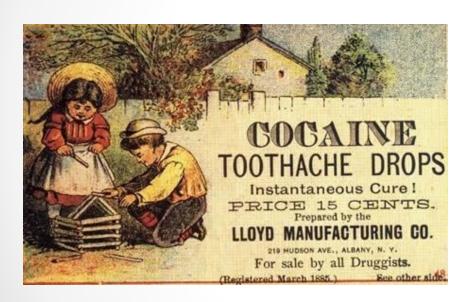
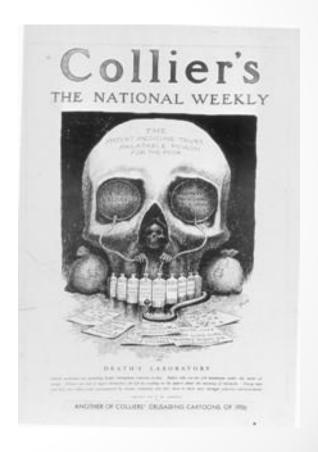
### History, Scandals and Tragedies: Beecher, Tuskegee, Willowbrook and the Rest

Susan E. Lederer, Ph.D.
University of Wisconsin School of Medicine and Public Health
September 26,2012

# U.S. legislation as response to tragedy

#### The Patent Medicine Evil





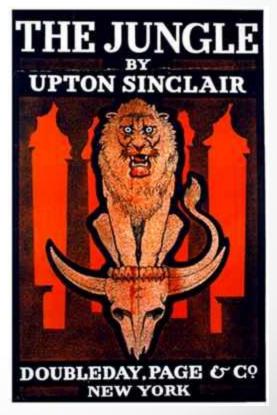
### Safety of the food supply





### Food and Drug Act 1906



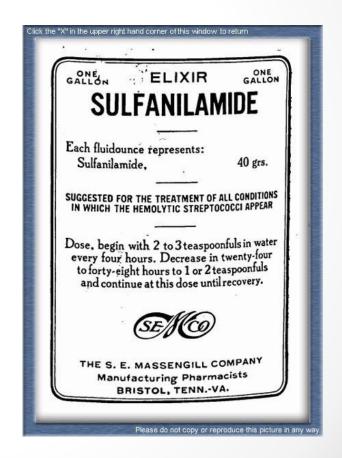


### Diet dangers c. 1930s

## Elixir of Sulfanilamide tragedy

#### 107 deaths





### Food, Drug and Cosmetic Act of 1938

- Drugs or cosmetics must be tested for toxicity before marketing.
- Adequate directions for use needed to be on package
- Some drugs are designated "by prescription only"

### Cranberry crisis of 1959

 Concerns about safety of pesticides used on Cranberries

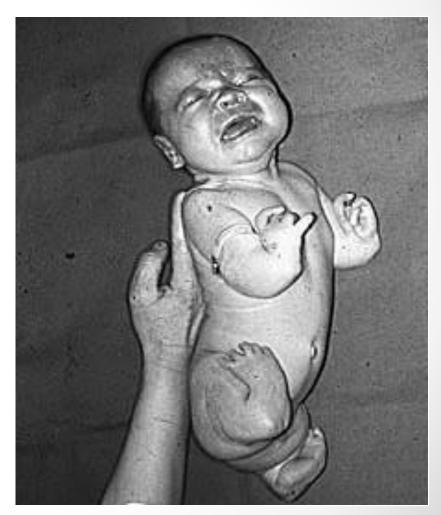


#### Thalidomide

1960-1961

83 children were born with unusual birth defects, not linked to thalidomide use.

a German scientist makes connections between thalidomide use and thousands of babies born with birth defects.



### Kefauver-Harris Amendments to FDA

Frances Kelsey, FDA



FDA can demand scientific data to determine the drug works

# Congress passes Animal Welfare Act, 1966

#### Life magazine 1966



### Why do we have federal regulations for human experimentation?

# In response to scandals and tragedies Congress passes the National Research Act

1974

Both the history of human experimentation and history of controversy over human experimentation much older

### United States Congress, 1900

considers Senate bill 3424:

a law that would require investigators to disclose in advance the purpose and procedures of any non-therapeutic experiment involving human beings.

#### Senate bill 3424

```
calls for explicit ban on experiments
using those persons deemed unable
to consent,
including
infants,
children under the age of twenty-
one,
```

and pregnant women.

### The Yellow Fever Board in Cuba, 1900



### Reed and his colleagues introduce written permission forms

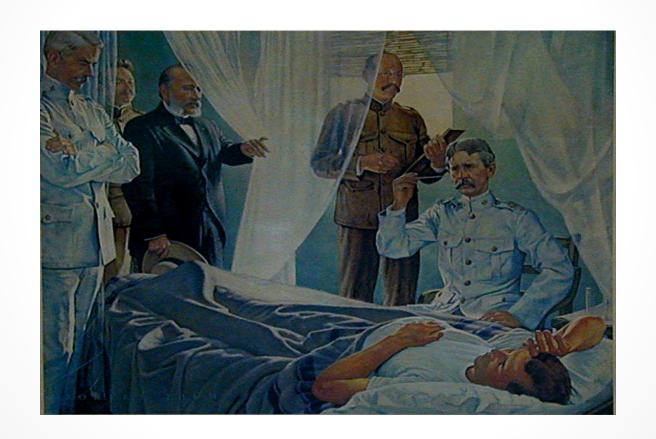
11 oue suscrice, Antonio Bunino mayor de veinte y cinco ence de euro, neivres de Cerceda provincie de Corenia 11/10 de Manuel Polinino y of Befa bastro nece conster por le cresente que, sustose v excertmentes one con el copeto de determinar les vi'es de crotesacion de is fletre specials, hase en su terrone is Conjejon our cole ese effort to MORCIEGO EL RECIPIENTO DE 17 CUETRA DE 108 ESTEDOS UNIDOS: QUE DE SU CONSTITA-ITHERIC CARA COR SE LIEVER & CADO DICHER EXPERIMENTS. FOR LAS PLANTS & COT 188 CONCIONOTES OF FIRST SE EXITESET. #1 infresciiic contrenue tellecaraente tien que en el ciec de deseri-Clieres en él le llette établise, de cell-der su viue desté cherte corre DEPC SIEDOCIE CONLIETEMENTE INCOMPLE EVITER EL CONTE-10 OUTERTE PO L'EXPERT-Cle er esta ista, trespesa atroctar de (CSICILIDAD de CONTRACTIA ex-CICILAR). con is securioso de que es de recivir de in Contract vé serencesos, sen CUIDFOCE BEE LICILIOS W LE EFISTERCIE MÉDICA DES ESPETEDE. sueda consienado que ai terminar esos exterimentos, entes de trascula. OCS JESES DE ESTE LECIE. EL IFILESCRITC DE DE LECIFIE LA SPER DE . 400... ero smeriesno y one espe de decisterse en el 12 facto encialdo, el ciadores ecor outente su ceriarencia en este Cantanerio, recipité soemes de capacantided, otra sura de \$100, --ord eserticard, desques de en cuercolda y da care de su lellecledente con activo de esa entermeded, la Condision entre-en-Olone Centices, Coccherice tesce afericator, ) & is tersors one f an iselic vesignaré el infrascrito. at retrescence se concresente e de terra de rei figures de sais le . . mento opiente el cerícoc de los estenimentos y nero-ré todo defecto é los tenericios de este contreto si torrifere este controlist. f care au constancia fitti esta con detiliono car al Casta esta esta esta----EIBERTEL, CETCE DE 109 POPREUCE, DOCT, 11 010 26 . noviembre oe will novechenics. Antono Benigno traformet, com

available in both English and Spanish

### The undersigned understands...

perfectly well that in case of the development of yellow fever in him, that he endangers his life to a certain extent but it being entirely impossible for him to avoid the infection during his stay in this island, he prefers to take the chance of contracting it intentionally in the belief that he will receive from the said Commission the greatest care and the most skillful medical service.

### Subjects receive \$100 in gold, \$200 if death occurs



"A written consent was obtained from each one, so that our moral responsibility was to a certain extent lessened. Of course, only the healthiest specimens were experimented upon . . ."

Aristides Agramonte

#### Medical research in US

continuing social support for American researchers

celebrating the heroes and martyrs of medical research

self-experimentation and volunteers

#### Contaminated Blood Injection Kills Youth In Seattle Preservation Research Project

SEATTLE, March 24 (P)-An 18-year-old college honor student died yesterday of an injection of bacterially contaminated blood given during an experiment connected with war research on blood preservation by the University of Washington.

James Stanley Leedom, a freshman at Seattle University, was one of forty volunteers who participated in the project seeking better ways to preserve whole blood.

He died three days after the injection despite every effort to save his life.

Dr. Robert H. Williams, head of the University of Washington Department of Medicine, said the blood had become contaminated "by some phenomenon" after it had been refrigerated.

"The only way we can explain it," said Dr. Williams, "is that the bacteria was able to grow in some manner while the blood was at freezing temperature and not at body temperature."

ent A. Finch, Associate Professor shock and high fever. of Medicine in charge of hematol- The father, Stanley P. Leedom, ogy at the university, said they said he held no one at fault for would "gladly participate in the his son's death. same experiment tomorrow."

yet been identified, but they be-tragedy to deter in any way from lieved it to be a saprophyte (any the blood donor program or these organism living on dead or decay- experiments." ing organic matter) that does not The boy had planned to major grow at body temperature but in medicine,



Associated Press Wirephoto James Stanley Leedom

Both Dr. Williams and Dr. Clem-thrives when chilled. It produces

"I don't blame anyone for this," They said the bacteria had not he said. "I just don't want this

### Polio Epidemics





FRANKLIN D. ROOMEVELT, FOUNDER

### Polio epidemic c. 1952







#### PARENTAL REQUEST FOR PARTICIPATION OF CHILD IN POLIOMYELITIS VACCINATION FIELD TRIAL

The National Foundation for Infantile Paralysis, Inc., in cooperation with state and local health, medical and educational authorities, is conducting a nation-wide field study of the effectiveness of a vaccine which may be protective against paralysis due to poliomyelitis. The vaccine consists of chemically killed poliomyelitis virus of all three known types. For purposes of this study, several thousand children will be given three injections of this vaccine into the arm over a period of several weeks; at least an equal number of unvaccinated children will be observed so that a comparison can be made between the two groups. The children in each group, those who are vaccinated and those who are not, are equally important to the study. In certain instances it will be necessary to test small samples of blood at intervals during the study to determine the amount of antibodies against poliomyelitis that are present.

I HEREBY REQUEST that my chil	ld,		<u> </u>	be vaccinated
	(first name)	(middle name)	(last name)	,,
if selected, or otherwise be permitted to p	articipate in the proce	dures described abo	ve without cost	to me.
~				
Date	S	signed		
	Dalatianahin ta	OF 114		
	Relationship to	Child	ust be parent or legal gu	ardian)
School		-		
		(Str	eet Address or Rural Lo	cation)
Grade		bjer-rent		
		(City, Town	or Township)	(State)
				NFIP Form FT-1

### By the 1960s no longer faith in medical researchers

Revelation of apparent abuses of human subjects, especially the Tuskegee Syphilis Study

Henry
Beecher's
bombshell

### HENRY K. BEECHER, M.D.



Professor of Anesthesia Research at Harvard Medical School

Convener of the Harvard Ad Hoc Brain Death Committee

### "Ethics and Clinical Research"

New England Journal of Medicine 1966

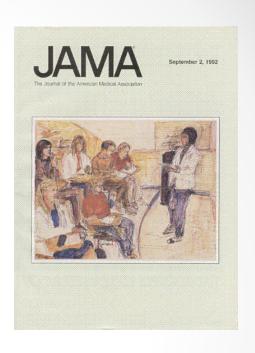
# 22 examples of "questionable" research practices

Not from fringe BUT from mainstream researchers and institutions





Cover picture: Localization of trepomodulin (green) and o-actinin (red) in cardiomycoytes isolated from a nontramagenic control (left) and tropomodulin overspessing transpessis (TOT) mease (right). Courted clfs thow little or no overlap (reflow) between tropomodulin and section labels in control clfs, but the abstral set plow signal in TOT cells inficates aberrant colocalization of frepomodulin with o-actions. See the article by Sessman et al., pp. 51–61.





## Publication of the 22 examples

the rest from

Science, Cancer, J. of Urology, Surgery, Gynecology and Obstetrics, American J. of Medicine, Anesthesiology, and American J. Med. Sciences

### Why HENRY K. BEECHER?



Christian faith?

anesthesia?

experience with human subjects research?

## Nazi Experiments





#### 1946-47, prosecution of 23 Nazi medical personnel



#### United States v. Karl Brandt et al



# Permissible Medical Experiments

The Nuremberg Code



# First principle

The voluntary consent of the human subject is absolutely essential.

Strictly interpreted, the first principle would eliminate experiments on children, prisoners, the dying, the mentally ill

efforts to create a more workable code The Declaration of Helsinki (1964)

## Beecher concerned about new researchers who fail to understand their responsibilities

# Who were the research subjects in Beecher's 22 examples?

"mentally defective" children mentally retarded and delinquent children the very elderly soldiers in the armed forces charity patients terminally ill alcoholics children and newborns patients at the NIH Clinical Center

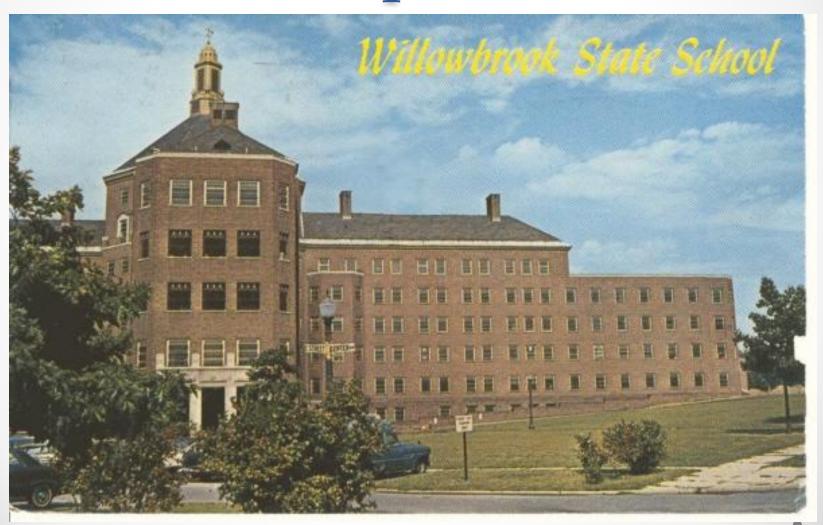
## Example 4

Study of effects of new antibiotic for treating acne using juvenile delinquents

the drug associated with liver problems

teenagers undergo repeated liver biopsies

# Example 16





Dr. Saul Krugman et al begin studies to acquire information about the natural history of hepatitis and work toward vaccine

#### Willowbrook studies

Newly admitted children (whose parents give consent) are given intramuscular injections of hepatitis or "milkshakes" with hepatitis



Krugman continued to defend the studies but issues persisted about informed consent, coercion, and study design (withholding gamma globulin)



SAUL KRUGMAN, M.D.

## Example 17

# Jewish Chronic Disease Hospital case

Funded by USPHS and American Cancer Society

Injections of live cancer cells into elderly patients without consent

"did not wish to stir up any unnecessary anxieties in the patients" who had "phobia and ignorance" about cancer.

# The New York Times

#### Syphilis Victims in U.S. Study Went Untreated for 40 Years

By JEAN HELLER

The Associated Press

WASHINGTON, July 25—For 40 years the United States Public Health Service has conducted a study in which human beings with syphilis, who were induced to serve as guinea pigs, have gone without medical treatment for the disease and a few have died of its late effects, even though an effective therapy was eventually discovered.

The study was conducted to determine from autopsies what the disease does to the human body.

Officials of the health service who initiated the experiment have long since retired. Current officials, who say they have serious doubts about the morality of the study, also say that it is too late to treat the syphilis in any surviving participants.

Doctors in the service say they are now rendering whatever other medical services they can give to the survivors while the study of the disease's effects continues.

Dr. Merlin K. DuVal, Assistant Secretary of Health, Education and Welfare for Health and Scientific Affairs, expressed shock on learning of the study. He said that he was making an immediate investigation.

The experiment, called the Tuskegee Study, began in 1932 with about 600 black men, July 25, 1972



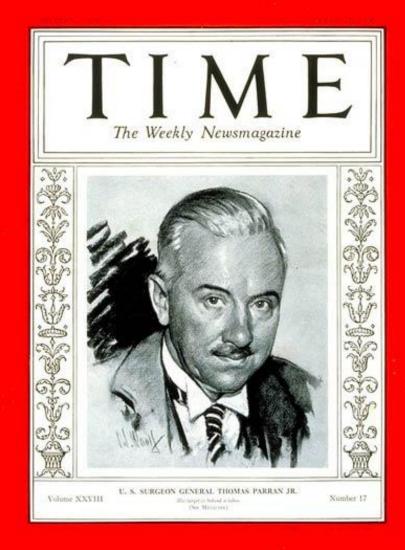
## Study begins in 1932



(Courtesy National Archives)



#### PHS Surgeon General Thomas Parran,



Shadow on the Land

Head of PHS, 1936-1948

#### INCIDENCE OF SYPHILIS AMONG NEGROES













ALBEMARLE COUNTY, VA.





Each symbol represents 3% of all Negroes studied

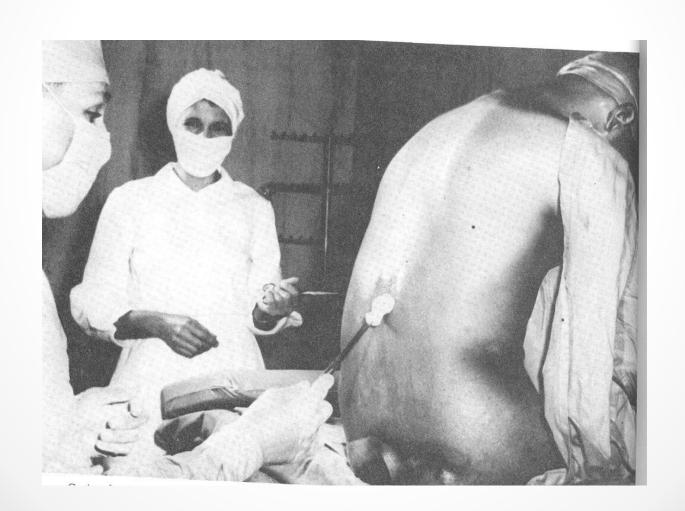
PICTORIAL STATISTICS, INC

# From treatment to "a study in nature"



Dr. Raymond Vonderlehr offers Negro men "last chance for special free treatment" for their "bad blood"

# Lumbar puncture (to obtain spinal fluid for diagnosis)







#### World War II

 PHS asks Tuskegee draft board to exempt the men in the study from the draft

They serve in another war

#### Nurse Eunice Rivers



#### Nurse Rivers

obtains permission for autopsy

easier when a burial stipend of \$50 is provided

only one refusal in 140 requests

Figure 3. An unaltered view. Nurse Eunice Rivers measures a participant in the TSUS. Dr. Stanley Schuman records the data. The photograph was taken in the TVAH in February 1952. (National Archives, CDC, East Point, GA)

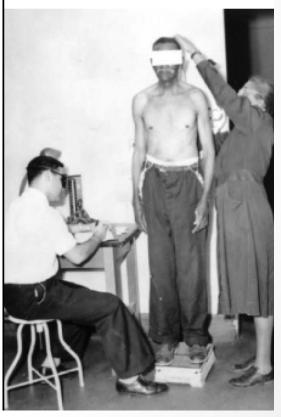


TABLE I. TABULAR LISTING OF DIFFERENCES IN THE UNTREATED SYPHILIS STUDIES IN ALABAMA AND IN NORWAY

	ALABAMA	NORWAY
Study population composition	Rural Negro men	White men and women
Control	Nonsyphilitic controls observed	No controls
Percentage autopsied of those who have died	63.3 per cent	24.3 per cent
Patient motivation for study participation	Volunteers with social incentives	Sickness and disability
Treatment status	Small amounts, especially in younger men	Less treatment available, espe
Clinical facilities	Newer x-ray, EKG, and serologic techniques	Best medical facilities of the time (1925-1927)
Spinal fluid examination	Most of the syphilitic patients had spinal fluid examinations at start of study	No routine spinal fluid examina tion reported
Selection of patient material	Acute syphilis and younger pa- tients treated and omitted at start of study; early and late latent patients studied and followed	Only early, untreated syphilis included

# 1954

"for the first time, men in the study identified as "volunteers with social incentives"

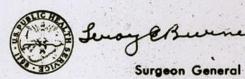
#### U. S. PUBLIC HEALTH SERVICE



This certificate is awarded to

In grateful recognition of 25 years
of active participation in the
Tuskegee medical research study.

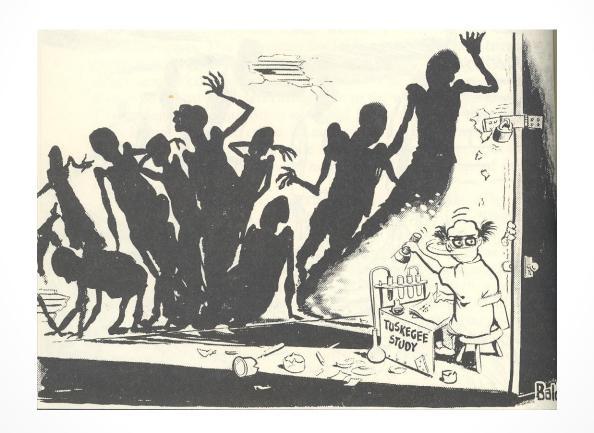
Awarded 1958

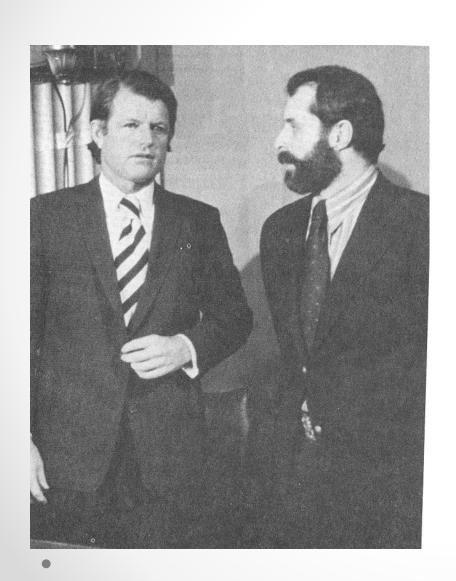


#### 1969

CDC convenes a blue-ribbon panel to determine whether Tuskegee study should continue only physician not familiar with the Study argues that the experiment should end and the men receive treatment the Study continues until 1972

## Study Revealed July 25, 1972





- Kennedy holds hearings on human experimentation including the TSS
- Legislation passed
   1974
- National Research Act

#### 1974 National Research Act

creation of a National Commission to study the moral issues posed by human experimentation

new federal regulations for IRBs and written informed consent





# Persistent stories about the deliberate infection of the men in Tuskegee with syphilis

#### The AIDS 'Plot' Against Blacks

Bizarre as it may seem to most people, many black Americans believe that AIDS and the health measures used against it are part of a conspiracy to wipe out the black race.

A survey of black church members in 1990 found that an astonishing 35 percent believed AIDS was a form of genocide. A New York Times/WCBS-TV News poll in 1990 found that 1 black in 10 believes the AIDS virus was "deliberately created in a laboratory in order to infect black people" and

an additional 2 in 10 thought that might be so. A Gallup/Newsweek poll in March produced similar results.

Even some dedicated black health workers engaged in the fight against AIDS decline to disavow these fears. Testifying before the National Commission on AIDS, one said that "until proven otherwise" she considered AIDS a man-made disease.

Worse yet, the treatments and preventives against AIDS have become suspect. Some blacks believe that AZT, the harsh drug used to combat the disease, is a plot to poison them.

... that campaigns urging use of condoms, the best way to prevent sexual transmission, are a scheme to reduce the number of black babies ... that distributing clean needles to slow transmission among addicts is a plot to encourage drug abuse.

At its most destructive, the paranoia causes many blacks to avoid medical treatment. Unless black and Hispanic leaders play a more vigorous role in countering the fears and mistrust, it will become ever harder to slow the epidemic.

The task is urgent because the disease is now spreading most rapidly among minorities. Last year, for the first time, the number of AIDS cases reported for minorities exceeded the number for whites. Most worrisome for the future, blacks account for a majority of AIDS cases in women and children. "AIDS in future generations may be primarily a disease of black people," the New York Department of Health warned last month.

Yet the response to this growing crisis has been sluggish. Financially pressed minority organizations and local governments have little energy for fighting AIDS, especially given the stigma attached to its main victims, homosexuals and drug addicts. But whether financing for minority AIDS programs has lagged behind white programs, as some black leaders charge, is not clear. Federal and state funds to minority AIDS organizations have gone up and the Government's central programs, like counsel-

ing and testing services, have huge minority caseloads.

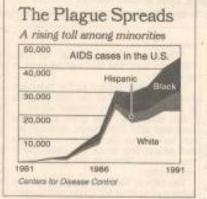
No programs, even if well financed, will succeed without a change in attitudes. Thus it is welcome news that the New York State Health Department, with the help of black politicians and community leaders, has started a media campaign to encourage blacks to mobilize against AIDS.

The campaign rightly stresses that AZT and condoms are not plots against blacks. But it refuses to counteract the paranoia about AIDS as genocide, saying only that no matter

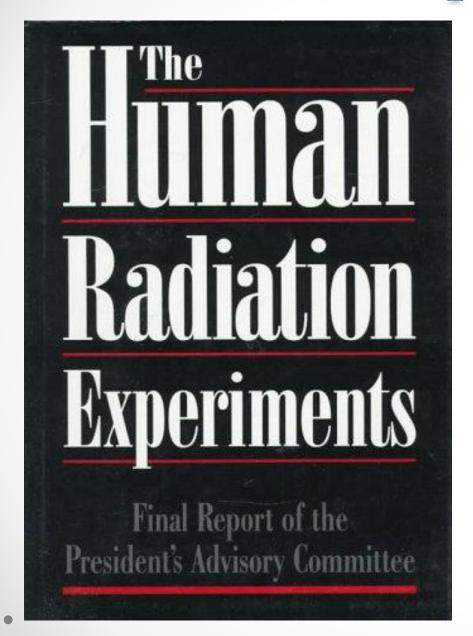
how AIDS got started, there are ways to stop it. Campaign officials reasoned that few blacks would believe government denials anyway. But surely black leaders and public figures with high credibility, such as Magic Johnson, the basketball star, could do much to discredit the pernicious and dispiriting rumors.

Meanwhile, Federal, state and local health officials need a far better assessment of the flow of AIDS money into minority communities and stronger programs to fight the disease there. Costly drug treatment ought to be provided to all who seek it, an investment that would yield dividends far beyond slowing the spread of AIDS. And more vigorous outreach programs might persuade more individuals to avoid risky behavior.

As white Americans, shaken by the riots in Los Angeles, search for ways to help afflicted and distrustful minorities, there's no wiser way to start than AIDS. A failure to treat and prevent AIDS among minorities: That would be the true genocide.



## Presidential apology 1995



# White House Apology for the Syphilis Study, May, 1997





White House apology ceremony, 16 May 1997. Participants and survivors of the study (first row): Herman Shaw, Fred Simmons, Charles Pollard, Frederick Moss, Carter Howard. White House officials (back row): U.S. Surgeon General David Satcher, President William J. Clinton, Vice-President Albert Gore.

#### Wielding the "Tuskegee Analogy"

In 1997 NEJM acting editor Marcia Angell compares placebo-controlled trials for preventing vertical transmission of HIV from mothers to infants to the Tuskegee Study

### 2001

Maryland State Supreme Court rules that families can sue the Kennedy-Krieger Institute (JHMI), comparing the research on effects of lead paint on children to both Nazi experiments and the Tuskegee Study.

# President Bush vetoes stem cell research bill, summer 2006

research on human stem cells

"constitutes a grave violation of human rights just as we saw in the Tuskegee experiments here in the United States and in the Nazi experiments of World War II."



# Scandal, tragedy and revelation continues

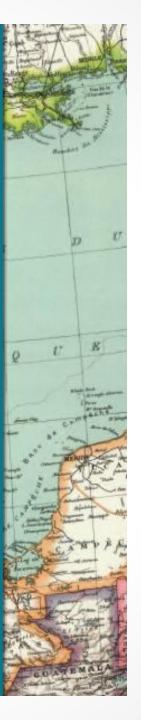
# 



"ETHICALLY IMPOSSIBLE" STD Research in Guatemala from 1946 to 1948

Presidential Commission for the Study of Bioethical Issues

September 2011



## John Cutler

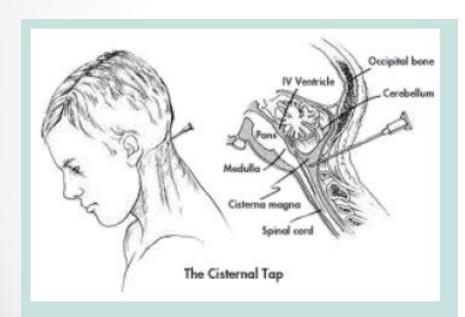


Diagram of a cisternal puncture. From Alexander G. Reeves, M.D. and Rand S. Swenson, M.D., Ph.D., "Disorders of the Nervous System"



John C. Cutler From the National Library of Medicine

"I saw Doctor Parran on Friday [February 14] and he wanted to know if I had had a chance to visit your project. Since the answer was yes, he asked me to tell him about it and I did so to the best of my ability. He was familiar with all the arrangements and wanted to be brought up to date on what progress had been made. As you well know, he is very much interested in the project and a merry twinkle came into his eye when he said, 'You know, we couldn't do such an experiment in this country." 581

## Learning the past, living with the past, forming the future