

Data Bank Education Forum
October 10-11, 2012
Denver, CO

Judy Rodgers, Senior Advisor
Elizabeth Rezaizadeh, M.P.H., J.D., Policy Analyst
U.S. Department of Health and Human Services
Health Resources and Services Administration
Bureau of Health Professions
Division of Practitioner Data Bank

Compliance

- Past Activities
- Hospital Initiative
- New State Compliance Framework
- New Compliance Page
- New Compliance Status Posting

- Past Activities

Compliance

Compliance Focus:

- To ensure that the reporting and querying requirements are met by all entities
- To educate reporting and querying entities
- To improve completeness and accuracy of reporting to the Data Bank

Compliance

Compliance Activities to Date:

- Never Reported Profession Compliance Project
- Adverse Licensure Action Comparison Project

Compliance

Never Reported Profession Project

- Developed a list of state agencies responsible for licensing or certifying health care professionals
- List was compared to data in the HIPDB
- States received letters for professions for which no data were found in the HIPDB

Compliance

Adverse Licensure Action Project

- Compared publicly available disciplinary data with HIPDB data
- In some cases, requested data from state boards and agencies if we could not find data
- Requested reconciliation of data that were not matched to reports in the Data Bank

Compliance

Results of Compliance Activities

Profession	Compliance Status - # Compliant Professions							# New Reports	Disclosures
	Jul-10	Oct-10	Apr-11	Jul-11	Oct-11	Dec-11	Jul-12		
Never Reported*	261 (39%)	415 (63%)	493 (74%)	490 (74%)	494 (77%)	494 (77%)	413 (88%)	15,825	622
Nurses	7 (13%)	22 (43%)	46 (90%)	49 (96%)	50 (98%)	50 (98%)	51 (98%)	475	171
Pharmacists	0 (0%)	19 (40%)	39 (83%)	41 (87%)	41 (87%)	41 (87%)	48 (94%)	1,039	269
Physician Assistants	5 (10%)	43 (90%)	46 (96%)	48 (100%)	48 (100%)	48 (100%)	48 (100%)	31	103
Podiatrists	3 (7%)	38 (83%)	43 (93%)	45 (98%)	45 (98%)	45 (98%)	45 (98%)	14	64
Psychologists	1 (2%)	33 (65%)	44 (86%)	46 (90%)	47 (92%)	48 (94%)	50 (98%)	78	97
Social Workers	0 (0%)	29 (59%)	45 (92%)	46 (94%)	46 (94%)	48 (98%)	50 (98%)	226	115

* 56 new registrations resulted from the Never Reported Professions Compliance Effort

Compliance

Results of Compliance Activities

Profession	Compliance Status - # Compliant Professions							# New Reports	Disclosures
	Jul-10	Oct-10	Apr-11	Jul-11	Oct-11	Dec-11	Jul-12		
Physicians	-	-	68 (67%)	87 (86%)	95 (94%)	99 (98%)	102 (100%)	710	2582
Dentists	-	-	41 (80%)	47 (92%)	48 (94%)	49 (96%)	50 (98%)	467	1150
Chiropractors	-	-	-	-	50 (98%)	51 (100%)	51 (100%)	288	170
Optometrists	-	-	-	-	50 (98%)	51 (100%)	51 (100%)	41	55
Physical Therapists	-	-	-	-	82 (96%)	83 (98%)	85 (100%)	83	15
Behavioral Health	-	-	-	-	-	-	286 (89%)	275	62

* Data as of 06/30/2012

Adverse Licensure Project Totals: 3727 4853

- Hospital Initiative

Compliance

Hospital Initiative

The next major compliance outreach project involves hospitals, using a two-pronged approach.

First, determine the universe of hospitals:

- Work with American Hospital Association (AHA) to obtain accurate data on the universe of hospitals
- Compare AHA data with information about hospitals currently registered with the Data Banks
- Conduct outreach with any hospitals identified by gap analysis

(continued)

Compliance

Hospital Initiative, continued

Second, develop a hospital review process:

- Understand credentialing, peer review processes and impact on adverse action reporting
- Conduct discussion groups with stakeholders, e.g.:
 - Executive Committee members
 - Hospital representatives
 - Health Care Compliance Association members
 - AHLA members
 - National Association of Medical Staff Services members
- Use existing data sources to determine how to strengthen hospital review process

- New State Compliance Framework

Compliance

New State Compliance Framework

The new State Compliance Framework is a 2-step process that will examine the compliance status of reporting by state licensing and certification boards:

- Part 1: For the top 12 professions, perform a 1-to-1 match of data in the Data Bank
- Part 2: For other professions, ask boards to attest to reporting status

Compliance

New State Compliance Framework, Part 1

The top 12 professions involved in Part 1 are:

- Physician
- Dentist
- Nursing
- Pharmacist
- Chiropractor
- Psychologist
- Podiatrist
- Social work
- Optometry
- Physical therapy
- Physician assistant
- Behavioral health

(continued)

Compliance

New State Compliance Framework, Part 1, continued

Half the states and territories will be reviewed each year, with this first 2-year cycle:

- The first year covers actions for 2010-11
 - 25% of states will be reviewed, with online postings by April 1, 2013
 - 25% of states will be reviewed, with online postings by October 1, 2013
- The second year covers actions for 2010-12
 - 25% of states will be reviewed, with online postings by April 1, 2014
 - 25% of states will be reviewed, with online postings by October 1, 2014

Compliance

New State Compliance Framework, Part 2

For professions regulated by state licensing and certification boards outside the top 12: During a board's registration renewal process, the board will be asked to attest that it has reported all actions to date.

The Data Bank will post online whether individual boards have attested they have reported all actions to date, whether they say they cannot so attest, or whether they have not responded.

Compliance Framework

Two Year / 12 Profession Framework	States reviewed for posting by:	States reviewed for posting by:
1st Cycle Actions for: 2010 - 2011	April 1, 2013: AK, CA, DE, DC, IN, KS, MA, MN, NJ, OH, TX, WA	October 1, 2013: AL, CT, FL, GA, HI, KY, MO, NH, OK, RI, SC, WV, WI
2nd Cycle Actions for: 2010 - 2012	April 1, 2014: AR, AZ, IL, MD, ME, NM, NC, OR, SD, UT, VA, VT, WY	October 1, 2014: CO, ID, IA, LA, MI, MS, MT, NE, NV, NY, ND, PA, TN

- New Compliance Page

Compliance

New Compliance Page

A new application on the Data Bank website:

- Allows agencies to view and reconcile identified missing actions online
- Provides back-and-forth communication with the Data Bank about missing actions
- Agencies can ask a question, make a comment, submit a report, etc.

- New Compliance Status Posting

Compliance

Website Home Page

U.S. Department of Health and Human Services
Health Resources and Services Administration

the DataBank
NATIONAL PRACTITIONER
HEALTHCARE INTEGRITY & PROTECTION

Search

Home | About Us | Contact Us | FAQs | Find a Topic A-Z

Community & Education | Customer Service | Resources | News | Software

Health Care Organizations

- ▶ How to Get Started
- ▶ Sign In
- ▶ Register

Practitioners

- ▶ How to Get Started
- ▶ Start a Self-Query
- ▶ Get Self-Query Results
- ▶ Check Your Report
(Report Response Service Sign In)

News View All ▶

The August 2012 Data Bank News Is Now Available!
(08/01/2012)
The [August 2012 Data Bank News](#) describes the report quality enhancements coming on August 27th, introduces the Hospital Compliance Effort, and tells users about the transition from ITP to QRXS.

Query Results, Simplified
(06/04/2012)
Did you know the Data Bank has simplified how you view query results? [More...](#)

2010 Annual Report Published
(04/16/2012)
The Data Bank is pleased to announce that the [2010 Annual Report](#) is now available on

Resources View All ▶

Statistics And Compliance Data
[U.S. Map of Statistical Data and Compliance Statistics by State](#)
[Compare Reporting Compliance Status by Profession](#)
[Data Analysis Tool](#)

Guidebooks

Community & Education View All ▶

The Data Bank Travels To Bangor, ME

The Data Bank will speak at the Maine Association of Medical Staff Services Quarterly Education Session to be held on August 10, 2012 in Bangor, ME.

Data Bank Education Forums To Be Held In Nashville And Denver
This fall, our [Data Bank Education Forums](#) will be hosted at the following locales:

September 26 - 27: Nashville, TN
October 10 - 11: Denver, CO

Visit Us At NAMSS!

Compliance

Choose by Map

Resources

U.S. Map of Statistical Data and Compliance Statistics by State

Click on a state below to see that state's data. View totals for the [United States](#).

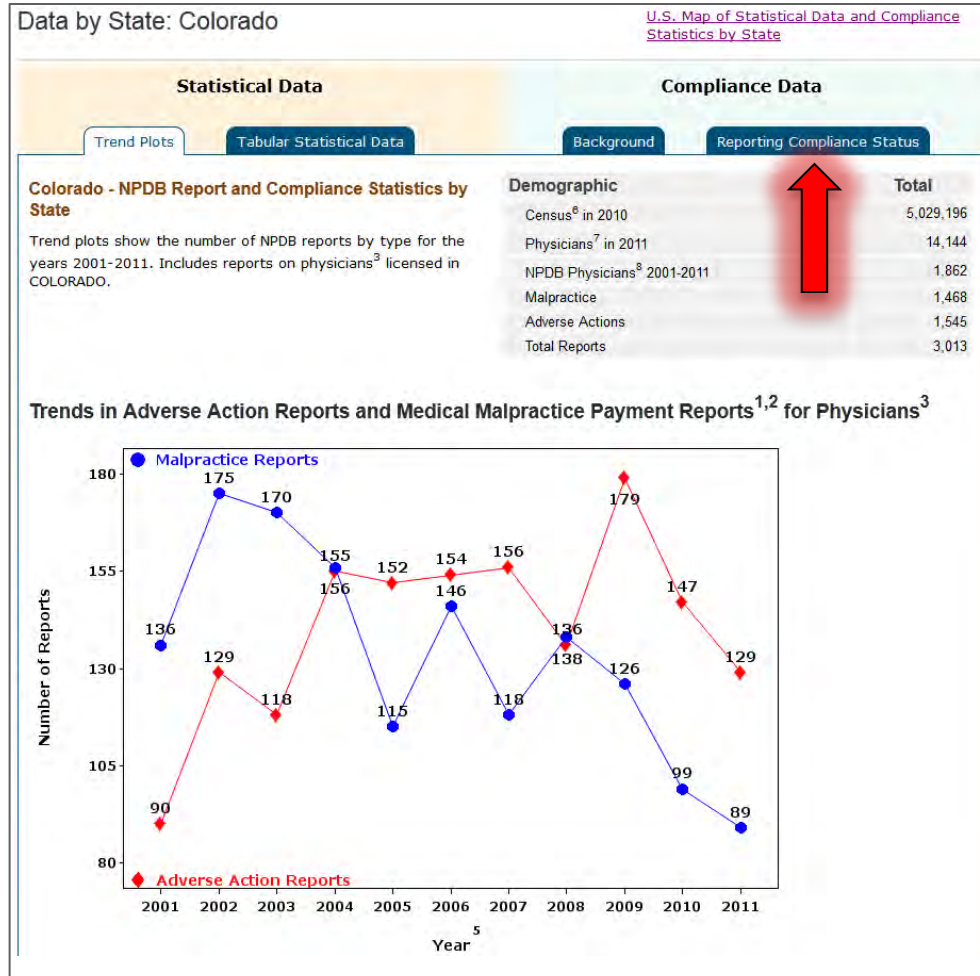
[Compare Reporting Compliance Status by Profession](#)

U.S. Territories

The image shows a map of the United States with state abbreviations. A red arrow points to the state of Colorado (CO). The map includes all 50 states and the District of Columbia (DC). The abbreviations are: WA, OR, CA, NV, UT, AZ, NM, AK, HI, MT, WY, CO, ND, SD, NE, KS, OK, TX, MN, IA, MO, AR, LA, WI, MI, IL, IN, OH, KY, TN, MS, AL, GA, FL, NY, PA, WV, VA, NC, SC, ME, VT, NH, MA, RI, CT, NJ, DE, MD, DC.

Compliance

Choose Compliance Data



Compliance

Choose Profession

Data by State: Colorado [U.S. Map of Statistical Data and Compliance Statistics by State](#)

Statistical Data

Trend Plots
Tabular Statistical Data

Compliance Data

Background
Reporting Compliance Status

Overall Data Download: XLS CSV

Profession	Project ?	Current Status ?	First Reviewed	Last Reviewed
Accountant	Never Reported Effort	Compliant	07/01/2010	04/01/2011
+ Behavioral Health - Addiction, Alcohol and Substance Abuse Professions				
+ Behavioral Health - Marriage and Family Therapists				
+ Behavioral Health - Professional Counselors				
Chiropractor	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Dentistry				
+ Emergency Medical Service Providers				
Health Insurance Agent/Broker	Never Reported Effort	Non-Compliant	07/01/2010	07/01/2012
+ Nursing Related Professions				
Optometrist	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Pharmacy				
+ Physical Therapy				
Physician Assistant	Comparison Project	Compliant	10/01/2010	10/01/2010

Compliance

Results by Profession

Data by State: Colorado

[U.S. Map of Statistical Data and Compliance Statistics by State](#)

Statistical Data

Compliance Data

Trend Plots

Tabular Statistical Data

Background

Reporting Compliance Status

[Overall Data](#) > **Chiropractor Details**

Date	Project ?	Status ?	Time Period Reviewed
07/01/2012	Comparison Project	Compliant	2006-2009
12/01/2011	Comparison Project	Compliant	2006-2009
10/01/2011	Comparison Project	Compliant	2006-2009
07/01/2011	Comparison Project	Not Reviewed	2006-2009
04/01/2011	Comparison Project	Not Reviewed	2006-2009
10/01/2010	Comparison Project	Not Reviewed	2006-2009
07/01/2010	Comparison Project	Not Reviewed	2006-2009

Notes:

Data displayed includes only the states/professions reviewed by HRSA.
Please note that not all states regulate every profession.

Compliance

Multi-Profession Choice

Data by State: Colorado [U.S. Map of Statistical Data and Compliance Statistics by State](#)

Statistical Data

Trend Plots
Tabular Statistical Data

Compliance Data

Background
Reporting Compliance Status

Overall Data Download: XLS CSV

Profession	Project	Current Status	First Reviewed	Last Reviewed
Accountant	Never Reported Effort	Compliant	07/01/2010	04/01/2011
+ Behavioral Health - Addiction, Alcohol and Substance Abuse Professions				
+ Behavioral Health - Marriage and Family Therapists				
+ Behavioral Health - Professional Counselors				
Chiropractor	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Dentistry				
+ Emergency Medical Service Providers				
Health Insurance Agent/Broker	Never Reported Effort	Non-Compliant	07/01/2010	07/01/2012
+ Nursing Related Professions				
Optometrist	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Pharmacy				
+ Physical Therapy				
Physician Assistant	Comparison Project	Compliant	10/01/2010	10/01/2010

Compliance

Refine Profession

Data by State: Colorado [U.S. Map of Statistical Data and Compliance Statistics by State](#)

Statistical Data

Trend Plots
Tabular Statistical Data

Compliance Data

Background
Reporting Compliance Status

Overall Data Download: XLS CSV

Profession	Project ?	Current Status ?	First Reviewed	Last Reviewed
Accountant	Never Reported Effort	Compliant	07/01/2010	04/01/2011
+ Behavioral Health - Addiction, Alcohol and Substance Abuse Professions				
+ Behavioral Health - Marriage and Family Therapists				
+ Behavioral Health - Professional Counselors				
Chiropractor	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Dentistry				
+ Emergency Medical Service Providers				
Health Insurance Agent/Broker	Never Reported Effort	Non-Compliant	07/01/2010	07/01/2012
+ Nursing Related Professions				
Certified Nurse Aide	Never Reported Effort	Compliant	07/01/2010	04/01/2011
Nursing Related Professions (Other Than Certified Nurse Aide)	Comparison Project	Compliant	10/01/2010	10/01/2010
Optometrist	Comparison Project	Compliant	10/01/2011	10/01/2011
+ Pharmacy				

Compliance

Results by Profession

Data by State: Colorado

[U.S. Map of Statistical Data and Compliance Statistics by State](#)

Statistical Data

Trend Plots

Tabular Statistical Data

Compliance Data

Background

Reporting Compliance Status

[Overall Data](#) > [Certified Nurse Aide Details](#)

Date	Project	Status	Time Period Reviewed
07/01/2012	Never Reported Effort	Compliant	1996-2011
12/01/2011	Never Reported Effort	Compliant	1996-2011
10/01/2011	Never Reported Effort	Compliant	1996-2011
07/01/2011	Never Reported Effort	Compliant	1996-2011
04/01/2011	Never Reported Effort	Compliant	1996-2011
10/01/2010	Never Reported Effort	Working Toward Compliance	1996-2010
07/01/2010	Never Reported Effort	Working Toward Compliance	1996-2010

Notes:

Data displayed includes only the states/professions reviewed by HRSA.
Please note that not all states regulate every profession.

Thank You

Contact

Judy Rodgers, Senior Advisor

Elizabeth Rezaizadeh, M.P.H., J.D., Policy Analyst

U.S. Department of Health and Human Services,
Health Resources and Services Administration,
Bureau of Health Professions,
Division of Practitioner Data Banks

Telephone: 301-443-2300

Email: JRodgers@hrsa.gov; ERezaizadeh@hrsa.gov