  
400 1# \$a Mills, Rachel  
670 ## \$a Modern ocean floor processes and the geological  
    record, 1998: \$b t.p. (R.A. Mills) pref.  
    (Rachel Mills)

*not* 400 1# \$a Mills, Rachel A.

Note that if another Rachel Mills were already established in the authority file, the form of the cross reference in the above example would then become

400 1# \$a Mills, Rachel \$q (Rachel A.)

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## Special Considerations

1) When in romance language names a surname is abbreviated to a single letter, do not refer from it.

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100 1# $a Jara S., A. Antonio
not 400 1# $a S., A. Antonio Jara

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2) If the form being referred from is purely initials and the full form is a normal forename-surname one, make one reference from the initials in direct order and make one, also, from the initial that represents the entry element in the heading.

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100 1# $a Scottow, Joshua, $d 1618-1698
400 0# $a J. S. $q (Joshua Scottow), $d 1618-1698
400 1# $a S., J. $q (Joshua Scottow), $d 1618-1698

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3) When an Aramaic surname begins with “Bar,” an Arabic surname begins with “Abd” or “Ibn,” or a Hebrew surname begins with “Ben” or “Bat,” do not make a reference from the surname element that follows.

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100 1# $a Ben-Gurion, David, $d 1886-1973
not 400 1# $a Gurion, David Ben-, $d 1886-1973

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