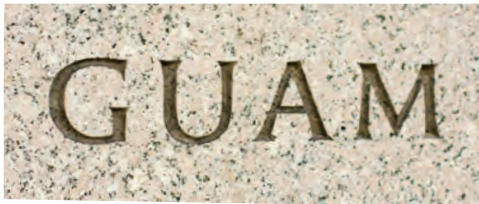




INTERAGENCY COORDINATION GROUP OF INSPECTORS GENERAL FOR GUAM REALIGNMENT ANNUAL REPORT



SECTION 2835 OF THE NATIONAL DEFENSE
AUTHORIZATION ACT FOR FISCAL YEAR 2010

[FEBRUARY 1, 2013]
(PUBLIC LAW 111-84)

On October 28, 2009, President Obama signed Public Law 111-84, “The National Defense Authorization Act for FY 2010.” Section 2835 of the Act established the Interagency Coordination Group of Inspectors General for the Guam Realignment with the following duties.

PUBLIC LAW 111-84

It shall be the duty of the Interagency Coordination Group to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for military construction on Guam and of the programs, operations, and contracts carried out utilizing such funds, including:

- (A) the oversight and accounting of the obligation and expenditure of such funds;*
- (B) the monitoring and review of construction activities funded by such funds;*
- (C) the monitoring and review of contracts funded by such funds;*
- (D) the monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the U.S. and private and nongovernmental entities;*
- (E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds; and*
- (F) the monitoring and review of the implementation of the Defense Posture Review Initiative relating to the realignment of military installations and the relocation of military personnel on Guam.*

Not later than February 1 of each year, the chairperson of the Interagency Coordination Group shall submit to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior a report summarizing, for the preceding calendar year, the activities of the Interagency Coordination Group during such year and the activities under programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include, for the year covered by the report, a detailed statement of all obligations, expenditures, and revenues associated with such construction.

Message from the Chairperson, Interagency Coordination Group

I am pleased to provide the fourth annual report of the Interagency Coordination Group of Inspectors General for Guam Realignment. This annual report, as required by Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” October 28, 2009, covers the reporting period from January 1, 2012, through December 31, 2012.

The Interagency Coordination Group focuses on challenge areas for the realignment, including operational readiness, quality of life, contract management, contractor oversight, asset accountability, and financial management. The group considers risks associated with these challenges when developing oversight initiatives.

Two planning groups support the Interagency Coordination Group: the Interagency Planning Group, consisting of representatives of our interagency partners, and the Joint Planning Group, consisting of representatives of the Department of Defense oversight partners. Both groups are led by Department of Defense Inspector General staff, which provide briefings, track trends, coordinate audit de-confliction, and present issues to the Interagency Coordination Group for consideration.

I want to thank those participating as part of the Interagency Coordination Group and those Federal and Defense agencies supporting this effort. As the group goes forward, Congress, the Department, and senior leadership throughout the U.S. Government will use their oversight efforts to minimize the impact on the citizens of Guam, improve the economy and efficiency of vital programs and operations, and sustain the readiness of U.S. forces.



Lynne M. Halbrooks
Principal Deputy Inspector General

EXECUTIVE SUMMARY

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General of the Department of Defense as the Chairperson of the Interagency Coordination Group of Inspectors General for Guam Realignment. The Chairperson is required to provide an annual report to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior on the activities of the Interagency Coordination Group and the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. This report contains data collected from multiple organizations. The Department of Defense Office of Inspector General did not independently verify, analyze, or validate the data provided.

The Defense Posture Review Initiative serves as the framework for the future of U.S. force structure in Japan and the U.S. Marine Corps (Marine Corps) realignment to Guam. The purpose of the Defense Posture Review Initiative is to develop a common security view; to review roles, missions, and capabilities; and to review force posture changes. Included in these initiatives are the relocation of Marine Corps personnel to Guam, the return of selected bases and facilities to the government of Japan, and the consolidation of some of the remaining U.S. bases and facilities in Guam. Recent discussions between the U.S. and the government of Japan, through the Security Consultative Committee, resulted in significant changes to the realignment plan as well as funding. The Joint Guam Program Office (JGPO) continues to facilitate, manage, and execute requirements associated with the Guam Realignment.

This report identifies the programs and operations funded with appropriated amounts or funds otherwise made available for military construction on Guam in calendar year 2012.

- DoD obligated approximately \$52.1 million and expended approximately \$128.6 million. Other Federal agencies obligated approximately \$3.6 million and expended approximately \$8.0 million. (Section 1)
- DoD identified 168 projects and programs, totaling approximately \$124.2 million, with an estimated completion cost of approximately \$386.8 million. Other Federal agencies identified 26 projects and programs, totaling approximately \$8.7 million, with an estimated completion cost of approximately \$26.9 million. (Section 2)
- The government of Japan (GOJ) provided no revenues in calendar year 2012, and earned approximately \$2.2 million in interest associated with revenues contributed to date. (Section 3)
- DoD identified operating expenses of approximately \$24.9 million. Other Federal agencies identified operating expenses of approximately \$425.2 thousand. (Section 4)
- DoD and other Federal agencies identified 88 contracts and 12 grants, valued at approximately \$38.3 million. (Section 5)

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U.S. Navy aircraft carrier navigates to the Port of Guam.

Guam Realignment Posture Changes

CONGRESSIONAL ACTIONS

On January 3, 2013, the President signed the 2013 National Defense Authorization Act (NDAA 2013). Section 2832 of the NDAA 2013 prohibits obligations or expenditures for the Guam realignment, including funds provided by the GOJ until:

1. the Commander of the U.S. Pacific Command provides to the congressional defense committees an assessment of the strategic and logistical resources needed to ensure the distributed lay-down of members of the Marine Corps in the U.S. Pacific Command Area of Responsibility meets the contingency operations plans;
2. the Secretary of Defense submits to the congressional defense committees master plans for the construction of facilities and infrastructure to execute the Marine Corps distributed lay-down on Guam and Hawaii, including a detailed description of costs and the schedule for such construction;
3. the Secretary of the Navy submits a plan to the congressional defense committees detailing the proposed investments and schedules required to restore facilities and infrastructure at Marine Corps Air Station Futenma; and
4. a plan coordinated by all pertinent Federal agencies is provided to the congressional defense committees detailing descriptions of work, costs, and a schedule for completion of construction, improvements, and repairs to the non-military utilities, facilities, and infrastructure, if any, on Guam affected by the realignment of forces.

Three exceptions allow the Secretary of Defense to expend funds:

1. to carry out additional analysis or studies required by the National Environmental Policy Act of 1969 for proposed actions on Guam or Hawaii;
2. to initiate planning and design of construction projects on Andersen Air Force Base and Andersen South; and
3. to carry out any military construction project for which an authorization of appropriation has been provided.

SECURITY CONSULTATIVE COMMITTEE

In April 2012, the U.S. and GOJ held another round of Security Consultative Committee talks. Secretary of State, Hillary Rodham Clinton; Secretary of Defense, Leon Panetta; Minister for Foreign Affairs, Koichiro Gemba; and Minister of Defense, Naoki Tanaka attended the meeting. Also referred to as the “2+2” talks, the principals announced their intention to adjust several components of the previous agreements. Specifically, the parties agreed to:

1. adjust the composition of the Marine Corps units on Guam and Okinawa;
2. establish a Marine Air Ground Task Force in Okinawa, Guam and Hawaii;
3. authorize the strength of approximately 5,000 Marine Corps personnel in Guam;
4. de-link the relocation of the III Marine Expeditionary Force to Guam from progress on the Futenma Replacement Facility;
5. reaffirm that Japan’s financial commitment would only be direct cash and would no longer provide \$3.29 billion in equity and loans to Special Purpose Entities; and
6. commit to contribute mutually to fund refurbishment projects at Marine Corps Air Station Futenma until the Futenma Replacement Facility is fully operational.



Andersen Air Force Base, Guam, is photographed from an F-16D Fighting Falcon during Exercise Cope North.

EFFORTS OF THE INTERAGENCY COORDINATION GROUP

SECTION 2835(e)(1)

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General of the DoD as the Chairperson of the Interagency Coordination Group of Inspectors General for Guam Realignment (ICG). Additionally, the Inspector General of the Department of the Interior (DOI) is a required member.

The ICG Chairperson continues to oversee the development of a strategy to conduct, supervise, and coordinate oversight activities to monitor the use of funding for the Guam realignment. To assist with the development of this strategy, the ICG Chairperson established the Guam Interagency Planning Group. The Interagency Planning Group met on June 20, 2012 to discuss developments, share information, and coordinate and de-conflict agency audit plans.

ICG OVERSIGHT DUTIES

Section 2835 of the NDAA 2010 requires the ICG to provide objective supervision of audits and investigations, to include inspections, evaluations, and reviews, relating to the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Specifically, the ICG oversight duties are to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated for military construction on Guam.

SUMMARY OF AUDIT REPORTS COMPLETED IN 2012

This year, members of the Interagency Coordination Group completed eight audits related to the realignment of forces to Guam. The DoD Office of Inspector General (OIG) completed three reports, the Naval Audit Service completed one report, the Defense Contract Audit Agency (DCAA) completed two reports, and the DOI completed two reports. The reports cover a variety of topics related to the realignment effort.

The DoD OIG audits for 2012 focused on engineering, joint basing, and medical staffing at the Port of Guam. The DoD OIG issued Report No. DODIG 2012-052, “Report on the Program and Contract Infrastructure Technical Requirements Development for the Guam Realignment Program,” on February 8, 2012. The objective of this audit was to assess the development of programs and contract infrastructure technical requirements to include cost estimates and budgets for the Guam Realignment Program. The Technical Assessment included seven areas of infrastructure that would be impacted by the realignment of forces to Guam including the port, roads, power production and transmission, drinking water, waste water, solid waste, and communication. The DoD OIG found that the Guam commercial port bulkhead was at significant risk of major structural failure and issued a memorandum to the U.S. Department of Transportation, Maritime Division (MARAD) addressing this risk. Also, the DoD OIG found that the government of Guam and DoD had only basic 911 services that could not process a caller’s telephone number and location automatically, as specified in Public Law 108-494. MARAD stated that it initiated actions to ensure that the structural deterioration to the bulkhead was identified. The Commander of Navy Installations Command stated that Operational Navy (N2/N6) instead of the Joint Region Marianas was responsible for enhanced Guam Emergency Communications. Therefore, DoD OIG changed the finding to address it to Operational Navy (N2/N6).

The DoD OIG issued Report No. DODIG-2012-054, “Marine Corps Transition to Joint Region Marianas and Other Joint Basing Concerns,” on February 23, 2012. The objective of the audit was to determine whether the joint bases adhered to the intent of the 2005 Base Realignment and Closure recommendation, Joint Basing Implementation Guidance, and subsequent guidance related to the Marine Corps relocation to Guam. The report stated that DoD adequately implemented the joint basing program at Joint Base

Pearl Harbor, Hawaii, and Joint Region Marianas. However, the audit identified areas for improvement including tracking funds from the Marine Corps to Joint Region Marianas, maintaining equity with other Services in Guam, and distributing Marine Corps Exchange profits. Also, the DoD OIG reported that the Marine Corps could improve the transition process to Joint Region Marianas through increased planning and coordination in key transition areas. The Director, Joint Guam Program Office stated that the Deputy Chief of Naval Operations, Director, Shore Readiness, will work with the other Services to review and refine existing policies as part of the duties of the Senior Joint Base Working Group. Also, the director stated that the Concept of Partnership between the Navy and Marine Corps specifies how funding will be handled and that future determinations will be made by the Navy and Marine Corps regarding the transition and respective tracking of funds. Further, the director stated that the Navy and Marine Corps have developed functional working groups designed to develop optimal organization and staffing at the installation and regional levels. He also stated that the groups are focusing on support required to be in place before the Marine Corps relocates.

The DoD OIG issued Report No. DODIG-2012-088, “Guam Medical Staff Plan Needs Improvement to Ensure Eligible Beneficiaries Will Have Adequate Access to Health Care,” on May 16, 2012. This is the second in a series of reports regarding the adequacy of medical plans related to the realignment of Service members and their families to Guam. The objective of this audit was to determine whether the methodology and plan used to determine the number and type of medical staff needed for eligible beneficiaries in Guam ensured that beneficiaries had adequate access to care given the expected population increases resulting from the realignment to Guam. In this report, the DoD OIG stated that Navy Medicine West’s plan for determining medical staff requirements did not adequately identify and assess the risks associated with not expanding specialty care in Guam commensurate with the projected beneficiary population increase from 14,195 in FY 2005 to 37,467 in FY 2020. Navy Medicine West management comments were partially responsive or not responsive to the recommendations.

The Naval Audit Service issued Report No. N2012-0047, “Department of the Navy Fiscal Year 2013 Military Construction Projects Related to Relocations of Marine Corps to Guam,” on June 18, 2012. The objective of the audit was to verify the scope requirements for selected Marine Corps proposed FY 2013 Military Construction (MILCON) related to the Guam/Okinawa relocation and to verify that MILCON projects represented valid requirements and were sufficiently supported and properly scoped. The Naval Audit Service determined that the FY 2013 MILCON projects related to the relocation of Marines from Okinawa, Japan to Guam represented valid needs but all four projects contained over-scoped or under-scoped line items, totaling approximately \$70.28 million. The Commandant of the Marine Corps agreed to delete over-scoped items and unsupported line items from the project.

The DCAA issued Report No. 03541-2012A17741720, “Independent Audit of HDR Environmental Operations and Construction, Inc., Post Award Accounting System,” on May 22, 2012. The subject of the audit was to determine whether the accounting system was adequate for accumulating cost under Government contracts and whether the billing procedures were adequate for the preparation of cost reimbursement claims. DCAA determined that HDR Environmental, Operations and Construction Inc.’s accounting system was adequate for accumulating and billing cost under Government contracts.

The DCAA issued Report No. 04201-2012G19100001, “Independent Audit of Adequacy and Compliance of DZSP 21 LLC’s Disclosure Statement Revision No.6 Effective Date October 2005 with Amendments in Revision No. 7, Effective December 31, 2007,” on August 31, 2012. The subject of the audit was to

determine whether DZSP 21 LLC's revised disclosure statement, with amendments, adequately described the cost accounting practices and to evaluate the consistency of the revised cost accounting practices with actual practices. DCAA determined that DZSP's accounting system was adequate for accumulating and billing costs under Government contracts.

The Department of Interior Office of Inspector General (DOI OIG) issued Report No. HI-EV-GUA-0001-2011, "Evaluation of Guam Power Authority," on August 9, 2012. The objective of the audit was to examine the Guam Power Authority's (GPA) ability to properly account for the condition and maintenance of key infrastructure components. The objective also included an assessment of efforts to expand service capacity, improve reliability, assure continuity of service for critical facilities, prepare for contingencies, and incorporate alternative energy sources. The DOI OIG reported that despite significant improvements made to its generation, transmission and distribution facilities in the past years, GPA was still facing an unprecedented challenge in keeping up with its aging infrastructure and preparing for the impending military buildup. As a result, several system improvements were still needed to increase reliability, maintain the workforce and key infrastructure components, and incorporate renewable energy. Further, DOI OIG found that although GPA has a positive relationship with the military, differing priorities between DoD and GPA remained unresolved, which may potentially place additional strain on GPA and its customers. DOI OIG provided five recommendations to the Governor of Guam for improvements needed to increase reliability, maintain key infrastructure components, incorporate renewable energy, and address its aging infrastructure.

The DOI OIG issued Report No. HI-EV-OIA-0003-2012 "Final Evaluation Report on Guam Public Safety," on November 20, 2012. DOI OIG reported that despite efforts made by the public safety agencies, the Guam Fire Department and Guam Police Department were unable to meet the current needs of Guam and would be unable to meet the needs of the anticipated increase in population. Unless shortcomings in staffing, infrastructure, vehicles, maintenance, and training were addressed, the citizens of Guam would continue to be at risk from natural and manmade disasters, as well as the possible increase in crime associated with population growth. Guam Homeland Security, which is 100 percent Federally funded, meets Guam's current needs, but as Federal funding decreases, it may be unable to maintain its current level of service without local funding. The Government of Guam stated that they are working toward enacting a service delivery matrix and continuous review process; and they are going to examine the level of service the citizenry of Guam desires. Also, the Government of Guam replied that they are planning Government-wide spending cuts taking effect before the next fiscal year with funds saved to improve operations.

ON GOING OR PLANNED AUDITS

The Department of Transportation Office of Inspector General is currently conducting a review of the MARAD Port Infrastructure Development Projects. The objective of this audit is to evaluate MARAD's execution of its port infrastructure development responsibilities. Specifically, the Department of Transportation Office of Inspector General is evaluating MARAD's: (1) oversight and risk management of port infrastructure development projects; and (2) oversight of port infrastructure projects' contract planning and administration.

The Naval Audit Service will conduct an audit of the Department of the Navy's FY 2015 MILCON projects related to the Marine Corps Guam relocation efforts. Specifically, the objective of this audit is to verify that project scope requirements for selected MILCON projects related to the Marine Corps Guam relocation represent a valid need, are properly scoped, and are sufficiently supported.

2012 INVESTIGATIONS

From January 1, 2012, to December 31, 2012, the Naval Criminal Investigative Service, engaged in five investigations related to programs and operations funded with amounts appropriated or otherwise made available for military realignment construction on Guam, as shown in Table 1.

**Table 1. Guam Military Realignment Investigative Working Group Investigations
(January 1, 2012 – December 31, 2012)**

Investigative Agency	Type of Investigation	Status of Investigation
Naval Criminal Investigative Service	Bribery	Closed
Naval Criminal Investigative Service	Product Substitution	Open
Naval Criminal Investigative Service	Bribery	Closed
Naval Criminal Investigative Service	General Procurement	Closed
Naval Criminal Investigative Service	Procurement Fraud	Closed

Source: Naval Criminal Investigative Service response to DoD OIG data call, 1/23/2013.



Marine Corps CH-53 helicopters prepare for flight.

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U.S. GOVERNMENT OBLIGATIONS AND EXPENDITURE DATA

SECTION 2835(e)(1)(A)

Public Law 111-84 states that not later than February 1 of each year, the ICG Chairperson must report on the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include a detailed statement of all obligations and expenditures.

U.S. FUNDING FOR REALIGNMENT

Construction of new facilities, improvements to existing facilities, and improvements to infrastructure will result from the movement of the Marine Corps forces to Guam. As reported by the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer on January 3, 2013, no funds were appropriated for military construction in 2012.

DoD OBLIGATIONS AND EXPENDITURES

The DoD OIG requested the Under Secretary of Defense (Comptroller/ Chief Financial Officer); Assistant Secretary of the Army (Financial Management and Comptroller); Assistant Secretary of the Navy (Financial Management and Comptroller); Assistant Secretary of the Air Force (Financial Management and Comptroller); Director, Defense Finance and Accounting Service; and the Program Resource Director/ Chief Financial Officer, Joint Guam Program Office to provide obligation and expenditure data supporting the Marine Corps realignment to Guam. For calendar year (CY) 2012, DoD obligated approximately \$52.1 million and expended approximately \$128.6 million. Table 2 identifies the amounts obligated and expended for each appropriation from January 1 to December 31, 2012.

Table 2. DoD Obligations and Expenditures Related to the Guam Realignment (January 1, 2012 – December 31, 2012)

Appropriation	Obligations	Expenditures
MILCON, Navy and Marine Corps	\$22,965,287	\$87,295,468
GOJ	3,615,619	24,542,443
Operation and Maintenance, Marine Corps	25,453,626	16,677,668
Family Housing Operation and Maintenance, Navy and Marine Corps	115,217	89,213
Total	\$52,149,749	\$128,604,792

Source: Department of the Navy's responses to DoD OIG data call, 1/11/2013 and 1/16/2013.

PRIOR CALENDAR YEAR ACCOUNTING ERRORS AND ADJUSTMENTS

Due to accounting code errors, the Marine Corps under-reported expenditures for CY 2011 by approximately \$14 million. Those expenditures were reported with CY 2012 data. Table 3 shows the previous and amended CY obligations and expenditures.

Table 3. Marine Corps Corrections to the Previously Reported Obligations and Expenditures information for CY 2011

Appropriation	Obligations	Expenditures
Previous CY 2011 O&M	\$34,751,377	\$17,390,642
Amended CY 2011 O&M	36,807,339	31,697,712

Source: Department of the Navy's response to DoD OIG data call, 12/10/2012.

In addition, the US Army Pacific Command conducted an adjustment of \$1,474 to the total obligations reported during CY 2010 in relation to an Archeological Survey project in support of Guam. The Army reported this adjustment to the total obligations with the CY 2012 data. Table 4 shows the previous and amended CY obligations and expenditures.

Table 4. Army Adjustment to the Previously Reported Obligations information for CY 2010

Agency	Obligations	Expenditures
Previous CY 2010 O&M	\$160,893	N/A
Adjustment	(1,474)	N/A
Adjusted CY 2010 O&M	159,419	N/A

Source: Department of the Army’s response to DoD OIG data call, 1/14/2012.

OTHER GOVERNMENT AGENCIES

For CY 2012, the Department of Transportation (DOT) reported obligations of approximately \$3.6 million and expended approximately \$8.0 million related to programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Table 5 shows other government agency obligations and expenditures.

Table 5. Other Government Agency Obligations and Expenditures Related to the Guam Realignment (January 1, 2012 - December 31, 2012)

Agency	Obligations	Expenditures ¹
DOT	\$3,639,946	\$7,988,770
Total	\$3,639,946	\$7,988,770

Source: DOT’s response to DoD OIG data call, 1/10/2013.

¹ US Army Pacific Command personnel did not provide any adjustments to the expenditures.



U.S. Navy attack submarine in the Port of Guam.

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PROJECT AND PROGRAM COSTS

SECTION 2835(e)(1)(B)

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the costs incurred to date and costs estimated to complete each DoD or DOI military construction project or program. The report must identify all projects and programs associated with the realignment of military installations and the relocation of military personnel to Guam.

DoD AND GOJ PROJECT AND PROGRAM LIST

For CY 2012, DoD identified 168 projects and programs, totaling approximately \$124.2 million, with an estimated completion cost of \$386.8 million. Specifically, Naval Facilities Engineering Command, Pacific (NAVFAC PAC) reported 47 projects, Marine Corps Headquarters reported 119 projects, and the Army reported 2 projects that were directly associated with the realignment of military installations and military personnel to Guam. The NAVFAC PAC incurred costs of approximately \$111.8 million with an estimated completion cost of approximately \$334.6 million. The Marine Corps Headquarters projects incurred costs of approximately \$12.3 million with an estimated completion cost of approximately \$52.1 million. The Army Headquarters projects resulted in a correction to cost incurred of \$1.5 thousand. Table 6 identifies DoD and GOJ projects and programs, and their associated costs.²

Table 6. Costs for DoD and GOJ Projects and Programs

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
NAVFAC PAC						
J001, GOJ Construction; Utilities & Site Improv., PH 1 (Apra Harbor)	UTIL & SITE IMPRV, PH 1 (APRA HARBOR)	11-Aug-11	\$169,000,000	\$30,530,585	\$18,156,995	\$138,469,415
J001A, GOJ Construction; Utilities & Site Improv., PH 1 (AAFB)	UTIL & SITE IMPRV, PH 1 (AAFB)	11-Aug-11	27,000,000	8,016,023	6,291,600	18,983,977
J006, GOJ Design, Apra Medical Clinic	APRA MEDICAL CLINIC (APR HARBOR)	18-Feb-10	2,977,923	2,794,574	161	183,349
J007, GOJ Design, Waterfront Headquarters Bldg.	WATERFRONT HEADQUARTERS BUILDING	11-Jan-10	2,047,463	1,972,746	15,737	74,717
J008, GOJ Design, Fire Station	FIRE STATION (FINEGAYAN)	11-Jan-10	1,808,420	926,776	11,049	881,644
J010, GOJ Design, BEQ Complex	BEQ COMPLEX	27-Feb-10	3,423,815	3,025,125	66,901	398,690
100; Construction; North Ramp Utilities	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	6-Apr-11	21,000,000	6,135,998	5,398,931	14,864,002
1003; Construction; Military Working Dog	MILITARY WORKING DOG RELOC, APRA HRBR	29-Sep-10	13,000,000	3,528,987	1,851,415	9,471,013

² The table includes negative numbers which represent de-obligations.

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
1006: Construction: Defense Access Road Improvements (Agana)	DAR/DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	13-Jul-11	7,825,000	268,447	244,042	7,556,553
101; Construction; North Ramp Parking	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	6-Apr-11	88,000,000	39,692,495	33,619,770	48,307,505
204; Construction, Apra Harbor Wharf Improvement	APRA HARBOR WHARF IMPRV, INC 1 OF 2	30-Sep-10	125,000,000	68,857,263	45,672,209	56,142,737
204A: DPRI/APRA Harbor Wharf Improvement 2	DPRI/APRA HARBOR WHARF IMPROVEMENT 2	27-Nov-11	39,104,634	-	-	39,104,634
010; Design, PDM IV MARINE CORPS MILCON	PDM IV MARINE CORPS MILCON	15-Apr-08	2,236,412	2,236,412	17	-
100; Design, DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	3-Dec-09	1,221,700	1,221,700	657	-
1003; Design, DPRI-MILITARY WORKING DOG RELOC, APRA HRBR	DPRI-MILITARY WORKING DOG RELOC, APRA HRBR	25-Oct-08	1,539,323	1,539,323	107	-
100A; Design, North Ramp Utilities	DPRI-NORTH RAMP UTILITIES INC 2 OF 2	15-Feb-11	743,820	743,820	17,708	-
101; Design, DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	3-Dec-09	2,196,718	2,189,369	2,741	7,349
101A: Design, DPRI-North Ramp Parking Inc 202	DPRI-NORTH RAMP PARKING, INC 2 OF 2		540,181	471,602	471,602	68,579
109; Design, DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	14-Mar-09	240,088	240,088	48	-
110; Design, DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	30-Sep-09	6,266,949	6,266,949	30	-
111; Design, DPRI-APRA HARBOR VICTOR WHARF IMPR	DPRI-APRA HARBOR VICTOR WHARF IMPR	25-Nov-09	1,251,286	1,251,286	-	-
113; Design, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	13-Feb-10	491,128	491,128	-	-
2006; Design, DPRI- Apra Harbor Embark Facilities (Agana)	DPRI-APRA HARBOR EMBARK FACILITIES (AGANA)	30-Jan-10	509,003	509,003	-	-

SECTION 2835(e)(1)(B)

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
2014; Base Communications Center	DPRI-BASE COMMUNICATIONS CENTER	9-Nov-10	288,633	288,633	-	-
204; Design, DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	14-Feb-09	6,395,661	6,395,661	592	-
2048; Design, DPRI-Finegayan Water Utilities	DPRI-FINEGAYAN WATER UTILITIES	28-Jan-11	677,392	677,392	6,329	-
205; Design, DPRI-Defense Access Road Improvements (Agana)	DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	27-Jun-11	10,272	10,272	181	-
598; Design, DPRI-Training Land Acquisition (Rt 15 Andy South)	DPRI-TRAINING LAND ACQUISITION (RT 15) (ANDY SOUTH)	28-Mar-11	79,698	79,698	-	-
599; Design, DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	19-Dec-09	408,852	408,852	528	-
600; Design, DPRI DAR Road Realignment Rt 15	DPRI-DAR ROAD REALIGNMENT, ROUTE 15	27-Jun-11	6,487	6,487	140	-
601; Design, DPRI-AVIATION FACILITIES AT NORTH RAMP	DPRI-AVIATION FACILITIES AT NORTH RAMP	19-Dec-09	272,296	272,296	775	-
602; Design, DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	13-Feb-10	466,909	466,909	971	-
603; Design, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	30-Jan-10	104,840	104,840	-	-
604; Design, DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	13-Feb-10	552,138	552,138	976	-
606; Design, DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	19-Dec-09	405,172	405,172	977	-
700; Design, DPRI-INDOOR MULTIPURPOSE RANGE	DPRI-INDOOR MULTIPURPOSE RANGE	27-Oct-10	79,599	79,599	731	-
705; Design, DPRI-GAS CHAMBER	DPRI-GAS CHAMBER	27-Oct-10	34,287	34,287	36	-
710; Design, DPRI-ENGINEERING PIT	DPRI-ENGINEERING PIT	27-Oct-10	55,918	55,918	735	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
J001X; Design, UTILITIES AND SITE IMPROVEMENTS - PH 1	UTILITIES AND SITE IMPROVEMENTS - PH 1	14-Feb-09	8,543,940	8,543,940	-	-
J006X Design, Apra Medical Clinic	APRA MEDICAL CLINIC (APR HARBOR)	11-Apr-09	940,473	940,473	-	-
J007X Design, Waterfront Headquarters Bldg	WATERFRONT HEADQUARTERS BUILDING	11-Apr-09	899,842	899,842	-	-
J008X Design, Fire Station	FIRE STATION (FINEGAYAN)	25-Apr-09	865,658	865,658	-	-
J011; Design, BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	10-Apr-10	903,876	903,876	-	-
J012; Design, MARINE LOGISTICS GROUP (MLG) HQ BUILDING	MARINE LOGISTICS GROUP (MLG) HQ BUILDING	10-Apr-10	918,439	918,439	-	-
J015; Design, ENLISTED DINING FACILITY	ENLISTED DINING FACILITY	10-Apr-10	863,297	863,297	-	-
J016; Design, BACHELORS ENLISTED QUARTERS COMPLEX	BACHELORS ENLISTED QUARTERS COMPLEX	10-Apr-10	748,298	748,298	-	-
J017; Design, UTILITIES AND SITE IMPROVEMENTS - PH 2	UTILITIES AND SITE IMPROVEMENTS - PH 2	4-Jul-09	6,496,001	6,416,763	3,220	79,238
Subtotal			\$548,441,840	\$213,848,437	\$111,837,911	\$334,593,402
Marine Corps						
D-0013	Update to FHWA Guam Build-up Traffic Study	18-Jun-10	56,587	\$56,587	-	-
D-0014	Bldg 207/228/155 Renovation Project	16-Sep-10	4,377,718	4,208,996	366,842	168,722
D-0016	Comprehensive Base Dev Study, Andersen AFB	18-Jun-10	1,173,558	1,158,280	343,209	15,277
E-0004	Draft EIS	12-Jun-09	3,501,435	3,501,062	-	374
E-0005	Final EIS Support	17-Mar-10	1,932,139	1,932,138	-	1
E-0008	On-Base Traffic Analysis	20-Aug-09	275,262	275,262	-	-
E-0009	Water Study	28-May-09	13,081	13,081	-	-
E-0011	Electrical Study	23-Jul-09	40,713	40,713	-	-
E-0012	Solid Waste Management Plan (IHS)	17-Mar-10	20,000	20,000	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
E-0016	Natural Resource Study	23-Jul-09	367,066	367,066	-	-
E-0017	Cultural/ Historical Resource Study	11-Jun-09	292,711	292,711	-	-
E-0025	CNMI Natural Resource Survey	10-Apr-09	7,011,986	5,251,489	-	1,760,497
E-0031	Administrative Record Review	6-Aug-09	1,130,775	1,129,815	2,690	50,782
E-0033	Sierra Wharf Dredge Material Permitting	23-Jul-09	-	-	-	-
E-0037	Cultural Resources Study	13-May-10	4,959	4,959	-	-
E-0039	Cultural Landscape Report, Tinian N. Field	13-May-10	19,965	19,965	-	-
E-0040	Socioeconomic Study Guam Buildup	6-May-11	285,397	285,397	-	-
E-0041	Finegayan	13-May-10	10,375	10,375	-	-
E-0044	Utility Study, Water (Lost River)	13-May-10	13,288	13,288	-	-
E-0045	Bio Security Plan	17-Oct-11	2,617,957	2,368,968	15,684	248,989
E-0046	Utility Study New Wells	28-Feb-09	1,918,151	1,912,181	-	5,970
E-0047	Air Impact Study	28-May-09	175,706	175,706	-	-
E-0048	Apra Harbor Landfill Groundwater Study	28-Feb-09	88,785	88,785	-	-
E-0049	Aquifer Sustainable Yield	28-Feb-09	22,948	22,948	-	-
E-0050	Cycling Demolition (C&D) Recycling Study	29-Dec-09	491,998	491,998	-	-
E-0051	Archaeological Curation	24-Jul-09	315,466	308,181	-	7,285
E-0052	Haul Roads	28-Feb-09	3,780,121	3,696,610	-	83,511
E-0053	Special Airspace Feasibility Study	24-Jul-09	1,010,921	749,318	50,165	261,603
E-0054	Bats and Snails	23-Jul-09	70,227	70,227	-	-
E-0056	Mod Alt 2	23-Jul-09	219,970	219,970	-	-
E-0057	Adaptive Management	23-Jul-09	223,338	223,338	-	-
E-0060	Tinian Training Ranges EIS	17-Aug-09	100,237	100,237	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
E-0061	LEED Sustainability Study	17-Aug-09	744,989	744,989	-	-
E-0063	Drainage Low Impact Development	20-Aug-09	518,592	518,592	-	-
E-0064	Paper Wasp Attractant Study	1-Sep-10	90,886	37,984	37,984	52,902
E-0066	On-Base Utility Study	24-Sep-09	69,797	69,797	-	-
E-0067	Wetlands Delineation Study	29-Dec-09	188,822	180,855	-	7,967
E-0069	Ground Water Study	22-Dec-11	1,297,750	1,020,036	263,288	277,714
E-0070	Emissions Calculations MSAT	13-May-10	25,745	25,745	-	-
E-0071	Northern District Waste Water Treatment Plant (NDWTTP) Diffuser Study	13-May-10	1,241	1,241	-	-
E-0077	Public Comment Assistance - Part I	18-Feb-10	365,921	365,921	-	-
E-0079	Sewer Interceptor	10-Apr-09	104,659	104,659	-	-
E-0080	DEIS Executive Summary Translation	16-Dec-09	2,000	2,000	-	-
E-0083	EIS Tiger Team Additional Spt	19-Feb-10	479,135	479,135	-	-
E-0087	Arch Mitigation	20-Oct-11	888,139	119,410	1,853	768,730
E-0089	WWTP Upgrade	13-May-10	2,441	2,441	-	-
E-0090	Barrigada Water Utility Study	31-Mar-10	29,211	29,211	-	-
E-0091	Power Utility Study Update	21-May-09	39,484	38,863	-	621
E-0092	Utility Tech Update Admin Record	17-Jun-11	52,106	52,106	-	-
E-0093	Waste Water Utility Update	31-Mar-10	45,796	45,796	-	-
E-0095	Integrated Solid Waste Management Plan (ISWMP)	4-Feb-10	225,340	115,663	38,817	109,677
E-0096	Coastal Consistency Determination	15-Jul-10	19,956	19,879	-	77
E-0098	Revised Hardcopies of FEIS	20-Aug-10	22,188	22,188	-	-
E-0099	Guam Wellhead Protection Regulations	15-Sep-10	37,591	37,591	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
E-0100	Finegayan Landfill No 2 Site Investigation	16-Sep-10	471,137	469,872	346,417	1,265
E-0101	Follow-on NEPA Terrestrial Mitigation	23-Dec-10	982,939	231,744	66,663	751,195
E-0102	Cultural Resources Consultation and Mitigation	28-Oct-10	839,370	304,370	49,683	535,000
E-0103	ROD Litigation	17-Oct-11	86,595	86,595	2,900	-
E-0104	Follow-on NEPA Terrestrial Mitigation	25-Oct-10	310,025	124,803	8,163	185,223
E-0105	Pesticide Soil Impacted Management Plan	25-Oct-10	62,222	62,222	2,849	-
E-0106	Support SIR Preparation	20-May-11	71,472	71,472	-	-
E-0109LA	Naval Munitions Site Alts SEIS	23-Dec-11	1,890,116	237,442	237,442	1,652,774
E-0109LB	NEPA Scoping SEIS	23-Dec-11	633,572	524,898	624,898	8,674
E-0109LC	Watershed Recon Studies SEIS	23-Dec-11	210,219	156,276	156,276	53,943
E-0109LD	LFTRC SEIS	23-Dec-11	1,243,550	625,143	625,143	618,407
E-0109LQ	SEIS	23-Dec-11	9,717	9,717	9,717	-
E-0109VE	Training Range Alts SEIS	23-Dec-11	2,109,946	707,646	707,646	1,402,300
E-112LA	Candidate Species Survey	16-Jul-12	369,694	-	-	369,694
E-112LB	Socioeconomic Study - SEIS	16-Jul-12	781,880	51,277	51,277	730,603
E-112LC	NOI Scoping, Draft, & Final SEIS	13-Jul-12	7,392,888	268,907	268,907	7,123,461
E-112LD	Additional Studies	23-Aug-12	678,547	27,521	27,521	651,026
E-112VE	Phase II Planning	13-Jul-12	4,138,715	84,817	84,817	4,053,898
H-0001	Guam Housing Special Purpose Entity (PPV)	20-Aug-09	393,191	393,191	-	-
J-0023	BOQ 1391s	6-Apr-10	295,614	295,614	-	-
J-0025	Finegayan Med/Dental Clinic 1391s	6-Apr-10	259,539	259,539	-	-
J-002X	Admin Facilities 1391s	6-Apr-10	683,408	680,911	-	2,497
N-0038	Acoustic Monitoring	25-Oct-10	425	425	-	-

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
P-0001	Master Plan, Phase II (IHS)	17-Mar-10	410,000	410,000	-	-
P-001B	Master Plan Phase III	5-Aug-11	4,647,914	1,465,611	465,030	3,182,303
P-0004	Project Documentation	30-Sep-09	4,000,000	36,324	-	3,963,676
P-0012	Develop 1391s and DPRI Project Support	24-Jul-09	932,998	507,194	1,289	425,803
P-0015	Master Plan Phase II	3-Sep-09	776,117	776,090	-	27
P-0030	Tinian MP Phase II	23-Jul-09	1,093,791	996,636	(48,494)	97,155
P-0044	SPE Consultant	6-Feb-09	198,020	198,020	-	-
P-0046	SPE Construct	7-Jan-09	5,591,774	5,591,620	1,325,752	154
P-0050	Historical Ordnance	1-Jul-09	108,447	108,447	-	-
P-0054	Barrigada Study	4-May-09	388,367	388,367	-	-
P-0055	Master Plan Alts 1,3,8	23-Aug-11	99,817	99,817	-	-
P-0057	Traffic Study	24-Jul-09	163,698	163,698	-	-
P-0059	Combustion Turbine Study	19-Feb-10	421,643	292,255	-	129,388
P-0060	Explosive Safety	8-Apr-11	846,183	306,351	71,262	539,832
P-0062	ATFP Blast Analysis	6-Apr-10	106,937	106,937	-	-
P-0063	Tinian Pagan Topographic Contour Data	25-Mar-10	288,549	288,549	-	-
P-0064	Guam Community Integrated Master Plan	20-Aug-10	569,204	569,204	30,736	-
P-0065	Facility Management Sustainment (FMS) Work Plan	11-Mar-11	83,771	83,771	-	-
P-0066	Utility Energy Management (UEM) Work Plan	11-Mar-11	51,801	51,801	-	-
P-0075	Alternative Laydown Plans	5-Aug-11	2,765,240	242,953	203,432	2,522,287
R-0001	Appraisal Guam (less Harmon)	3-Sep-09	967,452	864,949	-	102,503
R-0003	Boundary Survey (FAA Parcel)	24-Jul-09	210,199	204,360	-	5,839
R-0005	Title Evidence (HIS)	18-Mar-10	14,607	14,300	-	307
R-0007	Environmental Baseline Study	7-May-09	919,448	547,382	-	372,066

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
R-0008	Environmental Site Assessment - Route 15	25-Oct-10	11,082	10,956	-	125
R-0009	Relocation Assistance Plan	13-Nov-09	126,448	50,757	-	75,691
R-0011	Finding of Suitability, Guam	17-Mar-10	533,141	8,141	-	525,000
R-0013	Environmental Baseline SPE	22-Mar-10	461,756	460,758	148,012	998
S-0004	NAVFAC HQ Labor Support	24-Mar-09	2,331,073	2,165,322	11,931	165,750
S-0005	NAVFAC PAC Yearly Labor Support	24-Feb-09	16,854,319	13,337,791	2,656,228	3,516,528
S-0006	NAVFAC MAR Yearly Labor Support	26-Jan-10	5,565,611	3,703,763	41,219	1,861,848
S-0010	Program Support Contract	10-Sep-09	3,039,982	2,629,212	-	410,770
S-0012	PIB IPR Facilitation	26-Feb-10	156,600	156,600	-	-
S-0014	GPMO NAVFAC PAC SPAWAR C4ISR/IT Liaison	7-Mar-11	2,279,738	256,388	68,838	2,023,350
S-0015	Guam Program Support	29-Sep-11	8,778,571	3,212,677	863,498	5,565,894
S-0016	NAVFAC PAC JV Management Support	28-Sep-10	1,283,479	1,283,479	-	-
U-0001	Northern District Waste Water Treatment Plant (NDWWTP)	23-Jul-09	296,426	296,426	-	-
U-0002	Increase Pump Rate, Marbo Wells 5-9	25-Sep-10	1,228,387	1,163,012	795,202	65,375
U-0003	Tumon Maui Well Rehabilitation	16-Sep-10	390,883	380,400	440	10,483
U-0004	Combustion Turbines (CTs) Permit Air Monitoring	11-Mar-11	1,068,887	24,887	-	1,044,000
U-0005	Tumon Maui Well Rehab	26-Sep-11	4,699,775	1,353,769	1,322,615	3,346,006
N/A	Guam Road Projects - Defense Access Roads (DAR) Program (FHWA)	17-Aug-09	1,595,940	1,261,445	(51,774)	334,495
Subtotal			\$137,086,964	\$84,888,574	\$12,346,341	\$52,198,390

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
Army						
Archeological Survey	Survey All Potential AAMDC Unit Sites proposed in EIS	26-Jan-10	165,000	159,419	(1,474)	-
Environmental Impact Statement	EIS Processing Fee	27-Jan-10	27,000	27,000	-	-
Subtotal			\$192,000	\$186,419	\$(1,474)	-
Total			\$685,720,804	\$298,923,430	\$124,182,778	\$386,791,792

Sources: Department of Navy's response to DoD OIG data call, 1/11/2013. Army's response to DoDIG data call, 1/14/2013.

OTHER FEDERAL AGENCY PROJECT AND PROGRAM LIST

In CY 2012, the DOT identified funds directly associated with the realignment of military installations and military personnel to Guam. Specifically, the agency reported a total of 26 projects that were directly associated with the realignment. The DOT projects incurred costs of approximately \$8.7 million with an estimated completion cost of approximately \$26.9 million. Table 7 identifies the DOT projects and associated costs for CY 2012.

Table 7. Costs for Other Federal Agency Projects and Programs

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	MARAD-DTMA1D10002 (Mod 8)	Structural Review - Berths 4, 5 & 6 (August 1, 2011 through September 05, 2011)	1-Aug-12	\$106,388	\$89,622	\$89,622	\$16,766
DOT	MARAD-DTMA1D10002 (Mod 11)	Project Management (December 11, '11 - February 18, '12)	11-Dec-11	93,352	93,352	93,352	-
DOT	MARAD-DTMA1D10002 (Task Order 1)	To develop a geotechnical & seismic evaluation of the wharf and finalize the Implementation Plan by March 2012.		245,668	219,061	219,061	26,607
DOT	MARAD-DTMA1D10002 (Task Order 1)	To develop a geotechnical evaluation of the wharf and finalize the Implementation Plan by March 2012.	7-Feb-12	82,914	82,914	82,914	-

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	MARAD-DTMA1D10002 (Task Order 1, Mod 1)	To develop a seismic evaluation of the wharf and finalize the Implementation Plan by March 2012.	29-Feb-12	162,754	136,147	136,147	26,607
DOT	MARAD-DTMA1D10002 (Task Order 2)	To develop lighting plan for the port to hire a container yard lighting contractor	2-Nov-11	160,370	156,007	156,007	4,363
DOT	MARAD-DTMA1D10002 (Task Order 2, Mod 1)	To amend the lighting plan per additional lights based on available FEMA funds.	17-Nov-12	25,874	-	-	25,874
DOT	MARAD-DTMA1D10002 (Task Order 3)	Completion of the Environmental Assessment.	1-Jan-12	170,811	159,627	159,627	11,184
DOT	MARAD-DTMA1D10002 (Task Order 3)	Completion of the Environmental Assessment.	1-Jan-12	170,811	159,627	159,627	11,184
DOT	MARAD-DTMA1D10002 (Mod 13)	Project Management (February 19, '12 - March 31, '12)	19-Feb-12	41,195	41,195	41,195	-
DOT	MARAD-DTMA1D10002 (Mod 14)	Project Management (April 1-30, '12)	1-Apr-12	48,655	48,655	48,655	-
DOT	MARAD-DTMA1D10002 (Mod 15)	Project Management (May 1, '12 - July 31, '12)	1-May-12	158,401	155,589	155,589	2,812
DOT	MARAD-DTMA1D10002 (Task Order 4)	Designing three bays of the existing CFS building to include new office space components.	26-Jun-12	168,092	96,258	96,258	71,834
DOT	MARAD-1BPC11000004/11	Maritime Administration Engineering Support		242,112	229,113	78,392	13,000
DOT	FHWA - Government of Guam Contract Islandwide Program Management Services GU-NH-IPMS(002) DTFH6109X50001	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	1-Oct-08	5,372,550	5,036,366	(19,975)	336,184

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	MARAD-DTMA1D10002 (Task Order 5)	Support overall GCPI program environmental service as well as TO 005-specific environmental testing and providing the following design services in the PAG Break Bulk (BB) Yard: demolition of selected structures, replacement of associated lost lighting and pavement repairs, and implementation of four oil water separators.	7-Aug-12	473,904	201,020	201,020	272,884
DOT	FHWA - Government of Guam Contract Islandwide Program Management Services GU-NH-IPMS(002) 27XX66IPMS00273P0	Prepare Design/Build request for Qualifications for Proposals for FY2010 DAR projects, Route 1	1-Feb-10	2,949,137	2,306,076	940,786	643,061
DOT	FHWA - Government of Guam Contract Technical Support Services Islandwide Quality Assurance Testing GU-NH-TSIS(002)	Quality Assurance Testing for Route 1 Agana Bridge, Route 1/8, Route 11, and Route 1/11	16-Nov-11	299,485	6,547	6,547	292,938
DOT	FHWA - Government of Guam Contract Route 1 and 3 Intersection Improvements GU-NH-0001(125) 27XX66000112573P0	Consultant for Route 1/3 Intersection	8-Jun-11	570,740	59,262	-	511,478
DOT	FHWA - Government of Guam Contract Route 3 from Route 28 to Chalan Kareta GU-NH-0003(105) 27XX66000310573V0	Consultant for Route 3 from Route 28 to Chalan Kareta	26-May-11	2,182,765	125,665	125,665	2,057,100

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	FHWA - Government of Guam Contract Route 1 Agana Bridge and Route 1/8 Intersection GU-DAR-TI01(001) 27XX66TI0173V0	Construction of Route 1 Agana Bridge and Route 1/8 Intersection	28-Sep-11	11,489,814	3,511,304	3,511,304	7,978,510
DOT	FHWA - Government of Guam Contract Route 11 (Route 1 to Route 11) and Route 1/11 Intersection GU-DAR-0011(008) 27XX66001100873V0	Construction of Route 11 and Route 1/11 Intersection	4-Oct-11	14,016,299	2,204,035	2,204,035	11,812,264
DOT	FHWA - Government of Guam Contract Islandwide Professional Construction Management Services GU-NH-PCMS(001) 27XX66PCMS00173V0	Construction Management for Route 1 Agana Bridge, Route 1/8, Route 11, Route 1/11	28-Sep-11	2,117,165	-	-	2,117,165
DOT	FHWA - Government of Guam Contract Professional Services for Archaeological Support GU-NH-PSAS(001)	Archaeological Support for Route 1 Agana Bridge, Route 1/8, and Route 1/11	22-Mar-11	185,000	48,558	48,558	136,442
DOT	FHWA - Government of Guam Contract Technical Support Services Islandwide Geo-Technical Engineering GU-NH-TSIS(001)	Geotechnical Engineering for Route 1 Agana Bridge and Route 1/8	23-Aug-10	33,120	33,120	33,120	-
DOT	DTMA1D10002 (Task Order 7)	Project Management (August 1, '12 - October 31, '12)	7-Jul-12	272,920	245,212	245,212	27,707
DOT	DTMA1D10002 (Task Order 8)	Project Management (August 1, '12 - October 31, '12)	2-Nov-12	534,091	-	-	534,091

Source: DOT's response to DoD OIG data call, 1/10/2013.



U.S. Naval Hospital Ship Mercy at sea.

3

GOVERNMENT OF JAPAN REVENUE

SECTION 2835 (e)(1)(C)

Section 2350k, title 10 of the U.S. Code, grants the Secretary of Defense authority to accept cash contributions from any nation in support of a relocation of the armed forces. According to agreements between the U.S. and the GOJ, the GOJ is expected to provide up to \$2.80 billion (FY 2008 U.S. dollars) for the Marine Corps relocation from Okinawa to Guam. Public Law 111-84 requires the ICG annual report to contain a detailed statement on the revenues contributed by the GOJ and any obligations or expenditures of these revenues.

GOJ FUNDING FOR REALIGNMENT

In CY 2012, the Defense Finance and Accounting Service reported that the GOJ provided no revenues, earned approximately \$2.2 million in interest associated with revenues contributed to date, obligated approximately \$6.6 million, and expended approximately \$38 million. Table 8 shows the revenues, interest, obligations, and expenditures for each project.³

Table 8. GOJ Revenues/Interest/Obligations/Expenditures for Calendar Year 2012

Project	Revenue (Contribution)	Earnings on Investment	Obligations	Expenditures
Interest Earned Japanese FY 09 Funds	\$ -	\$774,394	\$ -	\$ -
Interest Earned Japanese FY 10 Funds	-	1,386,424	-	-
Japanese FY 09 Design Fire Station	-	-	11,049	11,049
Japanese FY 09 Design Waterfront Headquarters	-	-	(69,971)	15,737
Japanese FY 09 Design Apra Medical	-	-	(1,465)	161
Japanese FY 09 Design Bachelor Enlisted Quarters Complex	-	-	(165,335)	114,842
Japanese FY 09 Construction Andersen Air Force Base	-	-	3,368,897	7,844,552
Japanese FY 09 Construction Apra	-	-	3,452,159	29,994,201
Total	\$ -	\$2,160,818	\$6,595,334	\$37,980,542

Source: Defense Finance and Accounting Services' response to DoD OIG data call, 1/9/2013.

³ The table contains negative numbers which represent de-obligations.



A U.S. Air Force F-16D Fighting Falcon aircraft departs the runway at Andersen AFB, Guam.

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OPERATING EXPENSES

SECTION 2835(e)(1)(D)

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the operating expenses of agencies or entities receiving amounts appropriated or otherwise made available for military construction on Guam. The DoD Financial Management Regulation states that operating expenses include costs for personnel, travel, communications, contractual services, and other program expenses.

DEPARTMENT OF THE NAVY OPERATING EXPENSES

For CY 2012, the Department of the Navy (DON) reported operating expenses of \$24.9 million. Table 9 lists the operating expenses for DON support organizations associated with the military realignment on Guam.

**Table 9. Department of the Navy Operating Expenses Related to the Guam Realignment
(January 1, 2012 – December 31, 2012)**

Organization	Description of Expenses Incurred	Operating Expenses
JGPO	Salaries	\$1,245,000
JGPO	Travel	169,000
JGPO	Intragovernmental Purchases	793,000
JGPO	Other Contracts	149,000
JGPO	Commercial Transportation	25,000
JGPO	Supplies/Purchase Card	24,000
Marine Corps Pacific Division	Salaries	1,049,594
Marine Corps Pacific Division	Travel	261,429
Marine Corps Pacific Division	Supplies	2,177
Marine Corps Pacific Division	Contract	50,000
Marine Corps Installation and Logistics	Travel	78,431
Marine Corps Installation and Logistics	Contracts and Other Services	609,073
Marine Corps Installation and Logistics	Expensed Via NAVFAC	12,125,110
Marine Corps Installation and Logistics	Expensed Via Other Agencies	221,232
Marine Corps Installations and Logistics	FHOPS Contracts and Other Services	89,213
Marine Corps Marine Forces Pacific	Salaries	1,087,602
Marine Corps Marine Forces Pacific	Travel	200,108
Marine Corps Marine Forces Pacific	Supplies & Equipment	11,386
Marine Corps Marine Forces Pacific	Contract & Other Services	6,638,798
Marine Corps Marine Forces Pacific	Transportation of Things	41,303
Total		\$24,870,456

Source: Department of Navy's responses to DoD OIG data call, 1/11/2013 and 1/16/2013.

OPERATING EXPENSES FOR OTHER FEDERAL AGENCY

For CY 2012, DOT reported approximately \$425 thousand in operating expenses associated with the realignment of military installations and military personnel to Guam. Specifically, DOT identified salary, travel and contract costs. Table 10 lists operating expenses for DOT associated with the military realignment on Guam.

**Table 10. Other Federal Agency Operating Expenses Related to the Guam Realignment
(January 1, 2012 – December 31, 2012)**

Agency	Description of Expenses Incurred	Operating Expenses
DOT	Admin	\$116,805
DOT	Travel	36,733
DOT	Salaries	271,645
Total		\$425,183

Source: DOT's response to DoD OIG data call, 1/10/2013.



U.S. Air Force personnel perform ongoing construction at Andersen AFB, Guam.

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CONTRACTS, GRANTS, AGREEMENTS, OR OTHER FUNDING MECHANISMS DATA

SECTION 2835(e)(1)(E)

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the awarded contracts, grants, agreements, or other funding mechanisms that use amounts appropriated or otherwise made available for military construction on Guam.

DoD CONTRACTS/GRANTS/AGREEMENTS/OTHER FUNDING MECHANISMS

For CY 2012, NAVFAC Headquarters reported a total of 85 contracts totaling approximately \$34.7 million that were used to support the military realignment to Guam. Table 11 identifies NAVFAC Headquarters contracts, grants, agreements, or other funding mechanisms.⁴ A contract may be listed multiple times due to a task order, delivery order, or modification increasing the value of the contract.

Table 11. DoD Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1-December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	FA524009D0005	\$10,000,000	\$119,505	TASK ORDER TO PROVIDE ALL WORK RELATED TO A CHARRETTE FOR THE FY13 PROJECT 101A, NORTH RAMP PARKING, AAFB.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	13
DON	FA524009D0005	10,000,000	350,676	MODIFICATION IS TO EXERCISE OPTION I (CHARRETTE-RFP) ISSUED UNDER FA5240-09-D-0005 TASK ORDER 0004.	ARCHITECT-ENGINEER FAR 6.103	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	13
DON	N4019210C3000	Not applicable	(568)	MODIFICATION TO DEOBLIGATE FUNDING FOR NAVFAC PAC (NBGTS LANDFILL #1) FOR THE FIRST AWARD OPTION PERIOD (01 JAN 2010 TO 31 DEC 2010).	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7

⁴ The table contains negative numbers which represent de-obligations.

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019209D2707	400,000,000	(21,471)	MODIFICATION TO RECOVER THE UNUSED NOT-TO-EXCEED OBLIGATED AMOUNT BUDGETED FOR FURNITURE, FIXTURES AND EQUIPMENT (FFE) FOR TASK ORDER 0020 FOR THE RENOVATION OF MARFORFAC ADMIN BLDGS 155, 207 & 208, NCTS, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210C3000	Not applicable	752	MODIFICATION TO REMOVE/ DISPOSE EXISTING ABANDONED METAL UST AND PROVIDE TESTING AND SOIL SAMPLING AT MILITARY WORKING DOG RELOCATION PROJECT SITE, CORNER OF SHORELINE DRIVE.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	3,378	MODIFICATION TO PROVIDE FACILITIES MANAGEMENT SERVICES TO PROVIDE DIG PERMIT REQUEST FOR TUMON MAUI WELL.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	(329)	MODIFICATION TO 1) REVISE THE SECOND AWARD OPTION PERIOD TABLE ON PAGE 3 OF 15 OF P00081 AND 2) DE-OBLIGATE 2ND AWARD OPTION PERIOD UNEARNED AWARD FEE FOR THE SECOND AND THIRD EVALUATION PERIOD OF 01 MAY 2011 THROUGH 31 AUGUST 2011 AND 01 SEPTEMBER 2011 THROUGH 31 DECEMBER 2011 MAUI WELL.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	7

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210C3000	Not applicable	827	MODIFICATION TO PROVIDE FACILITIES MANAGEMENT SERVICES TO PROVIDE DIG PERMIT SERVICES FOR AREA #8 NEAR OROTE SUBSTATION LOCATED AT NAVAL BASE GUAM	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210D0006	50,000,000	225,080	TASK ORDER FOR MILITARY WORKING DOG, MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CLEARANCE FOR 20 MM THRU 60 MM PROJECTILE, U.S. NAVAL BASE GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D0004	50,000,000	66,462	MODIFICATION TO ADD PERFORMANCE OF DIGITAL GEOPHYSICAL SURVEY (FOR 20 MM THRU 60 MM PROJECTILES) OF THE MILITARY WORKING DOG (MWD) RELOCATION PROJECT SITE AT NAVAL BASE GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D0006	50,000,000	(3,180)	MODIFICATION TO DE-SCOPE COMMUNITY OUTREACH FOR THE MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CLEARANCE FOR THE MILITARY WORKING DOG FACILITIES REPLACEMENT PROJECT, U.S. NAVAL BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D0006	50,000,000	49,976	MODIFICATION TO ADD MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CLEARANCE FOR ADDITIONAL ELECTRICAL AND COMMUNICATION CORRIDOR ACROSS SHORELINE DRIVE TO MILITARY WORKING DOG PROJECT SITE AND TO CLEAR TWO LOCATIONS WHERE MEC SMALL ARMS WERE DISCOVERED	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3
DON	N4019210D0006	50,000,000	158,467	TASK ORDER FOR FULL CLEARANCE AHEAD OF CONSTRUCTION WITHIN THE PROJECT LIMITS OF MILITARY WORKING DOG, MUNITIONS AND EXPLOSIVES OF CONCERN PROJECT: CLEARANCE FOR UTILITY CORRIDORS, U.S. NAVAL BASE GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3
DON	N4019210D0007	50,000,000	196,193	MODIFICATION TO INCORPORATE THE FOLLOWING NORTH RAMP MUNITIONS AND EXPLOSIVES OF CONCERN SERVICES: ACQUIRE THE BALANCE OF ANOMALIES IN ACCORDANCE WITH THE DGM REPORT TO PROVIDE MEC FULL CLEARANCE OF NORTH RAMP PROJECT LIMITS AND TO INCLUDE MEC ESCORT SERVICES, SUPPORT BORINGS AND TEST PITS, FULL CLEARANCE AND HAZMAT DISPOSAL FOR NORTH RAMP SINKHOLE, ANDERSON AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D0007	50,000,000	635,190	MODIFICATION TO ADD AND DEDUCT MUNITIONS AND EXPLOSIVE OF CONCERN (MEC) SERVICES FOR THE NORTH RAMP PARKING, UTILITIES, AND COMM DUCT BANK AT THE ANDERSEN AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
DON	N4019210D0007	50,000,000	304,452	MODIFICATION TO ADD MEC SERVICES FOR THE NORTH RAMP PARKING, UTILITIES, AND COMMUNICATION DUCT BANK AT ANDERSEN AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
DON	N4019210D0007	50,000,000	431,038	MODIFICATION TO ADD MUNITIONS AND EXPLOSIVES OF CONCERN SERVICES FOR THE NORTH RAMP PROJECT, ANDERSEN AIR FORCE BASE, GUAM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
DON	N4019210D0007	50,000,000	(25,443)	MODIFICATION TO REDUCE CONTRACT AMOUNT FOR P204 PART C - CABLE HUT, FOR THE OVERSTATED 87 ANOMALIES.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
DON	N4019210D0007	50,000,000	160,000	MODIFICATION TO ADD TASK 9 MEC CONSTRUCTION SUPPORT ACTIVITIES FOR ADDITIONAL CONSTRUCTION SUPPORT ACTIVITIES MUNITIONS AND EXPLOSIVES OF CONCERN SERVICES WORK TO THE NORTH RAMP PARKING PROJECT AT ANDERSEN AIR FORCE BASE, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D0007	50,000,000	72,501	"MODIFICATION TO CAPTURE THE FOLLOWING FOR PROJECT P204 PART C - CABLE HUT, APRA HARBOR, GUAM: 1) BUY AN ADDITIONAL 156 ANOMALIES 2) NIGHT WORK TO INCLUDE LABOR 3) MAG&DIG EXTRA 400 SF 4) TIME EXTENSION: 187 DAYS; NEW CCD IS 09 JUN 2012"	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	4
DON	N4019210D0007	50,000,000	427,280	TASK ORDER FOR MUNITIONS AND EXPLOSIVES OF CONCERN CLEARANCE PHASE 3 FOR INTRUSIVE INVESTIGATION FOR P-100/P-100A, P-101/101A NORTH RAMP PARKING, UTILITIES, AND COMMUNICATION DUCT BANK AT AAFB, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2800	500,000,000	203,590	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1¿ TO INCORPORATE THE FOLLOWING REVISED PART A SPEC SECTIONS CHANGES AND THEIR RESPECTIVE ATTACHMENTS:¿ REVISED SOW PART 1&2; ATTACHMENT 1-3 OF 7¿ IFIT TREES AND LOGS, ATTACHMENT 4 OF 7¿ HANDLING OF HIGH VALUE TREES, ATTACHMENT 5 OF 7¿ IFIT PROPOSED IFIT STORAGE, ATTACHMENT 6-7 OF 7¿ PHOTO CD LOCATION OF IFIT TREES THESE RELATED TO ENVIRONMENTAL SPECIFICATIONS NOT ADDRESSED DURING THE INITIAL RFP PHASE.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2800	500,000,000	(1,739,304)	MODIFICATION FOR PROJECT P-100 NORTH RAMP UTILITIES, INCREMENT 1¿ TO DEDUCT SANITARY SEWER AND WATER DISTRIBUTION	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2801	500,000,000	3,350	MODIFICATION TO ADD THE FOLLOWING WORK IN ACCORDANCE WITH FAR CLAUSE 52.243-4 (JUN 2007) FOR MCON PROJECT P204 WHARF IMPROVEMENTS, APRA PH 1 (PART C) CABLE HUT, NAVAL BASE GUAM: PROVIDE ADDITIONAL SURVEYOR SUPPORT TO VALIDATE THE MEC GEOPHYSICAL SURVEY LOCATIONS.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2801	500,000,000	(233,411)	MODIFICATION TO DELETE THE MEC CLEARANCE REQUIREMENTS FROM THE PRIME CONTRACTOR FOR CABLE HUT, PART C.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2801	500,000,000	51,838	"MODIFICATION TO INCORPORATE THE FOLLOWING CHANGES P204 CABLE HUT, PART C: 1. RELOCATION OF TELECOMMUNICATION MANHOLES AND NEW BUILDING 3012 CONNECTION 2. CATV REVISIONS 3. ADDITIONAL IDS PROVISIONS 4. EYEWASH REVISIONS"	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2801	500,000,000	40,552	"MODIFICATION TO DEDUCT AND ADD THE FOLLOWING WORK FOR P204 CABLE HUT, PART C: 1. REDESIGN HVAC SYSTEM IN ACCORDANCE WITH REVISED HEAT LOAD CALCULATIONS. 2. PROVIDE AND INSTALL A THREE (3) TON HVAC UNIT. 3. REROUTE DESIGNED DUCTWORK THAT IS CURRENTLY SHOWN TO BE INSTALLED OVER THE ELECTRICAL EQUIPMENT AND WORKING SPACE. 4. PROVIDE ADDITIONAL DUCT WORK FOR THE BYPASS ON THE FIBER LINE 5. REMOVE INTERIOR EYEWASH STATION FROM THE BATTERY ROOM"	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2801	500,000,000	130,297	TUMON MAUI WELL REHABILITATION PROJECT: MODIFICATION TO PERFORM HAZARDOUS WASTE MITIGATION IN THE TUMON MAUI WELL TUNNEL AREAS, PUMP ROOM AND VENTILATION SHAFT.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	5
DON	N4019210D2803	500,000,000	(192,426)	"FY 10 MILCON PROJECT NORTH RAMP PARKING COMMUNICATION DUCT BANK, ANDERSEN AIR FORCE BASE (AAFB), GUAM: MODIFICATION TO INCORPORATE THE FOLLOWING: 1. DEDUCT REQUIREMENT FOR MAINTENANCE COILS OF 1200PR COPPER IN EACH MANHOLE (~680M). 2. DEDUCT REQUIREMENT FOR FIFTEEN (15) 4-INCH CONDUITS FROM B2616 TO INTERSECTION OF 38TH AND 33RD STREETS. 3. PROVIDE EIGHT (8) 4-INCH CONDUITS FROM B2616 TO INTERSECTION OF 38TH AND 33RD STREETS."	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2803	500,000,000	281,397	FY 10 MILCON PROJECT NORTH RAMP PARKING COMMUNICATION DUCT BANK, ANDERSEN AIR FORCE BASE (AAFB), GUAM: MODIFICATION TO ADD ADDITIONAL MANHOLES INTO THE DESIGN AND TO ANTICIPATE THE DESIGN PASSING THROUGH THE FUTURE AIR FREIGHT TERMINAL FACILITY, WHICH WAS OVERLOOK ON THE RFP DESIGN STAGE.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2803	500,000,000	262,677	<p>FY 10 MILCON PROJECT NORTH RAMP PARKING COMMUNICATION DUCT BANK. ANDERSEN AIR FORCE BASE (AAFB), GUAM: THIS CHANGE WAS INITIATED TO COMPLY WITH THE PA (PROGRAMMATIC AGREEMENT) AND ROD (RECORD OF DECISION) REQUIREMENTS, THE ENVIRONMENTAL SPECIFICATIONS FOR THIS PROJECT MUST BE REVISED ACCORDINGLY. THE MAGNITUDE OF THIS CHANGE INCLUDED DESIGN SUBMITTAL PROCEDURES, TEMPORARY ENVIRONMENTAL CONTROLS, CONSTRUCTION DEMOLITION WASTE MANAGEMENT FOR DESIGN BUILD PROJECTS, COMPREHENSIVE CONSTRUCTION STORM WATER POLLUTION PREVENTION PLAN (COMPREHENSIVE SWPPP), COCONUT RHINOCEROS BEETLE (CRB) MANAGEMENT PROCEDURES, COMPREHENSIVE CONSTRUCTION & DEMOLITION (C&D) AND SOLID WASTE MANAGEMENT PLAN (COMPREHENSIVE WMP), DESIGN AND CONSTRUCTION MITIGATION MONITORING AND TRACKING PLAN, FINAL GUAM LANDSCAPING GUIDELINES. THIS CRITERIA CHANGE IS A RESULT OF CONTRACT AWARD PRIOR TO THE INCORPORATION OF THESE NEW ENVIRONMENTAL SPECIFICATIONS.</p>	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2803	500,000,000	(23,177)	FY 10 MILCON PROJECT NORTH RAMP PARKING COMMUNICATION DUCT BANK, ANDERSEN AIR FORCE BASE (AAFB), GUAM: MODIFICATION TO REPLACE 24 AWG 1200PR CU TO 22 AWG 900PR CU CABLE FROM BLDG 2616 TO THE END OF THE PROPOSED NEW COMMUNICATION DUCT BANK NEAR MH34-10 IN THE SOUTH RAMP.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2810	500,000,000	164,596	FY10 MCON P1003 - MILITARY WORKING DOG RELOCATION: MODIFICATION TO INCORPORATE ELECTRONIC SECURITY SYSTEM.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2810	500,000,000	14,141,800	TASK ORDER FOR FY10 MCON P-204, WHARF IMPROVEMENTS (UNIFORM&TANGO) APRA PHASE 1 (SECONDARY PART E), TO PROVIDE FOR WHARF STRENGTHENING, MOORING AND BERTHING SYSTEM, UTILITIES AND WHARF STORM DRAIN SYSTEMS, ELECTRICAL AND COMMUNICATION SYSTEMS, DEMOLITION AND RECONSTRUCTION OF A GENERATOR BUILDING, AND SITE IMPROVEMENTS AT TANGO WHARF, NAVAL BASE GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N401921228001	Not applicable	348,694	DOCUMENTATION OF THE COOPERATIVE AGREEMENT BETWEEN THE DEPT OF NAVY AND THE UNIVERSITY OF GUAM TO CONDUCT FEDERAL CANDIDATE SPECIES SURVEYS ON GUAM.	RSOI (Request for Statement of Interest)	FULL AND OPEN COMPETITION	1
DON	N4019212P5001	Not applicable	30,050	THIS PROJECT IS FOR CONSERVATION OF CYCADS INCLUSIVE OF REMOVAL FROM ANDERSON AIR FORCE BASE, GU AND OFF-SITE TRANSPLANTING OF A MINIMUM 40 CYCADS.	SIMPLIFIED ACQUISITION	COMPETED UNDER SAP	3
DON	N6247009D2003	20,000,000	(43,627)	ADMIN RECORD FOR THE GUAM MILITARY BUILD ENVIRONMENTAL IMPACT STATEMENT/OVERSEAS ENVIRONMENTAL IMPACT STATEMENT - RECORD NUMBER TO BE REVIEWED FOR FIRING RANGE IS REDUCED	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6247010D3008	40,000,000	(287,215)	T4C - REMAINING ARCHITECT-ENGINEER SERVICES FOR COMMUNITY PLANS AND LIAISON OFFICE (CP&LO) SUPPORT&GUAM COMMUNITY INTEGRATED MASTER PLAN (CIMP) ARE NO LONGER REQUIRED. THIS IS A NO-COST SETTLEMENT TO DELETE REMAINING WORK UNDER THE TASK ORDER FOR THE DEVELOPMENT OF A CIMP.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6247010D3011	50,000,000	28,495	WATERSHED RECONNAISSANCE STUDIES FOR THE POTENTIAL SITE OF THE LIVE FIRE TRAINING RANGE COMPLEX GUAM: MODIFICATION TO SURVEY TWO WATERSHEDS AT RECONNAISSANCE LEVEL FOR PLACEMENT OF LFTRC IN GUAM FOR JGPO SEIS.	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274206D1870	65,000,000	(51)	WASTEWATER STUDIES TO SUPPORT EIS MC RELOCATION: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(1,866)	NATURAL RESOURCES SURVEYS OF GUAM IN SUPPORT OF A MARINE CORPS RESTORATION INITIATIVE TO VARIOUS LOCATIONS ON GUAM: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(85,661)	TASKS IN SUPPORT OF AN EIS/OEIS FOR JGPO ACTIONS, MASTER INTERGRATED SECHDULE (MIS) FOR RELOCATION OF MARINES TO THE MARIANA ISLAND: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(2)	AIR STUDY, GUAM: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(1)	NEW WELLS, WATER WELL TESTING STUDY IN SUPPORT OF THE EIS MC RELOCATION: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274206D1870	65,000,000	(51,694)	MODIFICATION TO DELETE THE UTILITIES REVIEW AND RIGHT-OF-WAY MITIGATION METHODOLOGY. TASK ORDER 0037 PROVIDED TECHNICAL REVIEW OF (1) TRANSPORTATION INFRASTRUCTURE IMPACTS DUE TO COMMUNITY BUILD-UP AND (2) GUAM HAUL ROAD NETWORK, REMAINING SERVICES LIFE PROJECT.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(6,390)	REMOTE SENSING WETLAND MAPPING FOR LEAST ENVIRONMENTAL DAMAGING PRACTICABLE ALTERNATIVE IN SUPPORT OF THE EIS FOR THE GUAM AND CNMI MILITARY RELOCATION: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	65,000,000	(900)	ALTERNATIVES ANALYSIS REPORT BASED UPON THE Marine Corps DISTRIBUTED LAYDOWN. E0112VE PLANNING PHASE II: MODIFICATION TO DEOBLIGATE EXCESS FUNDS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274208D0009	100,000,000	5,355	MODIFICATION TO INCORPORATE TRAVEL TO GUAM FOR ON-SITE GIS SUPPORT FOR LIVE FIRE TRAINING RANGE COMPLEX ALTERNATIVES ANALYSIS. TASK ORDER 0029 IS FOR GIS SUPPORT.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274208D0009	100,000,000	59,998	MODIFICATION FOR ADDITIONAL POST CONSTRUCTION AWARD SERVICES FOR JFY09 J-001 GUAM UTILITIES AND SITE IMPROVEMENTS - PH I, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	100,000,000	354,634	TASK ORDER FOR GIS SUPPORT SERVICES FOR THE PERIOD 30 SEPTEMBER 2012 TO 29 SEPTEMBER 2013.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0201	7,500,000	480,394	TASK ORDER FOR Marine Corps OAHU LAND USE STUDY	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	5
DON	N6274209D0002	100,000,000	69,286	MODIFICATION TO INCORPORATE ADDITIONAL POST CONSTRUCTION AWARD SERVICES FOR TO 0006 FOR FY10 MCON P-204 WHARF IMPROVEMENTS, APRA PH 1.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274209D0002	100,000,000	60,046	MODIFICATION TO INCORPORATE ADDITIONAL POST CONSTRUCTION AWARD SERVICES FOR TO 0006 FOR FY10 MCON P-204 WHARF IMPROVEMENTS, APRA PH I, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274210D0003	50,000,000	(69,971)	MODIFICATION TO CANCEL WORK RELATED TO CONSTRUCTION PHASE LEED DOCUMENTATION AND FUNDAMENTAL COMMISSIONING SERVICES FOR TASK ORDER 0001 FOR GOJ JFY10 WATERFRONT HEADQUARTERS BUILDING, APRA HARBOR, GUAM.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	17

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D0003	50,000,000	(219,361)	MODIFICATION TO CANCEL WORK RELATED TO THE 100% SUBMITTAL, FINAL SUBMITTAL, DESIGN QUALITY CONTROL PLAN CHECKLIST, AND EXTERIOR COLOR/MATERIAL SAMPLE BOARDS FOR TASK ORDER 0003 FOR JFY09 J-010 BEQ PROTOTYPE DESIGN, MARINE CORPS BASE, FINEGAYAN, GUAM.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	17
DON	N6274210D1309	4,000,000,000	15,109	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO PROVIDE FOR ALL DESIGN EFFORT REQUIRED DUE TO THE CANCELLATION OF ADDITIONAL WORK REQUESTED UNDER RFP FOR PC09 DATED 23 JUNE 2011. THIS WORK WAS DIRECTED BY THE DM DURING THE 60% DESIGN REVIEW AND THE CONTRACTOR COMPLETED THE CHANGES IN GOOD FAITH. ALL THE WORK COVERED UNDER PC09 WAS REMOVED FROM THE P-204 PROGRAM UPON AWARD OF J001.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

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Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D1309	4,000,000,000	346,244	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO PROVIDE ALL EFFORT REQUIRED TO DEAL WITH TWO DIFFERING SITE CONDITIONS DISCOVERED ON SITE. THE FIRST IS A CONCRETE FIRE SUPPRESSION VAULT WHICH SHOWED AS A SIMPLE CONCRETE PAD ON ALL AVAILABLE DRAWINGS. THE SECOND IS A NON-POTABLE WATER LINE THAT WAS DISCOVERED AND DIRECTED BY NFM FPE AS A NECESSITY TO REMOVE AND REPLACE WITH NEW.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	4,000,000,000	46,769	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE DESIGN CHANGES TO THE DESIGN BID BUILD PORTION OF THE CONTRACT DUE TO H-PILE TEST RESULTS NOT MEETING THE REQUIREMENTS AS EXPECTED BY THE DBB DESIGNER OF RECORD.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1-December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D1309	4,000,000,000	633,747	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE CHANGES TO THE CONTRACT, IN ACCORDANCE WITH GUAM MACC BUILDERS PROPOSAL DATED MAY 01, 2012. FOR THE CONSTRUCTION EFFORT TO ACCOMMODATE THE SLOTTED DRAIN AND REVISED GRADING REQUIREMENTS ALONG UNIFORM AND TANGO WHARVES IN ACCORDANCE WITH THE RESPONSE TO RFI D-025.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	4,000,000,000	94,997	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE ADDITIONAL ENVIRONMENTAL MONITORING REQUIREMENTS DUE TO HARD CORAL SPAWNING AS REQUIRED BY US ACOE.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	4,000,000,000	110,800	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO INCORPORATE CHANGES TO THE CONTRACT, IN ACCORDANCE WITH GUAM MACC BUILDERS PROPOSAL DATED 15 JUNE 2012, FOR FENDER REVISIONS AND MOORING ARRANGEMENTS ASSOCIATED WITH THE RESPONSE TO RFI#C-45.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D1309	4,000,000,000	(128,236)	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: DEDUCTIVE MODIFICATION TO REIMBURSE THE GOVERNMENT TO CHANGES MADE BY THE CONTRACTOR TO THE DESIGN BID BUILD PORTION OF THE WORK. THE CONTRACTOR ELECTED TO USE A DIFFERENT STEEL SHEET PILING SYSTEM, WHICH WAS ALLOWED PER CONTRACT TERMS AT NO ADDITIONAL COST TO THE GOVERNMENT.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	4,000,000,000	67,066	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: ADDITIVE/ DEDUCTIVE MOD TO REDUCE THE SIZE OF 6 MANHOLES ALONG THE WHARF AND PROVIDE A DEDICATED CABINET FOR CABLE TELEVISION SERVICES.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	4,000,000,000	32,314	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM: MODIFICATION TO ADD ADDITIONAL LUGS ON HPILES ALONG TANGO WHARF DUE TO RESULTS OF H-PILE TESTING.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D1309	4,000,000,000	(1,102,365)	P-204 APRA HARBOR WHARF IMPROVEMENTS UNIFORM AND TANGO (PARTS A&B), NAVAL BASE GUAM; MODIFICATION TO RENEGOTIATE UNIT PRICE FOR STONE COLUMNS IN ACCORDANCE WITH FAR CLAUSE 52.211-18 AS STATED IN THE CONTRACT AWARD	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	4,000,000,000	1,586,498	P-101 INC 1&P-101A INC 2/ PC07: MODIFICATION TO EXPAND THE APRON IN THE NORTHEAST QUADRANT B, REDUCE THE WESTERN EDGE OF THE APRON AND ELIMINATE THE TOWWAY. SCOPE ALSO INCLUDES INCORPORATING PART 2 SPEC SECTION CHANGES AND REMOVING THE NORTH RAMP PARKING DIRECT FUELING STATIONS, RINSE STATION FACILITY AND ASSOCIATED WORK.	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	4,000,000,000	375,685	NR PARKING, P-101 INC 1&P-101A INC 2/ PC07: MODIFICATION IAW SOW REV 01, ADDITIONAL COMMUNICATION RELATED REQUIREMENTS, DTD 24AUG12	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	4,000,000,000	19,477	NR PARKING, P-101 INC 1&P-101A INC 2/ PC08; RESTRIPE PARKING LOT	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	4,000,000,000	73,276	NR PARKING, P-101 INC 1&P-101A INC 2/ PC09; DESIGN WASH RACK	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

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Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211C0400	Not applicable	467,330	JFY09 MIAMIZU J-001 UTILITIES&SITE IMPROVEMENTS, PHASE I, GUAM: MODIFICATION TO PROVIDE MEC/MPPEH SUPPORT FOR SURFACE CLEARING AND GROUND RADAR SUBSURFACE INVESTIGATION FOR 20 MM MORTAR AND ABOVE. SCOPE REQUIREMENTS ARE TO DETECT, IDENTIFY AND DEVELOP A MAP OF ANOMALIES SUSPECTED OF BEING 20MM MORTAR AND LARGER.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211C0400	Not applicable	932,110	JFY09 MIAMIZU J-001 U&SI IMPROVEMENTS, PHASE I (AAFB/APRA HARBOR), GUAM: MODIFICATION TO INCORPORATE DPRI PROGRAMMATIC AND ENVIRONMENTAL REQUIREMENTS.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211C0400	Not applicable	1,863,254	JFY09 MIAMIZU J-001 UTILITIES&SITE IMPROVEMENTS, PHASE I (AAFB/APRA HARBOR), GUAM: MODIFICATION FOR MEC CLEARING TO PROVIDE PRE-CONSTRUCTION AND CONSTRUCTION SUPPORT MEC CLEARANCE FOR J-001 ACE GATE.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211C0400	Not applicable	71,646	JFY09 MAMIZU J-001 UTILITIES & SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO INCORPORATE REQUIREMENTS TO COMPLY W/ CURRENT UFC ELECTRICAL REQMTS TO PROVIDE A GROUP TRIP FOR ALL POWER MOUND CIRCUIT BREAKERS. UFC CRITERIA CHANGED AFTER CUT-OFF DATE FOR PROPOSALS DURING PRE-AWARD.	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211C0400	Not applicable	(6,082)	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I (ACE GATE): DELETE TERRAZZO FLOORINGS OF COMM/ELECTRIC ROOMS OF ECF, VIF&PASS&ID	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211C0400	Not applicable	75,138	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: MODIFICATION TO PROVIDE TEST SWITCHES FOR SEL 734 METERS AND RELOCATE CIRCUIT BREAKERS	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211C0400	Not applicable	45,860	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRA HARBOR, NAVAL BASE GUAM: DEDUCTIVE MODIFICATION TO DESIGN AND PROVIDE CMU WALL FOR VIF BLDG AND ACTIVATION SWITCH	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211C0400	Not applicable	(301,396)	JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE I, APRRA HARBOR, NAVAL BASE GUAM: DEDUCTIVE MODIFICATION TO DELETE ALL CCTV CAMERAS AND ASSOCIATED CABLE WORK AT THE SITE AND CCTV BLDG	NEGOTIATED PROPOSAL/ QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274211D1800	10,000,000	1,188,390	TASK ORDER FOR P0081 MCBH OPTIMIZATION PLAN	ARCHITECT- ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	1
DON	N6274211D1801	50,000,000	312,965	"MODIFICATION TO PROVIDE THE FOLLOWING CHANGES TO TASK ORDER 0005 FOR ALTERNATIVE ANALYSIS FOR POSSIBLE LIVE FIRING RANGE ALTERNATIVES ON GUAM: 1. LOCATIONS TO BE ANALYZED TO INCLUDE ANDERSON AFB NORTHWEST FIELD AND FINEGAYAN ALTERNATIVES A & B. 2. TASK 1 - RECONFIRM FIRING RANGE, LOADING AND SUPPORT FACILITIES REQUIREMENTS. 3. TASK 2 - A THIRD SITE VISIT IS ADDED TO EXAMINE AAFB NORTHWEST FIELD AND FINEGAYAN ALTERNATIVES A&B. 4. TASK 7 - PROVIDE AIRSPACE CONSULTATION FOR ALL ALTERNATIVES REGARDING POTENTIAL IMPACTS OF SDZS ON GUAM AIRSPACE."	ARCHITECT- ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211D1801	50,000,000	(202,168)	MODIFICATION TO DOCUMENT, ASSESS, EVALUATE THE HISTORIC SIGNIFICANCE OF, AND PRODUCE GEOGRAPHIC INFORMATION SYSTEM (GIS) MAPS AND DATA LAYERS FOR CULTURAL SITES AND HISTORIC ARCHITECTURE/ STRUCTURES LOCATED IN THE SURVEY AREAS. TASK ORDER 0007 IS FOR ARCHAEOLOGICAL SURVEYS AND CULTURAL RESOURCE STUDIES IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX SEIS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	50,000,000	544,820	MODIFICATION TO DOCUMENT, ASSESS, EVALUATE THE HISTORIC SIGNIFICANCE OF, AND PRODUCE GEOGRAPHIC INFORMATION SYSTEM (GIS) MAPS AND DATA LAYERS FOR CULTURAL SITES AND HISTORIC ARCHITECTURE/ STRUCTURES LOCATED IN THE PROPOSED APE FOR THE SITING OF A LIVE FIRE TRAINING RANGE COMPLEX AND MAIN CANTONMENT ON THE ISLAND OF GUAM. TASK ORDER 0007 IS FOR ARCHAEOLOGICAL SURVEYS AND CULTURAL RESOURCE STUDIES IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX SEIS.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

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Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1- December 31, 2012 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211D1801	50,000,000	637,283	MODIFICATION TO PREPARE A REMOTE SENSING WETLAND MAPPING AND BIOLOGICAL SURVEYS FOR UNITED STATES MARINE CORPS TRAINING RANGE ALTERNATIVES IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT (LFTRC-SEIS) ON GUAM.	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	50,000,000	3,769,519	TASK ORDER FOR GUAM AND CNMI MILITARY RELOCATION PLANNING REPORT IN SUPPORT OF THE SEIS AND ROD	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	50,000,000	605,440	TASK ORDER FOR SOCIOECONOMIC STUDY GUAM AND CNMI MILITARY RELOCATION SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	50,000,000	5,571,928	TASK ORDER TO PROVIDE SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT (SEIS) - DRAFT, FINAL AND RECORD OF DECISION FOR GUAM AND CNMI MILITARY RELOCATION	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

CONTRACTS, GRANTS, AGREEMENTS, OR OTHER FUNDING MECHANISMS DATA

For CY 2012, the DOT reported 3 contracts and 12 grants, totaling approximately \$3.6 million. Table 12 identifies DOT contracts, grants, agreements, or other funding mechanisms.

Table 12. Other Federal Agency Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	MARAD - Contract DTMA1D10002	\$50,000,000	\$2,606,494	Program Management	Full and Open	Unlimited	5
DOT	DOI Office of Insular Affairs (MARAD)	1,100,000	-	Support the modernization efforts for the Port of Guam Improvement Enterprise Fund			
DOT	DOC Economic Development Agency (MARAD)	2,000,000	19,338	Preliminary Design & Engineering Services for the Port of Guam			
DOT	"FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island Wide Program Management Services (funds from Marine Corps) DTFH6109X50001 (Advice/Mod #1)"	3,696,610	-	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	"FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island Wide Program Management Services (funds from Marine Corps) DTFH6109X50001 (Advice/Mod #3)"	1,595,940	-	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5

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Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	"FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island Wide Program Management Services (funds from BUMED) DTFH6109X50001 (Advice/Mod #2)"	80,000	-	Prepare NEPA Document and Coordination between Government of Guam DPW, FHWA, and DOD	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	"FHWA - Grant to Government of Guam GU-NH-IPMS(002) Island Wide Program Management Services 27XX66IPMS00273P0 (\$2,646,633.08) 27XX66IPMS00273V0 (\$302,504.00)"	2,949,137	-	Prepare Design/Build request for Qualifications for Proposals for FY2010 DAR projects, Route 1 east of Route 4, Route 11/1, Route 11, Route 1/8, and Agana Bridge	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	"FHWA - Grant to Government of Guam GU-NH-0001(125) 27XX66000112573P0"	570,740	-	Consultant for Route 1/3 Intersection	RFP	Open Advertisement	3
DOT	"FHWA - Grant to Government of Guam GU-NH-0003(105) 27XX66000310573V0"	2,182,765	-	Consultant for Route 3 from Route 28 to Chalan Kareta	RFP	Open Advertisement	3
DOT	"FHWA - Grant to Government of Guam GU-DAR-TI01(001) 27XX66TI0173V0"	11,489,814	250,000	Construction for Route 1 Agana Bridges and Route 1/8 Intersection	RFP	Open Advertisement	3
DOT	"FHWA - Grant to Government of Guam GU-DAR-0011(008) 27XX66001100873V0"	14,016,299	246,508	Construction for Route 11 and Route 1/11 Intersection	RFP	Open Advertisement	3

Agency	Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2012	Estimated Costs to Complete
DOT	"FHWA - Grant to Government of Guam GU-NH-PCMS(001) 27XX66PCMS00173V0"	2,117,165	-	Construction Management for Route 1 Agana Bridges, Route 1/8 Intersection, Route 11 and Route 1/11 Intersection	ID/IQ RFP	Base Contract - Open Advertisement	5
DOT	"FHWA - Grant to Government of Guam GU-NH-PSAS(001)"	185,000	185,000	Professional Services for Archaeological Support	RFP	Base Contract - Open Advertisement	3
DOT	"FHWA - Grant to Government of Guam GU-NH-TSIS(002)"	299,485	299,485	Technical Support Services Island-wide Quality Assurance Testing	RFP	Base Contract - Open Advertisement	1
DOT	"FHWA - Grant to Government of Guam GU-NH-TSIS(001)"	33,120	33,120	Technical Support Services Island-wide Geotechnical Engineering	RFP	Base Contract - Open Advertisement	2

Source: DOT's response to DoD OIG data call, 1/10/2013.

Acronyms

DCAA	Defense Contract Audit Agency
DOI	Department of the Interior
DON	Department of the Navy
DOT	Department of Transportation
DPRI	Defense Posture Review Initiative
GOJ	Government of Japan
ICG	Interagency Coordination Group of Inspectors General for Guam Realignment
JGPO	Joint Guam Program Office
MARAD	Maritime Division
MILCON	Military Construction
NAVFAC	Naval Facilities Engineering Command
NAVFACPAC	Naval Facilities Engineering Command, Pacific
NDAA	National Defense Authorization Act
OIG	Office of Inspector General

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