## Public Awareness and Utilization of Directto-Consumer (DTC) Genetic Tests

presented by Katrina Goddard, PhD

ASHG Fellow National Office of Public Health Genomics Centers for Disease Control and Prevention & Associate Professor Epidemiology & Biostatistics Case Western Reserve University

### **Commercial Genetic Tests**

- Direct-to-consumer genetic tests have recently exploded onto the market
  - Non-health related examples: DNA banking, paternity testing, identity testing, ancestry testing, recreational genetics
  - Health-related examples: nutrigenetics, fetal gender, caffeine metabolism, HIV progression, clinical testing
  - The Internet gives everybody immediate access

## **Concerns Raised in GAO Report, 2006**

| GAO  | United States Government Accountability Office<br>Testimony<br>Before the Special Committee on Aging,<br>U.S. Senate |
|--|--|
| For Release on Delivery<br>Expected at 10:00 a.m. EST<br>Thursday, July 27, 2006 | NUTRIGENETIC TESTING   |
|  | Tests Purchased from Four<br>Web Sites Mislead<br>Consumers  |

Misleading, unsubstantiated, and ambiguous predictions

- Recommended costly dietary supplements
- Supplements may be harmful for some people
- Recommendations not based on unique genetic profile

## **Regulatory Guidelines**

### • CLIA

- Genetic tests that provide information on health must be performed in a laboratory certified under the Clinical Laboratory Improvements Amendments (CLIA) (1988)
- FDA draft guidance documents
  - "analyte specific reagents" September, 2006
    - http://www.fda.gov/cdrh/oivd/guidance/1590.pdf
  - "in vitro diagnostic multivariate index assays" September, 2006
    - http://www.fda.gov/cdrh/oivd/guidance/1610.pdf
- FTC/FDA/CDC consumer alert July, 2006
  - http://www.ftc.gov/bcp/edu/pubs/consumer/health/hea02.pdf

### Public Awareness and Utilization of DTC Nutrigenomic Tests

#### Surveillance to inform about:

- Public demand and interest in nutrigenomic tests
- Provider knowledge & experience with DTC tests
- Impact of policies and public or provider education
- Evolution of the availability and demand for DTC tests

### **National Surveys**

- HealthStyles, 2006: National marketing survey conducted by Synovate, Inc. with 5250 respondents
  - Recruited from a consumer mail panel of ~450,000 potential respondents
  - Response Rate: 66% (80%) for the first (second) phase
- DocStyles, 2006: Online survey of primary care physicians, internists, and pediatricians with 1250 respondents
  - Recruited from Epocrates Honor Panel, an opt-in, verified panel of 142,000 physicians
  - Response Rate: 61% of eligible, invited physicians

### **2006 State BRFSS Surveys**

- CDC-funded State Programs in Public Health Genomics
  - Oregon
  - Michigan
  - Utah
- Behavioral Risk Factor Surveillance System (BRFSS)
  - CDC-sponsored health survey system in all 50 states
  - Recruitment of adults through Random Digit Dialing
  - Response Rates: 51.7%, 52.4%, 63.4%

### **Questions for each Survey**

#### Oregon & Utah

Some companies are offering genetic tests of your DNA that are advertised to improve your health and prevent disease. You can order these tests directly, without the involvement of a healthcare provider. Have you heard about these tests?

Have you **ever** used any of these tests?

#### Michigan

Some companies are advertising new ways to improve your health by testing a sample from the inside of your cheek. This sample is mailed directly to the company without involving a doctor, nurse, or other health care professional. A few weeks later, the company provides you with a personal health profile and lifestyle recommendations based on their findings. In the past 12 months, have you heard or seen anything about this type of test?

In the past 12 months, have you or anyone you know obtained this type of test?

#### National

Genetic tests that analyze your DNA, diet, and lifestyle for potential health risks are currently being marketed by companies directly to consumers. Have you heard or read about these genetic tests?

Have you **ever** had a genetic test that analyzes your DNA, diet, and lifestyle for potential health risks?

## **Results of Surveys**

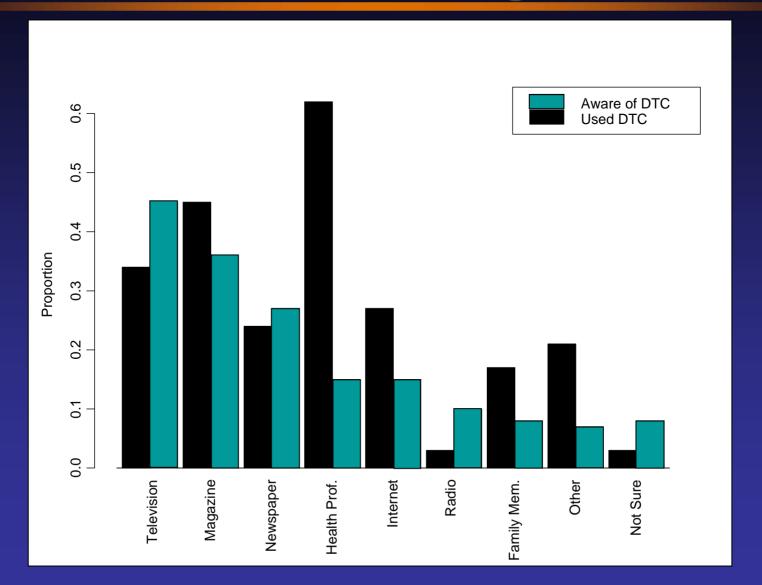
|                                       | Oregon                 | Michigan            | Utah                 | National              |
|---------------------------------------|------------------------|---------------------|----------------------|-----------------------|
| Total Sample Size                     | 1867                   | 5499                | 2441                 | 5250                  |
| Awareness of DTC<br>Tests (% Yes, CI) | 24.4%<br>[22.2%,26.7%] | 7.6%<br>[6.8%,8.4%] | 19.7%<br>[17.7%,22%] | 14%<br>[12.7%,14.6%]  |
| Use of DTC Tests<br>(% Yes, Cl)       | 0.3%                   | 0.9%                | -                    | 0.6%<br>[0.4% - 0.8%] |

CI = 95% Confidence Interval

### **Results of State BRFSS Surveys**

|                          | Or   | egon  | Mi   | chigan | L    | Jtah   | N  | ational |
|--------------------------|------|-------|------|--------|------|--------|----|---------|
| Characteristic           | %    | р     | %    | р      | %    | р      | %  | р       |
| Total Aware of DTC Tests | 24.3 |       | 7.6  |        | 19.7 |        | 14 |         |
| Sex                      |      | .6602 |      | .0394  |      | .0548  |    | .056    |
| Race/Ethnicity           |      | .1031 |      | .7944  |      | .4826  |    | .066    |
| Age, y                   |      | .0002 |      | .0072  |      | .0006  |    | .0001   |
| 18–24                    | 10.3 |       | 3.7  |        | 14.3 |        | 15 |         |
| 25–34                    | 20.3 |       | 7.6  |        | 15.3 |        | 17 |         |
| 35–44                    | 24.0 |       | 7.5  |        | 17.5 |        | 14 |         |
| 45–54                    | 27.8 |       | 9.7  |        | 26.9 |        | 15 |         |
| 55–64                    | 33.9 |       | 8.6  |        | 26.9 |        | 14 |         |
| 65+                      | 26.8 |       | 7.2  |        | 21.4 |        | 9  |         |
| Household income         |      | .0308 |      | <.0001 |      | .0735  |    | .0001   |
| Low                      | 21.6 |       | 4.7  |        | 14.2 |        | 11 |         |
| Intermediate             | 21.4 |       | 6.6  |        | 18.8 |        | 13 |         |
| High                     | 28.2 |       | 9.5  |        | 21.4 |        | 16 |         |
| Education level          |      | .0001 |      | <.0001 |      | <.0001 |    | <.0001  |
| High school or less      | 16.3 |       | 5.2  |        | 13.1 |        | 9  |         |
| At least some college    | 25.4 |       | 6.9  |        | 18.1 |        | 15 |         |
| College graduate*        | 33.0 |       | 11.6 |        | 28.6 |        | 19 | 10      |

### Consumer Sources of Information on DTC Genetic Testing



11

73% of respondents heard/read about DTC genetic tests through the media

# **DocStyles Survey**

| Characteristic              | Not aware of DTC | Aware of DTC | <i>P</i> value |
|-----------------------------|------------------|--------------|----------------|
|                             | (n = 557)        | (n = 555)    |                |
| Specialty                   |                  |              | .042           |
| Family/General practitioner | 62 (11)          | 73 (13)      |                |
| Internist                   | 359 (64)         | 380 (68)     |                |
| Pediatrician                | 136 (24)         | 102 (18)     |                |
| Work setting                |                  |              | .098           |
| Individual practice         | 99 (18)          | 97 (17)      |                |
| Group practice              | 380 (68)         | 354 (64)     |                |
| Hospital/Clinic             | 78 (14)          | 104 (19)     |                |
| Sex                         |                  |              | .008           |
| Male                        | 353 (63)         | 394 (71)     |                |
| Female                      | 204 (37)         | 161 (29)     |                |
| Age                         | 44.7 (8.3)       | 44.1 (8.4)   | .676           |
| Number of patients per week | 120 (69)         | 121 (69)     | .837           |
| Number of years of practice | 14 (7.6)         | 14 (7.5)     | .441           |

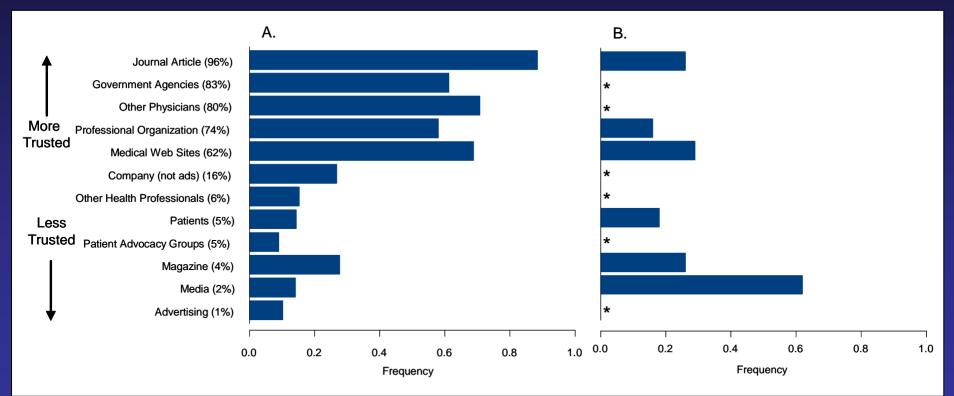
## **DocStyles Survey**

| Characteristic                 | Aware of DTC (n = 555) |  |  |  |
|--------------------------------|------------------------|--|--|--|
| % Patients asking about DTC    |                        |  |  |  |
| None                           | 225 (41)               |  |  |  |
| <1%                            | 192 (35)               |  |  |  |
| 1-10%                          | 118 (42)               |  |  |  |
| >10%                           | 20 ( 4)                |  |  |  |
| % Patients discuss DTC results |                        |  |  |  |
| None                           | 409 (74)               |  |  |  |
| <1%                            | 105 (19)               |  |  |  |
| 1-10%                          | 30 ( 5)                |  |  |  |
| >10%                           | 11 ( 2)                |  |  |  |

## **Physician Sources of Information**

"Patient Health-Related"

#### **DTC Genetic Testing**



## Conclusions

- Only a small percentage of the U.S. population is aware of or has used DTC Genetic Tests
- The media is the most frequent source of information for both consumers and physicians
- Limitations of existing surveys:
  - Small number of respondents who used a DTC test
  - Some confusion over wording of questions
  - Do not assess health outcomes

## **Acknowledgements**

- National Office of Public Health Genomics, CDC
  - Muin J. Khoury, Linda Bradley, Cynthia Moore, Denae Ottman, & Kathleen L.
    Szegda
- Michigan Department of Community Health
  - Debra Duquette, Ann Annis, and Ann Rafferty
- Michigan State University
  - Michelle Cook and Deb Ruiz
- Oregon Department of Human Services
  - Amy Zlot
- Utah Department of Public Health
  - Jenny Johnson, Patrick W. Lee, and Rebecca T. Giles
- University of Washington
  - Karen Edwards