Card Technologies & Identity Solutions Division (CTIS)



DoD Implementation Guide for CAC Next Generation (NG)

Version 2.6

November 2006

Revision History Page

Issue Date	Document Version	Modification By	Change Description
Mar 24,2006	Version 1.0	DOSF	Update for 800-73 v 1
July 26, 2006	Version 1.02	DOSF	Update for errata 800-73 v 1, SP800- 76, and FIPS 201-1 March 2006 (updated in June 26, 2006)
Sept 2006	Version 2.1	DOSF TAG	Details on signature, certs, security object added.
November 06	Version 2.5	DOSF TAG	Signing, Security Object, edits

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DoD Implementation Guide for CAC Next Generation SP 800-73

1 Introduction

1.1 Background

Homeland Security Presidential Directive-12 [HSPD-12] mandates the implementation of a Federal Information Processing Standard 201 [FIPS 201] Personal Identity Verification [PIV] of Federal Employees and Contractors. The Department of Defense [DoD] Common Access Card [CAC] and DoD Public Key Encryption [PKI] programs are being aligned to meet this additional set of requirements. This version takes into account recent updates to FIPS 201, SP800-73 and SP800-76.

1.2 Purpose

This Guide specifies technical details for implementing interagency PIV I and PIV II National Institute of Standards and Technology [NIST] Special Publication [SP] 800-73v1 transitional requirements in the DoD CAC environment. It documents how the DoD CAC and middleware are implemented with PIV. This Guide includes mandatory and PIV optional but DoD mandatory PIV capabilities.

CACv2 and middleware specifications are discussed in other documents. The information in this document is subject to change although to the degree possible, the DoD standardizes the issuance and post-issuance process so that all vendors can reference a common set of criteria for PIV.

1.3 Audience

This guidance applies to the SP 800-73 and those who provide, acquire, test or develop applications, middleware or applets for the DoD Smart Card program.

1.4 The DoD CAC Environment

The PIV transitional, as defined in SP 800-73, is added to the existing CAC v2 card as an additional data model in conjunction with other evolutions such as the purse and access control. This CAC with PIV is called the CAC Next Generation (NG). The CAC NG is the first and most significant step towards the PIV end point solution.

The PIV solution is implemented on the DoD CAC NG, but is largely separate and distinct from the DoD multi-application CAC. It will evolve at its own pace but in the same environment.

The purpose and function of the CAC NG is much broader than the focused interoperability function of the PIV. In 1999, Congress directed the Secretary of Defense to implement smart card technology within the DoD with the objective of increasing efficiency, security, and readiness. The result has been the creation of the CAC. The baseline functionality of the CAC is to (1) provide for logical access to computer systems, (2) provide personnel identification, (3) enable physical access to buildings, and (4) PKI for signing, encryption, and non-repudiation. The CAC is the standard identification card for active duty military personnel, Selected Reservists, DoD civilian employees, and eligible contractor personnel.

The CAC NG is a multi-application smart card. It serves as a token for PKI identity, email, and encryption certificates. Additionally, it contains a linear barcode, two-dimensional barcode, magnetic stripe, color digital photograph, and printed text.

The Identity Protection and Management Senior Coordinating Group (IPMSCG) are responsible for integrating cross-functional DoD wide card application requirements and determining summary-level chip storage allocations, to include those for Component-specific use of the CAC. The group coordinates claims against Joint-Service CAC resources and platform functionality with the managers whose missions are supported by the CAC.

1.5 Assertions

- Scope of this document is determined by use cases
- Scope is the transitional interfaces and data model as described in sections 1 and 2 of SP 800-73
- The PIV and CAC applications have clearly defined dependencies.
- CAC is the primary application for DoD
- DoD will add PIV mandatory data model elements to the CAC
- This guide focuses on Java Card implementation
- Optional SP 800-73 elements may be mandated for internal use by DoD
- Host applications
- No harm to existing middleware and card
- Middleware will have the ability to communicate with CAC and PIV
- CAC Credentials will be the primary Credentials

This document represents the delta between the CAC v2 and the PIV. The CAC platform baseline requirements, host application, issuance process, are covered elsewhere; they are only mentioned here when required as context.

2 INTEROPERABILITY USE CASES

Interoperability refers to the interoperable use of identity credentials among federal agencies and departments. It does not preclude use by non-federal organizations; however, the trust model only applies through other types of mechanisms such as contractor verification systems (CVS). The relying party authenticates the issuing agency credentials presented to it. This authentication may require validation against issuing agency information. Upon success, the relying party then registers the individual's credentials in its local system along with the appropriate authorizations. Physical or logical access applies the individual's credentials to the local system, possibly again with validation against the issuing agency if warranted by policy.

Interoperability applies to both the card and the systems that authorize physical or logical access. Backend transactions among agencies play an important role in interoperability of identity credentials. They support the validation of the credentials against issuing departments during registration and usage. Equally important, they may provide information about usage back to the issuing party. This includes not only revocation, loss or misuse, but also changes in security conditions and other events that must be recorded by the issuing party for maintaining identity credential integrity.

The use cases below define the requirements for DoD PIV compliance as determined by use cases.

2.1 Interoperability Use Case Scenarios

This section presents a set of inter-agency personal authentication scenarios that demonstrate how the DoD will interoperate with other federal agencies.

2.1.1 Use Case 1: DoD requires physical access to non-DoD federal facility

In this scenario, a DoD cardholder presents a DoD CAC SP 800-73 PIV compliant card. The card is a CAC Next Generation dual interface card with a PIV transitional, typically used in contactless mode with PIN and/or biometric used for card-holder authentication, depending on the non-DoD agency security policy. Local physical access credentials may be issued but these are not stored on the card. Only the CHUID is read over the contactless interface for physical access.

The non-DoD facility's application or middleware must support the PIV transitional card edge for the DoD card to interoperate successfully. Depending on its policy the relying agency may require access to DoD back-end systems to validate the DoD CHUID and obtain any additional data such as issuer signature CRL and employee status.

2.1.2 Use Case 2: Non-DoD Agency requires physical access to DoD facility

In this scenario the transitional dual interface or end-point PIV card is presented to the DoD. Registration requires all or part of the PIV data model to surface at the contact interface and the CHIUD on the contactless interface. Usage will typically be in contactless mode with PIN and/or biometric used for card-holder authentication.

The DoD supports the GSC-IS BSI and card edge for the PIV transitional card, thus leveraging existing middleware. The PIV transitional host application is written to the GSC-IS BSI. Non -DoD agencies must support the transitional card edge and data model for interoperability. Vendors may support both the transitional and end-point for physical access.

2.1.3 Use Case 3: DoD requires logical access to non-DoD federal systems.

A DoD CAC Next Generation cardholder presents a DoD-issued SP 800-73 PIV transitional card to gain logical access to another agency's resources, using a contact reader. The card is a CAC v2 card with a PIV application. The card will be used strictly in contact mode for both registration and use.

The non-DoD facility's application and middleware must support the PIV transitional card edge for the DoD card to interoperate successfully. DoD back-end systems must allow validation by the relying party of DoD credentials. The non-DOD agency will set the security policy in terms of registration and use.

2.1.4 Use Case 4: Non-DoD Agency requires logical access to DoD system.

The cardholder presents a transitional or end-point PIV card. The transitional card is supported. If the card is a PIV end-point, some form of cross-credentialing like Contractor Verification System (CVS) and Defense Cross Credentialing Identification System (DCCIS) may be used for registration. Issuer back-end systems must allow validation by the DoD of credentials presented.

2.2 Use Case Operational Constraints

The above use cases are theoretical. In practice, usage will be much more constrained. Logical access requires considerable IT involvement to provide the appropriate authorization privileges. Prior to access, the card and card holder must be authenticated and registered. This requires the card holder and identity information to exist in a back-end system or to be added to it. Authorization is likely granted in a manner of a new employee. Registration and access sites may be able to process both transitional and end-point credentials depending on vendor implementation.

Physical access via contactless is the common scenario. Card readers are addressed directly via the card edge and because of the very limited application may accommodate both transitional PIV cards and PIV end-point cards.

2.3 PIV and CAC Components

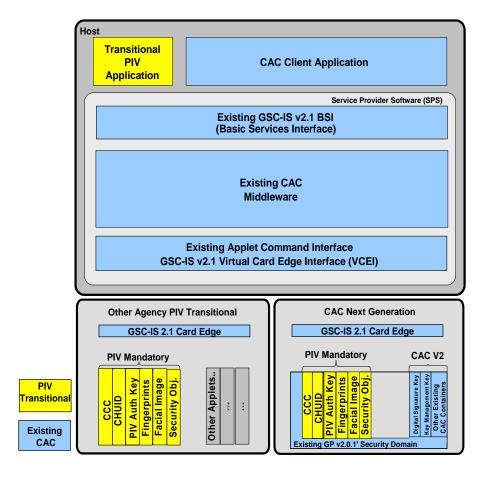
Figure 1 below illustrates the components in a PIV smart card solution. The large box on top represents a DoD computer hosting CAC or PIV applications. The two cards beneath it represent different transitional PIV cards that might be presented to the DoD host.

The right card in Figure 1 below illustrates the CAC NG, which includes a PIV transitional compliant solution. This solution leverages the existing GSC-IS 2.1 [GSC-IS] BSI and card edge to serve existing CAC and PIV applications. A PIV host application will use the PIV for physical or logical access.

The left card illustrates the non-CAC transitional PIV card. A PIV host application will use the PIV for physical or logical access, communicating via the existing GSC-IS 2.1 [GSC-IS] interfaces. In both cases the host application will be written to the BSI.

Figure 1 Sample CAC and PIV components

One Approach to supporting CAC Next Generation and Transition Smart Cards



3 DATA MODEL DISCOVERY

The data model corresponds to the scope and version of data objects.

CAC and PIV functionality may be presented at the contact interface requiring data model discovery. This section presents an informative example of the discovery process.

SP 800-73 v1 notes that conditions based on access mode (contact vs. contactless) take precedence over access rules in Table 2.

3.1 Data Model Discovery Combinations

Table 1 summarizes the data models that can be expected.

Card Type to Discover	CCC (GSCIS-RID or NIST RID for PIV)	Data model ID (0xF5)
CACv2	No CCC implemented.	N/A
Contactless Pilot	CCC (GSC-IS RID)	0x02
CAC NG	CCC (GSC-IS RID)	0x10
Transitional PIV compliant card	CCC (GSC-IS RID)	0x10
PIV end-point card	CCC exists within PIV	0x10
	Application AID	

Table 1 Card Data Model Discovery

3.2 Data Model Discovery for Contact Card

.The criteria used to discover the card data model are:

- CCC presence, using GSC-IS 2.1 RID or NIST RID
- Data model version, using CCC data element 0xF5 or application ID (AID)

Below is an example of a discovery procedure for identifying the data models on a contact card for logical access. Note that the order of discovery steps is informative.

Select CCC.

IF CCC not found THEN

Select ACA, get Properties (using GSC-IS 2.1 Specs).

IF Select ACA AND get Properties SUCCESS THEN

RETURN CAC V2.

ELSE IF Select CAC V1 SUCCESS THEN

RETURN CAC V1

ELSE IF CCC AID = Transitional AID THEN

IF data model id tag 0xF5 = 0x02 THEN

RETURN Contactless Pilot

ELSE IF data model id tag 0xF5 = 0x10 THEN

RETURN PIV Transitional

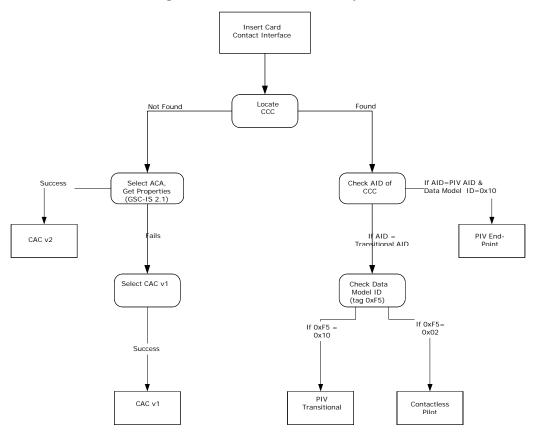
ELSE IF CCC AID = PIV End-Point AID AND data Model ID = 0x10 THEN RETURN <u>PIV End-Point</u>.

END IF ELSE

RETURN Unknown data model

Overall Discovery flow diagram for contact card:

Figure 2 Contact Card Discovery Flow



3.3 Data Model Discovery for Contactless

As shown below four contactless card types will be deployed in the field. 1, 2 and 3 offer the same contactless card interface. Card 4 utilizes a different contactless interface.

- 1. Contactless Pilot CAC with Contactless Pilot card profile with GSC-IS interfaces.
- 2. CAC with PIV transitional card profile with GSC-IS interfaces.
- 3. PIV transitional card. from another agency with GSC-IS interfaces.
- 4. PIV end-point card from another agency with PIV data model and end-point interfaces.

Discovery of the PIV transitional vs. end-point is based on the selection of the default container. The default container for contactless is the CHUID. The PIV CHUID is read with

the READ BINARY card edge command. If that command is not supported, PIV end-point can be assumed. READ BINARY response message is in Simple TLV format.

4 TRANSITIONAL PIV DATA MODEL

The PIV data model and access control are common between the PIV transitional and PIV end-point . It includes six mandatory containers and five optional containers. The DoD will implement those listed below, including two of the optional containers which are DoD mandatory.. This section describes the DoD PIV data model. This data model contains all PIV mandatory objects and elements, as well as optional SP 800-73 specs that are DoD mandatory.

DoD implements the following PIV containers:

- CCC
- CHUID
- Security Object
- Card Holder Fingerprints Container (containing primary and secondary fingerprints).
- Facial Image Container
- PIV Auth Key

Note that the PIV Auth Key is the only mandatory PKI PIV key pair and certificate. In the transitional PIV implementation for the DoD, the PIV Auth Key pair and certificate equates to the DoD Email Signing key pair and certificate. The DoD email certificate does not contain the FASC-N. End Point CAC will either have a separate PIV Auth Key pair and certificate or it will have to change the format of the current DoD Email signing key pair and certificate to include mandated PIV data elements.

The differences in the CAC PKI Signing Key are:

- 1. Key usage is defined as PKI Signing
- 2. Alternate Name is the card holder's email address (used for log-in instead of the ID certificate.) However, this is X-509 compliant.

The remaining optional PIV containers are not implemented:

- Digital Signature Key (Existing CAC PKI Identity key)
- Key Management Key (Existing CAC PKI Encryption key)
- Card Authentication Key (optional)

The DoD transitional data model containers and access rules are as follows:

Table 2 SP 800-73 v1 DoD Data Model Containers

RID 'A0 00 00 01 16'	Container ID	Access Rule	Contact/ Contactless	M/O
Card Capability Container	0xDB00	Read Always	Contact	M
CHUID Container	0x3000	Read Always	Both	M
PIV Auth Certificate Container	0x0101	Read Always	Contact	М
Card Holder Fingerprints Container	0x6010	PIN	Contact	M
Facial Image Container	0x6030	PIN	Contact	O, DoD Mandatory
Security Object Container	0x9000	Read Always	Contact	M

4.1 Version Number

A version number is associated with the data model used on the card to aid the host systems determine what is supported. The following hexadecimal version numbers are used for current and future DoD CACs:

- 0x01 CACv1
- 0x02 the Contactless Pilot which is CACv2 plus the CHUID and CCC
- **0x10** the CAC NG (CAC + PIV)

The data model for CAC only may range from 00-04.

4.2 Transitional Data Encoding

Section 8 of the GSC-IS 2.1 (NISTIR 6887) specifies that data will be encoded using Simple TLV format. The CAC data model adheres to this standard. Since 1) neither FIPS 201 nor part 2 of SP 800-73 mentions encoding and 2) section 2.3.2, *Data Format and Structure*, of SP 800-73 refers to NISTIR 6887, Chapter 8, PIV data model shall be implemented using Simple TLV. This section provides a brief description of Simple TLV, as defined in Chapter 8 of NISTIR 6887.

PIV applets are implemented as Generic Containers (GC) according to GSC-IS 2.1[GSC-IS]. Tags, globally unique values data element identifiers, are a 1 byte encoded number from 1 to 254. Tags 0x00 and 0xFF are invalid. Scope of tags is at the container level. Buffer length is encoded in either 1 or 3 bytes and is the length of the Value component. If the data length is greater than 255, then the Length is encoded in 3 bytes, the first byte being the head byte with value FF. Therefore, the Value can be up to 64K in length.

The GC applet contains one or multiple sets of two containers, one per GSC-IS container. The container lengths are defined at instantiation. One container contains the Tags and the length of the values, and the second container contains the values. This permits different access rights. The containers are implemented such that the T-buffer length = (T-buffer length-2 bytes), and the V- buffer length = (V-buffer length-2 bytes).

In the case of the CAC, the terminal or host-side application manages the content of the buffers. The terminal can write to the buffer if it has permissions. To create new space to store data, a new instance of the GC applet is created by the issuer.

CAC tags are 1 byte in length. The T-Buffer and V buffer shall be constructed as follows according to the TLV format:

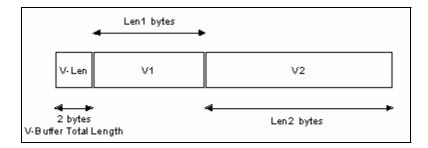
T-Len Tag1 Len1 Tag2 Len2

2 bytes 1 byte 3 bytes
T-Buffer Total Length OxFF, LenL2, LenH2

Figure 3. T-Buffer Format

The CAC V-Buffer shall be constructed as follows according to the TLV format:

Figure 4. V-Buffer Format



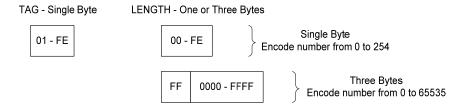
This solution provides additional security as any tags and length are read before specific values may be accessed. PIV transitional is encoded with SIMPLE TLV.

Thus, When the CAC NG transitional responds to a transitional call for the CHUID from either the contactless or contact interface, the CAC will return the following:

```
|Tag(Simple)|Length(Simple)|Tag(Simple)|Length(Simple)|.....|Value|Value|Value|.....
```

For informational purposes, SIMPLE TLV is shown in the Figure 6 diagram below.

Figure 5. Simple TL SIMPLE TLV



ISO/IEC 8825-1:2002, Information technology — ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER).

PIV end-point specifies the use of Basic Encoding Rules (BER-TLV). Both encoding types are defined in ISO $7816-4^{1}$. When the CAC NG with the end-point responds to a call for the CHUID from either the contactless or contact interface, the CAC NG will return the following:

|Tag(Simple)|Length(BER)|Value|Tag(Simple)|Length(BER)|Value|.....

The entire string is signed using the same format.

.

¹ For informational purposes, the difference between SIMPLE and BER TLV see Appendix D

4.3 Addressing of Data Objects

The addressing schemes specified for CAC (NISTIR 6887) and PIV are the same. Some terms used frequently in discussions of object addressing are defined below.

RID – Registered Identifier

GSC-IS OID – File ID or Object ID, 2 byte identifier of a particular container, as defined in the GSC-IS 2.1, not to be confused with a globally unique data object name in ASN.1 form (dot separated numeric values), the "OID" used by PIV end-point

PIX – 2-11 byte Proprietary Identifier extension

Universal AID – used to select generic containers or cryptographic modules, and referred to at the BSI level.

AID – Application Identifier

The RIDs of note are as follows:

A0 00 00 01 16	DoD PIV Transitional GSC-IS 2.1 data model, also PIV data model as
	specified by Table 1 in Section 1.7 of SP 800-73
AO OO OO OO 79	DoD – CAC data model. The CCC follows the GSC-IS 2.1 (and PIV.)

data model

AO OO OO O3 O8 NIST – PIV end-point data model

From the BSI view in GSC-IS and PIV objects are referenced with a 7 byte **Universal AID** as follows:

RID (5 bytes)	GSC-IS OID (2 bytes)
Resource Identifier	Object Identifier

In the middleware, this value is used to look up the Application Card URL in the CCC to retrieve the application ID, referred to as the PIX in SP 800-73, associated with this file. For CAC, the application ID and the object ID in the CCC are always the same, since each applet instance services a single container.

From the Card Edge view in GSC-IS and PIV, a SELECT command is issued to select applets and file objects. An applet selection data field contains a 5-16 byte identifier that can be a RID or a RID qualified by PIX.

RID (5 bytes)	PIX (0-11 bytes)	1
Resource Identifier	Proprietary Identifier Extension	

An object within the selected application is referenced from the card edge by its GSC-IS Object ID (2 bytes).

GSC-IS OID (2 bytes) Object Identifier

5 DOD TRANSITIONAL PIV DATA ELEMENTS

The PIV data model containers that are implemented by the DoD on the PIV-enabled CAC are further defined in the following sections.

DoD implements the following PIV containers:

- CCC as specified in SP 800-73
- CHUID
- Security Object
- Card Holder Fingerprints Container (containing primary and secondary fingerprints).
- Facial Image Container
- PIV Auth key

The remaining PIV containers are:

- Digital Signature Key (Existing CAC PKI Identity key)
- Key Management Key (Existing CAC PKI Encryption key)
- Card Authentication Key (optional)

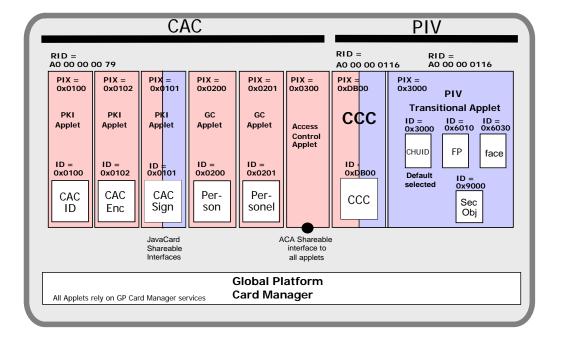


Figure 6. CAC and PIV Profile

800-73 permits default applets. In the above profile the default selected applet for both contact and contactless is the CHUID. Its PIX and ID are the same and hence SELECT

OBJECT is not required and only READ BINARY is needed. The CCC is shared with PIV, and the CAC uses its CAC Signing Key as the equivalent of the PIV Auth Key.

5.1 CCC (0xDB00)

5.1.1 CCC Requirements

The CCC must be exposed at the contact interface. This is in compliance with the SP 800-73 transitional specification, and supports minimum capabilities for lookup on data model and application information

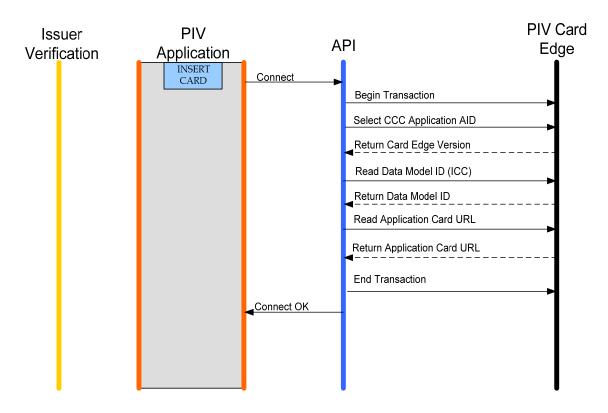
To allow fast and light discovery of card capabilities, there is a single CCC on the card when both PIV and CAC Applications are present. The data model number is 0x10. The CCC is the default applet in contact card.

5.1.2 CCC Syntax

The DoD CCC supports minimum capabilities for lookup on data model and application information. The CCC definition in Appendix A of SP 800-73 is in line with GSC-IS 2.1 except that the content of the variable length ApplicationsCardURL data element is not defined.

GSC-IS 2.1 defines the components of the CCC ApplicationsCardURL syntax. The length each card URL entry is 16 bytes. The corresponding Tag, 0xF3, is repeated to list all GSC-IS 2.1 compliant objects on the card. The CCC may be recovered as follows.

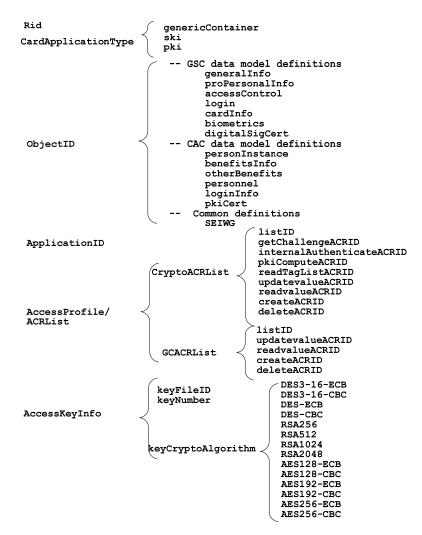
Retrieval of CCC Information This process must run before any other transaction may begin



This section details the format of the PIV CCC, including the ApplicationsCardURL element, combining the specifications from SP 800-73 and GSC-IS 2.1. The components and the values allowed in a card URL are depicted in the figure below.

Figure 7. GSC-IS CCC Encoding

ApplicationsCardURL :



5.1.3 CCC CAC v2 and PIV Container Content

CCC <u>AID</u> is fixed as **A000000116 DB00** (ref. GSCIS v2.1 §6.2)

CCC access condition: Read ALW; Update OP-SC

CCC <u>container</u> should contain the following TLV items:

1/ Card Identifier

Tag 0xF0 Len 0x15

Value GSC-RID (5B) | manufacturer-Id (1B) | Card-type (1B) | Card-Id (14B)

Card-Id = CUID (10B) + Manufacturer batch serial (4B) (ref. DMDC-W2K)

CUID & batch serial are defined in CAC re-Issuance Req. v3.9.1a pp. 20-21

An example for GSC-RID is A000000079

Card-type = '02' for JavaCard; '01' for File System card.

2/ Capability Version

Tag 0xF1 Len 0x01

Value 0x21 (meaning GSC-IS v2.1)

3/ Capability Grammar Version

Tag 0xF2

Len 0x01

Value 0x21 (meaning GSC-IS v2.1)

4/ ApplicationsCardURL

Tag 0xF3

Len 0x10

Value RID (5B) e.g. A000000079

AppType (1B) 01 for GC; 04 for PKI;

ObjectID (2B) e.g. 0x02FE for pki certificate...

AppID (2B) same as ObjectID for CAC objects

AccProfile not used for JavaCard, 00

PinID (1B) not used for JavaCard, 00

AccKeyInfo (4B) not used for JavaCard, 00000000

See Table 1 and 2 below for actual values on the DoD PIV CAC

5/ PKCS#15

Tag 0xF4

Len 0x01

Value 0x00 (meaning not PKCS#15 token)

6/ Registered Data Model

Tag 0xF5

Len 0x01

Value 0x10

7/ Access Control Rule Table

Tag 0xF6 Len 0x11

(CHOICE of acrTableAID)

8/ Card APDUs

Tag 0xF7

Len 0x00 (not used)

9/ Redirection Tag

Tag 0xFA

Len 0x00 (not used)

10/ Capability Tuples

Tag 0xFB

Len 0x00 (not used)

11/ Status Tuples

Tag 0xFC

Len 0x00 (not used)

12/ Next CCC

Tag 0xFD

Len 0x00 (not used)

13/ Error Detection Code

Tag OxFE

Len 0x00 (not used)

5.1.4 CCC Usage

CAC data objects (keys, containers, etc.) can be addressed directly with a prior knowledge of the generic container AIDs. However, the CCC provides information allowing to:

- Confirm that the data model ID is known, and therefore, the data model scope and format can be handled by the middleware.
- Discover exactly which data objects are present on the card, and where they are located, since GSC-IS provides freedom on how to map data objects onto applet instances. This discovery information located is in the ApplicationsCardURL attributes.

The tables below list the application URL entries used by the DoD. Current GSC-IS and PIV CCC URL entries are 16 bytes each. SP 800-73 suggests a maximum of 128 bytes for the variable ApplicationsCardURL data element, which accommodates only 8 total entries. This is fewer than needed to contain all of the entries needed by the DoD. The limit is interpreted as a guideline, not a hard limit.

Table 2 CAC Application Card URL values

RID	App ID	Object ID	Description	Application Card URL
A00000079	0200	0200	Person	F3 10 A000000079 01 0200 0200 00 00 000000000
A00000079	0201	0201	Personnel	F3 10 A000000079 01 0201 0201 00 00 00000000
A00000079	0100	0100	PKI-ID	F3 10 A000000079 04 0100 0100 00 00 00000000
A00000079	0102	0102	PKI-Encryption	F3 10 A000000079 04 0102 0102 00 00 00000000
A00000079	0101	0101	PKI-Signing (PIV AUTH)	F3 10 A000000079 04 0101 0101 00 00 00000000

Table 3 PIV Application Card URL values

RID	App ID	Object ID	Application	Application Card URL
A000000116	3000	3000	Card Holder Unique Identifier (CHUID)	F3 10 A000000116 01 3000 3000 00 00 000000000
A000000116	3000	6010	Card Holder Fingerprints Container	F3 10 A000000116 01 3000 6010 00 00 00000000
A000000116	3000	9000	Security Object	F3 10 A000000116 04 3000 9000 00 00 00000000
A000000116	3000	6030	Facial Image	F3 10 A000000116 01 3000 6030 00 00 00000000

5.2 CHUID (0x3000)

The DoD follows the PIV specification.²

5.2.1 CHUID Usage

The CHUID is free read from both the contactless and contact interface.

As outlined in the NIST Special Publication 800-73 the CHUID is defined by the following table:

_

² SP 800-73 requires the CHUID to be BER-TLV encoded. However, in the BER-TLV scheme the first 3 bit of the first byte are special purpose, leaving only 5 bits or a maximum of 0x1F. However, the tags in the CHUID are >0x1F and hence not BER-TLV compliant. This issue has not been resolved. DoD uses 1 byte Simple-TLV tags.

Table 4 CHUID Container

Card Holder Unique Identifier		0x3000	Always Read	
Data Element (TLV) Tag		Туре	Max Bytes	M/O
FASC-N	0x30	Fixed Text	25	М
GUID	0x34	Fixed Numeric	16	М
Expiration Date	0x35	Date (YYYYMMDD)	8	М
Authentication Key Map	0x3D	Variable	512	0
Issuer Asymmetric Signature	0x3E	Variable	2048	М
Error Detection Code	0xFE	LRC	0	0

The following pertain to the CHUID:

- The CHUID includes an element, the Federal Agency Smart Credential Number (FASC-N), which uniquely identifies each card.
- The PIV CHUID shall be accessible from both the contact and contactless interfaces of the PIV Card without card activation.
- The FASC-N shall not be modified post-issuance.
- In addition to the mandatory FASC-N that identifies a PIV Card, the CHUID shall include an expiration date.
- In machine readable format, the expiration date data element shall specify when the card expires. The expiration date format and encoding rules are as specified and formatted SP800-73. This date is the same as that on the printed surface and the Printed Information Container.
- This standard requires inclusion of the issuer Public Key Certificate in the container.
- The Asymmetric Signature data element of the PIV CHUID shall be encoded as a Cryptographic Message Syntax (CMS) external digital signature, as defined in RFC 3852 [RFC3852].
- Optional fields are not implemented.

The issuer digital signature is computed over the concatenated contents of the CHUID to include the Tag, Length, and Value. The signature excludes the Asymmetric Issuer Signature Field (FIPS 201 4.2.2) and the Authentication Key Map, if present. The Tags are one byte. This is based on the following standards statements:

- FIPS 201 p. 30 "The digital signature shall be computed over the entire contents of the CHUID, excluding the Asymmetric Signature field. "
- 800-73 p.6 "The CHUID is signed in accordance with FIPS 201. The card issuer's digital signature key shall be used to sign the CHUID. The signature field of the CHUID contains the card issuer's certificate. "
- TIG-SCEPACS PACS 2.3 1 "The FASC-N must always be present in the CHUID EF. If the FASC-N is the only Tag Length Value (TLV) record in the CHUID EF then the Buffer Length TLV header is not expected. If there are multiple TLV records in the CHUID EF then the Buffer Length TLV header as defined in GSC-IS Section 8.3 may exist for file system contact and contactless smart card technologies."

FASC-N	GUID	Expiration Date
TLV	TLV	TLV

- SP 800-73 states "dual interface VM cards shall have the CHUID Object available for selection in the default selected applet allowing them to honor a Select Object/EF CHUID issued immediately after the card answer to reset."
- Algorithm and key size requirements for the asymmetric signature are detailed in [SP800-78].

Note: The data returned from a call to the CHUID on the transitional CAC NG and the end point NG is different as a result of encoding requirements. Thus, When the CAC NG transitional responds to a transitional call for the CHUID from either the contactless or contact interface, the CAC NG will return the following:

```
|Tag(Simple)|Length(Simple)|Tag(Simple)|Length(Simple)|.....|Value|Value|Value|.....
```

PIV end-point specifies the use of Basic Encoding Rules (BER-TLV). Both encoding types are defined in ISO $7816-4^3$. When the CAC NG with the end-point responds to a call for the CHUID from either the contactless or contact interface, the CAC NG will return the following:

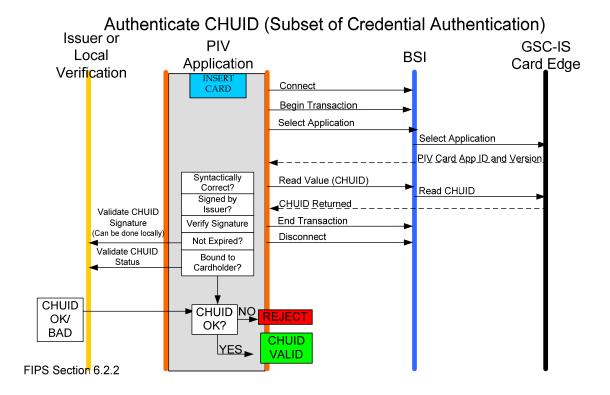
```
|Tag(Simple)|Length(BER)|Value|Tag(Simple)|Length(BER)|Value|.....
```

The entire string is signed using the same format.

The CHUID may be recovered and authenticated as shown in the flow diagram below. Secure communication of biometric over the contactless interface is currently under discussion and has not been resolved.

_

³ For informational purposes, the difference between SIMPLE and BER TLV see Appendix D



5.2.2 FASC-N

The Federal Agency Smart Credential Number (FASC-N) shall be consistent with the TIG SCEPACS Option for "System Code || Credential Number" to establish a credential number space of (Number of RAPIDS stations x 99,999,999) credentials (SP 800-73).

The FASC-N is defined in the Technical Implementation Guidance: Smart Card Enabled Physical Access Control Systems, Version 2.3E [PACS 2.3E]. It consists of 40 total characters encoded as Packed Binary Coded Decimal (BCD) format with odd parity creating a 200 bit (25 byte) record.

The FASC-N is comprised of the following elements:

Person/Organization Association Category

Field Name Abbreviation Length (BCD digits) Agency Code AC SC System Code 4 Credential Number CN 6 1 Credential Series CS Individual Credential Issue ICI 1 Person Identifier Ы 10 Organizational Category OC 1 Organization Identifier OI 4

Table 5 FASC-N Data Elements

POA

1

Start Sentinel	SS	1
Field Separator	FS	1
End Sentinel	ES	1
Longitudinal Redundancy Character	LCR	1
Total		36

The format of the FASC-N is as follows:

Figure 8. FASC-N Format

	S	S AC	FS	sc	FS	CN	FS	cs	FS	ICI	FS	PI	ос	OI	РОА	ES	LRC
--	---	------	----	----	----	----	----	----	----	-----	----	----	----	----	-----	----	-----

An example of a DoD FASC-N would be the following:

Figure 9. FASC-N Example

<u>11010</u>	<u>10011</u>	<u>11100</u>	00001	00001	<u>10110</u>	00001	00001	00001	<u>10000</u>	<u>10110</u>
SS	9	7	0	0	FS	0	0	0	1	FS
<u>10000</u>	00001	00001	00001	<u>11100</u>	00001	<u>10110</u>	00001	<u>10110</u>	<u>10000</u>	<u>10110</u>
1	0	0	0	7	0	FS	0	FS	1	FS
<u>10000</u>	00001	00001	00001	00001	00001	00001	00001	00001	00001	10000
1	0	0	0	0	0	0	0	0	0	1
<u>01000</u>	10000	00001	00001	00100	<u>11111</u>	00100				
2	1	0	0	4	ES	4				

5.2.2.1 Agency Code

The Agency Code (AC) FASC-N data element identifies the government agency that is issuing the credential. The definitions that are used for this field are identified in SP 800-87. The codes defined there represent the congressional code for budget execution or payment items in reporting to Office of Management and Budget [OMB]. The Agency Code will be determined by the agency affiliation of the site issuing the Common Access Card. The following table outlines the possible Agency Code values based on the sites Affiliation. For all cards issued by DoD these values are as defined in Table 6. The DoD has no alphanumeric AC.

Table 6 Agency Code

Affiliation	Agency Code
Department of the Army	2100
Department of the Navy	1700
Department of the Navy – U.S. Marine Corps	1727
Department of the Air Force	5700
Department of Defense – Other Agencies	9700
U.S. Coast Guard	7008
U.S. Public Health Service	7520
National Oceanic and Atmospheric Administration	1330

5.2.2.2 System Code

The System Code (SC) identifies the system the card is enrolled in and is unique for each site. Number assignment is the responsibility of the CIO of the organization referenced by the Agency Code. The DoD has chosen to use this field to identify the site that issued the card. A new mapping will be developed that will provide a 4 digit CHUID site identifier corresponding to DMDC's established 6 digit site identifiers. A similar mapping will have to be developed if the DBIDS site identifier is not a 4 digit number. To ensure uniqueness across the DoD enterprise RAPIDS and DBIDS must not share System Codes.

5.2.2.3 Credential Number,

The Credential Number, Credential Series and Individual Credential Issue fields will be used to define the tokens Credential Number. The combination of an Agency Code, System Code and Credential Number is a fully qualified number that is uniquely assigned to a single individual.

For RAPIDS and DBIDS a one up numbering scheme per site will be used to ensure uniqueness. With the three fields, when used in conjunction with the Credential Series there are 99,999,999 credential numbers per site.

5.2.2.4 Token, Alternate Credential Number, Number Option

Guidance allows for an alternate solution for the Credential Number. For the DoD, each site will have a stored counter that will start at 100,000,001. With each card issued the stored counter will be read, incremented and used to populate the Credential Number, Credential Series and Individual Credential Issue fields. The 6 rightmost digits of the stored counter will occupy the Credential Number. The seventh digit will be the Credential Series and the eighth digit will occupy the Individual Credential Issue.

5.2.2.5 Agency Code, System Code, Token Credential Number Implementation Series

The Agency Code, System Code, and Token Credential Number table definition will be as follows:

TABLE CHUID_CNSTRCT	
SITE_ID	NUMBER(6) NOT NULL
SITE_ORG_CD	CHAR(2) NOT NULL
AGNCY_CD	NUMBER (4) NOT NULL
CHUID_SITE_ID	NUMBER (4) NOT NULL
CRDNTL_NMBR	NUMBER (10) NOT NULL

The DoD shall use the Credential number to indicate the multiple of one million cards that have been issued at a particular site. This value shall start at 0 and be switched to 1 once 99,999,999 cards have been issued at a particular site.

5.2.2.6 Individual Credential Issue

The Individual Credential Issue (ICI) value is initially encoded as a "0".

5.2.2.7 Person Identifier

DoD Person Identifier (PI) is Electronic Data Interchange Person Identifier (EDIPI). This is the unique number within DoD to identify an individual.

5.2.2.8 Organizational Category

The Organizational Category (OC) is used to indicate what is being used as an organizational Identifier. The optional values for this field are:

1 – Federal Government Agency

- 2 State Government Agency
- 3 Commercial Enterprise
- 4 Foreign Government
- 5 Locally Assigned

The DoD will use 1 or 5 in this field.

5.2.2.9 Organizational Identifier

The Organizational Identifier (OI) indicates the organization the card recipient is employed or sponsored by and where the person's identity and association information can be accessed for authentication of card and cardholder. The values for this field are:

If Organizational Category is 1 then Organizational Identifier is the SP 800-87 Organization Code.

If Organizational Category is 2 then Organizational Identifier is the State Code.

If Organizational Category is 3 then Organizational Identifier is the Company Code.

If Organizational Category is 4 then Organizational Identifier is the Country Code.

The DoD will use the SP 800-87 Organization Code of the employing/sponsoring agency. The RAPIDS Agency/Sub-agency table will be modified to provide a mapping from the DMDC Agency Codes to the SP 800-87 Organization codes. DBIDS will use a similar table.

5.2.2.10 Person/Organization Association Category

The Person/Organization Association Category (POA) identifies the association of the card holder to the employing/sponsoring agency. The values for this field are:

- 1 Employee
- 2 Civil
- 3 Executive Staff
- 4 Uniformed Service
- 5 Contractor
- 6 Organization Affiliate
- 7 Organization Beneficiary

5.2.3 Global Unique Identifier (GUID)

The GUID enables migration away from the FASC-N into a robust numbering scheme for all issued credentials. It is currently defined as an issuer assigned IPv6 address. The DoD will populate this field with 16 ASCII zeros.

5.2.4 Expiration Date

Expiration date is found in the CHUID, printed on the card, and contained in the Printed Information Container. These are the same dates and refer to the expiration date of the card. The format is YYYYMMDD.

5.2.5 Authentication Key Map

The Authentication Key Map is an optional field that enables an external application to obtain a key reference for implementing a symmetric key challenge/response protocol using the Card Authentication Key. The Authentication Key Map, if present, is not signed, as it may be modified by the local PACS system. The DoD does not plan to implement this capability.

5.2.6 Error Detection Code

The Error Detection Code (LRC) tag is present with zero length and null value.

5.2.7 Issuer Asymmetric Signature

The DoD CHUID contains the issuer asymmetric digital signature. The DoD will retrieve a digital certificate from a Defense Information Systems Agency [DISA] approved Certificate Authority.

Issuer Asymmetric Signature follows *RFC 3852, Cryptographic Message Syntax*. The issuer asymmetric signature file is implemented as a SignedData Type, as specified in Distinguished Encoding Rule (DER) format to preserve the integrity of the signatures within them. The processing rules in RFC3852 apply:

```
m mandatory – the field MUST be present
x do not use – the field SHOULD NOT be populated
o optional – the field MAY be present
c choice – the field contents is a choice from alternatives
```

The ChuidSecurityObject in the CHUID signature MessageDigest attribute is an encrypted hash of all the CHUID elements, except for the asymmetric signature and key map. The key computations are outlined as follows:

• A binary string representing the plain-text concatenated from all of the following DoD mandatory elements"

FASC-N

GUID

Expiration Date

• A Message Authentication Code (MAC) is then computed on this plain-text string by the card issuer, using the digestAlgorithm specified in the SignedData object.

The resulting MAC is signed by the card issuer, using the signatureAlgorithm specified in SignedData object defined below. **Note:** Neither this signature nor the MAC are part of the PIV Security Object container defined later in this section. It is part of the asymmetric signature field's SignedData object. The CHUID signature is as follows:

Value		Comments
SignedData		
CMS version	m	Value = v3
digestAlgorithms	m	As specified in SP 800-78.
encapcontentInfo	m	
eContentType	m	id-PIV-CHUIDSecurityObject
eContent	Х	This field "shall" be omitted (FIPS 201)
certificates	m	Issuers shall include only a single X.509 certificate, the Document Signer Certificate (C _{DS}), which is used to verify the signature in the SignerInfo field.
crls	Х	This field "shall" be omitted (FIPS 201)
signerInfos	m	This field "shall" be present and include a single SignerInfo (FIPS 201)

SignerInfo	m	
CMS version	m	Version must be 1 because of mandated sid choice. (See RFC3852 Section 5.3 for rules regarding this field).
sid	m	Signer Identifier
issuerandSerialNumber	m	This field "shall" use the 'issuerAndSerialNumber' choice (FIPS 201)
digestAlgorithm	m	The algorithm identifier of the algorithm, specified in SP 800-78, used to produce the hash value over SignedAttrs.
signedAttrs	m	Issuers may wish to include additional attributes for inclusion in the signature. However, these do not have to be processed by receivers except to verify the signature value. FIPS 201 and RFC 3852 specify that, at a minimum, the SignerInfo shall include the next three attributes.
ContentType		id-PIV-CHUIDSecurityObject
MessageDigest	m	The hash over the CHUID data as described previously.
pivSigner-DN	m	The subject name that appears in the PKI certificate for the entry that signed the CHUID.
signatureAlgorithm	m	The algorithm identifier of the algorithm used to produce the signature value, and any associated parameters.
signature	m	Encrypted hash of signed attributes that results from the signature generation process.

5.3 Card Holder Fingerprints Container (0x6010)

In accordance with SP 800-76, fingerprint minutiae and facial image are stored on the card. The data elements for these biometrics follow INCITS wrapped in a CBEFF. These are also stored in DMDC Personnel Data Repository (PDR). The two fingerprints (primary and secondary) are stored in a single container 0x6010.

PIV biometric data is embedded in a data structure conforming to Common Biometric Exchange Formats Framework [CBEFF]. This specifies that all biometric data shall be digitally signed and uniformly encapsulated. This covers: the PIV Card fingerprints mandated by [FIPS] and the Facial Image.

All such data is signed in the same manner as prescribed in [FIPS 201] and [800-73] for the biometric elements. The issuer signature is present for integrity and is stored in the CBEFF signature block. However, the certificate is in the CHUID. The overall arrangement of CBEFF and references is depicted in Table below.

Table 7 Simple CBEFF Structure

Data Element	References
CBEFF_HEADER	One instance of the CBEFF header (SP800-76 section 6 Table 7 Patron format PIV), and one instance of the "General Record Header" (INCITS 378 section 6.4), other references can be found in INCITS 398 5.2.1,
CBEFF_BIOMETRIC_RECORD	Two instances of the "Finger View Record" (INCITS 378 sec 6.5). Number of instances is indicate by the CBEFF

	Header "number of views".
CBEFF_SIGNATURE_BLOCK	One instance of the CBEFF Signature Block (SP800-76 Sec 6 Table 7), other references: FIPS 201 4.4.2, INCITS 398 5.2.3. CMS-compliant Issuer signature including FASC-N.

The SP800-76 template specification restricts the options of INCITS 378;

No extended data.

No proprietary data.

Restriction of minutia type (bifurcation, ridge ending).

Notes regarding differences between sp800-73 and SP800-73v1 standards. SP800-73v1 finger minutiae changes:

- Primary and secondary fingerprints are stored in a single Card Holder Fingerprints container.
- Card Holder Fingerprints max size with minutia now 4K.

CBEFF Header Primary Finger View Record Patron format PIV (...,FASC-N,...) Finger View Data Elements Header Finger Card Holder Fingerprints Buffer **CBEFF** Header Minutiae Data **CBEFF Biometric** Record Extended Data **CBEFF Biometric** Record Header Record (INCITS 378) CBEFF_SIGNATURE Primary Finger Secondary Finger BLOCK View Record View Record Error Detection Code Secondary finger View Record

Figure 10. Card Holder Fingerprints Container Structure.

Further details on this structure are specified on the SP800-76 table 3 and INCITS 378 Sec 6 table 6.

5.3.1 CBEFF Biometric Record

All fields for the biometric record are defined in INCTS 378 Sec 6. Additional explanations and sample data on the Minutiae record can be found in Appendix "Sample data for "Card Holder Fingerprints" Container" of this document. . SP 800-76 specifies the required Patron Format CBEFF header which includes the FASC-N (see 800-76 p. 22).

5.3.2 CBEFF Signature Block

Details on the process for generating and populating the CBEFF Signature Block are described in FIPS201 sec 4.4.2 and SP800-73. The CBEFF Signature Block contains the CMS compliant issuer signature. The signature includes the FASC-N as a signed attribute.

As FIPS201 states in sec 4.4.2; "If the signature on the biometric was generated with the same key as the signature on the CHUID, the *certificates* fields shall be omitted".

5.4 The Card Holder Facial Image Container (0x6030)

The Facial image is optional but DoD mandatory. It is not used for image processing but for biometric visual identification. As with the fingerprints it is wrapped in the CBEFF wrapper, and includes a CBEFF signature block with the FASC-N as a signed attribute. and is protected by the security object. See Appendix H for sample data.

5.5 Security Object (0x9000)

The principal goal of the security object is to reduce the cryptographic overhead of cryptography in the data model. It enables the binding of the issuer to various data objects using a single signature, yet does not require all of the data to be read from the card to verify the signature. Note that the PIV Security Object is different from the ICAO Security Object in that it contains not only the object hashes but also the DG mapping with PIV containers.

The LDS Security Object contains hashes of the entire contents of the fingerprint and facial image containers (TLV and including their signature). For containers not present on the card, the container IDs mapped to their respective Data Groups is null.

The Security Object provides a means for verifying the integrity of card data elements that bind a card to the card holder's identity with minimal processing. It is signed by the issuer. Again, the issuer's certificate is not included with the Security Object, since it is already part of the CHUID. Normative signature reference is RFC 3852.

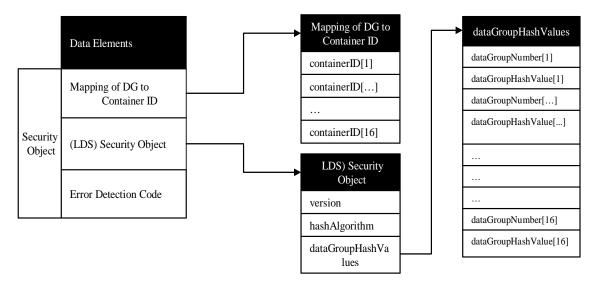


Figure 11. Security Object Structure.

Note: In SP800-73, the data structure for "Mapping of DG to Container ID" is not defined-

5.5.1 Security Object Specification

The SP 800-73 Security Object container includes an element (0xBA) that maps the MRTD data groups to the corresponding PIV data model container ID and a signedData type element (0xBB) that contains hashes of the mapped containers and is signed by the issuer using the card issuer's digital signature key as in the LDS Security Object in Appendix C. The hashes are those in the signature of each signed object. The hashes include the entire contents – even the signature. The hashes are placed in the security object in the order in which data elements are presented in the PIV data model overview. However, the order is not relevant. The issuer's certificate hash is not included in the Security Object element, since it is already part of the issuer certificate in the CHUID.

The Security Object Container is described in SP 800-73 according to the following tables.

Container ID Maximum Access Rule Contact/ Mandatory/ Contactless Optional Description Length (Bytes) Container Security Object 0x9000 1000 Always Read Contact Mandatory

Table 7 Security Object Container

Table 8 Security Object Container Element	Table 8	Security	Object	Container	Elements
---	---------	----------	--------	-----------	----------

Security Object (PIV)	0x9000		
Data Element (TLV)	Tag	Туре	Max. Bytes
Mapping of DG to container ID	0xBA	Variable	100
LDS Security Object (MRTD Document SO)	0xBB	Variable	900
Error Detection Code	0xFE	LRC	0

5.5.2 Mapping of Data Groups to PIV Containers

DoD implementation uses explicit mapping and fully populated 16 entry table with 1 byte index followed by 2 byte container ID.

The PIV Security Object contains the hash for PIV containers, which, when present on the card, are explicitly specified for mandatory integrity protection by the Security Object in SP 800-73.

For informational purposes the following table cross-references the relevant Security Object data elements with the ICAO Data Group hash values.

Table 9. Mapping of DG to Container ID

DataGrou pNumber	container ID	dataGroupHas hValue	References to SP800-73	Comment
1	null	null		Machine Readable Zone (MRZ)
2	0x6030	MIT	Image for Visual Verification (to be consistent with previous references in this document)	Encoded Face.
3	0x6010	MIT	Card Holder Fingerprints (Appendix A)	Similar to LDS Encode Finger Datagroup [MRTD].

4	null	null	Encoded Iris
5	null	null	Similar to LDS Display Portrait Datagroup [MRTD].
6	null	null	Reserved for future [MRTD]
7	null	null	Displayed Signature [MRTD]
8	null	null	
9	null	null	
10	null	null	
11	null	null	
12	null	null	
13	null	null	
14	null	null	Reserved for future [MRTD]
15	null	null	Similar to Active Authentication Public Key Info. Datagroup [MRTD], and the X.509 Certificate for PIV Authentication
16	null	null	Person(s) to notify

[MIT] Mandatory at time of instantiation.

5.5.3 LDS Security Object

Table 10. LDS Security Object Bindings.

(LDS) Security Object 0xBB (Variable Max Length 900 bytes)					
Data Element	Туре	Value	Comment		
version	Integer	0	TBD		
hashAlgorithm	AlgorithmIdentifier	Digest algorithm	Algorithm used to form dataGroupHashValues		
dataGroupHashValues	dataGroupHash	dataGroups [16]	16 Data groups according to [MRTD].		

5.5.4 Authenticate PIV Container with Security Object

The diagram below shows how the security object may be used to authenticate a PIV container.

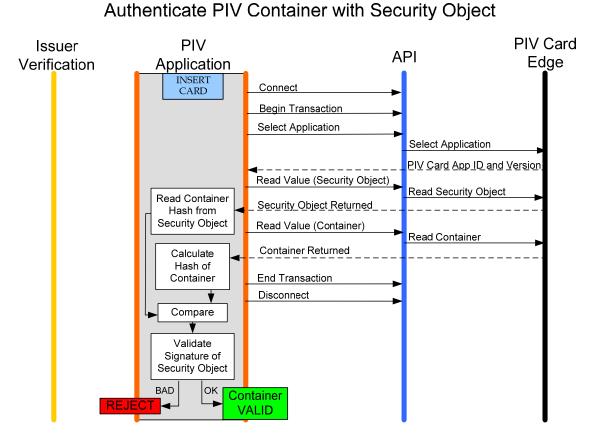


Figure 12. Security Object Transaction

5.5.5 Security Object Usage

Below is an example of how to use the Security Object Container to validate the PIV Containers. Note: this example is informative.

Prior to the following process, it is assumed that authentication has been successful and communications is protected by Secure Messaging.

READ the Issuer Asymmetric Signature from the CHUID

VERIFY signature of Security Object using the document certificate contained in the CHUID⁴.

IF NOT Valid THEN

RETURN Reject

END IF

SELECT Security Object (0x09000)

READ Security Object

READ the LDS Security object (0xBB)

⁴ The verification of the signature of the Security Object ensures that the Security Object is authentic, issued by the authority mentioned in the CHUID's

READ the hashAlgorithm from the LDS Security object

N = 1

WHILE N <= 16 THEN

Read containerID[16] from the dataGroupTables

Map DG to Container

IF containerID [N] NOT EQUAL null THEN

Calculate hash of containerID [N] container for dataGroupNumber [N] USING the hashAlgorithm)

Compare new calculated hash value to the hash value in dataGroupNumber N dataGroupHashValue (hash values are BER encoded and cannot be extracted as simple table values).

IF hash values DO NOT match THEN

RETURN Reject

END IF

N=N+1

END WHILE
RETURN Valid

5.6 X.509 Certificates and keys for PIV Authentication and CAC PKI Signature

The PIV Auth Key is the only mandatory PKI PIV key. In the transitional PIV implementation for the DoD, the PIV Auth Key pair and certificate equates to the DoD Email Signing key pair and certificate. The DoD email certificate does not contain the FASC-N nor the NACI. End Point CAC will either have a separate PIV Auth Key pair..

The table below exhibits the access control rules for PIV and CAC key usage. The right column lists the keys in use by the DoD today. The left column lists the optional and mandatory keys proposed by the PIV standard. The PIV Authorization Key is the only mandatory key pair and certificate. In the transitional PIV implementation for the DOD, the PIV Authorization Key pair and certificate equates to the DoD email signing key pair and certificate.

Table 11. PIV, CAC Key, and Certificate Access Rules.

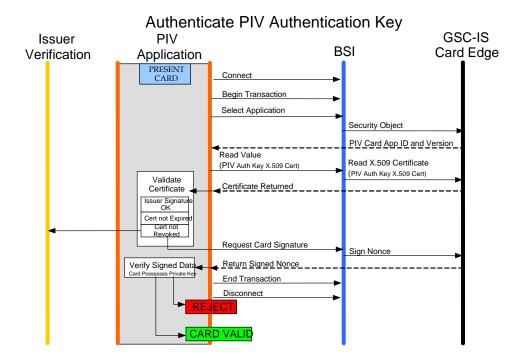
NIST SP-800-73				CAC					
Key Name	Key Purpose	Access Read Cert / Sign	OID	M/ O	Key Name	Key Purpose	Access Read Cert / Sign	OID	M/O
PIV Authenticatio n Key	Used to Authenticate the card and the CH using PIN. Identity key for logical access.	ALW/PIN	0x0101	М	PKI Signature Key	PKI Logical Login (Outlook) Digital Signature with non-repudiation, logical access, PIN. Outlook requires special extension.	ALW/PIN	0x0101	М
Digital Signature Key	Digital Signature for non- repudiation. Contact only	ALW/PIN	0x0100	0	PKI Identity Key	Can be used for non repudiation signing outside Outlook.	ALW/PIN	0x0100	М
Key Managemen t	Encryption key. Contact only	Always Read	0x0102	0	PKI Encryptio n Key	Key Encipherrment	ALW/PIN	0x0102	M

Note: The gray area in the table indicates keys which the DoD will not implement since they already exist in the DoD PKI.

The PIV Auth certificate and the CAC PKI Signature certificate have the same OID. The middleware will be responsible to differentiate, by, for example, using the RID:PIX.

5.7 Certificate for the PIV Authentication Key (0x101)

This single key is used to authenticate the card holder for logical access scenarios. The CAC PKI Signature key and associated certificate is used for Microsoft cryptographic logon and PKI signature. The CAC NG PKI Signature key serves several purposes. The certificate does not include the NACI or FASC-N. It also differs from the X.509 certificate having a UN= email address. The diagram below illustrates the process of authenticating the PIV Authentication Certificate.



6 PIV TRANSITIONAL BSI

The PIV Transitional API is implemented as a subset of GSC-IS 2.1 BSI as specified in SP 800-73.

Following is a list of these functions that are required to implement the PIV application use cases defined in this document:

- gscBsiUtilAcquireContext()
- gscBsiUtilConnect()
- gscBsiUtilDisconnect()
- gscBsiUtilBeginTransaction()
- gscBsiUtilEndTransaction()
- gscBsiUtilGetVersion()
- gscBsiUtilGetCardStatus()
- gscBsiUtilGetExtendedErrorText()
- gscBsiUtilGetReaderList()
- gscBsiUtilReleaseContext()
- gscBsiGcReadTagList()
- gscBsiGcReadValue()
- gscBsiPkiCompute()

7 TRANSITIONAL PIV CARD EDGE

The PIV card edge is implemented as a subset of GSC-IS 2.1 card edge as specified in SP 800-73 Part I.

The subset of GSC-IS card edge commands are listed below. These commands apply to VM cards.

Commands for common interface:

- SELECT APPLET / SELECT OBJECT
- GET RESPONSE

Card platform commands for common interface:

- READ BUFFER
- READ BINARY

Commands for authentication:

- VERIFY
- PRIVATE SIGN / ENCRYPT

In addition to the specifications in GSC-IS 2.1, SP 800-73 contains the following requirements:

• When an applet is selected, the response message contains the minimum File Control Information defined in ISO 7816-4 (FCI), as follows:

Offset	Value	Description
00h	6Fh	FCI template tag
01h	4 + AID Length	Length of FCI template
02h	84h	Application name tag
03h	AID Length	Length of application name
04h	AID	Instance AID Value
4+ AID Length	A5h	Proprietary Data tag
5+ AID Length	00h	Length=00

Table 12 File Control Information

• The PIN used in PIV Cards using the File Card Edge shall comply with the PIN format defined in Section 3.5.3 of SP 800-73, i.e., if the PIN length is less than 8 bytes, it shall be padded to 8 bytes with 'FF' bytes.

SP 800-73 allows use of the GSA AID (A0 00 00 01 16 DB 00) as the name of the CCC to find out if it is a file card.

Access Control is supported by PIV and utilized according to policy.

7.1 Contactless Interoperability

The CHUID is presented at the contact and the contactless interface.

SP 800-73 contactless cards provide a minimum interoperability mechanism for cardholder identification in both physical access controls.

The minimum interoperability mechanism for cardholder identification is to read the CHUID from a fixed location using READ BINARY and SELECT EF ISO 7816-4 [ISO4]. GET DATA for contactless is an end-point command and DoD will support it in end-Point when applets, card edge, and middleware are available. In the meanwhile physical access device vendors may support both READ BINARY and GET DATA for interoperability.

800-73 contactless cards and readers shall conform to ISO 14443 Parts 1 through 4. Cryptographic functionality is not required, but GSC contactless cards that implement cryptography use FIPS-approved cryptographic algorithms in FIPS 140-2 validated modules.

8 BACK-END SYSTEM TRANSACTIONS

Although the SP 800-73 does not explicitly state a requirement for back-end System validations for interoperability, the DoD asserts this in the only sure way to validate the legitimacy of the cardholder and the cardholder credential. Clearly, an agency would not want to grant access to an individual, either logically or physically, simply on the basis of a person presenting a token. The back-end systems transactions are the insurance that the cardholder's affiliation is still valid and that the card itself has not been revoked. This section illustrates how each of the use cases cited in section 2.1 could use a back-end transaction to strength the identity and authentication of a PIV implementation.

PIV prescribes CRL and OCSP as the mechanism for checking the validity of a credential during the authentication process. This assumes that all validity checking during usage for access only involves certificates, that all CAs are constantly available and responsive enough to provide CRL checking, and that CRLs are up to date. In addition, required data for onsystem validation, such as CHUID and biometric elements, will need to be available. Without such validation mechanisms the card and credentials would be assumed to be good.

8.1 Validation Transactions

Use cases here apply only to issuer validation of contact cards. Contactless card validation is a subset. FIPS 201 and SP 800-73 assume the following usage capabilities:

Physical Access - For physical access the following events take place:

- 1. Verify CHUID
- 2. Verify card holder PIN/Biometric (Optional)

The verify card holder may involve a back end transaction against issuer or relying systems.

Logical Access - For logical access the following events take place:

- 1. Verify card holder PIN
- 2. Verify Digital Certificate/Digital Signing

The verification of a digital certificate usually consists of a check garnish and revocation list of the issuing agency.

Each use case transaction may result in external (Issuer) verification.

Figure 11 represents the verification logic from the relying party request to the issuer response. Note that this is an illustration only and the logic as well as implementation may vary.

Internal issuer processes will be transparent. However, in generating responses the following decision logic is likely to be employed.

Each Relying party verification request and the validation response of good/bad, or data, will likely be transmitted using a standard protocol such as SAML.

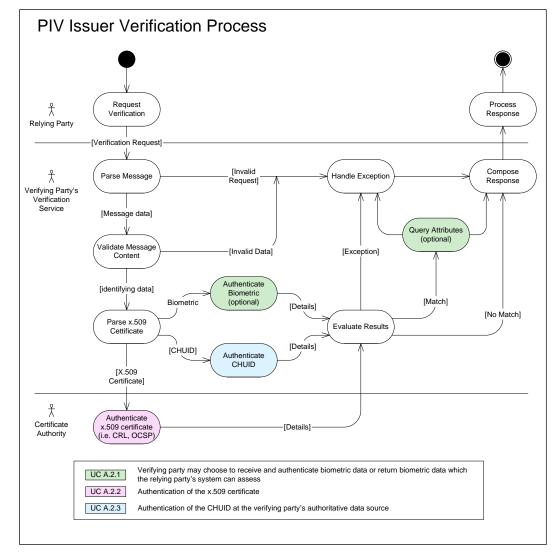


Figure 13. PIV Issuer Verification for Logical Access

The figure above represents issuer capability to respond on-line to compound Security Assertion Markup Language (SAML) requests This solution is preferred in that it guarantees freshness of primary sources (but not necessarily back-end sources), and uniform interdepartment transmission protocol.

8.2 Transaction Use Cases

Examples of back-end transactions are as follows. There is ongoing work on architecture and specifications.

- 1. Request Issuer to validate by passing a CHUID or X.509 certificate and the Card ID from the card.
- 2. Request Issuer to validate by passing a CHUID or X.509 certificate and a fingerprint from the card.
- 3. Request Issuer to validate by passing a CHUID or X.509 certificate and receiving back a Photo of the Cardholder.
- 4. Request Issuer to validate PIV Digital Certificate by passing an X.509 certificate from the card.

9 CONFORMANCE TESTING

9.1 CAC and CAC with Transitional PIV implementation conformance Testing

The DoD recognizes that the current SP 800-73 has no provision for conformance testing of the PIV II implementation (Transitional state). However, the DoD testing facility already includes testing of the GSC-IS 2.1 card edge and BSI commands.

Appendix A Acronyms

The following terms are used throughout this document:

Authentication: Ensures that the individual is who he or she claims to be. This term

is more about providing the evidence for this claim of authenticity

Validation: The act of finding or testing the truth of something

Verification: Review process for determining or confirming the accuracy of

information provided

proof that something that was believed (some fact or hypothesis or

theory) is correct

Authorization: Access granted as a result of authentication and verification

The following abbreviations are used throughout this document:

ACO Access Card Office

AID Application Identifier

API Application Programming Interface

BER Basic Encoding Rules
BSI Basic Services Interface
CAC Common Access Card

CBEFF Common Biometric Exchange File Format

CCC Card Capabilities Container
CHUID Card Holder Unique Identifier
DER Distinguished Encoding Rules
DMDC Defense Manpower Data Center

DoD Department of Defense

DOSF DMDC Open Specifications Framework
FASC-N Federal Agency Smart Credential Number

GC Generic Container

GSC-IS Government Smart Card Interoperability Specification

GUID Global Unique Identifier
NAC National Agency Check

PIV Personal Identity Verification
PIX Proprietary Identifier Extension

RID Registered Identifier

Appendix B References

[CBEFF] Common Biometric Exchange File Format

[FIPS 201] NIST Federal Information Processing Standards Publication 201-1, Personal Identity Verification for Federal Employees and Contractors, March 2006. Updated June 26 2006.

[GP] Open Platform, Card Specification, v2.0.1', GlobalPlatform, April 2000

[GSC-IS] Government Smart Card Interoperability Specification, Version 2.1, NIST Interagency Report 6887 – 2003 Edition, July 16, 2003.

[HSPD-12] HSPD 12, *Policy for a Common Identification Standard for Federal Employees and Contractors*, August 27, 2004.

[378] ANSI INCITS 378-2004, Finger Minutiae Format for Data Interchange, February 20, 2004

[JC] Java Card 2.1.1 Platform Documentation, Available from: http://java.sun.com/products/javacard/specs.html#211

[MRTD] *PKI for Machine Readable Travel Documents Offering ICC Read-Only Access,* Version - 1.1 Date - October 01, 2004. Published by authority of the Secretary General, International Civil Aviation Organization.

[PACS 2.2] *Technical Implementation Guidance: Smart Card Enabled Physical Access Control Systems*, Version 2.2, The Government Smart Card Interagency Advisory Board's Physical Security Interagency Interoperability Working Group, July 27, 2004.

[PACS 2.3] Draft Technical Implementation Guidance: Smart Card Enabled Physical Access Control Systems, Version 2.3, The Government Smart Card Interagency Advisory Board's Physical Security Interagency Interoperability Working Group, August 9, 2005.

[PCSC] Personal Computer/Smart Card Workgroup Specifications, *Interoperability Specification for ICCs and Personal Computer Systems*, Revision 2.01, 2005.

[SP800-73] NIST Special Publication 800-73, *Integrated Circuit Card for Personal Identity Verification*, NIST, March 2006.

[SP800-73 Errata] Errata for NIST Special Publication 800-73, *Integrated Circuit Card for Personal Identity Verification*, May 2 2006.

[SP800-76] NIST Special Publication 800-76, *Biometric Data Specification for Personal Identity Verification*, NIST, February 1, 2006.

[SP800-76 Errata] Errata for NIST Special Publication 800-76, *Biometric Data Specification for Personal Identity Verification*, updated, July 19, 2006.

[SP800-78] NIST Special Publication 800-78, Cryptographic Algorithms and Key Sizes for Personal Identity Verification, NIST, March 2005.

[SP800-79] NIST Special Publication 800-79, *Guidelines for the Certification and Accreditation of PIV Card Issuing Organizations*, NIST, July 2005.

[SP800-85] NIST Special Publication 800-85, *Draft NIST Special Publication 800-85, PIV Middleware and PIV Card Application Conformance Test Guidelines*, NIST, October 2005.

[SP800-87] NIST Special Publication 800-87, Draft NIST Special Publication 800-87, Codes for the Identification of Federal and Federally-Assisted Organizations, NIST, August 2005.

Appendix C ICAO Profile LDS Security Object

```
LDSSecurityObject {iso(1) identified-organization(3) icao(ccc) mrtd(1)
security(1) ldsSecurityObject(1)}
DEFINITIONS IMPLICIT TAGS ::=
-- Imports from RFC 3280 [PROFILE], Appendix A.1
AlgorithmIdentifier FROM
PKIX1Explicit88 { iso(1) identified-organization(3) dod(6)
internet(1) security(5) mechanisms(5) pkix(7)
mod(0) pkix1-explicit(18) }
-- Constants
ub-DataGroups INTEGER ::= 16
-- Object Identifiers
                             OBJECT IDENTIFIER ::= {1.3.ccc }
id-icao
id-icao-mrtd
                             OBJECT IDENTIFIER ::= {id-icao 1}
id-icao-mrtd-security
                            OBJECT IDENTIFIER ::= {id-icao-mrtd 1}
id-icao-ldsSecurityObject OBJECT IDENTIFIER ::= {id-icao-mrtd-security 1}
-- LDS Security Object
LDSSecurityObjectVersion ::= INTEGER {V0(0)}
DigestAlgorithmIdentifier ::= AlgorithmIdentifier
LDSSecurityObject ::= SEQUENCE {
      version
                            LDSSecurityObjectVersion,
     version
hashAlgorithm
                             DigestAlgorithmIdentifier,
      dataGroupHashValues
                             SEQUENCE SIZE (2..ub-DataGroups) OF
DataGroupHash }
DataGroupHash ::= SEQUENCE {
dataGroupNumber DataGroupNumber,
dataGroupHashValue
                       OCTET STRING }
DataGroupNumber ::= INTEGER {
dataGroup1 (1),
dataGroup2 (2),
dataGroup3 (3),
dataGroup4 (4),
dataGroup5 (5),
dataGroup6 (6),
dataGroup7 (7),
dataGroup8 (8),
dataGroup9 (9),
dataGroup10 (10),
dataGroup11 (11),
dataGroup12 (12),
dataGroup13 (13),
dataGroup14 (14),
dataGroup15 (15),
dataGroup16 (16)}
END
```

Notes:

The 'ccc' in id-icao defines the ICAO organization. The value of this field (defined by the Registration Authority for ISO 6523) to be published by ICAO.

The field dataGroupValue contains the calculated hash over the *complete* contents of the Data group EF, specified by dataGroupNumber.

Appendix D Message Digest Hash Algorithms

The following table identifies the hash algorithms specified in SP 800-78 as the algorithms that may be used for creating the message digests (hashes) of information on the card. The set of acceptable algorithms depends upon the expiration date of the CAC Card, since the hash algorithm must protect the data during the entire card lifetime.

Table 13 Hash Algorithm Requirements for the 800-73 Security Object

Card Expiration Date	Algorithm
Through 12/31/2010	SHA-1, SHA-224 or SHA-256
After 12/31/2010	SHA-224 or SHA-256

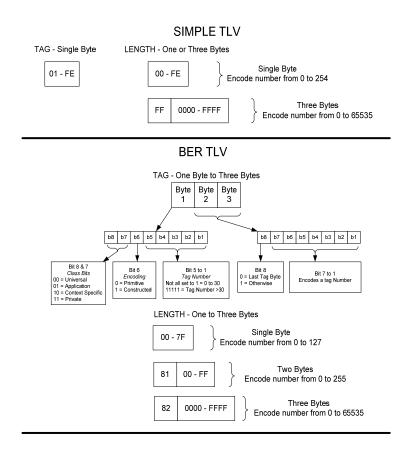
The Security Object format identifies the hash algorithm used when computing the message digests by inclusion of an object identifier. The appropriate object identifiers are identified in the following table.

Table 14 Hash Algorithm Object Identifiers for the 800-73 Security Object

Card Expiration Date	Algorithm	
SHA-1	id-sha1 ::= {iso(1)identified- organization(3)oiw(14)secsig(3)algorithms(2)26}	
SHA-224	id-sha224 ::= {joint-iso-itu-t(2)country(16)us(840)organization(1) gov(101)csor(3)nistalgorithm(4)hashalgs(2)4}	
SHA-256	id-sha224 ::= {joint-iso-itu-t(2)country(16)us(840)organization(1) gov(101)csor(3)nistalgorithm(4)hashalgs(2)1}	

Appendix E Comparison of Simple TLV and BERT TLV.

PIV transitional is encoded with simple TLV. PIV end-point specifies the use of Basic Encoding Rules (BER-TLV). Both are defined in ISO 7816-4. For informational purposes, the difference between SIMPLE and BER is shown in the figure below.



Appendix F Standard Biometric Header (CBEFF_HEADER – PIV Patron format)

- The "PIV" Patron Format CBEFF_HEADER is 88 bytes in length.
- The "PIV" Patron Format CBEFF_HEADER includes several fields not found in CBEFF Patron Format A: BDB Length, SB Length, Federal Agency Smart Credential Number (FASC-N), and Reserved bytes.

Patron Header Version

Length:	1 byte
Data Type:	Unsigned integer
Notes:	The current version is 0x03. This corresponds with the 02/01/2006
	publication of SP 800-76.

SBH Security Options

Length:	1 byte
Data Type:	Bitfield
Notes:	

SP 800-76 states that this value should be either 00001101 (signed but not encrypted) or 00001111 (signed and encrypted). Encryption is not permitted when storing minutiae on the PIV card.

The SP 800-76 authors chose to continue a practice from earlier revisions of the document by specifying that the fourth bit is also set. According to INCITS 398-2005 Patron Format "A", this indicates that the options mask is present in the SBH.

Table A.1 of INCITS 398-2005:

Mask	Note
0x08 (fourth bit)	Optional fields mask present
0x04 (third bit)	Signed
0x02 (second bit)	Privacy (encryption)
0x01 (first bit)	Integrity
0xF0	Reserved. Shall be false.

For Patron Format "PIV", there is no options mask. So, it must be noted that the fourth bit is set contrary to CBEFF standards. For this reason, the value of this field shall be 0x0D (00001101) when storing minutiae on a PIV card rather than 0x05 (00000101).

BDB Length

Length:	4 bytes
Data Type:	Unsigned integer
Notes:	Contains the length of the Biometric Data Block (BDB).

SB Length

Length:	2 bytes
Data Type:	Unsigned integer
Notes:	Contains the length of the Signature Block (SB).

BDB Format Owner

Length:	2 bytes
Data Type:	Unsigned integer
Notes:	

The format owner for facial images, fingerprint images, and fingerprint minutia is the INCITS Technical Committee on Biometrics (M1). The M1 CBEFF format owner code is 0x001B.

BDB Format Type

Length:	2 bytes	
Data Type: Unsigned in		nteger
Notes:		
Fingerprint Image		0x0401
Fingerprint Minutiae		0x0201
Facial Image		0x0501

For other biometric types, the value shall be determined in accordance with INCITS 398-2005 Section 5.2.1.5.

Biometric Creation Date

Length:	8 bytes
Data Type:	[See Notes]
Notes:	

The date that the biometric was captured (not stored or extracted). The date is to be formatted YYYYMMDDhhmmssZ as 8 bytes in binary representation. "The value for "hh" must be a 24-hour clock value. Each pair of characters is coded in 8 bits. For example, December 15th, 2005 17:35:30 (20051215173530Z) is represented as:

Value	Byte	Hex
20	00010100	0x14
05	00000101	0x05
12	00001100	0x0C
15	00001111	0x0F
17	00010001	0x11
35	00100011	0x23
30	00011110	0x1E
Z (ASCII character for Zulu or Coordinated Universal Time (UTC))	01011010	0x5A

Validity Period

Length:	16 bytes
Data Type:	[See Notes]
Notes:	

These are "not before" and "not after" dates placed in sequence using YYYYMMDDhhmmssZYYYYMMDDhhmmssZ format as described in section 3.2.7.

Biometric Type

Length:	3 bytes										
Data Type:	Unsigned in	Unsigned integer									
Notes:											
Fingerprint Im	nage	0x000008									
Fingerprint Minutiae		0x000008									
Facial Image		0x000002									

The fingerprint image and minutiae use the same value as specified in INCITS 398-2005 (CBEFF). The BDB Format Type is used to distinguish between fingerprint minutiae and image. For other biometric types, the value shall be determined in accordance with INCITS 398-2005 Section 5.2.1.5.

Biometric Data Type

Length:	1 byte
Data Type:	Bitfield
Notes:	

Columns one and two of the table below describe the INCITS 398-2005 possible values for this field. The third column notes the examples of applicable biometric data mentioned in SP 800-76.

Data Type	Value	Biometric types
Raw: the data in the BDB is in its raw form	001xxxxx	Photograph image
as delivered by the sensor.	OUTXXXX	Fingerprint image
Intermediate: the data in the BDB has been processed from the form delivered by the sensor, but is not in a form usable for matching.	010xxxxx	
Processed: the data in the BDB is in a form that can be used for matching.	100xxxxx	Fingerprint minutia

Biometric Data Quality

Length:	1 byte
Data Type:	Signed integer
Notes:	

For fingerprint images, this is defined as 20(6-NFIQ). No standard is specified for fingerprint minutiae or photographs other than the value must be between -2 and 100. A value of -2 indicates that the measurement is not supported and a value of -1 indicates that an attempt to determine the quality failed.

If multiple biometrics is stored in the Biometric Data Block (BDB), this value is to be the highest quality value of all biometrics found in the BDB.

Photographs shall be coded as -2 to communicate that the quality attribute is not applicable. This is in accordance with INCITS 385-2004.

Creator

Length:	18 bytes
Data Type:	[See Notes]

Notes:	A NULL-terminated string of ASCII characters. There must be at
	least one NULL following the ASCII characters.

Federal Agency Smart Credential Number (FASC-N)

Length:	25 bytes
Data Type:	[See Notes]
Notes:	The FASC-N component of the Card Holder Unique Identifier
	(CHUID).

Reserved bytes

Length:	4 bytes
Data Type:	N/A
Notes:	Initialized with NULLS

Multi-byte data stored in the CBEFF_HEADER demands the use of Big Endian ordering. That is, the more significant bytes of any multibyte quantity are stored at lower addresses in memory than (and are transmitted before) less significant bytes. This needs to be carefully tested since many client applications that collect biometrics are based on Little Endian systems.

Creator Construction

Multiple systems will be responsible for the collection of biometrics within the U.S. Department of Defense (DoD). For this reason, the Creator field needs to use a sufficiently unique name so as to distinguish between the various collection systems. All biometrics captured (photograph images, fingerprint images) or generated (fingerprint minutiae) at the CAC issuance station (RAPIDS) shall use the following creator tag where n=NULL:

U	S		D	О	D		R	Α	Р	-	D	S	n	n	n	n	n
---	---	--	---	---	---	--	---	---	---	---	---	---	---	---	---	---	---

Appendix G Sample data for "Card Holder Fingerprints" Container This is a sample record format for the "Card Holder Fingerprints" container. This sample

This is a sample record format for the "Card Holder Fingerprints" container. This sample contains two fingers; one left index and a right index finger data. See INCITS 378 table 6 for minutiae record descriptions, and SP800-73-1 Appendix A.

		Sample Data (in Hexadecimal)	Description	
		03	Patron Header Version	
		OD	SBH Security Options 0x0D=signed not encrypted	
		00000242	BDB length 27c4=10180=10134+14+20+12	
			010A = 266 = 26 + 1*(4 + 39*6 + 2)	
		01E5	SB length	
		001B	format owner	
	Patron PIV Format	0201	format type	
		140607141517325A	creation date	
		140607141517325A	valid date start	
		141007141517325A	valid date end	
		000008	bio type	
		80	bio data type	
ĒR		FD	bio quality	
HEADER		555320444F442052415049	creator (US DOD RAPIDS)	
		4453000000000	FACO N	
CBEFF		D22010DA010C2D00843C0	FASC-N	
3E		D8360DA010842108430822		
ਹ		01093EB	reserved	
		00000000 464D5200	Format Id 'FMR'	
	er	464D3200	FOITIAL IU FIVIR	
	eac	20323000	Version ' 20'	
	エ	0242	record length 5E=94=26+2(4+5*6)	
	General Record Header	000C0A50	CBEFF product id	
		0000	Capture equipment compliance and id	
		0168	width in pixels (ox124=292)	
		0168	height in pixels	
		00C5	horizontal resolution pixel/cm (C5=197)	
		00C5	vertical resolution	
		02	number views (number of fingers)	
		00	reserved	
:	Finger View	07	Position (left index finger)	
(S)		00	view number and impression	
ORE		FE	finger quality	
BIOMETRIC RECORD		33	Number of minutiae (55 minutiae data records follow).	
	Minutiae	8103005F3600	minutiae data	
JMC		810A00762D00	minutiae data	
BIG	Σ		minutiae data	

			minutiae data
		0000	extended data (x00000 = none)
	Finger View	02	Position (right index finger).
		00	view number and impression
		FE	finger quality
	Fin	27	Number of minutiae (39 minutiae records).
		408F00A98900	minutiae data
	Minutiae		minutiae data
			minutiae data
		810700DE2F00	minutiae data
	Σ	80FB01078500	minutiae data
			minutiae data
		0000	extended data (x00000 = none)
		555555555555555555555555555555555555555	CBEFF SIGNATURE BLOCK (CSB)
SB		55	
SS			

Appendix H Sample data for "Card Holder Facial Image" Container See SP800-73-1 appendix A, and NIST SP800-76 section 5 for further description.

		Sample Data (in Hexadecimal)	Description
	Patron PIV Format	03	Patron Header Version
		OD	SBH Security Options 0x0D=signed not encrypted
		000027C4	BDB length 27c4=10180=10134+14+20+12 010A=266=26 + 1*(4 + 39*6 + 2)
		01E5	SB length
2		001B	format owner
		0501	format type
HEADER		14060714152E115A	creation date
	۸۱۰	14060714152E115A	valid date start
CBEFF	on l	14070714152E115A	valid date end
CE	Patr	000002	bio type
		40	bio data type
		00	bio quality
		555320444F442052415049445 30000000000	creator (US DOD RAPIDS)
		D22010DA010C2D00843C0D83 60DA010842108430822	FASC-N
		0000000	reserved
		46414300	Format Id 'FAC' start of Facial Header
	Facial Header	30313000	Version '010'
		000027C4	record length
		0001	Number Faces
	Facial Information	000027B6	length start of Facial Information Block
		0000	Number of Points
		00000000000	gender, eye color, hair color, mask
		0001	expression
		00000000000	Pose (3 bytes), Pose of uncertainty (3 bytes)
	Image Information	01	facial image type start of Image Information
		01	image data type
		01A40230	width and height (1A4=420, 230=560)
		01	color space

		02	source type
		0000	device type
		0000	quality unspecified
	Image Data	FF4FF51002F000000001A400 00023000000000000000000 001A4000002300000000000	Face Data
CSB		55555555555555555555555555555555555555	CBEFF SIGNATURE BLOCK (CSB)

Appendix I Quick Reference Guides

For implementers; there are additional quick reference guides published by DMDC Open Specifications Framework (DOSF) group. These quick guides are on the standards published by the National Institute of Standards and Technology (NIST). These are posted at the GSC IAB website (http://www.smart.gov/iab/).