

# Department of Defense **DIRECTIVE**

**NUMBER** 3000.10 January 10, 2013

USD(AT&L)

SUBJECT: Contingency Basing Outside the United States

References: See Enclosure 1

1. <u>PURPOSE</u>. This directive establishes policy and assigns responsibilities for DoD contingency basing outside the United States.

## 2. APPLICABILITY. This directive:

- a. Applies:
- (1) To OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this directive as the "DoD Components").
  - (2) Outside of the United States.
  - b. Does not apply inside of the United States.
- 3. <u>POLICY</u>. It is DoD policy to pursue increased effectiveness and efficiency in contingency basing by:
- a. Promoting scalable interoperable capabilities that support joint, interagency, intergovernmental, and multinational partners.
- b. Providing common standards for planning, design, and construction in accordance with the Under Secretary of Defense for Acquisition, Logistics, and Technology (USD(AT&L)) Memorandum (Reference (a)); developing common standards for contingency services; and establishing standards for equipment, base operations, and base transition or closure.
- c. Using operational energy efficiently in accordance with the guidance stated in the DoD Operational Energy Strategy and DoD Directives (DoDDs) 5134.15 and 4140.25 (References (b), (c), and (d)), minimizing waste, and conserving water and other resources.

- d. Integrating comprehensive risk management for emergency management, environment, safety, explosives safety, occupational health, and pest management into planning, design, and operations in accordance with paragraph 4.3. of DoDD 4715.1E (Reference (e)) and for security in accordance with DoDD 5200.43 (Reference (f)).
- e. Minimizing the logistics footprint by optimizing the delivery of materiel solutions, contracting practices, and services.
- f. Providing the appropriate mix of military, civilian, and contractor personnel competencies in the DoD Total Force planning process in accordance with paragraph 4c of DoDD 1404.10 (Reference (g)) and the guidance in DoD Instruction (DoDI) 1100.22 (Reference (h)).
- g. Conducting contingency basing education and training for military and civilian personnel in accordance with paragraph 4.1.4. of DoDD 5124.02 (Reference (i)) and paragraph 4a of DoDD 1322.18 (Reference (j)).
  - h. Minimizing adverse impacts on local populations and cultural resources.
- 4. <u>RESPONSIBILITIES</u>. See Enclosure 2.
- 5. <u>RELEASABILITY</u>. **Unlimited**. This directive is approved for public release and is available on the Internet from the DoD Issuances Website at http://www.dtic.mil/whs/directives.
- 6. <u>EFFECTIVE DATE</u>. This directive:
  - a. Is effective January 10, 2013.
- b. Must be reissued, cancelled, or certified current within 5 years of its publication in accordance with DoDI 5025.01 (Reference (k)). If not, this directive will expire effective January 10, 2023 and be removed from the DoD Issuances Website.

Ashton B. Carter

Deputy Secretary of Defense

#### Enclosures

- 1. References
- 2. Responsibilities

Glossary

## ENCLOSURE 1

### REFERENCES

- (a) Under Secretary of Defense for Acquisition, Technology, and Logistics Memorandum, "Department of Defense Unified Facilities Criteria," May 29, 2002<sup>1</sup>
- (b) DoD Operational Energy Strategy, May 2011<sup>2</sup>
- (c) DoD Directive 5134.15, "Assistant Secretary of Defense for Operational Energy Plans and Programs (ASD(OEPP))," May 17, 2011
- (d) DoD Directive 4140.25, "DoD Management Policy for Energy Commodities and Related Services," April 12, 2004
- (e) DoD Directive 4715.1E, "Environment, Safety, and Occupational Health (ESOH)," March 19, 2005
- (f) DoD Directive 5200.43, "Management of the Defense Security Enterprise," October 1, 2012
- (g) DoD Directive 1404.10, "DoD Civilian Expeditionary Workforce," January 23, 2009
- (h) DoD Instruction 1100.22, "Policy and Procedures for Determining Workforce Mix," April 12, 2010
- (i) DoD Directive 5124.02, "Under Secretary of Defense for Personnel and Readiness (USD(P&R))," June 23, 2008
- (j) DoD Directive 1322.18, "Military Training," January 13, 2009
- (k) DoD Instruction 5025.01, "DoD Directives Program," September 26, 2012
- (l) DoD Instruction 5105.18, "DoD Intergovernmental and Intragovernmental Committee Management Program," July 10, 2009, as amended
- (m) DoD 4120.24-M, "DoD Standardization Program (DSP) Policies and Procedures," March 9, 2000
- (n) DoD Instruction 2010.06, "Materiel Interoperability and Standardization with Allies and Coalition Partners," July 29, 2009
- (o) DoD Directive 6490.02E, "Comprehensive Health Surveillance," February 8, 2012
- (p) DoD Directive 6200.04, "Force Health Protection (FHP)," October 9, 2004
- (q) DoD Instruction 6490.03, "Deployment Health," August 11, 2006, as amended
- (r) DoD Directive 5136.01, "Assistant Secretary of Defense for Health Affairs (ASD(HA))," June 4, 2008
- (s) DoD Civilian Human Capital Strategic Plan<sup>3</sup>
- (t) DoD Directive 5144.1, "Assistant Secretary of Defense for Networks and Information Integration/DoD Chief Information Officer (ASD(NII)/DoD CIO)," May 2, 2005
- (u) DoD Instruction 4000.19, "Interservice and Intragovernmental Support," August 9, 1995
- (v) Joint Publication 1-02, "Department of Defense Dictionary of Military and Associated Terms," current edition

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<sup>&</sup>lt;sup>1</sup> Copies may be obtained from the Internet at http://www.wbdg.org/ccb/browse cat.php?o=29&c=4

<sup>&</sup>lt;sup>2</sup> Copies may be obtained from the Internet at http://energy.defense.gov/OES\_report\_to\_congress.pdf

<sup>&</sup>lt;sup>3</sup> Copies may be obtained from the Internet at http://www.apps.cpms.osd.mil/

#### **ENCLOSURE 2**

### RESPONSIBILITIES

# 1. <u>USD(AT&L)</u>. The USD(AT&L):

- a. Designates a senior official to be responsible for oversight of all aspects of contingency basing policy, including the designation or establishment of a governance body in accordance with DoDI 5105.18 (Reference (l)).
- b. Develops criteria for facilities, equipment, and services for initial, temporary, and semipermanent contingency locations.
- c. Establishes a joint standardization board for contingency basing, as described in DoD 4120.24-M (Reference (m)), to achieve commonality and interoperability among the DoD Components for contingency location facilities, processes, and equipment in accordance with Reference (l).
- d. Develops and establishes DoD logistics policies and guidelines for contingency basing that support and facilitate programs for materiel interoperability and standardization with multinational partners in accordance with paragraph 1m of Enclosure 2 of DoDI 2010.06 (Reference (n)).
- e. Leads rapid acquisition and procurement efforts in support of Combatant Commanders' requirements to provide immediate capabilities for contingency basing.
- f. Establishes a process to identify the minimum level of competency for contracting officers in support of contingency basing.
- g. Establishes and provides specific training requirements for contingency basing contracting.
- h. Integrates and annually updates contingency basing considerations into the DoD Strategic Sustainability Performance Plan.
- i. Recommends to the Deputy Secretary of Defense (DepSecDef) priorities for plans, analyses, capabilities, and investment strategies relevant to contingency basing.
- j. Coordinates with the Military Departments, and forwards to the DepSecDef for approval, CJCS recommendations for designation of a lead Service for each semi-permanent contingency location.
- k. In coordination with the Under Secretary of Defense for Personnel and Readiness (USD(P&R)), develops policy for training to institutionalize contingency basing.

- 2. <u>ASSISTANT SECRETARY OF DEFENSE FOR OPERATIONAL ENERGY PLANS AND PROGRAMS (ASD(OEPP)</u>. Under the authority, direction, and control of the USD(AT&L), the ASD(OEPP):
- a. Develops operational energy-related policies for contingency basing in accordance with section 4 of Reference (c).
- b. Facilitates materiel and non-materiel improvements in energy generation, storage, distribution, and consumption at contingency locations.
- 3. <u>ASSISTANT SECRETARY OF DEFENSE FOR LOGISTICS AND MATERIEL</u> <u>READINESS (ASD(L&MR))</u>. Under the authority, direction, and control of the USD(AT&L), the ASD(L&MR):
  - a. Develops policy for common use sustainment material for contingency basing.
  - b. Develops policy and establishes procedures for contractor support for contingency basing.
- 4. <u>DEPUTY UNDER SECRETARY OF DEFENSE FOR INSTALLATIONS AND ENVIRONMENT (DUSD(I&E)</u>. Under the authority, direction, and control of the USD(AT&L), the DUSD(I&E):
- a. Develops DoD-wide master planning policy, facilities and construction standards, and real property accountability policy for contingency basing.
- b. Develops comprehensive environmental, safety, emergency management, explosives safety, occupational health, pest management, and fire service policies for contingency basing in accordance with paragraph 5.1. of Reference (e).
- 5. <u>ASSISTANT SECRETARY OF DEFENSE FOR HEALTH AFFAIRS (ASD(HA))</u>. Under the authority, direction, and control of the USD(P&R), the ASD(HA):
- a. Ensures contingency basing is incorporated in medical and preventive health policy in accordance with paragraph 1a of Enclosure 2 of DoDD 6490.02E (Reference (o)) and paragraph 5.1.1. of DoDD 6200.04 (Reference (p)).
- b. Ensures personnel exposures to industrial, occupational, operational, and environmental hazards at contingency locations are documented in accordance with paragraph 5.1.1. of DoDI 6490.03 (Reference (q)).

- c. Provides guidance to DoD Components on medical requirements at contingency locations for the DoD Total Force in support of contingency operations in accordance with DoDD 5136.01 (Reference (r)).
- 6. <u>ASSISTANT SECRETARY OF DEFENSE FOR READINESS AND FORCE MANAGEMENT (ASD(R&FM))</u>. Under the authority, direction, and control of the USD(P&R), the ASD(R&FM):
- a. Integrates Total Force requirements for contingency basing into the DoD Total Force planning process.
- b. Ensures Civilian Expeditionary Workforce requirements to implement this directive are included in the Department's Civilian Human Capital Strategic Plan (Reference (s)).
  - c. Develops core competencies for the Civilian Expeditionary Workforce.
  - d. Develops training policy to institutionalize contingency basing.
- 7. <u>UNDER SECRETARY OF DEFENSE FOR INTELLIGENCE (USD(I))</u>. The USD(I) ensures the Defense security framework supports contingency basing security requirements in accordance with Reference (f).
- 8. <u>UNDER SECRETARY OF DEFENSE FOR POLICY (USD(P))</u>. The USD(P) ensures that the policies of this directive are integrated into national security and defense strategy documents.
- 9. <u>DoD CHIEF INFORMATION OFFICER (DoD CIO)</u>. In accordance with DoDD 5144.1 (Reference (t)), the DoD CIO develops and oversees information policies required to implement this directive.
- 10. DoD COMPONENT HEADS. The DoD Component heads:
  - a. Provide policy and direction within their Component to implement this directive.
  - b. Plan, program, and budget for contingency basing requirements.
- c. Ensure contingency basing requirements are integrated into component current and emerging doctrine.
- d. Incorporate contingency basing into existing training programs for deployable personnel (to include military, DoD civilians, and contractor support).

- e. Recruit DoD civilians to meet the supported Combatant Command requirements for contingency basing.
- f. Incorporate contingency basing considerations into joint training events (to include military, DoD civilian, and contractor support).
- 11. <u>SECRETARIES OF THE MILITARY DEPARTMENTS</u>. In addition to the responsibilities in section 10 of this enclosure, the Secretaries of the Military Departments:
- a. Develop contingency basing capabilities that are scalable and interoperable in the joint community.
- b. When designated the lead Service for a contingency location, ensure the planning, design, coordination of requirements, construction, provision of base operations support (BOS), and operation of the location support the mission and tenants (e.g., military, government civilians, contractors authorized to accompany the force, and contractors).
- c. When one of their Services is a tenant at a contingency location, coordinate requirements with the lead Service and provide Service-specific capabilities in accordance with DoDI 4000.19 (Reference (u)).
- 12. CJCS. In addition to the responsibilities in section 10 of this enclosure, the CJCS:
- a. Oversees operation of the Joint Capabilities Integration and Development System to ensure contingency basing requirements identified by DoD Components are vetted up to the Joint Requirements Oversight Council for validation.
  - b. Develops joint doctrine to support the implementation of this directive.
  - c. Develops joint professional military education requirements to implement this directive.
- d. Reviews Combatant Command recommendations for designation of a lead Service for each semi-permanent contingency location and provides a recommendation to USD(AT&L).
- 13. <u>COMMANDERS OF THE GEOGRAPHIC COMBATANT COMMANDS</u>. In addition to the responsibilities in section 10 of this enclosure, the Commanders of the geographic Combatant Commands:
- a. Assess the operational environment at critical milestones to determine contingency basing requirements and maintain a Contingency Location Master List for contingency locations within their respective area of responsibility.

- b. Designate the lead Service component responsible for each initial and temporary contingency location.
- c. Recommend to the CJCS the designation of a lead Service for each semi-permanent contingency location.
- d. Establish, in coordination with the involved DoD Components, contingency basing criteria in operation plans and supporting plans.
  - e. Specify the common service standard to be provided by contingency location BOS.
- f. Coordinate contingency location BOS requirements for joint special operations forces with United States Special Operations Command and/or appropriate special operations component command(s).

#### **GLOSSARY**

# PART I. ABBREVIATIONS AND ACRONYMS

ASD(HA) Assistant Secretary of Defense for Health Affairs

ASD(L&MR) Assistant Secretary of Defense for Logistics and Materiel Readiness ASD(OEPP) Assistant Secretary of Defense for Operational Energy Plans and

**Programs** 

ASD(R&FM) Assistant Secretary of Defense for Readiness and Force Management

BOS base operations support

CJCS Chairman of the Joint Chiefs of Staff

DepSecDef Deputy Secretary of Defense
DoD CIO DoD Chief Information Officer

DoDD DoD Directive
DoDI DoD Instruction

DUSD(I&E) Deputy Under Secretary of Defense for Installations and Environment

USD(AT&L) Under Secretary of Defense for Acquisition, Technology, and Logistics

USD(I) Under Secretary of Defense for Intelligence

USD(P) Under Secretary of Defense for Policy

USD(P&R) Under Secretary of Defense for Personnel and Readiness

### PART II. DEFINITIONS

Unless otherwise noted, these terms and their definitions are for the purposes of this directive.

<u>contingency basing</u>. The life-cycle process of planning, designing, constructing, operating, managing, and transitioning or closing a non-enduring location supporting a Combatant Commander's requirements. This term and its definition are proposed for inclusion in the next edition of Joint Publication 1-02 (Reference (v)).

contingency location. A non-enduring location outside of the United States that supports and sustains operations during named and unnamed contingencies or other operations as directed by appropriate authority and is categorized by mission life-cycle requirements as initial, temporary, or semi-permanent. This term and its definition are proposed for inclusion in the next edition of Reference (v).

<u>initial contingency location</u>. A contingency location occupied by a force in immediate response to a named or unnamed contingency operation and characterized by austere infrastructure and limited services with little or no external support except through Service organic capabilities.

temporary contingency location. A contingency location that provides near-term support for a named or unnamed contingency operation and characterized by expedient infrastructure and support services that have been expanded beyond Service organic capabilities.

<u>semi-permanent contingency location</u>. A contingency location that provides support for a prolonged named or unnamed contingency operation and characterized by enhanced infrastructure and support services consistent with sustained operations.

<u>lead Service</u>. The Service that ensures the planning, design, coordination of requirements, construction, operation of the location, and provision of base operations support to the mission and tenants at a contingency location.

<u>United States</u>. The several States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, American Samoa, Guam, Midway and Wake Islands, the United States Virgin Islands, any other territory or possession of the United States, and associated navigable waters, contiguous zones, and ocean waters of which the natural resources are under the exclusive management authority of the United States.

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