# NATIONAL COMMUNICATIONS SYSTEM Washington, D.C.

## NCS DIRECTIVE 1-1

# ORGANIZATION, MEMBERSHIP, AND ADMINISTRATION

# National Communications System (NCS) Issuance System

- 1. <u>Purpose</u>. This directive establishes the NCS Issuance System, describes the documents comprising the NCS Issuance System, and assigns responsibilities and delegates authority for implementing and managing that System.
- 2. <u>Applicability</u>. This directive is binding upon the Executive Agent, NCS; Manager, NCS; NCS Committee of Principals (COP) and member organizations; and other affected Executive entities.
- 3. <u>Authority</u>. Pursuant to the Constitution of the United States and other laws cited in Executive Order (E.O.) No. 12472, "Assignment of the National Security and Emergency Preparedness Telecommunications Functions," April 3, 1984 (as amended by E.O. 13407 of June 26, 2006); 49 Federal Register 13471 (1984—see Appendix A to this directive), the President has established the NCS, which is subject to rules issued pursuant to the NCS Issuance System. This directive is issued under the authority of E.O. 12472 (as amended by E.O. 13407 of June 26, 2006), as well as 47 Code of Federal Regulations Part 216, National Communications System Issuance System.
- 4. Reference. E.O. 12472 (as amended by E.O. 13407 of June 26, 2006).
- 5. <u>Cancellation/Supersession</u>. NCS Directive 1-1, November 30, 1987, is cancelled and replaced/superseded by this issuance.

### 6. Definitions.

- a. Binding. Imposing one or more obligations, responsibilities, or duties upon affected parties, subject to any overriding Federal statutes, executive orders, or other Federal law.
- b. <u>Issue</u>. To put into effect, publish, and distribute an NCS issuance after final approval by proper authority.
- c. NCS Issuances. Documents [i.e., NCS directives, circulars, manuals, handbooks, and notices; and Office of the Manager, NCS (OMNCS) office orders], that establish, implement, guide, describe, or explain organizational responsibilities, authorities, policies, and procedures. Appendix B provides abbreviated descriptions of the types of issuances.

- d. NCS Directive. A type of issuance used to establish and implement organizational responsibilities, authorities, policies, and procedures of a continuing nature. Directives are issued by the Director, Office of Science and Technology Policy (OSTP) and/or Director, Office of Management and Budget (OMB), after consideration of the proposed text by the NCS COP, Executive Agent, NCS, Assistant to the President for National Security Affairs, and the Assistant to the President for Homeland Security. Directives are binding upon the Executive Agent, NCS; Manager, NCS; NCS COP and member organizations; and other affected Executive entities. Directives remain in effect until superseded or cancelled.
- e. NCS Circular. A type of issuance used for dissemination of subject matter either pending incorporation into an NCS directive or requiring one-time action. Circulars are issued by the Director, OSTP and/or Director, OMB, after consideration of the proposed text by the NCS COP, Executive Agent, NCS, Assistant to the President for National Security Affairs, and the Assistant to the President for Homeland Security. Circulars are binding upon the Executive Agent, NCS; Manager, NCS; NCS COP and member organizations; and other affected Executive entities. Circulars will expire after (1) incorporation into a directive, (2) one year from the date of issuance, or (3) a specified time period, whichever occurs first.
- f. NCS Manual. A type of issuance used to provide detailed description, explanation, or procedural or technical guidance concerning matters addressed in NCS directives or circulars. Manuals are issued by the Manager, NCS, subject to the provisions of paragraphs 9d and e of this directive. Manuals are binding upon the Executive Agent, NCS; Manager, NCS; NCS COP and member organizations; and other affected Executive entities. Manuals remain in effect until superseded or cancelled.
- g. NCS Handbook. A type of issuance used to provide detailed description, explanation, or procedural or technical guidance concerning matters addressed in NCS directives, circulars, or manuals. Handbooks are not binding upon the Executive Agent, NCS; Manager, NCS; NCS COP or member organizations; or other affected Executive entities. Handbooks remain in effect until superseded or cancelled.
- h. NCS Notice. A type of issuance used for immediate dissemination of subject matter, usually informational, either pending incorporation into an NCS handbook or of transitional interest. Notices are issued by the Manager, NCS, or authorized designees, normally without consideration by the NCS COP or Executive Agent. Notices are not binding upon the Executive Agent, NCS; Manager, NCS; NCS COP or member organizations; or other affected executive entities. Notices will expire after (1) incorporation into a handbook, (2) one year from the date of dissemination, or (3) a specified time period, whichever occurs first.
- i. <u>OMNCS Office Order</u>. A type of issuance used to implement and provide procedural guidance supplementary to NCS and other directives, manuals, or authority and outline managerial requirements. Office orders are limited to and binding upon the internal operation,

administration, and personnel of the OMNCS. They are issued by the Manager, NCS, who may delegate further this authority, and they remain in effect until superseded or cancelled.

7. Policy. The NCS Issuance System governs the issuance of rules and guidance concerning the internal organization, policies, procedures, practices, management, and/or personnel of the NCS. Such rules and guidance will be issued in the form of NCS issuances or changes thereto. Proposed changes to an NCS issuance will be processed in the same manner as the issuance to which they pertain.

# 8. Responsibilities.

- a. NCS member organizations:
- (1) May propose subjects for and develop new issuances, and propose changes in existing issuances.
- (2) May review and provide comments regarding proposed NCS directives, circulars, and manuals, as desired or authorized by paragraph 9e below.
  - (3) May consider and comment upon NCS handbooks and notices.
- b. The NCS COP and Executive Agent:
- (1) May propose subjects for and develop new issuances, and propose changes in existing issuances.
- (2) Will review and provide comments as needed to the Executive Office of the President regarding proposed NCS directives and circulars.
- (3) Will consider and approve, and may comment upon, NCS manuals, as specified in paragraph 9 below.
  - (4) May consider and comment upon NCS handbooks and notices.
- c. The Manager, NCS:
  - (1) Will maintain and administer the NCS Issuance System.
- (2) May propose subjects for and develop new issuances, and propose changes in existing issuances.
- (3) Will consider, issue, and comment upon, as needed, NCS manuals (as specified in paragraph 9 below), handbooks, notices, and OMNCS office orders.

(4) Will forward NCS issuances and any comments thereon to the NCS COP; Executive Agent, NCS; and/or Executive Office of the President, as required.

# 9. Delegations of Authority.

- a. The NCS COP and Executive Agent are hereby delegated the authority to approve NCS manuals, subject to the conditions specified below in paragraphs 9d and e.
- b. The Manager, NCS, is hereby delegated the authority to issue NCS manuals, handbooks, and notices.
- c. The Manager, NCS, is hereby delegated the authority to approve and issue OMNCS office orders. The Manager may further delegate this authority.
- d. NCS manuals will be issued 30 calendar days following notification to the NCS COP of approval by the COP and Executive Agent, but only (1) if authorized by an NCS directive or circular, and (2) subject to the conditions specified in paragraph 9e below.
- e. Upon either approval or disapproval of an NCS manual by the COP and/or Executive Agent, the NCS Executive Agent, Manager, COP, and member organizations may, within 30 calendar days after notification to the COP of such action, submit a written request for review of the manual to the Assistant to the President for National Security Affairs, the Assistant to the President for Homeland Security, the Director, OSTP; and the Director, OMB. Any such request will include reasons. Copies of the request shall be provided concurrently to the NCS COP, Executive Agent, and Manager, as necessary. For a period of 30 calendar days thereafter, any NCS entity may submit comments to the Assistant to the President for National Security Affairs, the Assistant to the President for Homeland Security, the Director, OSTP, and the Director, OMB. Any manual under such review may not be issued until resolution of the matter in question by (1) direction from the Director, OSTP, and/or Director, OMB, after consideration by the Assistant to the President for National Security Affairs and the Assistant to the President for Homeland Security; or (2) withdrawal of each request for review.
- 10. Authorizing Provision. NCS manuals implementing this directive are authorized.
- 11. Effective Date. This directive is effective immediately.
- 12. Expiration. This directive will remain in effect until superseded or cancelled.

2 Appendices

A. Executive Order No. 12472

Assistant to the President For National Security Affairs Date:

B. Types of NCS Issuances

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-Office of Primary Responsibility:

NCS-Customer Service Division

-Distribution:

Assistant to the President For Homeland Security Date:

Director Office of Science and Technology Policy Date:

Director Office of Management and Budget Date:

Summary of Changes: NCSD 1-1 has been updated to include both the Department of Homeland Security established by The Homeland Security Act of 2002, Public Law 107-296, November 25, 2002, and other entities tasked by the President with NCS responsibilities (such as the Assistant to the President for Homeland Security and the Homeland Security Council); see amendments to E.O. 12472 in E.O. 13286 of February 28, 2003 Amendment of Executive Orders, and Other Actions, in Connection With the Transfer of Certain Functions to the Secretary of Homeland Security, as well as E.O. 13228 of October 8, 2001, Establishing the Office of Homeland Security and the Homeland Security Council, which was itself amended by E.O. 13284 of January 23, 2003, Amendment of Executive Orders, and Other Actions, in Connection With the Establishment of the Department of Homeland Security, and E.O. 13407 of June 26, 2006, Public Alert Warning System.

# APPENDIX A: EXECUTIVE ORDER 12472—ASSIGNMENT OF NATIONAL SECURITY AND EMERGENCY PREPAREDNESS TELECOMMUNICATIONS FUNCTIONS

(As amended by Executive Order 13407 of June 26, 2006)

By the authority vested in me as President by the Constitution and laws of the United States of America, including the Communications Act of 1934, as amended (47 U.S.C. 151), the National Security Act of 1947, as amended, the Defense Production Act of 1950, as amended (50 U.S.C. App. 2061), the Federal Civil Defense Act of 1950, as amended (50 U.S.C. App. 2251), the Disaster Relief Act of 1974 (42 U.S.C. 5121), Section 5 of Reorganization Plan No. 1 of 1977 (3 C.F.R. 197, 1978 Comp.), and Section 203 of Reorganization Plan No. 3 of 1978 (3 C.F.R. 389, 1978 Comp.), and in order to provide for the consolidation of assignment and responsibility for improved execution of national security and emergency preparedness telecommunications functions, it is hereby ordered as follows:

# Section 1. The National Communications System.

- (a) There is hereby established the National Communications System (NCS). The NCS shall consist of the telecommunications assets of the entities represented on the NCS Committee of Principals and an administrative structure consisting of the Executive Agent, the NCS Committee of Principals and the Manager. The NCS Committee of Principals shall consist of representatives from those Federal departments, agencies or entities, designated by the President, which lease or own telecommunications facilities or services of significance to national security or emergency preparedness, and, to the extent permitted by law, other Executive entities which bear policy, regulatory or enforcement responsibilities of importance to national security or emergency preparedness telecommunications capabilities.
- (b) The mission of the NCS shall be to assist the President, the National Security Council, the Homeland Security Council, the Director of the Office of Science and Technology Policy and the Director of the Office of Management and Budget in:
  - 1. The exercise of the telecommunications functions and responsibilities set forth in Section 2 of this Order; and
  - The coordination of the planning for and provision of national security and emergency preparedness communications for the Federal Government under all circumstances, including crisis or emergency, attack, recovery and reconstitution.
- (c) The NCS shall seek to ensure that a national telecommunications infrastructure is developed which:
  - Is responsive to the national security and emergency preparedness needs of the President and the Federal departments, agencies and other entities, including telecommunications in support of national security leadership and continuity of Government;
  - 2. Is capable of satisfying priority telecommunications requirements under all circumstances through use of commercial, Government, and privately owned telecommunications resources;
  - 3. Incorporates the necessary combination of hardness, redundancy, mobility,

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- connectivity, interoperability, restorability and security to obtain, to the maximum extent practicable, the survivability of national security and emergency preparedness telecommunications in all circumstances, including conditions of crisis or emergency; and
- 4. Is consistent, to the maximum extent practicable, with other national telecommunications policies.
- (d) To assist in accomplishing its mission, the NCS shall:
  - 1. Serve as a focal point for joint industry-Government national security and emergency preparedness telecommunications planning; and
  - Establish a joint industry-Government National Coordinating Center which is capable of assisting in the initiation, coordination, restoration and reconstitution of national security or emergency preparedness telecommunications services or facilities under all conditions of crisis or emergency.
- (e) The Secretary of Homeland Security is designated as the Executive Agent for the NCS. The Executive Agent shall:
  - 1. Designate the Manager of the NCS;
  - Ensure that the NCS conducts unified planning and operations, in order to
    coordinate the development and maintenance of an effective and responsive
    capability for meeting the domestic and international national security and
    emergency preparedness telecommunications needs of the Federal
    Government:
  - 3. Ensure that the activities of the NCS are conducted in conjunction with the emergency management activities of the Department of Homeland Security;
  - 4. Recommend, in consultation with the NCS Committee of Principals, to the National Security Council, the Homeland Security Council, the Director of the Office of Science and Technology Policy, or the Director of the Office of Management and Budget, as appropriate:
    - a. The assignment of implementation or other responsibilities to NCS member entities;
    - b. New initiatives to assist in the exercise of the functions specified in Section 2; and
    - c. Changes in the composition or structure of the NCS;
  - 5. Oversee the activities of and provide personnel and administrative support to the Manager of the NCS;
  - Provide staff support and technical assistance to the National Security
    Telecommunications Advisory Committee established by Executive Order No.
    12382, as amended; and
  - 7. Perform such other duties as are from time to time assigned by the President or his authorized designee.
- (f) The NCS Committee of Principals shall:
  - Serve as the forum in which each member of the Committee may review, evaluate, and present views, information and recommendations concerning ongoing or prospective national security or emergency

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- preparedness telecommunications programs or activities of the NCS and the entities represented on the Committee;
- Serve as the forum in which each member of the Committee shall report on and explain ongoing or prospective telecommunications plans and programs developed or designed to achieve national security or emergency preparedness telecommunications objectives;
- Provide comments or recommendations, as appropriate, to the National Security Council, the Homeland Security Council, the Director of the Office of Science and Technology Policy, the Director of the Office of Management and Budget, the Executive Agent, or the Manager of the NCS, regarding ongoing or prospective activities of the NCS; and
- 4. Perform such other duties as are from time to time assigned by the President or his authorized designee.

# (g) The Manager of the NCS shall:

- Develop for consideration by the NCS Committee of Principals and the Executive Agent:
  - A recommended evolutionary telecommunications architecture designed to meet current and future Federal Government national security and emergency preparedness telecommunications requirements;
  - Plans and procedures for the management, allocation and use, including the establishment of priorities or preferences, of Federally owned or leased telecommunications assets under all conditions of crisis or emergency;
  - Plans, procedures and standards for minimizing or removing technical impediments to the interoperability of Governmentowned and/or commercially-provided telecommunications systems;
  - d. Test and exercise programs and procedures for the evaluation of the capability of the Nation's telecommunications resources to meet national security or emergency preparedness telecommunications requirements; and
  - e. Alternative mechanisms for funding, through the budget review process, national security or emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities. Those mechanisms recommended by the NCS Committee of Principals and the Executive Agent shall be submitted to the Director of the Office of Management and Budget.
- Implement and administer any approved plans or programs as assigned, including any system of priorities and preferences for the provision of communications service, in consultation with the NCS Committee of Principals and the Federal Communications Commission, to the extent practicable or otherwise required by law or regulation;

- 3. Chair the NCS Committee of Principals and provide staff support and technical assistance thereto;
- 4. Serve as a focal point for joint industry-Government planning, including the dissemination of technical information, concerning the national security or emergency preparedness telecommunications requirements of the Federal Government;
- 5. Conduct technical studies or analyses, and examine research and development programs, for the purpose of identifying, for consideration by the NCS Committee of Principals and the Executive Agent, improved approaches which may assist Federal entities in fulfilling national security or emergency preparedness telecommunications objectives;
- 6. Pursuant to the Federal Standardization Program of the General Services Administration, and in consultation with other appropriate entities of the Federal Government including the NCS Committee of Principals, manage the Federal Telecommunications Standards Program, ensuring wherever feasible that existing or evolving industry, national, and international standards are used as the basis for Federal telecommunications standards; and
- 7. Provide such reports and perform such other duties as are from time to time assigned by the President or his authorized designee, the Executive Agent, or the NCS Committee of Principals. Any such assignments of responsibility to, or reports made by, the Manager shall be transmitted through the Executive Agent.

# Section 2. Executive Office Responsibilities.

- (a) Wartime Emergency Functions.
  - The National Security Council shall provide policy direction for the exercise
    of the war power functions of the President under Section 706 of the
    Communications Act of 1934, as amended (47 U.S.C. 606), should the
    President issue implementing instructions in accordance with the National
    Emergencies Act (50 U.S.C. 1601).
  - 2. The Director of the Office of Science and Technology Policy shall direct the exercise of the war power functions of the President under Section 706 (a), (c) (e), of the Communications Act of 1934, as amended (47 U.S.C. 606), should the President issue implementing instructions in accordance with the National Emergencies Act (50 U.S.C. 1601).
- (b) Non-Wartime Emergency Functions.
  - 1. The National Security Council, in consultation with the Homeland Security Council, shall:
    - a. Advise and assist the President in coordinating the development of policy, plans, programs and standards within the Federal Government for the identification, allocation, and use of the Nation's telecommunications resources by the Federal Government, and by State and local Governments, private industry and volunteer

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-Office of Primary Responsibility: NCS-Customer Service Division

-Distribution: NCS

- organizations upon request, to the extent practicable and otherwise consistent with law, during those crises or emergencies in which the exercise of the President's war power functions is not required or permitted by law; and
- b. Provide policy direction for the exercise of the President's nonwartime emergency telecommunications functions, should the President so instruct.
- 2. The Director of the Office of Science and Technology Policy shall provide information, advice, guidance and assistance, as appropriate, to the President and to those Federal departments and agencies with responsibilities for the provision, management, or allocation of telecommunications resources, during those crises or emergencies in which the exercise of the President's war power functions is not required or permitted by law;
- 3. The Director of the Office of Science and Technology Policy shall establish a Joint Telecommunications Resources Board (JTRB) to assist him in the exercise of the functions specified in this subsection. The Director of the Office of Science and Technology Policy shall serve as chairman of the JTRB: select those Federal departments, agencies, or entities which shall be members of the JTRB; and specify the functions it shall perform.
- (c) Planning and Oversight Responsibilities.
  - 1. The National Security Council shall advise and assist the President in:
    - a. Coordinating the development of policy, plans programs and standards for the mobilization and use of the Nation's commercial, Government, and privately owned telecommunications resources. in order to meet national security or emergency preparedness requirements;
    - b. Providing policy oversight and direction of the activities of the NCS; and
    - c. Providing policy oversight and guidance for the execution of the responsibilities assigned to the Federal departments and agencies by this Order.
  - 2. The Director of the Office of Science and Technology Policy shall make recommendations to the President with respect to the test, exercise and evaluation of the capability of existing and planned communications systems, networks or facilities to meet national security or emergency preparedness requirements and report the results of any such tests or evaluations and any recommended remedial actions to the President and to the National Security Council;
  - 3. The Director of the Office of Science and Technology Policy or his designee shall advise and assist the President in the administration of a system of radio spectrum priorities for those spectrum dependent telecommunications resources of the Federal Government which support national security or emergency preparedness functions. The Director also shall certify or approve priorities for radio spectrum use by the Federal Government, including the

- resolution of any conflicts in or among priorities, under all conditions of crisis or emergency; and
- 4. The National Security Council, the Homeland Security Council, the Director of the Office of Science and Technology Policy and the Director of the Office of Management and Budget shall, in consultation with the Executive Agent for the NCS and the NCS Committee of Principals, determine what constitutes national security and emergency preparedness telecommunications requirements.
- (d) Consultation with Federal Departments and Agencies. In performing the functions assigned under this Order, the National Security Council, the Homeland Security Council, and the Director of the Office of Science and Technology Policy, in consultation with each other, shall:
  - 1. Consult, as appropriate, with the Director of the Office of Management and Budget; the Secretary of Homeland Security with respect to the emergency management responsibilities assigned pursuant to Executive Order No. 12148, as amended; the Secretary of Commerce, with respect to responsibilities assigned pursuant to Executive Order No. 12046; the Secretary of Defense, with respect to communications security responsibilities assigned pursuant to Executive Order No. 12333; and the Chairman of the Federal Communications Commission or his authorized designee; and
  - 2. Establish arrangements for consultation among all interested Federal departments, agencies or entities to ensure that the national security and emergency preparedness communications needs of all Federal Government entities are identified; that mechanisms to address such needs are incorporated into pertinent plans and procedures; and that such needs are met in a manner consistent, to the maximum extent practicable, with other national telecommunications policies.
- (e) Budgetary Guidelines. The Director of the Office of Management and Budget, in consultation with the National Security Council, the Homeland Security Council, and the NCS, will prescribe general guidelines and procedures for reviewing the financing of the NCS within the budgetary process and for preparation of budget estimates by participating agencies. These guidelines and procedures may provide for mechanisms for funding, through the budget review process, national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities.

Section 3. Assignment of Responsibilities To Other Departments and Agencies. In order to support and enhance the capability to satisfy the national security and emergency preparedness telecommunications needs of the Federal Government, State and local Governments, private industry and volunteer organizations, under all circumstances including those of crisis or emergency, the Federal departments and agencies shall perform the following functions:

(a) Department of Commerce. The Secretary of Commerce shall, for all conditions of crisis or emergency:

- 1. Develop plans and procedures concerning radio spectrum assignments, priorities and allocations for use by Federal departments, agencies and entities;
- 2. Develop, maintain and publish policy, plans, and procedures for the control and allocation of frequency assignments, including the authority to amend, modify or revoke such assignments, in those parts of the electromagnetic spectrum assigned to the Federal Government.
- (b) Department of Homeland Security. The Secretary of Homeland Security shall:
  - 1. Plan for and provide, operate and maintain telecommunications services and facilities, as part of its National Emergency Management System, adequate to support its assigned emergency management responsibilities;
  - 2. Advise and assist State and local Governments and volunteer organizations, upon request and to the extent consistent with law, in developing plans and procedures for identifying and satisfying their national security or emergency preparedness telecommunications requirements:
  - 3. Ensure, to the maximum extent practicable, that national security and emergency preparedness telecommunications planning by State and local Governments and volunteer organizations is mutually supportive and consistent with the planning of the Federal Government; and
  - 4. Develop, upon request and to the extent consistent with law and in consonance with regulations promulgated by and agreements with the Federal Communications Commission, plans and capabilities for, and provide policy and management oversight of, the Emergency Alert System, and advise and assist private radio licensees of the Commission in developing emergency communications plans, procedures and capabilities.
- (c) Department of State. The Secretary of State, in accordance with assigned responsibilities within the Diplomatic Telecommunications System, shall plan for and provide, operate and maintain rapid, reliable and secure telecommunications services to those Federal entities represented at United States diplomatic missions and consular offices overseas. This responsibility shall include the provisions and operation of domestic telecommunications in support of assigned national security or emergency preparedness responsibilities.
- (d) Department of Defense. In addition to the other responsibilities assigned by this Order, the Secretary of Defense shall:
  - 1. Plan for and provide, operate and maintain telecommunications services and facilities adequate to support the National Command Authorities and to execute the responsibilities assigned by Executive Order No. 12333; and
  - 2. Ensure that the Director of the National Security Agency provides the technical support necessary to develop and maintain plans adequate to provide for the security and protection of national security and emergency preparedness telecommunications.
  - 3. Nothing in this order shall be construed to impair or otherwise affect the authority of the Secretary of Defense with respect to the Department of Defense, including the chain of command for the armed forces of the United

States under section 162(b) of title 10, United States Code, and the authority of the Secretary of Defense with respect to the Department of Defense under section 113(b) of that title.

- (e) Department of Justice. The Attorney General shall, as necessary, review for legal sufficiency, including consistency with the antitrust laws, all policies, plans or procedures developed pursuant to responsibilities assigned by this Order.
- (f) Central Intelligence Agency. The Director of Central Intelligence shall plan for and provide, operate, and maintain telecommunications services adequate to support its assigned responsibilities, including the dissemination of intelligence within the Federal Government.
- (g) General Services Administration. Except as otherwise assigned by this Order, the Administrator of General Services, consistent with policy guidance provided by the Director of the Office of Management and Budget, shall ensure that Federally owned or managed domestic communications facilities and services meet the national security and emergency preparedness requirements of the Federal civilian departments, agencies and entities.
- (h) Federal Communications Commission. The Federal Communications Commission shall, consistent with Section 4(c) of this Order:
  - 1. Review the policies, plans and procedures of all entities licensed or regulated by the Commission that are developed to provide national security or emergency preparedness communications services, in order to ensure that such policies, plans and procedures are consistent with the public interest, convenience and necessity;
  - 2. Perform such functions as required by law with respect to all entities licensed or regulated by the Commission, including (but not limited to) the extension, discontinuance or reduction of common carrier facilities or services; the control of common carrier rates, charges, practices and classifications; the construction, authorization, activation, deactivation or closing of radio stations, services and facilities; the assignment of radio frequencies to Commission licensees; the investigation of violations of pertinent law and regulation; and the initiation of appropriate enforcement actions;
  - 3. Develop policy, plans and procedures adequate to execute the responsibilities assigned in this Order under all conditions or crisis or emergency; and
  - 4. Consult as appropriate with the Executive Agent for the NCS and the NCS Committee of Principals to ensure continued coordination of their respective national security and emergency preparedness activities.
- (i) All Federal departments and agencies, to the extent consistent with law (including those authorities and responsibilities set forth in Section 4(c) of this Order), shall:
  - 1. Determine their national security and emergency preparedness telecommunications requirements, and provide information regarding such requirements to the Manager of the NCS:
  - 2. Prepare policies, plans and procedures concerning telecommunications facilities, services or equipment under their management or operational

- control to maximize their capability of responding to the national security or emergency preparedness needs of the Federal Government:
- 3. Provide, after consultation with the Director of the Office of Management and Budget, resources to support their respective requirements for national security and emergency preparedness telecommunications; and provide personnel and staff support to the Manager of the NCS as required by the President;
- 4. Make information available to, and consult with, the Manager of the NCS regarding agency telecommunications activities in support of national security or emergency preparedness;
- 5. Consult, consistent with the provisions of Executive Order No. 12046, as amended, and in conjunction with the Manager of the NCS, with the Federal Communications Commission regarding execution of responsibilities assigned by this Order;
- 6. Submit reports annually, or as otherwise requested, to the Manager of the NCS, regarding agency national security or emergency preparedness telecommunications activities; and
- 7. Cooperate with and assist the Executive Agent for the NCS, the NCS Committee of Principals, the Manager of the NCS, and other departments and agencies in the execution of the functions set forth in this Order, furnishing them such information, support and assistance as may be required.
- (j) Each Federal department or agency shall execute the responsibilities assigned by this Order in conjunction with the emergency management activities of the Department of Homeland Security, and in regular consultation with the Executive Agent for the NCS and the NCS Committee of Principals to ensure continued coordination of NCS and individual agency telecommunications activities.

### Section 4. General Provisions.

- (a) All Executive departments and agencies may issue such rules and regulations as may be necessary to carry out the functions assigned under this Order.
- (b) In order to reflect the assignments of responsibility provided by this Order,
  - 1. Sections 2 414, 4 102, 4 103, 4 202, 4 302, 5 3, and 6 101 of Executive Order No. 12046, as amended, are revoked;
  - 2. The Presidential Memorandum of August 21, 1963, as amended, entitled "Establishment of the National Communications System," is hereby superseded;
  - 3. Section 2 411 of Executive Order No. 12046, as amended, is further amended by deleting the period and inserting, "except as otherwise provided by Executive Order No." and inserting the number assigned to this Order.
- (c) Nothing in this Order shall be deemed to affect the authorities or responsibilities of the Director of the Office of Management and Budget, or any office or official thereof; or reassign any function assigned any agency under the Federal Property and Administrative Services Act of 1949, as amended; or under any other law; or any function vested by law

in the Federal Communications Commission.

Section 5. This Order shall be effective upon publication in the Federal Register.

# APPENDIX B: TYPES OF NCS ISSUANCES\*

Binding or Nonbinding	Binding	Binding	Binding	Nonbinding	Nonbinding	Binding on OMNCS internal operation, admin, and personnel
Issued by	OSTP, OMB	OSTP, OMB	MGR	MGR	MGR	MGR
Text Approved/ Considered by	COP, EA, NSC, HSC	COP, EA, NSC, HSC	COP, EA, EOP **			
<u>Use</u>	To establish and implement organizational responsibilities, authorities, policies and procedures of a continuing nature.	To promulgate subject matter either pending incorporation into a NCS directive or requiring one-time action.	To provide detailed description, explanation or procedural or technical guidance concerning matters addressed in directives or circulars.	To provide detailed description, explanation or procedural or technical guidance concerning matters addressed in directives circulars, or manuals.	To promulgate immediate subject matter, usually informational, and either pending incorporation into an NCS manual or of transitional interest.	To implement and provide procedural guidance supplementary to NCS and other directives, manuals, or authority, and outline managerial requirements.
Duration	Remains in effect until superseded or cancelled.	Expires after:  a. Incorporation into a directive,  b. One year from date of  promulgation, or  c. A specified time period.	Remains in effect until superseded or cancelled.	Remains in effect until superseded or cancelled.	Expires after: a. Incorporation into a manual, b. One year from date of promulgation, or c. A specified time period.	Remains in effect until superseded or cancelled.
Type	DIRECTIVE	CIRCULAR	MANUAL	HANDBOOK	NOTICE	OMNCS
	-	5	3.	4	'n	.6

Legend: COP (NCS Committee of Principals); EA (Executive Agent, NCS); EOP (Executive Office of the President); HSC (Homeland Security Council); MGR (Manager, NCS); NCS); NCS (National Security Council).

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-Office of Primary Responsibility: NCS-Customer Service Division -Distribution: NCS

The abbreviated descriptions shown in this appendix are subject to the more detailed provisions of NCS Directive 1-1.

<sup>\*\*</sup> EOP review will not occur unless requested pursuant to paragraph 9e of NCS Directive 1-1.