

Comparison Chart of Financial Interests vs. Covered Relationship

Financial Interests (18 U.S.C. § 208)

Employer(s) [Enter on Recusal List, not on waiver]

Stock/options in companies that do business in same fields or sectors as the IC or NIH

Sector fund interests in sectors affected by IC or NIH work (agg. value > \$50,000)

Entities the SGE serves as officer, director, or trustee

SGE's personal business/partnership
- including a consulting company the SGE owns and is employed by

Creditors

Entities with which the SGE is presently seeking employment, or with which the SGE has an agreement for prospective employment

Covered Relationship (5 C.F.R. § 2635.502)

Entities with which SGE has/seeks a financial, business, or other contractual relationship

- research funding sources
- award sources

Entities the SGE served **within the last year** as officer, director, trustee, general partner, agent, attorney, consultant, contractor, speaker

- one-time speaking engagements
- sources of honoraria from speeches
- consulting as "independent contractor"
- editorial boards (unless employment)
- advisory boards

Former employer (**for 1 year after leaving**)

In the absence of a stock/option/bond interest, spouse's employer and any entity that owes SGE a **defined benefit** pension, **UNLESS** matter will affect the spouse's continued employment or the company's willingness or ability to pay the SGE's pension

The following do not pose a conflict or an appearance problem:

- widely-diversified & publicly-traded mutual/bond funds
- cash accounts, CDs, money market accounts
- stock/options in companies that do business unrelated to IC or NIH business
- sector fund holdings in sectors unrelated to IC or NIH business
- investment real estate
- Federal employment