**NOTIFICATION OF POST-EMPLOYMENT NEGOTIATION OR**

**AGREEMENT AND RECUSAL STATEMENT**

Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for or agreement of future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. Employees who file this notification statement also must file with their agency ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, or obtain a written waiver or an authorization as discussed in 5 C.F.R. § 2635.605, or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

**NOTIFICATION OF POST-EMPLOYMENT NEGOTIATION OR AGREEMENT**

|  |  |
| --- | --- |
| **Name of Employee** |  |
| **Agency/Office** |  |
| **Date Negotiation or**  **Agreement Commenced** |  |
| **Name(s) of Non-Federal**  **Entity or Entities**  Disclose each non-federal entity with which you are negotiating for or have an agreement of future employment or compensation. |  |

**RECUSAL STATEMENT**

For as long as I am negotiating for or have an agreement of employment or compensation with any entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of that entity, unless I first obtain a written waiver or an authorization consistent with 5 C.F.R. § 2635.605, or qualify for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

|  |  |
| --- | --- |
| **Employee Signature** | **Date Submitted** |
|  |  |
| **Agency Ethics Official Signature** | **Date Received** |
|  |  |