

# Statistical Challenges in the Study of Adolescent and Young Adult Cancers

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# Challenges

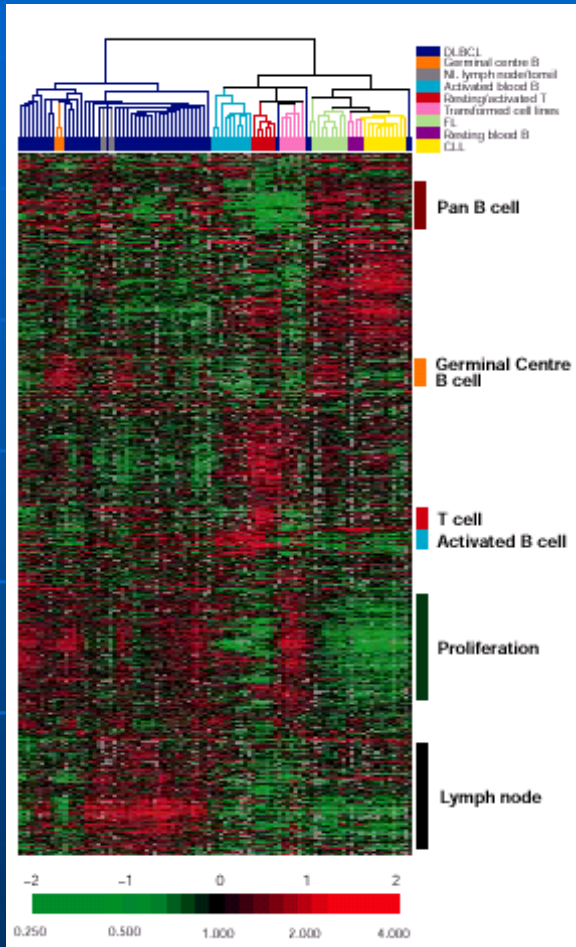
- Understand the biology
- Exploit the biology to hypothesize better prevention, detection, and treatment strategies\*
- Clinical trials to test hypotheses under the constraints of rare diseases

**\*This talk will focus on biology and treatment strategies.**

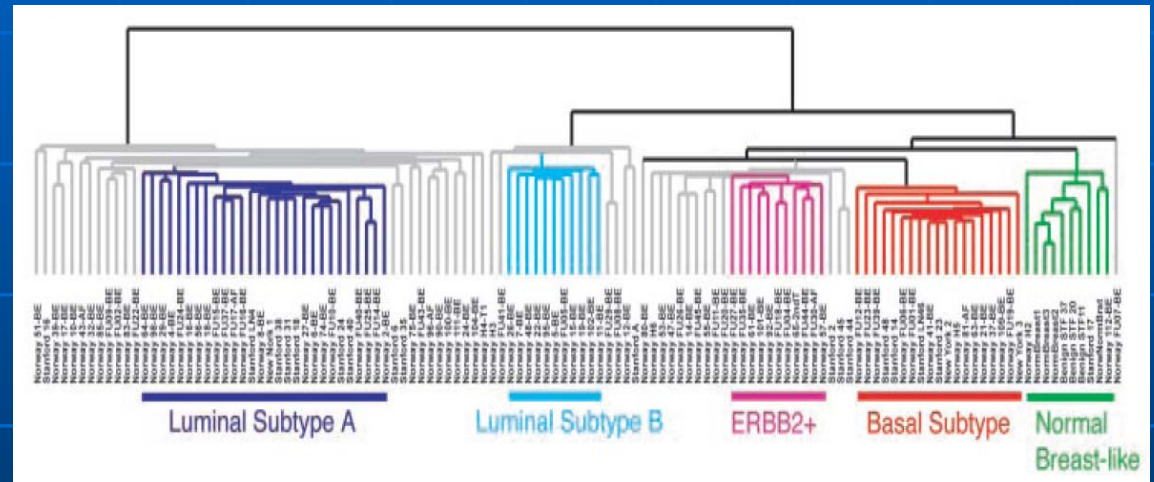
# Understanding the biology: Molecular profiling

- DNA – mutations, polymorphisms, and copy number alterations (e.g., SNP chips)
- Cytogenetics
- RNA - Gene expression microarrays, multiplex RT-PCR
- Protein – IHC marker panels, other proteomic assays

# Biological Subgroups in Adult Cancers



Alizadeh et al.,  
*Nature*, 2000  
(lymphoma)



Perou, *Nature* 2001; Sørli, *PNAS* 2001;  
Sørli, *PNAS* 2003 (breast cancer)

# Molecular Profiles of AYA Cancers

- One or more biological subgroups?
- Mapping from child and/or adult subgroups?
  - Similar to adult subgroups, but shifted toward over-representation of aggressive subtypes?
  - Completely different biology?
- Association of “natural” biological subgroups in AYA with age?
  - Continuum?
  - Natural breakpoints?

# What could the biological subgroups tell us?

- Etiology
- Prognostic
- Predictive (therapy selection)

Interesting biology may or may not be prognostic or predictive. Maybe it will suggest new targets for therapy.

# Prognostic Marker\*

Measurement associated with clinical outcome in absence of therapy or with application of standard therapy that all patients are likely to receive.

## ■ Examples

- Pathologic stage
- Histologic grade

## ■ Importance

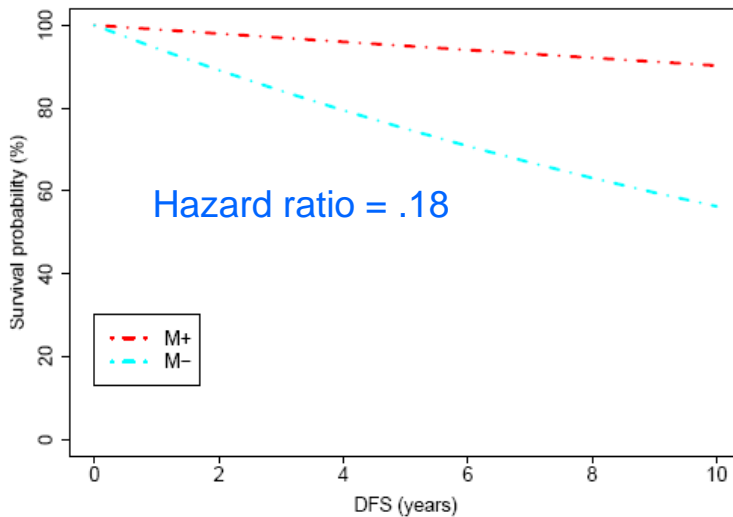
- Highly favorable group might avoid treatment
- Might suggest aggressiveness of treatment
- Might suggest more intensive monitoring
- Might suggest target for therapy

**\*Think of subgroup membership as a “marker”.**

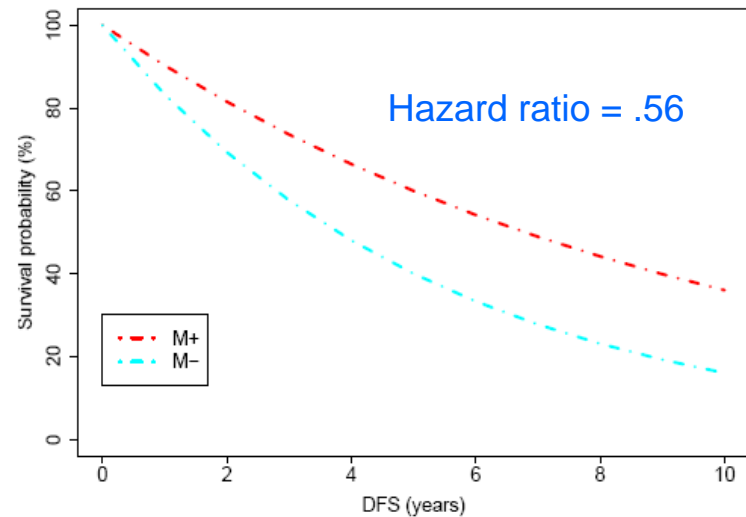
# Prognostic Marker Issues

- Correlation with outcome not necessarily sufficient to impact clinical decisions

Good prognosis group may forego additional therapy



Is this prognostic information helpful?





# Predictive Marker\*

Measurement associated with response or lack of response to a particular therapy.

## Example

- ER/PgR for endocrine therapy benefit in breast cancer

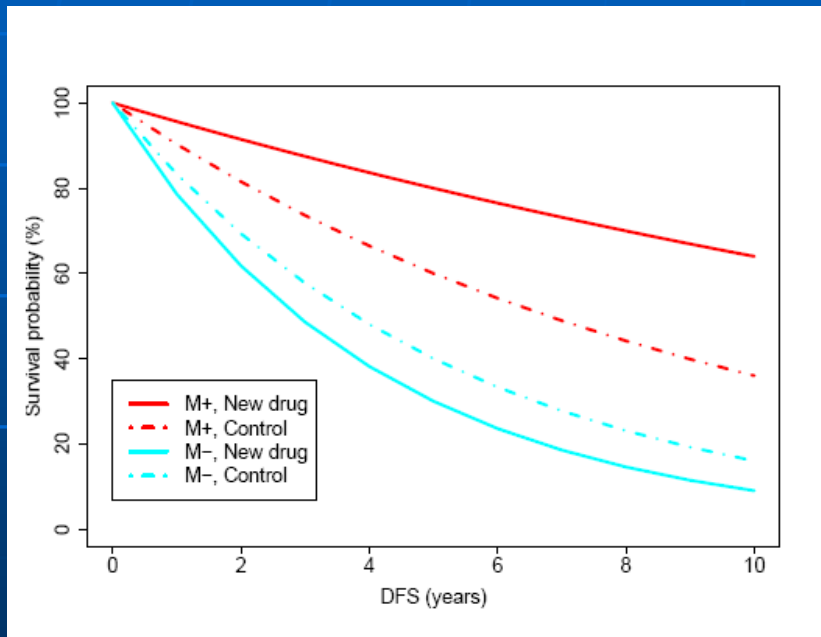
## Statistical wisdom

- Test for treatment by marker interaction

**\*Think of subgroup membership as a “marker”.**

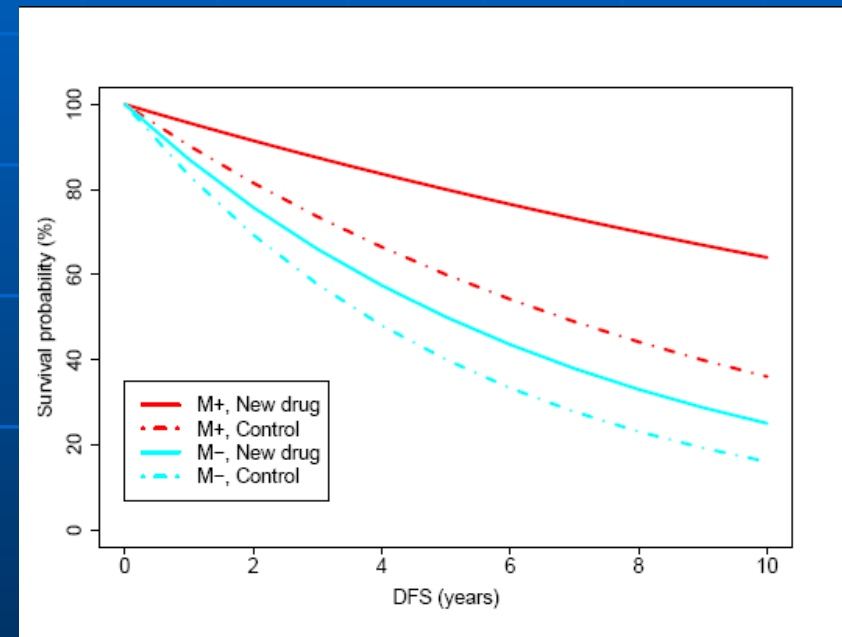
# Predictive Marker

What is a treatment by marker interaction, and are they all created equal?



## Qualitative interaction

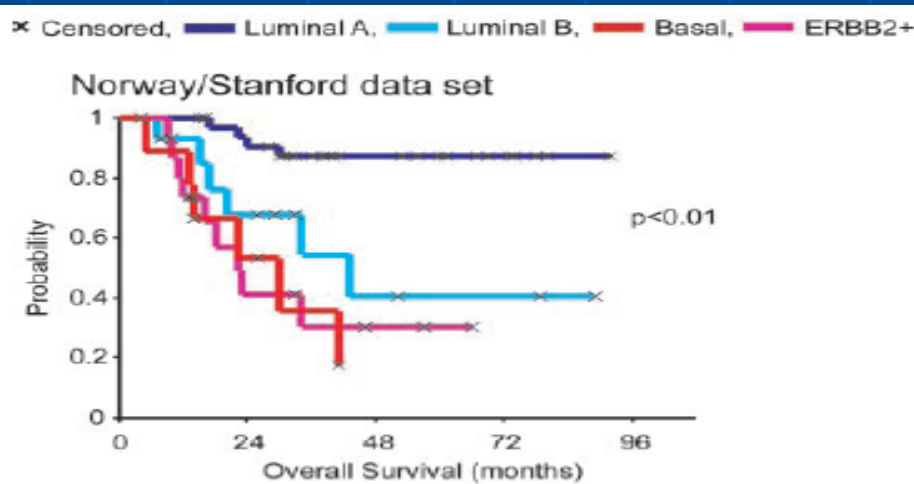
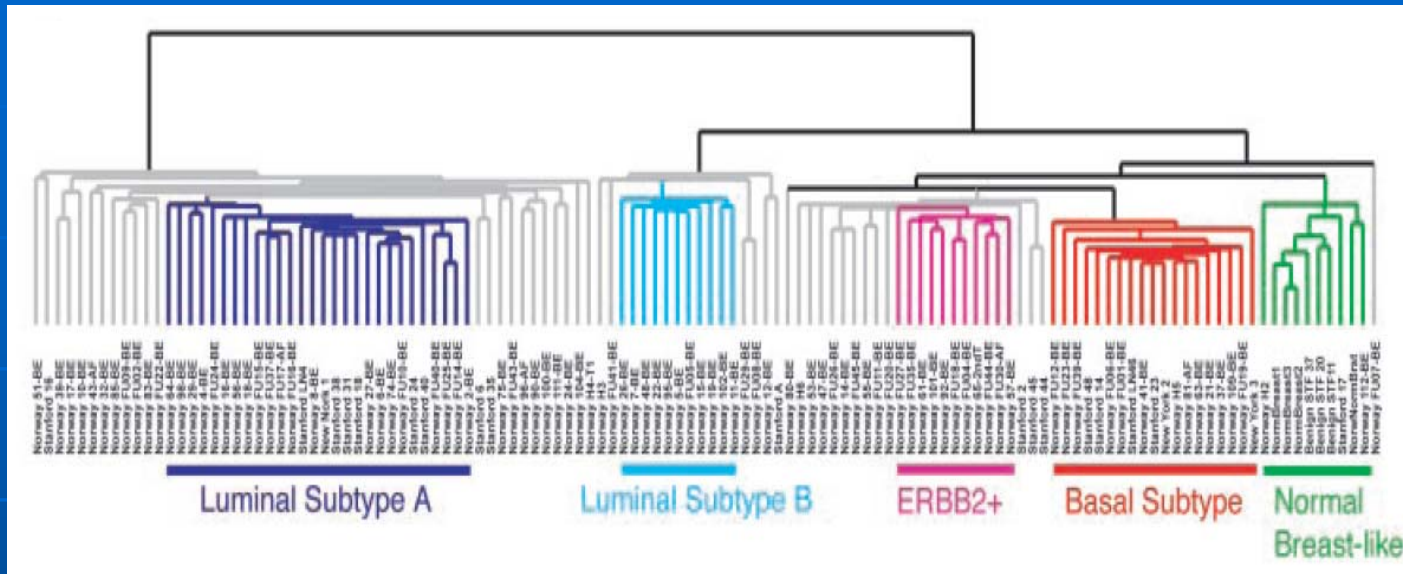
- New drug better for M+ (h.r. = 0.44)
- Control drug better for M- (h.r. = 1.31)
- Interaction =  $0.44/1.31 = 0.33$



## Quantitative interaction

- New drug better for M+ (h.r. = 0.44)
- New drug better for M- (h.r. = 0.76)
- Interaction =  $0.44/0.76 = 0.58$

# Clinical Value of Clusters?



- Biologically interesting
- Prognostic for outcome
- Select therapy (predictive)?

(Perou, *Nature* 2001; Sørlie, *PNAS* 2001; Sørlie, *PNAS* 2003)

# Analyzing Molecular Profiles

- Unsupervised analyses
  - Search for subgroups ignoring phenotype or outcome information
  - Examples: Clustering algorithms such as hierarchical clustering, K-means, SOMs
- Supervised analyses
  - Use phenotype or outcome information to directly derive distinguishing features or classifiers
  - Feature identification: multiple testing issues
  - Classifier building: discriminant analysis, nearest neighbor, SVM, neural nets
  - Not necessarily biologically homogeneous within a phenotype or outcome group

# Strategy

- Search for biological subtypes
- If subtype exists in pediatric or adult populations, examine existing information relating biology to treatment success
- Conduct efficient trials to find better treatments
  - Phase I - dose & toxicity assessment
  - Phase II – single-arm vs. randomized
  - Phase III – stratification, enrichment, factorial designs & others
  - Add-ons to pediatric and/or adult trials
- Meta-analyses may be required

# Phase I Considerations

- Pharmacokinetic/pharmacodynamic differences between AYA and pediatric or adult patients may suggest dose/schedule alterations
- Few co-morbidities and other medications
- Greater impact and/or susceptibility for long-term & delayed toxicity

# Phase II Considerations

## ■ Single arm trials

- May require less than half sample size of some randomized phase II trials with comparable type I ( $\alpha$ ) and type II ( $\beta$ ) error
- Historical control data required
- Impact of selection biases unintended (e.g., drift), or intended (e.g., targeted subpopulation)
- Benchmark of RR may be more stable historically and less subject to evaluation bias than endpoint such as PFS

# Phase II Considerations (cont.)

- Randomized phase II trials
  - Guard against selection bias
  - Don't require availability of historical controls
  - May require more than twice the sample size of single arm phase II trial with comparable type I and type II error

(Reference: Rubinstein et al., *JCO* 2005)



# Phase II Considerations (cont.)

- Randomized phase II trials (cont.)
  - Examples of randomized designs
    - Selection design
      - Appropriate for prioritizing between two experimental regimens when no a priori preference (e.g., based on cost, toxicity)
      - Not appropriate for comparing experimental agent to standard treatment control arm (50% chance of choosing experimental arm if truly no difference)
      - Possible neither experimental regimen is effective

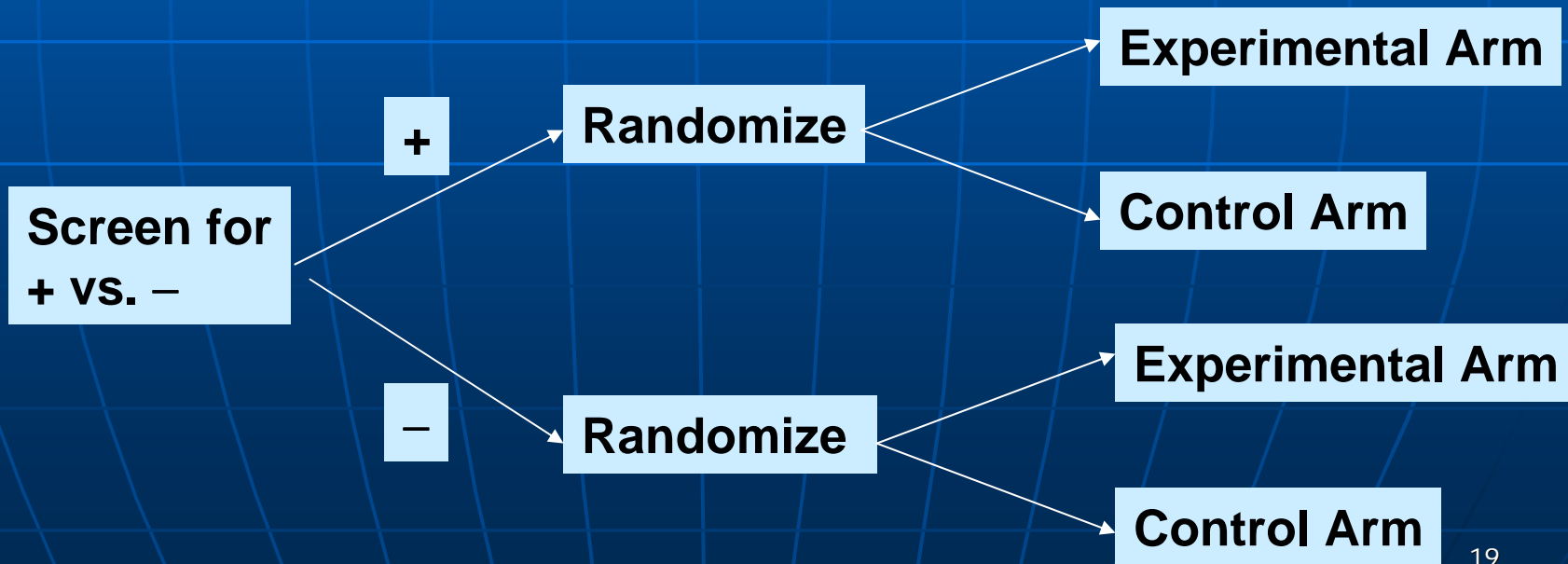
# Phase II Considerations (cont.)

- Randomized phase II trials (cont.)
  - Examples of randomized designs (cont.)
    - Screening design
      - Compare experimental regimen to standard treatment control arm
      - Economize on sample size by using larger than usual type I and type II errors, and targeting larger effect size (e.g.,  $\alpha=\beta=0.20$ , PFS hazard ratio = 1.5 or RR difference = 20%)
    - Other designs
      - Randomized phase II (2 experimental regimens) plus reference control arm
      - Phase II/III

# Phase III Considerations

## ■ Stratified design

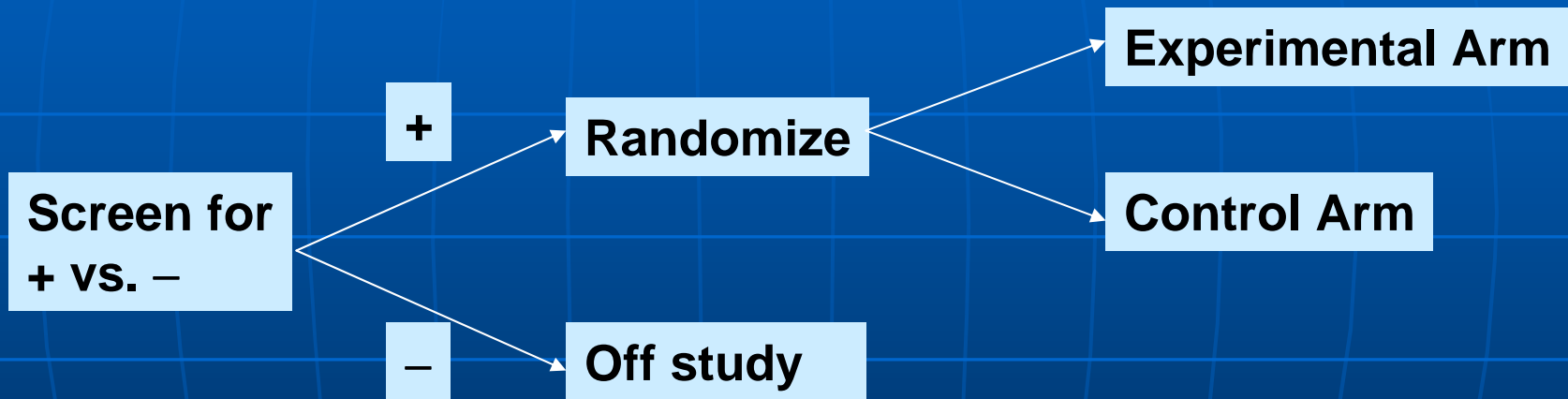
- Control for variability added by prognostic subgroups
- Possibly conduct different trials in different prognostic groups



# Phase III Considerations

## ■ Enrichment design

- Expect benefit only in “+” subgroup
- Avoid dilution of treatment effect by “-” group



- Efficiency (relative to all-comers design) depends
  - Proportion of patients in targeted “+” subgroup
  - Treatment effect (relative to control) in excluded patients
- No information about treatment benefit in “-” subgroup

# Phase III Considerations (cont.)

- Factorial design

- 2x2 design: Test treatments (A, B) simultaneously
- Patients serve "double duty"

Median OS (yrs)

	A-	A+
B-	4	8
B+	6	12

No interaction  
(additive)

Median OS (yrs)

	A-	A+
B-	8	4
B+	6	12

Qualitative  
interaction

Median OS (yrs)

	A-	A+
B-	4	6
B+	6	12

Quantitative  
interaction

- Problematic interpretation in presence of interactions

# Phase III Considerations (cont.)

- Other designs – e.g., adaptive, Bayesian
  - Extensive planning
  - Intensive monitoring
  - Usually require short term endpoints
  - Required sample size may or may not be smaller
  - Receive a lot of hype

# Meta-analyses

- Pool across studies to evaluate an effect of interest, e.g., treatment effect, prognostic effect
- Overcome inadequate samples size in individual studies or because interest is in subgroups
- Understand heterogeneity in results
- Understand generalizability of result
- Draw conclusion, practice guideline

# Meta-analysis Conduct

- Focused, clinically meaningful question
- Identify relevant, high quality studies
- Search broadly to avoid publication bias
- Two main approaches
  - Trial summary data (effect estimates with variance estimates)
  - Individual patient level data



# Meta-analysis Methods

- Test for between-study **heterogeneity**
- **Weighted average** of trial-specific effects
- **Test average effect** against null value (e.g., no treatment effect)
  - **Random effect model** (trials effects have a distribution around some mean value)
  - **Fixed effect model** (no between-trial heterogeneity)

# Summary Recommendations

- Invest in biology studies
- Leverage knowledge already acquired in pediatric and adult studies regarding biological variability and treatment effects in AYA-overlapping subgroups
- Consider efficient trial design options, but understand the trade-offs
- Consider possibility of meta-analyses to examine treatment or prognostic questions
- Coordinate expanded collection of specimens with standardized pathologic and clinical data