UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE	**RISK ACCEPTANCE FORM**

This form is to be used to justify a risk acceptance of a known deficiency. The system/project manager is responsible for writing the justification and the compensating control. It is a requirement that a Compensating Control be defined in order to obtain full approval for a risk acceptance.

The following items must be completed:

1. 800-53 Control Deficiency:

2. Description of the Deficiency:

3. Justification for Risk Acceptance:

4. Description of the Compensating Control that will be put in place:

5. Additional Remarks:

Approvals		
6. System Owner:		7. Date:
8. Program Information System Security Manager (ISSM):		9. Date:
10. Program Chief Information Officer (*Program CIO*):		11. Date:
12. Program Deputy Administrator (*Approving Official*):		13. Date:
14. Agency Chief Information Officer (*Agency CIO*):		15. Date:
16. Risk Acceptance Expiration Date:		

APHIS Form 520
May 2012

INSTRUCTIONS FOR RISK ACCEPTANCE FORM

This form is to be used to justify a risk acceptance of a known deficiency. The system owner/project manager is responsible for writing the justification and the compensating control. It is a requirement that a Compensating Control be defined in order to obtain full approval for a risk acceptance.

The items below must be completed:

1) 800-53 Control Deficiency:
> Display the appropriate National Institute of Standards and Technology (NIST) control associated to the deficiency

2) Description of the Deficiency:
> Provide a summary of the overall deficiency that was derived from the C&A/annual assessment/OIG audit

3) Justification for a Risk Acceptance:
> Justify why a Risk Acceptance is requested versus remediating the deficiency

4) Describe the Compensating Control that will be put in place:
> In order to obtain a Risk Acceptance for a deficiency, a compensating control must be put in place

> A very detailed description must be provided, in writing, and the approving individuals on the form are accepting the compensating control

5) Additional Remarks:
> Provide any other comments and supporting material needed for the Risk Acceptance

6-15) Approvals:
> Obtain the necessary signatures below (based on the various roles) in order for the Risk Acceptance to be submitted to the USDA Cyber Security Office.

> 6. System Owner:

> 8. Program Information System Security Manager (ISSM):

> 10. Program Chief Information Officer (*Program CIO*):

> 12. Program Deputy Administrator (*Approving Official*):

> 14. Agency Chief Information Officer (*Agency CIO*):

16) Risk Acceptance Expiration Date:
> Cannot exceed 1 year from the Deputy Administrator's approval date.