APPENDIX A: FINAL QUESTIONNAIRE

September 17, 2001

«NAME»
«TITLE»
«ORGANIZA»
«STREET_A»
«STREET_1»
«CITY», «ST» «ZIP» «COUNTRY»

Dear «NAME»:

On behalf of the Office of Research Integrity (ORI), Department of Health and Human Services, Logicon/ROW Sciences is conducting a survey to determine the most effective methods for assisting institutions in responding to allegations of scientific misconduct. The survey explicitly explores four main topics: (1) how well institutions are equipped to respond to allegations of scientific misconduct, (2) the feasibility of developing consortia among institutions, professional associations, or scientific societies for this purpose, (3) the utilization of technical assistance offered by ORI, and (4) suggestions for other useful mechanisms to assist in responding to allegations.

This study is expected to identify several methods that could be used by institutions to acquire the guidance and expertise required to respond appropriately to allegations of scientific misconduct. Your participation in this study is voluntary. However, we encourage your participation. All participants will receive a summary of the study results. Analyses and tables will reflect aggregated information rather than responses from specific organizations.

As «TITLE» of «ORGANIZA» you are in a key position to help us gather this important information, and we greatly appreciate the time and effort involved. Please complete the survey over the Internet by October 15, 2001, by following the instructions on the attached sheet. If you prefer, you can request a paper copy of the survey by contacting me: telephone (301-294-5463), fax (301-294-5401), or email (cflatau@hq.row.com).

A federal agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The valid OMB control number is 0990-0248. Public reporting burden for this collection of information is estimated to vary from 15 minutes to 25 minutes with an average of 20 minutes per response, including time for searching existing data sources, gathering the necessary data, and completing and reviewing the collection of information.

If you have any questions, please feel free to contact me. Thank you in advance for your participation.

Sincerely,

Claudia Flatau, M.P.H.
Study Manager
Logicon/ROW Sciences

OMB No.: 0990-0248
Expiration Date: 06/30/2002

CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE

1. How many researchers and faculty that conduct research are employed in your institution?

   1-10 . . . . . .
   11-100 . . . . . .
   101-500 . . . . . .
   501-1,000 . . . . . .
   Over 1,000 . . . . . .

2. What type of research institution are you employed by?

   Institution of higher education that is not affiliated with an academic medical center . . . . . .
   Academic medical center or affiliated institution of higher education . . . . . .
   Research organization, institute, foundation, or lab . . . . . .
   Independent hospital . . . . . .
   Educational organization other than higher education . . . . . .
   Other health, human resources, or environmental services organization . . . .
   Federal or State government . . . . . .
   Other . . . . . .

3. Does your institution have a formal affiliation with another institution to respond to allegations of scientific misconduct?

   Yes . . . . . .
   No . . . . . .
   Don't know . . . . . .

4. In the past 5 years, how many allegations of scientific misconduct received by your institution resulted in inquiries or investigations?

   None . . . . . .
   One . . . . . .
   Two . . . . . .
   Three . . . . . .
   More than three . . . . . .

If you answered NONE to Question 4, please skip to Question 8.

5. From what source(s) did your institution seek assistance/expertise in conducting a competent inquiry or investigation? (Check all that apply.)

Source . . . . . Allegation 1	**Allegation 2**	**Allegation 3**
Within institution or affiliate		
Unaffiliated, outside institution		
Office of Research Integrity		
Outside advisor/consultant		

Other (specify) _____

_____

_____

6. In what areas did your institution seek assistance? (Check all that apply.)

Source . . . . . Allegation 1	**Allegation 2**	**Allegation 3**
Overall guidance		
Conducting preliminary assessments		
Maintaining confidentiality		
Acquiring appropriate expertise		
Handling conflicts of interest		
Treatment of whistleblowers		
Treatment of respondents		
Management of committees		
Conduct of inquiry		
Conduct of investigation		
Sequestration of evidence		
Investigational techniques		
Interviewing skills		
Assessing evidence		
Legal issues		
Preparing reports		

Other (specify) _____

_____

_____

7. Based on the assistance received from the sources indicated in Question 5, where would your institution most likely seek assistance in the future?

Source	Future Allegation
Within institution or affiliate	
Unaffiliated, outside institution	
Office of Research Integrity	
Outside advisor/consultant	

Other (specify) _____

_____

_____

Please skip to Question 9.

8. If your institution were to receive an allegation of research misconduct, would it need assistance in any of the following areas? (Please check all that apply.)

Overall guidance	Conduct of inquiry
Conducting preliminary assessments	Conduct of investigation
Maintaining confidentiality	Sequestration of evidence
Acquiring appropriate expertise	Investigational techniques
Handling conflicts of interest	Interviewing skills
Treatment of whistleblowers	Assessing evidence
Treatment of respondents	Legal issues
Management of committees	Preparing reports

Other (specify) _____

_____

_____

All participants should answer the remaining questions.

The remaining questions address possible alternative sources of assistance/expertise in responding to allegations of research misconduct that could be initiated or further developed. The following are sources you will be asked about:

Within institution/affiliate	Consortium
Unaffiliated/outside institutions	Outside advisor/consultant
Institutional association	Scientific society
Independent investigative firm	Office of Research Integrity

9. What is the likelihood that your institution would rely entirely on assistance/expertise available within your institution or an affiliated institution?

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution?

10. What is the likelihood that your institution would become a member of a consortium designed to provide assistance/expertise in conducting inquiries or investigations?

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

10a. Please briefly explain your response.

11. What is the likelihood that your institution would request services from a consortium?

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

11a. Please briefly explain your response.

_____

_____

_____

_____

12. Would incorporating any of the following areas into the scope of a consortium's responsibility make a consortium more useful to your institution? **(Check all that apply.)**

Questionable research practices	Conflicts of interest
Allegations of retaliation	Hazardous materials
Human subjects	Financial management
Animal subjects	None

Other (specify) _____

13. Please rank (number) the following possibilities for the basis of forming a consortium according to your institution's preference.

(Please rank the choices from 1 = most preferred to 4 = least preferred.)

Geographic proximity	_____	Similar institutional type	_____
Similar size	_____	Scientific field	_____

14. Please rank your preference regarding who should organize a consortium designed to provide assistance/expertise in conducting inquiries or investigations.

(Please rank the choices from 1 = most preferred to 4 = least preferred.)

Individual institutions	_____	Scientific societies	_____
Institutional associations	_____	Independent consultants	_____

15. What is the likelihood that your institution would seek assistance/expertise from **unaffiliated/outside institutions?**

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

15a. Would a registry of responsible officials at institutions that have received research misconduct allegations make it more likely that your institution would seek advice from **unaffiliated/outside institutions?**

Yes No

15b. What else would make it more likely that your institution would seek advice from **unaffiliated/outside institutions?**

_____

_____

_____

16. What is the likelihood that your institution would seek assistance/expertise from **outside advisors/consultants?**

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

16a. Would it be useful to create a registry of **advisors/consultants** who have experience in responding to allegations of research misconduct?

Yes No

16b. Would it be useful to create a certification program for **outside advisors/consultants?**

Yes No

16c. What else would make it more likely that your institution would seek advice from **outside advisors/consultants?**

17. What is the likelihood that your institution would seek assistance/expertise from **institutional associations** (AAMC, AAU)?

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

17a. What would make it more likely that your institution would seek advice from **institutional associations?**

18. What is the likelihood that your institution would seek assistance/expertise from **scientific societies** (AAAS, FASEB, ASMB)?

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

18a. What would make it more likely that your institution would seek advice from **scientific societies?**

19. What is the likelihood that your institution would seek assistance/expertise from an **independent investigative firm?**

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

19a. What would make it more likely that your institution would seek assistance/expertise from an **independent investigative firm?**

_____

_____

_____

19b. Would it be useful to create a registry of **independent investigative** firms that are available to provide assistance/expertise?

Yes No

20. What is the likelihood that your institution would seek assistance/expertise from the **Office of Research Integrity?**

Very likely . . . . . .
Somewhat likely . . . . . .
Somewhat unlikely . . . . . .
Very unlikely . . . . . .

20a. What would make it more likely that your institution would seek advice from the **Office of Research Integrity?**

_____

_____

_____

20b. If your institution has contacted the **Office of Research Integrity** for assistance, what was the overall satisfaction with the services received?

Very satisfied . . . . . .
Somewhat satisfied . . . . . .
Somewhat dissatisfied . . . . . .
Very dissatisfied . . . . . .
Institution has not contacted ORI for assistance . . . . . .

20c. Is your institution hesitant to contact the **Office of Research Integrity** for assistance/expertise for any of the following reasons? **(Check all that apply.)**

Not hesitant . . . . . .
ORI is a regulatory office . . . . . .
Do not want to inform ORI about a case until an investigation is warranted . . . . . .
Protect the confidentiality of the proceedings . . . . . .
Protect the reputation of the respondent . . . . . .
Other sources of assistance readily available . . . . . .
Needed information on ORI website . . . . . .
Other (specify) _____

21. Please rank (number) four selections from the following sources of assistance/expertise according to which sources your institution would like to see further developed.

(Please rank your four selections from 1 = most preferred to 4 = least preferred.)

Within institution or affiliate	___	Institutional association	___
Consortium	___	Scientific society	___
Unaffiliated, outside institution	___	Independent investigative firm	___
Outside advisor/consultant	___	Office of Research Integrity	___

Other (specify) _____

Thank you for completing this questionnaire.

Please return in the enclosed envelope by October 15, 2001
To: Claudia Flatau, ROW Sciences, 1700 Research Boulevard, Suite 400, Rockville, MD 20850

APPENDIX B: FREQUENCIES

CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE
FREQUENCIES
(N=312)

1. How many researchers and faculty that conduct research are employed in your institution?

1-10	126
11-100	79
101-500	50
501-1,000	17
Over 1,000	36
None	*2*
Missing	*2*

2. What type of research institution are you employed by?

Institution of higher education that is not affiliated with an academic medical center	72
Academic medical center or affiliated institution of higher education	52
Research organization, institute, foundation, or lab	65
Independent hospital	10
Educational organization other than higher education	3
Other health, human resources, or environmental services organization	7
Federal or State government	5
Other	92
Missing	*6*

3. Does your institution have a formal affiliation with another institution to respond to allegations of scientific misconduct?

Yes	36
No	266
Don't know	10

4. In the past 5 years, how many allegations of scientific misconduct received by your institution resulted in inquiries or investigations?

None	234
One	23
Two	10
Three	33
More than three	5
Missing	*7*

5. From what source(s) did your institution seek assistance/expertise in conducting a competent inquiry or investigation? (Check all that apply.)

Source	**Allegation 1**	**Allegation 2**	**Allegation 3**
Within institution or affiliate	60	38	27
Unaffiliated, outside institution	13	6	3
Office of Research Integrity	21	17	11
Outside advisor/consultant	10	6	5
Other (specify)	4	3	2
Missing	*8*	*6*	*5*

See Appendix C for explanations of "Other"

6. In what areas did your institution seek assistance? (Check all that apply.)

Source	**Allegation 1**	**Allegation 2**	**Allegation 3**
Overall guidance	34	26	18
Conducting preliminary assessments	26	11	10
Maintaining confidentiality	10	5	5
Acquiring appropriate expertise	14	10	5
Handling conflicts of interest	4	1	2
Treatment of whistleblowers	14	7	6
Treatment of respondents	16	9	8
Management of committees	8	5	2
Conduct of inquiry	22	11	6
Conduct of investigation	12	7	5
Sequestration of evidence	14	7	5
Investigational techniques	4	6	5
Interviewing skills	1	2	2
Assessing evidence	9	8	2
Legal issues	26	18	15
Preparing reports	11	9	8
Other (specify)	2	2	0
Missing	*20*	*14*	*14*

See Appendix C for explanations of "Other"

7. Based on the assistance received from the sources indicated in Question 5, where would your institution most likely seek assistance in the future?

Source	Future Allegation
Within institution or affiliate	52
Unaffiliated, outside institution	12
Office of Research Integrity	41
Outside advisor/consultant	12
Other (specify)	8
Missing	*2*

See Appendix C for explanations of "Other"

8. If your institution were to receive an allegation of research misconduct, would it need assistance in any of the following areas? (Please check all that apply.)

141	Overall guidance	74	Conduct of inquiry
53	Conducting preliminary assessments	71	Conduct of investigation
25	Maintaining confidentiality	45	Sequestration of evidence
79	Acquiring appropriate expertise	66	Investigational techniques
37	Handling conflicts of interest	29	Interviewing skills
39	Treatment of whistleblowers	62	Assessing evidence
33	Treatment of respondents	117	Legal issues
23	Management of committees	73	Preparing reports
10	Other (specify)		
See Appendix C for explanations of "Other"		*43*	*Missing*

The remaining questions address possible alternative sources of assistance/expertise in responding to allegations of research misconduct that could be initiated or further developed. The following are sources you will be asked about:

Within institution/affiliate	Consortium
Unaffiliated/outside institutions	Outside advisor/consultant
Institutional association	Scientific society
Independent investigative firm	Office of Research Integrity

9. What is the likelihood that your institution would rely entirely on assistance/expertise available within your institution or an affiliated institution?

Very likely	62
Somewhat likely	27
Somewhat unlikely	26
Very unlikely	31
Missing	*166*

9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution?
See Appendix C for Summary

10. What is the likelihood that your institution would become a member of a consortium designed to provide assistance/expertise in conducting inquiries or investigations?

Very likely	16
Somewhat likely	46
Somewhat unlikely	39
Very unlikely	46
Missing	*165*

10a. Please briefly explain your response.
See Appendix C for Summary

11. What is the likelihood that your institution would request services from a consortium?

Very likely	17
Somewhat likely	50
Somewhat unlikely	35
Very unlikely	41
Missing	*169*

11a. Please briefly explain your response.
See Appendix C for Summary

12. Would incorporating any of the following areas into the scope of a consortium's responsibility make a consortium more useful to your institution? **(Check all that apply.)**

106	Questionable research practices	98	Conflicts of interest
65	Allegations of retaliation	38	Hazardous materials
97	Human subjects	59	Financial management
43	Animal subjects	80	None
10	Other (specify)	*64*	*Missing*

See Appendix C for explanations of "Other"

13. Please rank (number) the following possibilities for the basis of forming a consortium according to your institution's preference.

	Most Preferred	**Second Choice**	**Third Choice**	**Least Preferred**	**Not Ranked**
Geographic proximity	61	46	58	86	61
Similar size	17	61	76	96	62
Similar institutional type	129	60	40	25	58
Scientific field	66	86	56	45	59

14. Please rank your preference regarding who should organize a consortium designed to provide assistance/expertise in conducting inquiries or investigations.

	Most Preferred	**Second Choice**	**Third Choice**	**Least Preferred**	**Not Ranked**
Individual institutions	100	48	68	37	59
Institutional associations	60	105	52	30	65
Scientific societies	64	66	81	37	64
Independent consultants	25	24	39	158	66

15. What is the likelihood that your institution would seek assistance/expertise from **unaffiliated/outside institutions?**

Very likely	22
Somewhat likely	88
Somewhat unlikely	90
Very unlikely	78
Missing	*34*

15a. Would a registry of responsible officials at institutions that have received research misconduct allegations make it more likely that your institution would seek advice from **unaffiliated/outside institutions?**

Yes 192 No 86

15b. What else would make it more likely that your institution would seek advice from **unaffiliated/outside institutions?**
See Appendix C for Summary

16. What is the likelihood that your institution would seek assistance/expertise from **outside advisors/consultants?**

Very likely	48
Somewhat likely	125
Somewhat unlikely	63
Very unlikely	40
Missing	*36*

16a. Would it be useful to create a registry of **advisors/consultants** who have experience in responding to allegations of research misconduct?

Yes 225 No 52

16b. Would it be useful to create a certification program for **outside advisors/consultants?**

Yes 173 No 98

16c. What else would make it more likely that your institution would seek advice from **outside advisors/consultants?**
See Appendix C for Summary

17. What is the likelihood that your institution would seek assistance/expertise from **institutional associations** (AAMC, AAU)?

Very likely	29
Somewhat likely	84
Somewhat unlikely	97
Very unlikely	69
Missing	*33*

17a. What would make it more likely that your institution would seek advice from **institutional associations?**
See Appendix C for Summary

18. What is the likelihood that your institution would seek assistance/expertise from **scientific societies** (AAAS, FASEB, ASMB)?

Very likely	36
Somewhat likely	99
Somewhat unlikely	87
Very unlikely	57
Missing	*33*

18a. What would make it more likely that your institution would seek advice from **scientific societies?**
See Appendix C for Summary

19. What is the likelihood that your institution would seek assistance/expertise from an **independent investigative firm?**

Very likely	10
Somewhat likely	53
Somewhat unlikely	95
Very unlikely	118
Missing	*43*

19a. What would make it more likely that your institution would seek assistance/expertise from an **independent investigative firm?**
See Appendix C for Summary

19b. Would it be useful to create a registry of **independent investigative** firms that are available to provide assistance/expertise?

Yes 157 No 112

20. What is the likelihood that your institution would seek assistance/expertise from the **Office of Research Integrity?**

Very likely	148
Somewhat likely	78
Somewhat unlikely	28
Very unlikely	18
Missing	*40*

20a. What would make it more likely that your institution would seek advice from the **Office of Research Integrity?**
See Appendix C for Summary

20b. If your institution has contacted the **Office of Research Integrity** for assistance, what was the overall satisfaction with the services received?

Very satisfied	55
Somewhat satisfied	11
Somewhat dissatisfied	4
Very dissatisfied	2
Institution has not contacted ORI for assistance	176
Missing	*64*

20c. Is your institution hesitant to contact the **Office of Research Integrity** for assistance/expertise for any of the following reasons? **(Check all that apply.)**

Not hesitant	203
ORI is a regulatory office	32
Do not want to inform ORI about a case until an investigation is warranted	47
Protect the confidentiality of the proceedings	37
Protect the reputation of the respondent	32
Other sources of assistance readily available	21
Needed information on ORI website	13
Other (specify)	7
Missing	*48*

See Appendix C for explanations of "Other"

21. Please rank (number) four selections from the following sources of assistance/expertise according to which sources your institution would like to see further developed.

(Please rank your four selections from 1 = most preferred to 4 = least preferred.)

	Most Preferred	Second Choice	Third Choice	Least Preferred	Total
Within institution or affiliate	111	21	12	10	154
Consortium	19	67	28	28	142
Unaffiliated, outside institution	9	20	41	29	99
Outside advisor/consultant	16	34	32	44	126
Institutional association	16	45	47	47	155
Scientific society	20	33	44	39	136
Independent investigative firm	5	18	19	40	82
Office of Research Integrity	90	41	25	39	195
Other (specify)	5	1	0	5	11

See Appendix C for explanations of "Other"

APPENDIX C: SELECTED CROSS-TABULATIONS

Institute Type by Q1-Number of Researchers Employed by Institution

	# of Researchers Employed at Institution														
	0		1-10		11-100		101-500		501-1000		Over 1000		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories															
Institute of Higher Education	2	1.7	9	7.7	23	19.7	35	29.9	15	12.8	32	27.4	1	0.9	117
Research Org, Inst, Foundation, Lab	.	.	4	14.3	14	50.0	7	25.0	2	7.1	1	3.6	.	.	28
Independent Hospital	.	.	3	17.6	8	47.1	4	23.5	.	.	2	11.8	.	.	17
Other HHR, Environmental	.	.	16	57.1	8	28.6	3	10.7	.	.	.	.	1	3.6	28
Other-including small business	.	.	94	77.0	26	21.3	1	0.8	.	.	1	0.8	.	.	122
Total	2	0.6	126	40.4	79	25.3	50	16.0	17	5.4	36	11.5	2	0.6	312

Institute Type by Q2-Type of Research Institution Employed By

	Type of Research Institute Employed By															
	Institute of Higher Education		Academic Medical Center		Research Organization, Institute, etc.		Independent Hospital		Other Educational Organization		Other Health Organization		Federal or State Government		Other	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories																
Institute of Higher Education	70	59.8	42	35.9	1	0.9	.	.	.	.	.	.	.	.	1	0.9
Research Org, Inst, Foundation, Lab	.	.	1	3.6	23	82.1	1	3.6	.	.	.	.	1	3.6	1	3.6
Independent Hospital	1	5.9	6	35.3	.	.	9	52.9	.	.	.	.	1	5.9	.	.
Other HHR, Environmental	1	3.6	2	7.1	11	39.3	.	.	1	3.6	4	14.3	2	7.1	7	25.0
Other-including small business	.	.	1	0.8	30	24.6	.	.	2	1.6	3	2.5	1	0.8	83	68.0
Total	72	23.1	52	16.7	65	20.8	10	3.2	3	1.0	7	2.2	5	1.6	92	29.5

(CONTINUED)

Institute Type by Q2-Type of Research Institution Employed By

	Type of Research Institute Employed By		
	No Response		ALL
	N	Percent	N
PHS Institutional Categories			
Institute of Higher Education	3	2.6	117
Research Org, Inst, Foundation, Lab	1	3.6	28
Independent Hospital	.	.	17
Other HHR, Environmental	.	.	28
Other-including small business	2	1.6	122
Total	6	1.9	312

Institute Type by Q4-Number of Allegations

	# Allegations Pst 5 Yrs Reslt in Inquiry												
	None		One		Two		Three		> Three		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories													
Institute of Higher Education	59	50.4	12	10.3	8	6.8	30	25.6	4	3.4	4	3.4	117
Research Org, Inst, Foundation, Lab	20	71.4	5	17.9	.	.	2	7.1	.	.	1	3.6	28
Independent Hospital	10	58.8	5	29.4	.	.	1	5.9	1	5.9	.	.	17
Other HHR, Environmental	26	92.9	.	.	1	3.6	.	.	.	.	1	3.6	28
Other-including small business	119	97.5	1	0.8	1	0.8	.	.	.	.	1	0.8	122
Total	234	75.0	23	7.4	10	3.2	33	10.6	5	1.6	7	2.2	312

Institute Type by
Q5-What Sources Did Institution Seek Assistance - Allegation #1

	Conduct Inquiry From With-In Institute-1		Conduct Inquiry From Outside Institute-1		Conduct Inquiry With ORI-1		Conduct Inquiry With Outside Advisor-1		Conduct Inquiry Using Other Source-1		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	44	81.5	7	13.0	17	31.5	4	7.4	2	3.7	54
Research Org, Inst, Foundation, Lab	7	100.0	3	42.9	3	42.9	3	42.9	1	14.3	7
Independent Hospital	6	85.7	3	42.9	0	0.0	2	28.6	1	14.3	7
Other HHR, Environmental	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Other-including small business	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
Total	58	81.7	13	18.3	21	29.6	9	12.7	4	5.6	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institute Type by
Q5-What Sources Did Institution Seek Assistance - Allegation #2

	Conduct Inquiry From With-In Institute-2		Conduct Inquiry From Outside Institute-2		Conduct Inquiry With ORI-2		Conduct Inquiry With Outside Advisor-2		Conduct Inquiry Using Other Source-2		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	32	76.2	4	9.5	14	33.3	4	9.5	3	7.1	42
Research Org, Inst, Foundation, Lab	2	100.0	1	50.0	1	50.0	2	100.0	0	0.0	2
Independent Hospital	2	100.0	1	50.0	1	50.0	0	0.0	0	0.0	2
Other HHR, Environmental	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	37	77.1	6	12.5	16	33.3	6	12.5	3	6.3	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Institute Type by
Q5-What Sources Did Institution Seek Assistance - Allegation #3

	Conduct Inquiry From With-In Institute-3		Conduct Inquiry From Outside Institute-3		Conduct Inquiry With ORI-3		Conduct Inquiry With Outside Advisor-3		Conduct Inquiry Using Other Source-3		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	21	61.8	2	5.9	9	26.5	2	5.9	2	5.9	34
Research Org, Inst, Foundation, Lab	2	100.0	1	50.0	1	50.0	2	100.0	0	0.0	2
Independent Hospital	2	100.0	0	0.0	0	0.0	0	0.0	0	0.0	2
Total	25	65.8	3	7.9	10	26.3	4	10.5	2	5.3	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to this question.

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Overall Guidance-1		Sought Asst Conducting Prelim Assess-1		Sought Asst Maintaining Confidential-1		Sought Asst Acquiring App Expertise-1		Sought Asst Handling Conflict of Int-1	
PHS Institutional Categories	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
Institute of Higher Education	25	46.3	18	33.3	5	9.3	7	13.0	2	3.7
Research Org, Inst, Foundation, Lab	6	85.7	5	71.4	3	42.9	4	57.1	2	28.6
Independent Hospital	1	14.3	1	14.3	2	28.6	3	42.9	0	0.0
Other HHR, Environmental	0	0.0	1	100.0	0	0.0	0	0.0	0	0.0
Other-including small business	1	50.0	1	50.0	0	0.0	0	0.0	0	0.0
Total	33	46.5	26	36.6	10	14.1	14	19.7	4	5.6

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst w/Trtmnt of Whistle Blow-1		Sought Asst w/Trtment of Respondents-1		Sought Asst w/Managemnt of Committee-1		Sought Asst with Conduct of Inquiry 1		Sought Asst w/Conduct of Investigatn-1	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	9	16.7	12	22.2	4	7.4	12	22.2	7	13.0
Research Org, Inst, Foundation, Lab	4	57.1	3	42.9	3	42.9	5	71.4	1	14.3
Independent Hospital	1	14.3	0	0.0	1	14.3	3	42.9	3	42.9
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	1	100.0	1	100.0
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	14	19.7	15	21.1	8	11.3	21	29.6	12	16.9

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst Sequestration of Evidnce-1		Sought Asst w/Investigational Techng-1		Sought Asst w/Interviewing Skills-1		Sought Asst Assessing Evidence 1		Sought Asst with Legal Issues-1	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	8	14.8	0	0.0	0	0.0	6	11.1	18	33.3
Research Org, Inst, Foundation, Lab	4	57.1	2	28.6	1	14.3	2	28.6	4	57.1
Independent Hospital	1	14.3	2	28.6	0	0.0	1	14.3	2	28.6
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	13	18.3	4	5.6	1	1.4	9	12.7	24	33.8

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst with Preparing Reports-1		Sought Other Assistance-1		ALL
	N	Percent	N	Percent	N
PHS Institutional Categories					
Institute of Higher Education	6	11.1	1	1.9	54
Research Org, Inst, Foundation, Lab	2	28.6	1	14.3	7
Independent Hospital	1	14.3	0	0.0	7
Other HHR, Environmental	0	0.0	0	0.0	1
Other-including small business	1	50.0	0	0.0	2
Total	10	14.1	2	2.8	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Overall Guidance-2		Sought Asst Conducting Prelim Assess-2		Sought Asst Maintaining Confidential-2		Sought Asst Acquiring App Expertise-2		Sought Asst Handling Conflict of Int-2	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	24	57.1	9	21.4	4	9.5	8	19.0	0	0.0
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	0	0.0	1	50.0	0	0.0	1	50.0	0	0.0
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	25	52.1	11	22.9	5	10.4	10	20.8	1	2.1

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst w/Trtmnt of Whistle Blow-2		Sought Asst w/Trtment of Respondents-2		Sought Asst w/Managemnt of Committee-2		Sought Asst with Conduct of Inquiry 2		Sought Asst w/Conduct of Investigatn-2	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	6	14.3	8	19.0	3	7.1	8	19.0	5	11.9
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	0	0.0	0	0.0	1	50.0	1	50.0	1	50.0
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	7	14.6	9	18.8	5	10.4	10	20.8	7	14.6

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst Sequestration of Evidnce-2		Sought Asst w/Investigational Techng-2		Sought Asst w/Interviewing Skills-2		Sought Asst Assessing Evidence 2		Sought Asst with Legal Issues-2	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	5	11.9	4	9.5	0	0.0	6	14.3	15	35.7
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	7	14.6	6	12.5	2	4.2	8	16.7	17	35.4

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst with Preparing Reports-2		Sought Other Assistance-2		ALL
	N	Percent	N	Percent	N
PHS Institutional Categories					
Institute of Higher Education	7	16.7	2	4.8	42
Research Org, Inst, Foundation, Lab	1	50.0	0	0.0	2
Independent Hospital	1	50.0	0	0.0	2
Other HHR, Environmental	0	0.0	0	0.0	1
Other-including small business	0	0.0	0	0.0	1
Total	9	18.8	2	4.2	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Overall Guidance-3		Sought Asst Conducting Prelim Assess-3		Sought Asst Maintaining Confidential-3		Sought Asst Acquiring App Expertise-3		Sought Asst Handling Conflict of Int-3	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	16	47.1	7	20.6	4	11.8	4	11.8	1	2.9
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	0	0.0	1	50.0	0	0.0	0	0.0	0	0.0
Total	17	44.7	9	23.7	5	13.2	5	13.2	2	5.3

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst w/Trtmnt of Whistle Blow-3		Sought Asst w/Trtment of Respondents-3		Sought Asst w/Managemnt of Committee-3		Sought Asst with Conduct of Inquiry 3		Sought Asst w/Conduct of Investigatn-3	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	5	14.7	7	20.6	0	0.0	3	8.8	3	8.8
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	0	0.0	0	0.0	1	50.0	1	50.0	0	0.0
Total	6	15.8	8	21.1	2	5.3	5	13.2	4	10.5

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst Sequestration of Evidnce-3		Sought Asst w/Investigational Techng-3		Sought Asst w/Interviewing Skills-3		Sought Asst Assessing Evidence 3		Sought Asst with Legal Issues-3	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	3	8.8	3	8.8	1	2.9	1	2.9	12	35.3
Research Org, Inst, Foundation, Lab	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0
Independent Hospital	1	50.0	1	50.0	0	0.0	0	0.0	1	50.0
Total	5	13.2	5	13.2	2	5.3	2	5.3	14	36.8

(CONTINUED)

Institution Type by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst with Preparing Reports-3		Sought Other Assistance-3		ALL
	N	Percent	N	Percent	N
PHS Institutional Categories					
Institute of Higher Education	5	14.7	0	0.0	34
Research Org, Inst, Foundation, Lab	1	50.0	0	0.0	2
Independent Hospital	1	50.0	0	0.0	2
Total	7	18.4	0	0.0	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to this question.

Institution Type by
Q7-Where Seek Assistance in Future - Allegation #1

	Seek Future Asst With-In Institute-1		Seek Future Asst From Outside Inst-1		Seek Future Assistance From ORI-1		Seek Future Asst From Outside Advisor-1		Seek Future Assistance Frm Othr Source-1		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	39	72.2	8	14.8	32	59.3	6	11.1	4	7.4	54
Research Org, Inst, Foundation, Lab	7	100.0	4	57.1	3	42.9	3	42.9	2	28.6	7
Independent Hospital	4	57.1	0	0.0	2	28.6	2	28.6	1	14.3	7
Other HHR, Environmental	0	0.0	0	0.0	1	100.0	0	0.0	0	0.0	1
Other-including small business	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
Total	50	70.4	12	16.9	39	54.9	11	15.5	7	9.9	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institution Type by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance In Overall Guidance		Need Assistance Conducting Prelim Assess		Need Assistance Maintaining Confidential		Need Asst Acquiring Appropriate Expertse		Need Assistance Handling Conflict of Int	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	27	42.9	10	15.9	2	3.2	21	33.3	9	14.3
Research Org, Inst, Foundation, Lab	9	42.9	4	19.0	1	4.8	3	14.3	2	9.5
Independent Hospital	6	60.0	3	30.0	0	0.0	4	40.0	1	10.0
Other HHR, Environmental	12	44.4	3	11.1	2	7.4	8	29.6	3	11.1
Other-including small business	87	72.5	33	27.5	20	16.7	43	35.8	22	18.3
Total	141	58.5	53	22.0	25	10.4	79	32.8	37	15.4

(CONTINUED)

Institution Type by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Asst w/Treatment of Whistle Blowers		Need Assistan w/Treatment of Respondents		Need Assistan w/Management of Committees		Need Assistance with Conduct of Inquiry		Need Assistan w/Conduct of Investigation	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	11	17.5	4	6.3	4	6.3	17	27.0	16	25.4
Research Org, Inst, Foundation, Lab	2	9.5	4	19.0	2	9.5	4	19.0	6	28.6
Independent Hospital	1	10.0	2	20.0	0	0.0	2	20.0	4	40.0
Other HHR, Environmental	6	22.2	6	22.2	4	14.8	6	22.2	9	33.3
Other-including small business	19	15.8	17	14.2	13	10.8	45	37.5	36	30.0
Total	39	16.2	33	13.7	23	9.5	74	30.7	71	29.5

(CONTINUED)

Institution Type by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Asst with Sequestration of Evidence		Need Asst w/Investigational Techniques		Need Assistance with Interviewing Skills		Need Assistance Assessing Evidence		Need Assistance with Legal Issues	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories										
Institute of Higher Education	10	15.9	15	23.8	8	12.7	19	30.2	26	41.3
Research Org, Inst, Foundation, Lab	4	19.0	5	23.8	1	4.8	6	28.6	9	42.9
Independent Hospital	1	10.0	2	20.0	1	10.0	2	20.0	3	30.0
Other HHR, Environmental	6	22.2	8	29.6	3	11.1	5	18.5	14	51.9
Other-including small business	23	19.2	35	29.2	16	13.3	29	24.2	64	53.3
Total	44	18.3	65	27.0	29	12.0	61	25.3	116	48.1

(CONTINUED)

Institution Type by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance Preparing Reports		Need Other Assistance		ALL
	N	Percent	N	Percent	N
PHS Institutional Categories					
Institute of Higher Education	16	25.4	6	9.5	63
Research Org, Inst, Foundation, Lab	6	28.6	0	0.0	21
Independent Hospital	2	20.0	0	0.0	10
Other HHR, Environmental	7	25.9	2	7.4	27
Other-including small business	42	35.0	2	1.7	120
Total	73	30.3	10	4.1	241

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to this question.

Institution Type by
Q9-Likelihood Institution Would Rely On Assistance From Within

	Likelihood to Rely on Asst With-In Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	27	23.1	7	6.0	11	9.4	3	2.6	69	59.0	117
Research Org, Inst, Foundation, Lab	3	10.7	2	7.1	4	14.3	2	7.1	17	60.7	28
Independent Hospital	6	35.3	2	11.8	1	5.9	1	5.9	7	41.2	17
Other HHR, Environmental	7	25.0	5	17.9	1	3.6	1	3.6	14	50.0	28
Other-including small business	19	15.6	11	9.0	9	7.4	24	19.7	59	48.4	122
Total	62	19.9	27	8.7	26	8.3	31	9.9	166	53.2	312

Institution Type by
Q10-Likelihood Institution Would Become Member of Consortium

	Likelihood to Become Member of Consort										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	6	5.1	24	20.5	11	9.4	7	6.0	69	59.0	117
Research Org, Inst, Foundation, Lab	2	7.1	3	10.7	4	14.3	2	7.1	17	60.7	28
Independent Hospital	3	17.6	2	11.8	2	11.8	3	17.6	7	41.2	17
Other HHR, Environmental	.	.	4	14.3	5	17.9	5	17.9	14	50.0	28
Other-including small business	5	4.1	13	10.7	17	13.9	29	23.8	58	47.5	122
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9	312

Institution Type by
Q11-Likelihood Institution Would Request Services From Consortium

	Likelihood Request Service of Consortium										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	4	3.4	20	17.1	13	11.1	10	8.5	70	59.8	117
Research Org, Inst, Foundation, Lab	.	.	4	14.3	4	14.3	3	10.7	17	60.7	28
Independent Hospital	1	5.9	3	17.6	3	17.6	2	11.8	8	47.1	17
Other HHR, Environmental	2	7.1	5	17.9	3	10.7	4	14.3	14	50.0	28
Other-including small business	10	8.2	18	14.8	12	9.8	22	18.0	60	49.2	122
Total	17	5.4	50	16.0	35	11.2	41	13.1	169	54.2	312

Institution Type by
Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Questionable Rsrch Prac-Cons Responsibil		Allega of Retaliation-Consrt Responsibil		Human Subjects-Area Consort Responsibil		Animal Subjects Area Consort Responsibil		Conflicts of Interest-Consrt Responsibil		Hazardous Mat-Area Consrt Responsibility	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories												
Institute of Higher Education	46	39.3	21	17.9	33	28.2	24	20.5	37	31.6	19	16.2
Research Org, Inst, Foundation, Lab	7	25.0	6	21.4	7	25.0	3	10.7	8	28.6	6	21.4
Independent Hospital	10	58.8	4	23.5	6	35.3	1	5.9	8	47.1	0	0.0
Other HHR, Environmental	10	35.7	7	25.0	11	39.3	0	0.0	11	39.3	2	7.1
Other-including small business	33	27.0	27	22.1	40	32.8	15	12.3	34	27.9	11	9.0
Total	106	34.0	65	20.8	97	31.1	43	13.8	98	31.4	38	12.2

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Institution Type by
Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Financial Management- Consort Responsibil		No Consortium Responsibility		Other Area of Consortium Responsibility		ALL
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories							
Institute of Higher Education	20	17.1	32	27.4	5	4.3	117
Research Org, Inst, Foundation, Lab	4	14.3	5	17.9	0	0.0	28
Independent Hospital	4	23.5	4	23.5	1	5.9	17
Other HHR, Environmental	3	10.7	5	17.9	0	0.0	28
Other-including small business	28	23.0	34	27.9	4	3.3	122
Total	59	18.9	80	25.6	10	3.2	312

Institution Type by
Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	Geographic Proximity as Preference											
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	20	17.1	18	15.4	32	27.4	27	23.1	20	17.1	117
Research Org, Inst, Foundation, Lab	3	10.7	7	25.0	3	10.7	7	25.0	8	28.6	28
Independent Hospital	3	17.6	1	5.9	3	17.6	7	41.2	3	17.6	17
Other HHR, Environmental	4	14.3	5	17.9	4	14.3	9	32.1	6	21.4	28
Other-including small business	31	25.4	15	12.3	16	13.1	36	29.5	24	19.7	122
Total	61	19.6	46	14.7	58	18.6	86	27.6	61	19.6	312

Institution Type by
Q13-Rank Similar Size as Basis of Forming a Consortium

	Similar Size as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	4	3.4	25	21.4	26	22.2	42	35.9	20	17.1	117
Research Org, Inst, Foundation, Lab	1	3.6	5	17.9	7	25.0	7	25.0	8	28.6	28
Independent Hospital	2	11.8	3	17.6	7	41.2	2	11.8	3	17.6	17
Other HHR, Environmental	1	3.6	3	10.7	8	28.6	10	35.7	6	21.4	28
Other-including small business	9	7.4	25	20.5	28	23.0	35	28.7	25	20.5	122
Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.9	312

Institution Type by
Q13-Rank Similar Type as Basis of Forming a Consortium

	Similar Institutional Type as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	67	57.3	19	16.2	10	8.5	3	2.6	18	15.4	117
Research Org, Inst, Foundation, Lab	14	50.0	4	14.3	1	3.6	1	3.6	8	28.6	28
Independent Hospital	8	47.1	5	29.4	.	.	1	5.9	3	17.6	17
Other HHR, Environmental	15	53.6	2	7.1	4	14.3	2	7.1	5	17.9	28
Other-including small business	25	20.5	30	24.6	25	20.5	18	14.8	24	19.7	122
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6	312

Institution Type by
Q13-Rank Scientific Field as Basis of Forming a Consortium

	Scientific Field as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	11	9.4	35	29.9	25	21.4	25	21.4	21	17.9	117
Research Org, Inst, Foundation, Lab	6	21.4	4	14.3	5	17.9	6	21.4	7	25.0	28
Independent Hospital	2	11.8	5	29.4	4	23.5	3	17.6	3	17.6	17
Other HHR, Environmental	5	17.9	12	42.9	4	14.3	2	7.1	5	17.9	28
Other-including small business	42	34.4	30	24.6	18	14.8	9	7.4	23	18.9	122
Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9	312

Institution Type by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Individual Institutions

	Individ Institute Should Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	52	44.4	18	15.4	21	17.9	9	7.7	17	14.5	117
Research Org, Inst, Foundation, Lab	7	25.0	4	14.3	5	17.9	4	14.3	8	28.6	28
Independent Hospital	3	17.6	5	29.4	4	23.5	1	5.9	4	23.5	17
Other HHR, Environmental	11	39.3	5	17.9	6	21.4	2	7.1	4	14.3	28
Other-including small business	27	22.1	16	13.1	32	26.2	21	17.2	26	21.3	122
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	18.9	312

Institution Type by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Institutional Associations

	Institutional Assoc Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	31	26.5	45	38.5	14	12.0	6	5.1	21	17.9	117
Research Org, Inst, Foundation, Lab	4	14.3	9	32.1	5	17.9	1	3.6	9	32.1	28
Independent Hospital	6	35.3	5	29.4	2	11.8	.	.	4	23.5	17
Other HHR, Environmental	4	14.3	9	32.1	6	21.4	4	14.3	5	17.9	28
Other-including small business	15	12.3	37	30.3	25	20.5	19	15.6	26	21.3	122
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.8	312

Institution Type by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Scientific Societies

	Scientific Societys Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	15	12.8	27	23.1	38	32.5	15	12.8	22	18.8	117
Research Org, Inst, Foundation, Lab	6	21.4	4	14.3	8	28.6	2	7.1	8	28.6	28
Independent Hospital	2	11.8	3	17.6	5	29.4	2	11.8	5	29.4	17
Other HHR, Environmental	5	17.9	7	25.0	7	25.0	4	14.3	5	17.9	28
Other-including small business	36	29.5	25	20.5	23	18.9	14	11.5	24	19.7	122
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.5	312

Institution Type by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Independent Consultants

	Independ Consultant Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	1	0.9	3	2.6	18	15.4	74	63.2	21	17.9	117
Research Org, Inst, Foundation, Lab	2	7.1	.	.	2	7.1	16	57.1	8	28.6	28
Independent Hospital	1	5.9	1	5.9	1	5.9	9	52.9	5	29.4	17
Other HHR, Environmental	4	14.3	1	3.6	4	14.3	14	50.0	5	17.9	28
Other-including small business	17	13.9	19	15.6	14	11.5	45	36.9	27	22.1	122
Total	25	8.0	24	7.7	39	12.5	158	50.6	66	21.2	312

Institution Type by
Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

	Likelihood Seek Assist From Outside Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	7	6.0	30	25.6	45	38.5	22	18.8	13	11.1	117
Research Org, Inst, Foundation, Lab	.	.	7	25.0	10	35.7	7	25.0	4	14.3	28
Independent Hospital	.	.	4	23.5	5	29.4	5	29.4	3	17.6	17
Other HHR, Environmental	4	14.3	10	35.7	4	14.3	8	28.6	2	7.1	28
Other-including small business	11	9.0	37	30.3	26	21.3	36	29.5	12	9.8	122
Total	22	7.1	88	28.2	90	28.8	78	25.0	34	10.9	312

Institution Type by
Q15a-Would Registry of Responsible Officials That Have Received Misconduct Charges Make it More Likely Your Institution Would Seek Advice From Outside Institutions

	Regist Raise Likelihood Asst Outsde Inst						
	Yes		No		Missing		ALL
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories							
Institute of Higher Education	70	59.8	34	29.1	13	11.1	117
Research Org, Inst, Foundation, Lab	15	53.6	9	32.1	4	14.3	28
Independent Hospital	10	58.8	5	29.4	2	11.8	17
Other HHR, Environmental	20	71.4	6	21.4	2	7.1	28
Other-including small business	77	63.1	32	26.2	13	10.7	122
Total	192	61.5	86	27.6	34	10.9	312

Institution Type by
Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	Likelihood Seek Asst Frm Outside Advisor										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	12	10.3	44	37.6	31	26.5	17	14.5	13	11.1	117
Research Org, Inst, Foundation, Lab	8	28.6	10	35.7	2	7.1	4	14.3	4	14.3	28
Independent Hospital	4	23.5	3	17.6	6	35.3	2	11.8	2	11.8	17
Other HHR, Environmental	4	14.3	14	50.0	3	10.7	5	17.9	2	7.1	28
Other-including small business	20	16.4	54	44.3	21	17.2	12	9.8	15	12.3	122
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	312

Institution Type by
Q16a-Would It Be Useful To Create a Registry of Advisors With Experience Responding to Allegations of Research Misconduct

	Useful to Create Registry of Advisors						
	Yes		No		Missing		ALL
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories							
Institute of Higher Education	79	67.5	25	21.4	13	11.1	117
Research Org, Inst, Foundation, Lab	19	67.9	5	17.9	4	14.3	28
Independent Hospital	14	82.4	1	5.9	2	11.8	17
Other HHR, Environmental	23	82.1	3	10.7	2	7.1	28
Other-including small business	90	73.8	18	14.8	14	11.5	122
Total	225	72.1	52	16.7	35	11.2	312

Institution Type by
Q16b-Would It Be Useful To Create a Certification Program For Outside Advisors

	Useful to Create Certif Prog of Advisors						
	Yes		No		Missing		ALL
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories							
Institute of Higher Education	59	50.4	46	39.3	12	10.3	117
Research Org, Inst, Foundation, Lab	14	50.0	9	32.1	5	17.9	28
Independent Hospital	10	58.8	5	29.4	2	11.8	17
Other HHR, Environmental	16	57.1	8	28.6	4	14.3	28
Other-including small business	74	60.7	30	24.6	18	14.8	122
Total	173	55.4	98	31.4	41	13.1	312

Institution Type by
Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	Likelihood Seek Asst From Inst Associat										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	15	12.8	30	25.6	40	34.2	20	17.1	12	10.3	117
Research Org, Inst, Foundation, Lab	3	10.7	4	14.3	9	32.1	8	28.6	4	14.3	28
Independent Hospital	2	11.8	6	35.3	4	23.5	3	17.6	2	11.8	17
Other HHR, Environmental	2	7.1	9	32.1	7	25.0	8	28.6	2	7.1	28
Other-including small business	7	5.7	35	28.7	37	30.3	30	24.6	13	10.7	122
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Institution Type by
Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	Likelihood Seek Asst From Scientific Soc										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	10	8.5	41	35.0	35	29.9	19	16.2	12	10.3	117
Research Org, Inst, Foundation, Lab	.	.	8	28.6	9	32.1	7	25.0	4	14.3	28
Independent Hospital	1	5.9	3	17.6	8	47.1	3	17.6	2	11.8	17
Other HHR, Environmental	5	17.9	8	28.6	8	28.6	5	17.9	2	7.1	28
Other-including small business	20	16.4	39	32.0	27	22.1	23	18.9	13	10.7	122
Total	36	11.5	99	31.7	87	27.9	57	18.3	33	10.6	312

Institution Type by
Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

	Likelihood Seek Asst-Indepen Invest Firm										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	2	1.7	14	12.0	32	27.4	56	47.9	13	11.1	117
Research Org, Inst, Foundation, Lab	.	.	2	7.1	8	28.6	13	46.4	5	17.9	28
Independent Hospital	.	.	3	17.6	3	17.6	9	52.9	2	11.8	17
Other HHR, Environmental	1	3.6	2	7.1	14	50.0	9	32.1	2	7.1	28
Other-including small business	7	5.7	32	26.2	38	31.1	31	25.4	14	11.5	122
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	312

Institution Type by
Q19a-Would It Be Useful To Create a Registry of Independent Investigative Firms That Are Available To Provide Experience/Expertise

	Useful to Create Registry of Invest Firm						
	Yes		No		Missing		ALL
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories							
Institute of Higher Education	49	41.9	52	44.4	16	13.7	117
Research Org, Inst, Foundation, Lab	11	39.3	11	39.3	6	21.4	28
Independent Hospital	6	35.3	9	52.9	2	11.8	17
Other HHR, Environmental	16	57.1	8	28.6	4	14.3	28
Other-including small business	75	61.5	32	26.2	15	12.3	122
Total	157	50.3	112	35.9	43	13.8	312

Institution Type by
Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	Likelihood Seek Assistance From ORI										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	58	49.6	28	23.9	9	7.7	8	6.8	14	12.0	117
Research Org, Inst, Foundation, Lab	8	28.6	7	25.0	6	21.4	1	3.6	6	21.4	28
Independent Hospital	5	29.4	7	41.2	2	11.8	1	5.9	2	11.8	17
Other HHR, Environmental	19	67.9	4	14.3	2	7.1	1	3.6	2	7.1	28
Other-including small business	58	47.5	32	26.2	9	7.4	7	5.7	16	13.1	122
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Institution Type by
Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

	Overall Satisfaction w/Contact with ORI												
	Very Satisfied		Somewhat Satisfied		Somewhat Dissatisfied		Very Dissatisfied		No Contact		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories													
Institute of Higher Education	36	30.8	7	6.0	3	2.6	.	.	50	42.7	21	17.9	117
Research Org, Inst, Foundation, Lab	.	.	1	3.6	.	.	.	.	20	71.4	7	25.0	28
Independent Hospital	2	11.8	1	5.9	.	.	.	.	9	52.9	5	29.4	17
Other HHR, Environmental	9	32.1	.	.	.	.	.	.	15	53.6	4	14.3	28
Other-including small business	8	6.6	2	1.6	1	0.8	2	1.6	82	67.2	27	22.1	122
Total	55	17.6	11	3.5	4	1.3	2	0.6	176	56.4	64	20.5	312

Institution Type by
Q20c-Hesitant to Contact ORI for Following Reasons

	Not Hesitant to Contact ORI		Hes to Contact cause ORI Regulatory Off		Don't Want to Inform ORI Until Warranted		Protect Confidentiality of Proceedings		Protect the Reputation of the Respondent		Other Sources of Asst Readily Available	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
PHS Institutional Categories												
Institute of Higher Education	77	65.8	13	11.1	17	14.5	11	9.4	13	11.1	10	8.5
Research Org, Inst, Foundation, Lab	11	39.3	4	14.3	9	32.1	6	21.4	6	21.4	5	17.9
Independent Hospital	8	47.1	6	35.3	6	35.3	3	17.6	2	11.8	2	11.8
Other HHR, Environmental	24	85.7	1	3.6	0	0.0	2	7.1	2	7.1	0	0.0
Other-including small business	83	68.0	8	6.6	15	12.3	15	12.3	9	7.4	4	3.3
Total	203	65.1	32	10.3	47	15.1	37	11.9	32	10.3	21	6.7

(CONTINUED)

Institution Type by
Q20c-Hesitant to Contact ORI for Following Reasons

	Need Information on ORI Website		Other Reason Hesitant to Contact ORI		ALL
	N	Percent	N	Percent	N
PHS Institutional Categories					
Institute of Higher Education	8	6.8	4	3.4	117
Research Org, Inst, Foundation, Lab	2	7.1	1	3.6	28
Independent Hospital	1	5.9	0	0.0	17
Other HHR, Environmental	1	3.6	0	0.0	28
Other-including small business	1	0.8	2	1.6	122
Total	13	4.2	7	2.2	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Within Institution or Affiliate

	Develop Source of Asst Within Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	56	47.9	10	8.5	1	0.9	2	1.7	48	41.0	117
Research Org, Inst, Foundation, Lab	8	28.6	2	7.1	.	.	1	3.6	17	60.7	28
Independent Hospital	9	52.9	3	17.6	1	5.9	.	.	4	23.5	17
Other HHR, Environmental	7	25.0	1	3.6	2	7.1	2	7.1	16	57.1	28
Other-including small business	31	25.4	5	4.1	8	6.6	5	4.1	73	59.8	122
Total	111	35.6	21	6.7	12	3.8	10	3.2	158	50.6	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Consortium

	Develop Consortium as Source of Assist										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	7	6.0	25	21.4	16	13.7	12	10.3	57	48.7	117
Research Org, Inst, Foundation, Lab	1	3.6	4	14.3	2	7.1	1	3.6	20	71.4	28
Independent Hospital	1	5.9	7	41.2	1	5.9	2	11.8	6	35.3	17
Other HHR, Environmental	2	7.1	6	21.4	1	3.6	3	10.7	16	57.1	28
Other-including small business	8	6.6	25	20.5	8	6.6	10	8.2	71	58.2	122
Total	19	6.1	67	21.5	28	9.0	28	9.0	170	54.5	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

	Develop Source of Asst Outside Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	3	2.6	4	3.4	16	13.7	10	8.5	84	71.8	117
Research Org, Inst, Foundation, Lab	1	3.6	2	7.1	3	10.7	3	10.7	19	67.9	28
Independent Hospital	.	.	3	17.6	4	23.5	1	5.9	9	52.9	17
Other HHR, Environmental	.	.	1	3.6	3	10.7	3	10.7	21	75.0	28
Other-including small business	5	4.1	10	8.2	15	12.3	12	9.8	80	65.6	122
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.3	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Outside Advisor/Consultant

	Develop Advisors as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	1	0.9	9	7.7	14	12.0	14	12.0	79	67.5	117
Research Org, Inst, Foundation, Lab	3	10.7	3	10.7	5	17.9	3	10.7	14	50.0	28
Independent Hospital	1	5.9	1	5.9	.	.	4	23.5	11	64.7	17
Other HHR, Environmental	2	7.1	1	3.6	3	10.7	5	17.9	17	60.7	28
Other-including small business	9	7.4	20	16.4	10	8.2	18	14.8	65	53.3	122
Total	16	5.1	34	10.9	32	10.3	44	14.1	186	59.6	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Institutional Association

	Develop Institut Assoc as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	10	8.5	27	23.1	17	14.5	20	17.1	43	36.8	117
Research Org, Inst, Foundation, Lab	1	3.6	4	14.3	4	14.3	4	14.3	15	53.6	28
Independent Hospital	1	5.9	3	17.6	4	23.5	1	5.9	8	47.1	17
Other HHR, Environmental	1	3.6	3	10.7	4	14.3	5	17.9	15	53.6	28
Other-including small business	3	2.5	8	6.6	18	14.8	17	13.9	76	62.3	122
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Scientific Society

	Devel Scientif Society as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	4	3.4	9	7.7	15	12.8	18	15.4	71	60.7	117
Research Org, Inst, Foundation, Lab	4	14.3	1	3.6	6	21.4	1	3.6	16	57.1	28
Independent Hospital	.	.	1	5.9	3	17.6	1	5.9	12	70.6	17
Other HHR, Environmental	1	3.6	2	7.1	5	17.9	3	10.7	17	60.7	28
Other-including small business	11	9.0	20	16.4	15	12.3	16	13.1	60	49.2	122
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Independent Investigative Firm

	Devel Indep Invest Frm as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	.	.	1	0.9	5	4.3	17	14.5	94	80.3	117
Research Org, Inst, Foundation, Lab	.	.	1	3.6	2	7.1	3	10.7	22	78.6	28
Independent Hospital	.	.	1	5.9	.	.	3	17.6	13	76.5	17
Other HHR, Environmental	1	3.6	2	7.1	3	10.7	4	14.3	18	64.3	28
Other-including small business	4	3.3	13	10.7	9	7.4	13	10.7	83	68.0	122
Total	5	1.6	18	5.8	19	6.1	40	12.8	230	73.7	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Office of Research Integrity

	Develop ORI as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories											
Institute of Higher Education	25	21.4	20	17.1	13	11.1	17	14.5	42	35.9	117
Research Org, Inst, Foundation, Lab	5	17.9	4	14.3	.	.	4	14.3	15	53.6	28
Independent Hospital	5	29.4	2	11.8	2	11.8	3	17.6	5	29.4	17
Other HHR, Environmental	9	32.1	4	14.3	1	3.6	1	3.6	13	46.4	28
Other-including small business	46	37.7	11	9.0	9	7.4	14	11.5	42	34.4	122
Total	90	28.8	41	13.1	25	8.0	39	12.5	117	37.5	312

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Other Source of Assistance

	Develop Other Sources of Assistance								
	Rank 1		Rank 2		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories									
Institute of Higher Education	1	0.9	.	.	3	2.6	113	96.6	117
Research Org, Inst, Foundation, Lab	1	3.6	1	3.6	.	.	26	92.9	28
Independent Hospital	.	.	.	.	.	.	17	100.0	17
Other HHR, Environmental	.	.	.	.	.	.	28	100.0	28
Other-including small business	3	2.5	.	.	2	1.6	117	95.9	122
Total	5	1.6	1	0.3	5	1.6	301	96.5	312

Q1-Number of Researchers Employed in Institution by
Q2-Type of Research Institution Employed By

	Type of Research Institute Employed By																	
	Institute of Higher Education		Academic Medical Center		Research Organization, Institute, etc.		Independent Hospital		Other Educational Organization		Other Health Organization		Federal or State Government		Other	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution																
0	.	.	1	50.0	.	.	.	.	.	.	.	.	.	.	.	.
1-10	8	6.3	3	2.4	34	27.0	3	2.4	2	1.6	5	4.0	1	0.8	69	54.8
11-100	21	26.6	7	8.9	20	25.3	5	6.3	1	1.3	1	1.3	2	2.5	20	25.3
101-500	26	52.0	10	20.0	9	18.0	1	2.0	.	.	.	.	2	4.0	1	2.0
501-1000	6	35.3	9	52.9	1	5.9	.	.	.	.	.	.	.	.	1	5.9
Over 1000	10	27.8	22	61.1	1	2.8	1	2.8	.	.	.	.	.	.	1	2.8
Missing	1	50.0	.	.	.	.	.	.	.	.	1	50.0	.	.	.	.
Total	72	23.1	52	16.7	65	20.8	10	3.2	3	1.0	7	2.2	5	1.6	92	29.5

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q2-Type of Research Institution Employed By

	Type of Research Institute Employed By		
	No Response		ALL
	N	Percent	N
# of Researchers Employed at Institution			
0	1	50.0	2
1-10	1	0.8	126
11-100	2	2.5	79
101-500	1	2.0	50
501-1000	.	.	17
Over 1000	1	2.8	36
Missing	.	.	2
Total	6	1.9	312

Q1-Number of Researchers Employed in Inst by Q4-Number of Allegations

	# Allegations Pst 5 Yrs Reslt in Inquiry												
	None		One		Two		Three		> Three		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution													
0	.	.	.	.	.	.	1	50.0	.	.	1	50.0	2
1-10	123	97.6	1	0.8	1	0.8	.	.	.	.	1	0.8	126
11-100	75	94.9	4	5.1	.	.	.	.	.	.	.	.	79
101-500	25	50.0	11	22.0	4	8.0	7	14.0	.	.	3	6.0	50
501-1000	4	23.5	5	29.4	.	.	8	47.1	.	.	.	.	17
Over 1000	6	16.7	2	5.6	4	11.1	17	47.2	5	13.9	2	5.6	36
Missing	1	50.0	.	.	1	50.0	.	.	.	.	.	.	2
Total	234	75.0	23	7.4	10	3.2	33	10.6	5	1.6	7	2.2	312

Q1-Number of Researchers Employed in Institution by
Q5-What Sources Did Institution Seek Assistance - Allegation #1

	Conduct Inquiry From With-In Institute-1		Conduct Inquiry From Outside Institute-1		Conduct Inquiry With ORI-1		Conduct Inquiry With Outside Advisor-1		Conduct Inquiry Using Other Source-1		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
11-100	3	75.0	0	0.0	1	25.0	0	0.0	0	0.0	4
101-500	19	86.4	6	27.3	9	40.9	4	18.2	3	13.6	22
501-1000	11	84.6	1	7.7	4	30.8	1	7.7	0	0.0	13
Over 1000	24	85.7	6	21.4	6	21.4	4	14.3	1	3.6	28
Missing	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	58	81.7	13	18.3	21	29.6	9	12.7	4	5.6	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Q1-Number of Researchers Employed in Institution by Q5-What Sources Did Institution Seek Assistance - Allegation #2

	Conduct Inquiry From With-In Institute-2		Conduct Inquiry From Outside Institute-2		Conduct Inquiry With ORI-2		Conduct Inquiry With Outside Advisor-2		Conduct Inquiry Using Other Source-2		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
101-500	9	81.8	1	9.1	3	27.3	2	18.2	1	9.1	11
501-1000	7	87.5	1	12.5	5	62.5	3	37.5	0	0.0	8
Over 1000	20	76.9	4	15.4	8	30.8	1	3.8	2	7.7	26
Missing	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	37	77.1	6	12.5	16	33.3	6	12.5	3	6.3	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Q1-Number of Researchers Employed in Institution by
Q5-What Sources Did Institution Seek Assistance - Allegation #3

	Conduct Inquiry From With-In Institute-3		Conduct Inquiry From Outside Institute-3		Conduct Inquiry With ORI-3		Conduct Inquiry With Outside Advisor-3		Conduct Inquiry Using Other Source-3		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
101-500	4	57.1	0	0.0	2	28.6	1	14.3	1	14.3	7
501-1000	7	87.5	2	25.0	3	37.5	1	12.5	1	12.5	8
Over 1000	14	63.6	1	4.5	5	22.7	2	9.1	0	0.0	22
Total	25	65.8	3	7.9	10	26.3	4	10.5	2	5.3	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Overall Guidance-1		Sought Asst Conducting Prelim Assess-1		Sought Asst Maintaining Confidential-1		Sought Asst Acquiring App Expertise-1		Sought Asst Handling Conflict of Int-1		Sought Asst w/Trtmnt of Whistle Blow-1	
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	1	50.0	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0
11-100	1	25.0	1	25.0	1	25.0	0	0.0	0	0.0	0	0.0
101-500	15	68.2	10	45.5	2	9.1	6	27.3	0	0.0	5	22.7
501-1000	6	46.2	6	46.2	6	46.2	5	38.5	4	30.8	6	46.2
Over 1000	10	35.7	7	25.0	1	3.6	3	10.7	0	0.0	3	10.7
Missing	0	0.0	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	33	46.5	26	36.6	10	14.1	14	19.7	4	5.6	14	19.7

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst w/Trtment of Respondents-1		Sought Asst w/Managemnt of Committee-1		Sought Asst with Conduct of Inquiry-1		Sought Asst w/Conduct of Investigatn-1		Sought Asst Sequestration of Evidnce-1		Sought Asst w/Investigational Techniq-1	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution												
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
11-100	1	25.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	5	22.7	2	9.1	9	40.9	3	13.6	6	27.3	2	9.1
501-1000	5	38.5	3	23.1	5	38.5	2	15.4	2	15.4	1	7.7
Over 1000	4	14.3	3	10.7	6	21.4	6	21.4	5	17.9	1	3.6
Missing	0	0.0	0	0.0	1	100.0	1	100.0	0	0.0	0	0.0
Total	15	21.1	8	11.3	21	29.6	12	16.9	13	18.3	4	5.6

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst w/Interviewing Skills-1		Sought Asst Assessing Evidence-1		Sought Asst with Legal Issues-1		Sought Asst with Preparing Reports 1		Sought Other Assistance-1		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	0	0.0	1	50.0	0	0.0	2
11-100	0	0.0	1	25.0	0	0.0	0	0.0	0	0.0	4
101-500	0	0.0	3	13.6	8	36.4	2	9.1	0	0.0	22
501-1000	1	7.7	2	15.4	6	46.2	2	15.4	1	7.7	13
Over 1000	0	0.0	3	10.7	10	35.7	5	17.9	1	3.6	28
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	1	1.4	9	12.7	24	33.8	10	14.1	2	2.8	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Overall Guidance-2		Sought Asst Conducting Prelim Assess-2		Sought Asst Maintaining Confidential-2		Sought Asst Acquiring App Expertise-2		Sought Asst Handling Conflict of Int-2		Sought Asst w/Trtmnt of Whistle Blow-2	
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	7	63.6	4	36.4	1	9.1	3	27.3	0	0.0	4	36.4
501-1000	5	62.5	1	12.5	3	37.5	3	37.5	1	12.5	2	25.0
Over 1000	13	50.0	6	23.1	1	3.8	4	15.4	0	0.0	1	3.8
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	25	52.1	11	22.9	5	10.4	10	20.8	1	2.1	7	14.6

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst w/Trtment of Respondents-2		Sought Asst w/Managemnt of Committee-2		Sought Asst with Conduct of Inquiry-2		Sought Asst w/Conduct of Investigatn-2		Sought Asst Sequestration of Evidnce-2		Sought Asst w/Investigational Techng-2	
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	3	27.3	2	18.2	3	27.3	2	18.2	3	27.3	1	9.1
501-1000	3	37.5	1	12.5	2	25.0	1	12.5	1	12.5	1	12.5
Over 1000	3	11.5	2	7.7	5	19.2	4	15.4	3	11.5	4	15.4
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	9	18.8	5	10.4	10	20.8	7	14.6	7	14.6	6	12.5

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst w/Interviewing Skills-2		Sought Asst Assessing Evidence-2		Sought Asst with Legal Issues-2		Sought Asst with Preparing Reports 2		Sought Other Assistance-2		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
101-500	0	0.0	1	9.1	4	36.4	2	18.2	0	0.0	11
501-1000	1	12.5	2	25.0	3	37.5	1	12.5	0	0.0	8
Over 1000	1	3.8	5	19.2	10	38.5	6	23.1	2	7.7	26
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	2	4.2	8	16.7	17	35.4	9	18.8	2	4.2	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Overall Guidance-3		Sought Asst Conducting Prelim Assess-3		Sought Asst Maintaining Confidential-3		Sought Asst Acquiring App Expertise-3		Sought Asst Handling Conflict of Int-3		Sought Asst w/Trtmnt of Whistle Blow-3	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution												
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	3	42.9	2	28.6	1	14.3	0	0.0	0	0.0	1	14.3
501-1000	5	62.5	3	37.5	4	50.0	3	37.5	1	12.5	4	50.0
Over 1000	9	40.9	4	18.2	0	0.0	2	9.1	1	4.5	1	4.5
Total	17	44.7	9	23.7	5	13.2	5	13.2	2	5.3	6	15.8

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst w/Trtment of Respondents-3		Sought Asst w/Managemnt of Committee-3		Sought Asst with Conduct of Inquiry-3		Sought Asst w/Conduct of Investigatn-3		Sought Asst Sequestration of Evidnce-3		Sought Asst w/Investigational Techniq-3	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution												
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	2	28.6	0	0.0	0	0.0	0	0.0	1	14.3	0	0.0
501-1000	3	37.5	1	12.5	3	37.5	2	25.0	3	37.5	3	37.5
Over 1000	3	13.6	1	4.5	2	9.1	2	9.1	1	4.5	2	9.1
Total	8	21.1	2	5.3	5	13.2	4	10.5	5	13.2	5	13.2

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst w/Interviewing Skills-3		Sought Asst Assessing Evidence-3		Sought Asst with Legal Issues-3		Sought Asst with Preparing Reports 3		Sought Other Assistance-3		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
101-500	0	0.0	0	0.0	1	14.3	1	14.3	0	0.0	7
501-1000	2	25.0	1	12.5	5	62.5	2	25.0	0	0.0	8
Over 1000	0	0.0	1	4.5	8	36.4	4	18.2	0	0.0	22
Total	2	5.3	2	5.3	14	36.8	7	18.4	0	0.0	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by Q7-Where Seek Assistance in Future - Allegation #1

	Seek Future Asst With-In Institute-1		Seek Future Asst From Outside Inst-1		Seek Future Assistance From ORI-1		Seek Future Asst From Outside Advisor-1		Seek Future Assistance Frm Othr Source-1		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
11-100	3	75.0	1	25.0	1	25.0	1	25.0	0	0.0	4
101-500	17	77.3	4	18.2	12	54.5	4	18.2	4	18.2	22
501-1000	11	84.6	3	23.1	8	61.5	2	15.4	2	15.4	13
Over 1000	19	67.9	4	14.3	16	57.1	4	14.3	1	3.6	28
Missing	0	0.0	0	0.0	1	100.0	0	0.0	0	0.0	1
Total	50	70.4	12	16.9	39	54.9	11	15.5	7	9.9	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance In Overall Guidance		Need Assistance Conducting Prelim Assess		Need Assistance Maintaining Confidential		Need Asst Acquiring Appropriate Expertse		Need Assistance Handling Conflict of Int		Need Asst w/Treatment of Whistle Blowers	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution												
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	87	70.2	41	33.1	21	16.9	47	37.9	28	22.6	25	20.2
11-100	40	53.3	6	8.0	2	2.7	17	22.7	6	8.0	9	12.0
101-500	10	35.7	3	10.7	1	3.6	12	42.9	1	3.6	1	3.6
501-1000	1	25.0	1	25.0	0	0.0	1	25.0	2	50.0	2	50.0
Over 1000	3	37.5	1	12.5	1	12.5	1	12.5	0	0.0	2	25.0
Missing	0	0.0	1	100.0	0	0.0	1	100.0	0	0.0	0	0.0
Total	141	58.5	53	22.0	25	10.4	79	32.8	37	15.4	39	16.2

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistan w/Treatment of Respondents		Need Assistan w/Management of Committees		Need Assistance with Conduct of Inquiry		Need Assistan w/Conduct of Investigation		Need Asst with Sequestration of Evidence		Need Asst w/Investigational Techniques	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution												
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	25	20.2	18	14.5	52	41.9	47	37.9	31	25.0	40	32.3
11-100	5	6.7	4	5.3	14	18.7	16	21.3	8	10.7	18	24.0
101-500	2	7.1	0	0.0	4	14.3	4	14.3	4	14.3	5	17.9
501-1000	1	25.0	1	25.0	1	25.0	0	0.0	1	25.0	1	25.0
Over 1000	0	0.0	0	0.0	3	37.5	3	37.5	0	0.0	0	0.0
Missing	0	0.0	0	0.0	0	0.0	1	100.0	0	0.0	1	100.0
Total	33	13.7	23	9.5	74	30.7	71	29.5	44	18.3	65	27.0

(CONTINUED)

Q1-Number of Researchers Employed in Institution by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance with Interviewing Skills		Need Assistance Assessing Evidence		Need Assistance with Legal Issues		Need Assistance Preparing Reports		Need Other Assistance		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	20	16.1	36	29.0	70	56.5	46	37.1	6	4.8	124
11-100	6	8.0	13	17.3	31	41.3	18	24.0	1	1.3	75
101-500	1	3.6	9	32.1	9	32.1	7	25.0	1	3.6	28
501-1000	1	25.0	1	25.0	1	25.0	1	25.0	2	50.0	4
Over 1000	0	0.0	2	25.0	4	50.0	0	0.0	0	0.0	8
Missing	1	100.0	0	0.0	1	100.0	1	100.0	0	0.0	1
Total	29	12.0	61	25.3	116	48.1	73	30.3	10	4.1	241

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by Q9-Likelihood Institution Would Rely On Assistance From Within

	Likelihood to Rely on Asst With-In Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	19	15.1	16	12.7	7	5.6	23	18.3	61	48.4	126
11-100	18	22.8	7	8.9	4	5.1	5	6.3	45	57.0	79
101-500	11	22.0	2	4.0	7	14.0	2	4.0	28	56.0	50
501-1000	2	11.8	.	.	3	17.6	.	.	12	70.6	17
Over 1000	10	27.8	2	5.6	5	13.9	1	2.8	18	50.0	36
Missing	2	100.0	.	.	.	.	.	.	.	.	2
Total	62	19.9	27	8.7	26	8.3	31	9.9	166	53.2	312

Q1-Number of Researchers Employed in Institution by
Q10-Likelihood Institution Would Become Member of Consortium

	Likelihood to Become Member of Consort										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	6	4.8	14	11.1	18	14.3	28	22.2	60	47.6	126
11-100	4	5.1	9	11.4	8	10.1	13	16.5	45	57.0	79
101-500	3	6.0	11	22.0	7	14.0	1	2.0	28	56.0	50
501-1000	.	.	3	17.6	2	11.8	.	.	12	70.6	17
Over 1000	3	8.3	7	19.4	4	11.1	4	11.1	18	50.0	36
Missing	.	.	2	100.0	.	.	.	.	.	.	2
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9	312

Q1-Number of Researchers Employed in Institution by
Q11-Likelihood Institution Would Request Services From Consortium

	Likelihood Request Service of Consortium										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	12	9.5	17	13.5	11	8.7	24	19.0	62	49.2	126
11-100	2	2.5	13	16.5	9	11.4	9	11.4	46	58.2	79
101-500	1	2.0	9	18.0	10	20.0	2	4.0	28	56.0	50
501-1000	1	5.9	2	11.8	1	5.9	1	5.9	12	70.6	17
Over 1000	1	2.8	7	19.4	4	11.1	5	13.9	19	52.8	36
Missing	.	.	2	100.0	.	.	.	.	.	.	2
Total	17	5.4	50	16.0	35	11.2	41	13.1	169	54.2	312

Q1-Number of Researchers Employed in Institution by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Questionable Rsrch Prac-Cons Responsibil		Allega of Retaliation-Consrt Responsibil		Human Subjects-Area Consort Responsibil		Animal Subjects Area Consort Responsibil		Conflicts of Interest-Consrt Responsibil		Hazardous Mat-Area Consrt Responsibility		Financial Management-Consort Responsibil	
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	37	29.4	28	22.2	48	38.1	18	14.3	43	34.1	16	12.7	31	24.6
11-100	30	38.0	12	15.2	19	24.1	9	11.4	17	21.5	9	11.4	12	15.2
101-500	20	40.0	10	20.0	16	32.0	7	14.0	20	40.0	6	12.0	7	14.0
501-1000	8	47.1	6	35.3	7	41.2	4	23.5	8	47.1	4	23.5	3	17.6
Over 1000	10	27.8	8	22.2	7	19.4	5	13.9	9	25.0	3	8.3	6	16.7
Missing	1	50.0	1	50.0	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0
Total	106	34.0	65	20.8	97	31.1	43	13.8	98	31.4	38	12.2	59	18.9

(CONTINUED)

Q1-Number of Researchers Employed in Institution by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	No Consortium Responsibility		Other Area of Consortium Responsibility		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N
0	0	0.0	0	0.0	2
1-10	31	24.6	1	0.8	126
11-100	22	27.8	5	6.3	79
101-500	9	18.0	2	4.0	50
501-1000	5	29.4	0	0.0	17
Over 1000	13	36.1	2	5.6	36
Missing	0	0.0	0	0.0	2
Total	80	25.6	10	3.2	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	Geographic Proximity as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	33	26.2	15	11.9	14	11.1	41	32.5	23	16.3	126
11-100	15	19.0	14	17.7	18	22.8	17	21.5	15	19.0	79
101-500	6	12.0	9	18.0	13	26.0	11	22.0	11	22.0	50
501-1000	2	11.8	4	23.5	6	35.3	3	17.6	2	11.3	17
Over 1000	5	13.9	4	11.1	7	19.4	12	33.3	3	22.2	36
Missing	.	.	.	.	.	.	2	100.0	.	.	2
Total	61	19.6	46	14.7	58	18.6	86	27.6	56	17.9	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Similar Size as Basis of Forming a Consortium

	Similar Size as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	7	5.6	29	23.0	29	23.0	36	28.6	25	19.8	126
11-100	7	8.9	14	17.7	18	22.8	25	31.6	15	19.0	79
101-500	1	2.0	10	20.0	11	22.0	17	34.0	11	22.0	50
501-1000	.	.	2	11.8	5	29.4	8	47.1	2	11.3	17
Over 1000	2	5.6	5	13.9	12	33.3	10	27.8	3	19.4	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.9	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Similar Type as Basis of Forming a Consortium

	Similar Institutional Type as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	2	100.0	2	
1-10	31	24.6	31	24.6	23	18.3	18	14.3	23	17.9	126
11-100	35	44.3	14	17.7	11	13.9	5	6.3	14	17.8	79
101-500	27	54.0	7	14.0	4	8.0	1	2.0	11	22.0	50
501-1000	11	64.7	2	11.8	2	11.8	.	.	2	11.3	17
Over 1000	23	63.9	6	16.7	.	.	1	2.8	6	16.7	36
Missing	2	100.0	.	.	.	.	.	.	.	.	2
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Scientific Field as Basis of Forming a Consortium

	Scientific Field as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	38	30.2	30	23.8	21	16.7	14	11.1	23	18.3	126
11-100	16	20.3	21	26.6	15	19.0	14	17.7	13	16.5	79
101-500	9	18.0	12	24.0	8	16.0	10	20.0	11	22.0	50
501-1000	2	11.8	7	41.2	3	17.6	3	17.6	2	11.3	17
Over 1000	1	2.8	15	41.7	8	22.2	4	11.1	3	22.2	36
Missing	.	.	.	.	1	50.0	1	50.0	.	.	2
Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9	312

Q1-Number of Researchers Employed in Institution by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Individual Institutions

	Individ Institute Should Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	28	22.2	18	14.3	32	25.4	25	19.8	23	18.3	126
11-100	26	32.9	12	15.2	19	24.1	7	8.9	15	19.0	79
101-500	18	36.0	9	18.0	8	16.0	4	8.0	11	22.0	50
501-1000	6	35.3	3	17.6	5	29.4	1	5.9	2	11.3	17
Over 1000	20	55.6	6	16.7	4	11.1	.	.	6	16.7	36
Missing	2	100.0	.	.	.	.	.	.	.	.	2
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	18.9	312

Q1-Number of Researchers Employed in Institution by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Institutional Associations

	Institutional Assoc Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	21	16.7	39	31.0	26	20.6	17	13.5	23	18.34	126
11-100	12	15.2	31	39.2	11	13.9	7	8.9	18	22.8	79
101-500	12	24.0	13	26.0	10	20.0	3	6.0	12	24.0	50
501-1000	7	41.2	6	35.3	2	11.8	.	.	2	11.3	17
Over 1000	8	22.2	15	41.7	3	8.3	2	5.6	3	22.2	36
Missing	.	.	1	50.0	.	.	1	50.0	.	.	2
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.9	312

Q1-Number of Researchers Employed in Institution by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Scientific Societies

	Scientific Societys Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	36	28.6	25	19.8	26	20.6	16	12.7	2	18.3	126
11-100	16	20.3	17	21.5	23	29.1	7	8.9	.	28.3	79
101-500	8	16.0	12	24.0	13	26.0	5	10.0	.	24.0	50
501-1000	2	11.8	6	35.3	7	41.2	.	.	.	11.3	17
Over 1000	2	5.6	5	13.9	11	30.6	9	25.0	1	24.5	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.6	312

Q1-Number of Researchers Employed in Institution by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Independent Consultants

	Independ Consultant Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	15	11.9	19	15.1	15	11.9	52	41.3	25	19.9	126
11-100	10	12.7	1	1.3	10	12.7	41	51.9	17	21.5	79
101-500	.	.	3	6.0	5	10.0	31	62.0	11	22.0	50
501-1000	.	.	.	.	2	11.8	13	76.5	2	11.3	17
Over 1000	.	.	1	2.8	6	16.7	20	55.6	9	24.5	36
Missing	.	.	.	.	1	50.0	1	50.0	.	.	2
Total	25	8.0	24	7.7	39	12.5	158	50.6	65	21.2	312

Q1-Number of Researchers Employed in Institution by
Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

	Likelihood Seek Assist From Outside Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	11	8.7	41	32.5	26	20.6	36	28.6	12	9.5	126
11-100	2	2.5	21	26.6	20	25.3	25	31.6	11	13.9	79
101-500	5	10.0	13	26.0	16	32.0	11	22.0	5	10.0	50
501-1000	2	11.8	5	29.4	8	47.1	1	5.9	1	5.9	17
Over 1000	2	5.6	7	19.4	19	52.8	5	13.9	3	8.3	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	22	7.1	88	28.2	90	28.8	78	25.0	34	10.9	312

Q1-Number of Researchers Employed in Institution by
Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	Likelihood Seek Asst Frm Outside Advisor										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	16	12.7	57	45.2	21	16.7	17	13.5	15	11.9	126
11-100	16	20.3	33	41.8	12	15.2	8	10.1	10	12.7	79
101-500	9	18.0	19	38.0	9	18.0	8	16.0	5	10.0	50
501-1000	3	17.6	7	41.2	4	23.5	2	11.8	1	5.9	17
Over 1000	4	11.1	8	22.2	16	44.4	5	13.9	3	8.3	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	312

Q1-Number of Researchers Employed in Institution by
Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	Likelihood Seek Asst From Inst Associat										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	8	6.3	39	31.0	38	30.2	28	22.2	13	10.3	126
11-100	4	5.1	20	25.3	24	30.4	22	27.8	9	11.4	79
101-500	8	16.0	10	20.0	18	36.0	9	18.0	5	10.0	50
501-1000	4	23.5	6	35.3	5	29.4	1	5.9	1	5.9	17
Over 1000	5	13.9	8	22.2	11	30.6	9	25.0	3	8.3	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Q1-Number of Researchers Employed in Institution by
Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	Likelihood Seek Asst From Scientific Soc										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	22	17.5	39	31.0	30	23.8	22	17.5	13	10.3	126
11-100	5	6.3	25	31.6	23	29.1	17	21.5	9	11.4	79
101-500	5	10.0	18	36.0	15	30.0	7	14.0	5	10.0	50
501-1000	2	11.8	6	35.3	6	35.3	2	11.8	1	5.9	17
Over 1000	2	5.6	10	27.8	12	33.3	9	25.0	3	8.3	36
Missing	.	.	1	50.0	1	50.0	.	.	.	.	2
Total	36	11.5	99	31.7	87	27.9	57	18.3	33	10.6	312

Q1-Number of Researchers Employed in Institution by
Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

	Likelihood Seek Asst-Indepen Invest Firm										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	7	5.6	27	21.4	43	34.1	35	27.8	14	11.1	126
11-100	1	1.3	15	19.0	25	31.6	27	34.2	11	13.9	79
101-500	1	2.0	8	16.0	9	18.0	27	54.0	5	10.0	50
501-1000	1	5.9	.	.	6	35.3	9	52.9	1	5.9	17
Over 1000	.	.	3	8.3	10	27.8	20	55.6	3	8.3	36
Missing	.	.	.	.	2	100.0	.	.	.	.	2
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	312

Q1-Number of Researchers Employed in Institution by
Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	Likelihood Seek Assistance From ORI										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	61	48.4	31	24.6	9	7.1	10	7.9	15	11.9	126
11-100	34	43.0	26	32.9	6	7.6	2	2.5	11	13.9	79
101-500	23	46.0	11	22.0	8	16.0	2	4.0	6	12.0	50
501-1000	10	58.8	3	17.6	2	11.8	.	.	2	11.8	17
Over 1000	20	55.6	6	16.7	3	8.3	3	8.3	4	11.1	36
Missing	.	.	1	50.0	.	.	1	50.0	.	.	2
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Q1-Number of Researchers Employed in Institution by
Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

	Overall Satisfaction w/Contact with ORI												
	Very Satisfied		Somewhat Satisfied		Somewhat Dissatisfied		Very Dissatisfied		No Contact		Missing		ALL
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
0	.	.	.	.	.	.	.	.	.	.	2	100.0	2
1-10	11	8.7	2	1.6	.	.	2	1.6	88	69.8	23	18.3	126
11-100	9	11.4	4	5.1	1	1.3	.	.	44	55.7	21	26.6	79
101-500	12	24.0	2	4.0	1	2.0	.	.	25	50.0	10	20.0	50
501-1000	5	29.4	1	5.9	1	5.9	.	.	7	41.2	3	17.6	17
Over 1000	17	47.2	2	5.6	1	2.8	.	.	12	33.3	4	11.1	36
Missing	1	50.0	.	.	.	.	.	.	.	.	1	50.0	2
Total	55	17.6	11	3.5	4	1.3	2	0.6	176	56.4	64	20.5	312

Q1-Number of Researchers Employed in Institution by Q20c-Hesitant to Contact ORI for Following Reasons

	Not Hesitant to Contact ORI		Hes to Contact cause ORI Regulatory Off		Don't Want to Inform ORI Until Warranted		Protect Confidentiality of Proceedings		Protect the Reputation of the Respondent		Other Sources of Asst Readily Available		Need Information on ORI Website	
# of Researchers Employed at Institution	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	84	66.7	14	11.1	14	11.1	15	11.9	11	8.7	5	4.0	2	1.6
11-100	51	64.6	5	6.3	14	17.7	11	13.9	8	10.1	2	2.5	3	3.8
101-500	32	64.0	6	12.0	9	18.0	4	8.0	4	8.0	5	10.0	2	4.0
501-1000	14	82.4	1	5.9	3	17.6	2	11.8	2	11.8	3	17.6	2	11.8
Over 1000	21	58.3	5	13.9	7	19.4	4	11.1	6	16.7	6	16.7	3	8.3
Missing	1	50.0	1	50.0	0	0.0	1	50.0	1	50.0	0	0.0	1	50.0
Total	203	65.1	32	10.3	47	15.1	37	11.9	32	10.3	21	6.7	13	4.2

(CONTINUED)

Q1-Number of Researchers Employed in Institution by Q20c-Hesitant to Contact ORI for Following Reasons

	Other Reason Hesitant to Contact ORI		ALL
	N	Percent	N
# of Researchers Employed at Institution			
0	0	0.0	2
1-10	2	1.6	126
11-100	1	1.3	79
101-500	1	2.0	50
501-1000	2	11.8	17
Over 1000	1	2.8	36
Missing	0	0.0	2
Total	7	2.2	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Within Institution or Affiliate

	Develop Source of Asst Within Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	30	23.8	7	5.6	8	6.3	7	5.6	74	58.7	126
11-100	24	30.4	6	7.6	3	3.8	2	2.5	44	55.7	79
101-500	26	52.0	5	10.0	.	.	.	.	19	38.0	50
501-1000	8	47.1	1	5.9	.	.	1	5.9	7	41.2	17
Over 1000	22	61.1	2	5.6	1	2.8	.	.	11	30.6	36
Missing	1	50.0	.	.	.	.	.	.	1	50.0	2
Total	111	35.6	21	6.7	12	3.8	10	3.2	158	50.6	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

	Develop Consortium as Source of Assist										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	9	7.1	28	22.2	7	5.6	9	7.1	73	57.9	126
11-100	5	6.3	16	20.3	9	11.4	7	8.9	42	53.2	79
101-500	3	6.0	10	20.0	5	10.0	7	14.0	25	50.0	50
501-1000	2	11.8	3	17.6	5	29.4	1	5.9	6	35.3	17
Over 1000	.	.	10	27.8	2	5.6	4	11.1	20	55.6	36
Missing	.	.	.	.	.	.	.	.	2	100.0	2
Total	19	6.1	67	21.5	28	9.0	28	9.0	170	54.5	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

	Develop Source of Asst Outside Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	5	4.0	9	7.1	16	12.7	12	9.5	84	66.7	126
11-100	1	1.3	4	5.1	11	13.9	6	7.6	57	72.2	79
101-500	1	2.0	2	4.0	6	12.0	7	14.0	34	68.0	50
501-1000	2	11.8	2	11.8	1	5.9	2	11.8	10	58.8	17
Over 1000	.	.	3	8.3	7	19.4	2	5.6	24	66.2	36
Missing	.	.	.	.	.	.	.	.	2	100.0	2
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.2	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Outside Advisor/Consultant

	Develop Advisors as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	6	4.8	17	13.5	8	6.3	21	16.7	74	58.7	126
11-100	10	12.7	10	12.7	6	7.6	8	10.1	45	57.0	79
101-500	.	.	5	10.0	11	22.0	9	18.0	25	50.0	50
501-1000	.	.	2	11.8	1	5.9	2	11.8	12	70.6	17
Over 1000	.	.	.	.	4	11.1	4	11.1	28	77.8	36
Missing	.	.	.	.	2	100.0	.	.	.	.	2
Total	16	5.1	34	10.9	32	10.3	44	14.1	186	59.6	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Institutional Association

	Develop Institut Assoc as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	6	4.8	14	11.1	19	15.1	16	12.7	71	56.3	126
11-100	2	2.5	10	12.7	11	13.9	9	11.4	47	59.5	79
101-500	3	6.0	9	18.0	7	14.0	10	20.0	21	42.0	50
501-1000	3	17.6	6	35.3	3	17.6	3	17.6	2	11.8	17
Over 1000	1	2.8	6	16.7	7	19.4	9	25.0	13	36.1	36
Missing	1	50.0	.	.	.	.	.	.	1	50.0	2
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Scientific Society

	Devel Scientif Society as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	10	7.9	18	14.3	17	13.5	16	12.7	65	51.6	126
11-100	5	6.3	7	8.9	13	16.5	6	7.6	48	60.8	79
101-500	2	4.0	4	8.0	6	12.0	4	8.0	34	68.0	50
501-1000	2	11.8	2	11.8	6	35.3	2	11.8	5	29.4	17
Over 1000	1	2.8	2	5.6	2	5.6	10	27.8	21	58.3	36
Missing	.	.	.	.	.	.	1	50.0	1	50.0	2
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Independent Investigative Firm

	Devel Indep Invest Frm as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	4	3.2	8	6.3	10	7.9	13	10.3	91	72.2	126
11-100	1	1.3	8	10.1	6	7.6	8	10.1	56	70.9	79
101-500	.	.	2	4.0	.	.	11	22.0	37	74.0	50
501-1000	.	.	.	.	.	.	3	17.6	14	82.4	17
Over 1000	.	.	.	.	3	8.3	4	11.1	29	80.6	36
Missing	.	.	.	.	.	.	1	50.0	1	50.0	2
Total	5	1.6	18	5.8	19	6.1	40	12.8	230	73.7	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Office of Research Integrity

	Develop ORI as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution											
0	.	.	.	.	.	.	.	.	2	100.0	2
1-10	47	37.3	11	8.7	8	6.3	15	11.9	45	35.7	126
11-100	25	31.6	5	6.3	6	7.6	12	15.2	31	39.2	79
101-500	10	20.0	11	22.0	5	10.0	6	12.0	18	36.0	50
501-1000	1	5.9	4	23.5	2	11.8	5	29.4	5	29.4	17
Over 1000	7	19.4	8	22.2	4	11.1	1	2.8	16	44.4	36
Missing	.	.	2	100.0	.	.	.	.	.	.	2
Total	90	28.8	41	13.1	25	8.0	39	12.5	117	37.5	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

	Develop Other Sources of Assistance								
	Rank 1		Rank 2		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution									
0	.	.	.	.	.	.	2	100.0	2
1-10	2	1.6	.	.	3	2.4	121	95.3	126
11-100	2	2.5	1	1.3	.	.	76	96.2	79
101-500	1	2.0	.	.	1	2.0	48	96.0	50
501-1000	.	.	.	.	.	.	17	100.0	17
Over 1000	.	.	.	.	1	2.8	35	97.2	36
Missing	.	.	.	.	.	.	2	100.0	2
Total	5	1.6	1	0.3	5	1.6	301	96.5	312

Q2-Type of Research Institute Employed By by Q4-Number of Allegations

	# Allegations Pst 5 Yrs Reslt in Inquiry												
	None		One		Two		Three		> Three		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By													
Institute of Higher Education	54	75.0	7	9.7	5	6.9	4	5.6	.	.	2	2.8	72
Academic Medical Center	10	19.2	6	11.5	3	5.8	28	53.8	3	5.8	2	3.8	52
Research Organization, Institute, etc.	58	89.2	5	7.7	.	.	1	1.5	.	.	1	1.5	65
Independent Hospital	7	70.0	2	20.0	.	.	.	.	1	10.0	.	.	10
Other Educational Organization	3	100.0	.	.	.	.	.	.	.	.	.	.	3
Other Health Organization	5	71.4	.	.	2	28.6	.	.	.	.	.	.	7
Federal or State Government	4	80.0	1	20.0	.	.	.	.	.	.	.	.	5
Other	89	96.7	2	2.2	.	.	.	.	.	.	1	1.1	92
No Response	4	66.7	.	.	.	.	.	.	1	16.7	1	16.7	6
Total	234	75.0	23	7.4	10	3.2	33	10.6	5	1.6	7	2.2	312

Q2-Type of Research Institute Employed By by
Q5-What Sources Did Institution Seek Assistance - Allegation #1

	Conduct Inquiry From With-In Institute-1		Conduct Inquiry From Outside Institute-1		Conduct Inquiry With ORI-1		Conduct Inquiry With Outside Advisor-1		Conduct Inquiry Using Other Source-1		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	16	100.0	4	25.0	5	31.3	1	6.3	0	0.0	16
Academic Medical Center	30	75.0	5	12.5	13	32.5	4	10.0	2	5.0	40
Research Organization, Institute, etc.	6	100.0	2	33.3	2	33.3	2	33.3	1	16.7	6
Independent Hospital	3	100.0	2	66.7	0	0.0	2	66.7	1	33.3	3
Other Health Organization	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0	2
Federal or State Government	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Other	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
No Response	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	58	81.7	13	18.3	21	29.6	9	12.7	4	5.6	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institute Employed By by
Q5-What Sources Did Institution Seek Assistance - Allegation #2

	Conduct Inquiry From With-In Institute-2		Conduct Inquiry From Outside Institute-2		Conduct Inquiry With ORI-2		Conduct Inquiry With Outside Advisor-2		Conduct Inquiry Using Other Source-2		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	9	100.0	3	33.3	5	55.6	1	11.1	0	0.0	9
Academic Medical Center	24	70.6	2	5.9	10	29.4	4	11.8	3	8.8	34
Research Organization, Institute, etc.	1	100.0	0	0.0	0	0.0	1	100.0	0	0.0	1
Independent Hospital	1	100.0	1	100.0	1	100.0	0	0.0	0	0.0	1
Other Health Organization	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0	2
No Response	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	37	77.1	6	12.5	16	33.3	6	12.5	3	6.3	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institute Employed By by
Q5-What Sources Did Institution Seek Assistance - Allegation #3

	Conduct Inquiry From With-In Institute-3		Conduct Inquiry From Outside Institute-3		Conduct Inquiry With ORI-3		Conduct Inquiry With Outside Advisor-3		Conduct Inquiry Using Other Source-3		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	4	100.0	1	25.0	0	0.0	0	0.0	1	25.0	4
Academic Medical Center	19	61.3	2	6.5	10	32.3	2	6.5	1	3.2	31
Research Organization, Institute, etc.	1	100.0	0	0.0	0	0.0	1	100.0	0	0.0	1
Independent Hospital	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
No Response	0	0.0	0	0.0	0	0.0	1	100.0	0	0.0	1
Total	25	65.8	3	7.9	10	26.3	4	10.5	2	5.3	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Overall Guidance-1		Sought Asst Conducting Prelim Assess-1		Sought Asst Maintaining Confidential-1		Sought Asst Acquiring App Expertise-1	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	10	62.5	8	50.0	3	18.8	3	18.8
Academic Medical Center	16	40.0	11	27.5	3	7.5	5	12.5
Research Organization, Institute, etc.	5	83.3	4	66.7	2	33.3	3	50.0
Independent Hospital	1	33.3	1	33.3	2	66.7	2	66.7
Other Health Organization	0	0.0	1	50.0	0	0.0	0	0.0
Federal or State Government	0	0.0	0	0.0	0	0.0	1	100.0
Other	1	50.0	1	50.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	33	46.5	26	36.6	10	14.1	14	19.7

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst Handling Conflict of Int-1		Sought Asst w/Trtmnt of Whistle Blow-1		Sought Asst w/Trtment of Respondents-1		Sought Asst w/Managemnt of Committee-1	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	0	0.0	5	31.3	3	18.8	2	12.5
Academic Medical Center	3	7.5	5	12.5	10	25.0	3	7.5
Research Organization, Institute, etc.	1	16.7	3	50.0	2	33.3	2	33.3
Independent Hospital	0	0.0	1	33.3	0	0.0	1	33.3
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
Federal or State Government	0	0.0	0	0.0	0	0.0	0	0.0
Other	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	4	5.6	14	19.7	15	21.1	8	11.3

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst with Conduct of Inquiry 1		Sought Asst w/Conduct of Investigatn-1		Sought Asst Sequestration of Evidnce-1		Sought Asst w/Investigational Techniq-1	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	8	50.0	4	25.0	2	12.5	0	0.0
Academic Medical Center	6	15.0	5	12.5	7	17.5	1	2.5
Research Organization, Institute, etc.	4	66.7	0	0.0	3	50.0	1	16.7
Independent Hospital	2	66.7	2	66.7	1	33.3	2	66.7
Other Health Organization	1	50.0	1	50.0	0	0.0	0	0.0
Federal or State Government	0	0.0	0	0.0	0	0.0	0	0.0
Other	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	21	29.6	12	16.9	13	18.3	4	5.6

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Asst w/Interviewing Skills-1		Sought Asst Assessing Evidence 1		Sought Asst with Legal Issues-1		Sought Asst with Preparing Reports-1	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	0	0.0	4	25.0	6	37.5	1	6.3
Academic Medical Center	1	2.5	3	7.5	13	32.5	7	17.5
Research Organization, Institute, etc.	0	0.0	1	16.7	3	50.0	1	16.7
Independent Hospital	0	0.0	1	33.3	1	33.3	0	0.0
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
Federal or State Government	0	0.0	0	0.0	0	0.0	0	0.0
Other	0	0.0	0	0.0	0	0.0	1	50.0
No Response	0	0.0	0	0.0	1	100.0	0	0.0
Total	1	1.4	9	12.7	24	33.8	10	14.1

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Other Assistance-1		ALL
	N	Percent	N
Type of Research Institute Employed By			
Institute of Higher Education	0	0.0	16
Academic Medical Center	1	2.5	40
Research Organization, Institute, etc.	1	16.7	6
Independent Hospital	0	0.0	3
Other Health Organization	0	0.0	2
Federal or State Government	0	0.0	1
Other	0	0.0	2
No Response	0	0.0	1
Total	2	2.8	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Overall Guidance-2		Sought Asst Conducting Prelim Assess-2		Sought Asst Maintaining Confidential-2		Sought Asst Acquiring App Expertise-2	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	9	100.0	6	66.7	3	33.3	3	33.3
Academic Medical Center	16	47.1	4	11.8	2	5.9	6	17.6
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	0	0.0	1	100.0	0	0.0	1	100.0
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	25	52.1	11	22.9	5	10.4	10	20.8

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst Handling Conflict of Int-2		Sought Asst w/Trtmnt of Whistle Blow-2		Sought Asst w/Trtment of Respondents-2		Sought Asst w/Managemnt of Committee-2	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	0	0.0	3	33.3	3	33.3	2	22.2
Academic Medical Center	1	2.9	4	11.8	6	17.6	2	5.9
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	0	0.0	0	0.0	0	0.0	1	100.0
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	1	2.1	7	14.6	9	18.8	5	10.4

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst with Conduct of Inquiry 2		Sought Asst w/Conduct of Investigatn-2		Sought Asst Sequestration of Evidnce-2		Sought Asst w/Investigational Techniq-2	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	7	77.8	4	44.4	3	33.3	3	33.3
Academic Medical Center	2	5.9	2	5.9	3	8.8	2	5.9
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	1	100.0	1	100.0	1	100.0	1	100.0
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	10	20.8	7	14.6	7	14.6	6	12.5

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Asst w/Interviewing Skills-2		Sought Asst Assessing Evidence 2		Sought Asst with Legal Issues-2		Sought Asst with Preparing Reports-2	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	0	0.0	3	33.3	4	44.4	4	44.4
Academic Medical Center	1	2.9	4	11.8	12	35.3	5	14.7
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	1	100.0	1	100.0	0	0.0	0	0.0
Other Health Organization	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	1	100.0	0	0.0
Total	2	4.2	8	16.7	17	35.4	9	18.8

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sought Other Assistance-2		ALL
	N	Percent	N
Type of Research Institute Employed By			
Institute of Higher Education	0	0.0	9
Academic Medical Center	2	5.9	34
Research Organization, Institute, etc.	0	0.0	1
Independent Hospital	0	0.0	1
Other Health Organization	0	0.0	2
No Response	0	0.0	1
Total	2	4.2	48

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Overall Guidance-3		Sought Asst Conducting Prelim Assess-3		Sought Asst Maintaining Confidential-3		Sought Asst Acquiring App Expertise-3	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	4	100.0	3	75.0	1	25.0	1	25.0
Academic Medical Center	13	41.9	5	16.1	4	12.9	4	12.9
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	0	0.0	1	100.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	17	44.7	9	23.7	5	13.2	5	13.2

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst Handling Conflict of Int-3		Sought Asst w/Trtmnt of Whistle Blow-3		Sought Asst w/Trtment of Respondents-3		Sought Asst w/Managemnt of Committee-3	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	0	0.0	1	25.0	2	50.0	0	0.0
Academic Medical Center	2	6.5	5	16.1	6	19.4	1	3.2
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	0	0.0	0	0.0	0	0.0	1	100.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	2	5.3	6	15.8	8	21.1	2	5.3

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst with Conduct of Inquiry 3		Sought Asst w/Conduct of Investigatn-3		Sought Asst Sequestration of Evidnce-3		Sought Asst w/Investigational Techniq-3	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	2	50.0	2	50.0	1	25.0	1	25.0
Academic Medical Center	2	6.5	2	6.5	3	9.7	3	9.7
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	1	100.0	0	0.0	1	100.0	1	100.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	5	13.2	4	10.5	5	13.2	5	13.2

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Asst w/Interviewing Skills-3		Sought Asst Assessing Evidence 3		Sought Asst with Legal Issues-3		Sought Asst with Preparing Reports-3	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	1	25.0	0	0.0	2	50.0	1	25.0
Academic Medical Center	1	3.2	2	6.5	11	35.5	6	19.4
Research Organization, Institute, etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	0	0.0	0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	1	100.0	0	0.0
Total	2	5.3	2	5.3	14	36.8	7	18.4

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought Other Assistance-3		ALL
	N	Percent	N
Type of Research Institute Employed By			
Institute of Higher Education	0	0.0	4
Academic Medical Center	0	0.0	31
Research Organization, Institute, etc.	0	0.0	1
Independent Hospital	0	0.0	1
No Response	0	0.0	1
Total	0	0.0	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q7-Where Seek Assistance in Future - Allegation #1

	Seek Future Asst With-In Institute-1		Seek Future Asst From Outside Inst-1		Seek Future Assistance From ORI-1		Seek Future Asst From Outside Advisor-1		Seek Future Assistance Frm Othr Source-1		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	14	87.5	5	31.3	10	62.5	2	12.5	2	12.5	16
Academic Medical Center	27	67.5	4	10.0	24	60.0	6	15.0	2	5.0	40
Research Organization, Institute, etc.	6	100.0	3	50.0	2	33.3	2	33.3	2	33.3	6
Independent Hospital	1	33.3	0	0.0	0	0.0	1	33.3	1	33.3	3
Other Health Organization	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
Federal or State Government	1	100.0	0	0.0	1	100.0	0	0.0	0	0.0	1
Other	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
No Response	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	50	70.4	12	16.9	39	54.9	11	15.5	7	9.9	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance In Overall Guidance		Need Assistance Conducting Prelim Assess		Need Assistance Maintaining Confidential		Need Asst Acquiring Appropriate Expertse	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	24	42.9	7	12.5	2	3.6	19	33.9
Academic Medical Center	7	58.3	3	25.0	0	0.0	2	16.7
Research Organization, Institute, etc.	37	62.7	12	20.3	6	10.2	13	22.0
Independent Hospital	4	57.1	3	42.9	0	0.0	4	57.1
Other Educational Organization	2	66.7	1	33.3	1	33.3	2	66.7
Other Health Organization	4	80.0	1	20.0	1	20.0	0	0.0
Federal or State Government	4	100.0	0	0.0	0	0.0	1	25.0
Other	56	62.2	25	27.8	15	16.7	37	41.1
No Response	3	60.0	1	20.0	0	0.0	1	20.0
Total	141	58.5	53	22.0	25	10.4	79	32.8

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance Handling Conflict of Int		Need Asst w/Treatment of Whistle Blowers		Need Assistan w/Treatment of Respondents		Need Assistan w/Management of Committees	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	6	10.7	9	16.1	3	5.4	2	3.6
Academic Medical Center	3	25.0	3	25.0	1	8.3	2	16.7
Research Organization, Institute, etc.	6	10.2	8	13.6	10	16.9	5	8.5
Independent Hospital	1	14.3	0	0.0	1	14.3	1	14.3
Other Educational Organization	1	33.3	2	66.7	2	66.7	1	33.3
Other Health Organization	0	0.0	0	0.0	0	0.0	1	20.0
Federal or State Government	0	0.0	0	0.0	0	0.0	0	0.0
Other	19	21.1	16	17.8	15	16.7	11	12.2
No Response	1	20.0	1	20.0	1	20.0	0	0.0
Total	37	15.4	39	16.2	33	13.7	23	9.5

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance with Conduct of Inquiry		Need Assistan w/Conduct of Investigation		Need Asst with Sequestration of Evidence		Need Asst w/Investigational Techniques	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	12	21.4	14	25.0	7	12.5	11	19.6
Academic Medical Center	4	33.3	3	25.0	3	25.0	5	41.7
Research Organization, Institute, etc.	13	22.0	16	27.1	9	15.3	19	32.2
Independent Hospital	3	42.9	4	57.1	1	14.3	2	28.6
Other Educational Organization	1	33.3	2	66.7	1	33.3	1	33.3
Other Health Organization	2	40.0	3	60.0	2	40.0	2	40.0
Federal or State Government	0	0.0	0	0.0	1	25.0	0	0.0
Other	37	41.1	28	31.1	20	22.2	24	26.7
No Response	2	40.0	1	20.0	0	0.0	1	20.0
Total	74	30.7	71	29.5	44	18.3	65	27.0

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Assistance with Interviewing Skills		Need Assistance Assessing Evidence		Need Assistance with Legal Issues		Need Assistance Preparing Reports	
	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By								
Institute of Higher Education	5	8.9	16	28.6	22	39.3	10	17.9
Academic Medical Center	3	25.0	4	33.3	3	25.0	5	41.7
Research Organization, Institute, etc.	5	8.5	13	22.0	35	59.3	24	40.7
Independent Hospital	1	14.3	1	14.3	3	42.9	2	28.6
Other Educational Organization	1	33.3	1	33.3	2	66.7	1	33.3
Other Health Organization	1	20.0	1	20.0	4	80.0	2	40.0
Federal or State Government	0	0.0	0	0.0	1	25.0	0	0.0
Other	13	14.4	23	25.6	44	48.9	27	30.0
No Response	0	0.0	2	40.0	2	40.0	2	40.0
Total	29	12.0	61	25.3	116	48.1	73	30.3

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Need Other Assistance		ALL
	N	Percent	N
Type of Research Institute Employed By			
Institute of Higher Education	6	10.7	56
Academic Medical Center	0	0.0	12
Research Organization, Institute, etc.	1	1.7	59
Independent Hospital	0	0.0	7
Other Educational Organization	0	0.0	3
Other Health Organization	0	0.0	5
Federal or State Government	0	0.0	4
Other	3	3.3	90
No Response	0	0.0	5
Total	10	4.1	241

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by
Q9-Likelihood Institution Would Rely On Assistance From Within

	Likelihood to Rely on Asst With-In Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	15	20.8	5	6.9	7	9.7	3	4.2	42	58.3	72
Academic Medical Center	15	28.8	3	5.8	4	7.7	1	1.9	29	55.8	52
Research Organization, Institute, etc.	7	10.8	10	15.4	8	12.3	8	12.3	32	49.2	65
Independent Hospital	4	40.0	1	10.0	1	10.0	1	10.0	3	30.0	10
Other Educational Organization	.	.	.	.	.	.	1	33.3	2	66.7	3
Other Health Organization	2	28.6	1	14.3	.	.	1	14.3	3	42.9	7
Federal or State Government	1	20.0	.	.	.	.	.	.	4	80.0	5
Other	15	16.3	7	7.6	5	5.4	16	17.4	49	53.3	92
No Response	3	50.0	.	.	1	16.7	.	.	2	33.3	6
Total	62	19.9	27	8.7	26	8.3	31	9.9	166	53.2	312

Q2-Type of Research Institution Employed By by
Q10-Likelihood Institution Would Become Member of Consortium

	Likelihood to Become Member of Consort										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	4	5.6	15	20.8	5	6.9	6	8.3	42	58.3	72
Academic Medical Center	4	7.7	11	21.2	7	13.5	1	1.9	29	55.8	52
Research Organization, Institute, etc.	4	6.2	7	10.8	9	13.8	13	20.0	32	49.2	65
Independent Hospital	2	20.0	1	10.0	1	10.0	3	30.0	3	30.0	10
Other Educational Organization	.	.	.	.	.	.	1	33.3	2	66.7	3
Other Health Organization	.	.	3	42.9	1	14.3	.	.	3	42.9	7
Federal or State Government	.	.	.	.	1	20.0	.	.	4	80.0	5
Other	1	1.1	9	9.8	14	15.2	20	21.7	48	52.2	92
No Response	1	16.7	.	.	1	16.7	2	33.3	2	33.3	6
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9	312

Q2-Type of Research Institution Employed By by
Q11-Likelihood Institution Would Request Services From Consortium

	Likelihood Request Service of Consortium										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	3	4.2	14	19.4	5	6.9	7	9.7	43	59.7	72
Academic Medical Center	2	3.8	8	15.4	10	19.2	3	5.8	29	55.8	52
Research Organization, Institute, etc.	4	6.2	8	12.3	9	13.8	11	16.9	33	50.8	65
Independent Hospital	1	10.0	2	20.0	1	10.0	2	20.0	4	40.0	10
Other Educational Organization	1	33.3	.	.	.	.	.	.	2	66.7	3
Other Health Organization	.	.	4	57.1	.	.	.	.	3	42.9	7
Federal or State Government	.	.	.	.	1	20.0	.	.	4	80.0	5
Other	5	5.4	14	15.2	8	8.7	16	17.4	49	53.3	92
No Response	1	16.7	.	.	1	16.7	2	33.3	2	33.3	6
Total	17	5.4	50	16.0	35	11.2	41	13.1	169	54.2	312

Q2-Type of Research Institution Employed By by
Q12-Would Incorporating Area into Scope of Consortium
Responsibilities, Make Consortium More Useful to Your Institution

	Questionable Rsrch Prac-Cons Responsibil		Allega of Retaliation-Consrt Responsibil		Human Subjects-Area Consort Responsibil		Animal Subjects Area Consort Responsibil		Conflicts of Interest-Consrt Responsibil	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By										
Institute of Higher Education	29	40.3	7	9.7	20	27.8	14	19.4	20	27.8
Academic Medical Center	19	36.5	16	30.8	15	28.8	9	17.3	20	38.5
Research Organization, Institute, etc.	17	26.2	15	23.1	23	35.4	7	10.8	19	29.2
Independent Hospital	6	60.0	3	30.0	3	30.0	1	10.0	5	50.0
Other Educational Organization	2	66.7	2	66.7	3	100.0	0	0.0	2	66.7
Other Health Organization	3	42.9	1	14.3	3	42.9	0	0.0	4	57.1
Federal or State Government	3	60.0	1	20.0	2	40.0	0	0.0	1	20.0
Other	23	25.0	18	19.6	26	28.3	11	12.0	24	26.1
No Response	4	66.7	2	33.3	2	33.3	1	16.7	3	50.0
Total	106	34.0	65	20.8	97	31.1	43	13.8	98	31.4

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Hazardous Mat-Area Consrt Responsibility		Financial Management-Consort Responsibil		No Consortium Responsibility		Other Area of Consortium Responsibility		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By									
Institute of Higher Education	13	18.1	13	18.1	21	29.2	3	4.2	72
Academic Medical Center	5	9.6	10	19.2	14	26.9	2	3.8	52
Research Organization, Institute, etc.	9	13.8	9	13.8	14	21.5	0	0.0	65
Independent Hospital	1	10.0	2	20.0	3	30.0	0	0.0	10
Other Educational Organization	0	0.0	1	33.3	0	0.0	0	0.0	3
Other Health Organization	0	0.0	1	14.3	1	14.3	0	0.0	7
Federal or State Government	1	20.0	1	20.0	1	20.0	1	20.0	5
Other	7	7.6	22	23.9	25	27.2	4	4.3	92
No Response	2	33.3	0	0.0	1	16.7	0	0.0	6
Total	38	12.2	59	18.9	80	25.6	10	3.2	312

Q2-Type of Research Institution Employed By by
Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	Geographic Proximity as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	15	20.8	14	19.4	19	26.4	15	20.8	9	12.5	72
Academic Medical Center	6	11.5	5	9.6	13	25.0	17	32.7	11	21.2	52
Research Organization, Institute, etc.	10	15.4	13	20.0	9	13.8	21	32.3	12	18.5	65
Independent Hospital	3	30.0	.	.	2	20.0	3	30.0	2	20.0	10
Other Educational Organization	1	33.3	.	.	1	33.3	.	.	1	33.3	3
Other Health Organization	2	28.6	1	14.3	1	14.3	2	28.6	1	14.3	7
Federal or State Government	.	.	2	40.0	1	20.0	2	40.0	.	.	5
Other	24	26.1	10	10.9	9	9.8	25	27.2	24	26.1	92
No Response	.	.	1	16.7	3	50.0	1	16.7	1	16.7	6
Total	61	19.6	46	14.7	58	18.6	86	27.6	3	1.0	312

Q2-Type of Research Institution Employed By by
Q13-Rank Similar Size as Basis of Forming a Consortium

	Similar Size as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	2	2.8	19	26.4	14	19.4	28	38.9	9	12.5	72
Academic Medical Center	4	7.7	8	15.4	16	30.8	13	25.0	11	21.2	52
Research Organization, Institute, etc.	2	3.1	14	21.5	18	27.7	19	29.2	12	18.5	65
Independent Hospital	.	.	1	10.0	4	40.0	3	30.0	2	20.0	10
Other Educational Organization	1	33.3	.	.	.	.	1	33.3	1	33.3	3
Other Health Organization	.	.	1	14.3	3	42.9	2	28.6	1	14.3	7
Federal or State Government	1	20.0	.	.	1	20.0	3	60.0	.	.	5
Other	6	6.5	17	18.5	19	20.7	25	27.2	25	27.2	92
No Response	1	16.7	1	16.7	1	16.7	2	33.3	1	16.7	6
Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.8	312

Q2-Type of Research Institution Employed By by
Q13-Rank Similar Type as Basis of Forming a Consortium

	Similar Institutional Type as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	41	56.9	12	16.7	9	12.5	2	2.8	8	11.2	72
Academic Medical Center	28	53.8	10	19.2	1	1.9	3	5.8	10	19.2	52
Research Organization, Institute, etc.	25	38.5	12	18.5	9	13.8	8	12.3	11	16.9	65
Independent Hospital	5	50.0	3	30.0	.	.	.	.	2	20.0	10
Other Educational Organization	2	66.7	.	.	.	.	1	33.3	.	.	3
Other Health Organization	3	42.9	1	14.3	2	28.6	.	.	1	14.3	7
Federal or State Government	3	60.0	1	20.0	1	20.0	.	.	.	.	5
Other	18	19.6	20	21.7	18	19.6	12	13.0	24	26.1	92
No Response	4	66.7	1	16.7	.	.	.	.	1	16.7	6
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6	312

Q2-Type of Research Institution Employed By by
Q13-Rank Scientific Field as Basis of Forming a Consortium

	Scientific Field as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	6	8.3	18	25.0	18	25.0	20	27.8	10	13.9	72
Academic Medical Center	8	15.4	18	34.6	10	19.2	5	9.6	11	21.2	52
Research Organization, Institute, etc.	23	35.4	16	24.6	9	13.8	8	12.3	9	13.8	65
Independent Hospital	.	.	4	40.0	2	20.0	2	20.0	2	20.0	10
Other Educational Organization	2	66.7	.	.	.	.	.	.	1	33.3	3
Other Health Organization	1	14.3	3	42.9	.	.	2	28.6	1	14.3	7
Federal or State Government	3	60.0	2	40.0	.	.	.	.	.	.	5
Other	22	23.9	23	25.0	17	18.5	6	6.5	24	26.0	92
No Response	1	16.7	2	33.3	.	.	2	33.3	1	16.7	6
Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9	312

Q2-Type of Research Institution Employed By by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Individual Institutions

	Individ Institute Should Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	31	43.1	14	19.4	13	18.1	7	9.7	7	9.7	72
Academic Medical Center	23	44.2	7	13.5	9	17.3	3	5.8	10	19.2	52
Research Organization, Institute, etc.	17	26.2	11	16.9	15	23.1	11	16.9	11	16.9	65
Independent Hospital	2	20.0	2	20.0	4	40.0	.	.	2	20.0	10
Other Educational Organization	.	.	.	.	.	.	1	33.3	2	66.7	3
Other Health Organization	4	57.1	1	14.3	1	14.3	.	.	1	14.3	7
Federal or State Government	1	20.0	2	40.0	1	20.0	1	20.0	1	20.0	5
Other	19	20.7	12	13.0	24	26.1	13	14.1	24	26.1	92
No Response	2	33.3	.	.	1	16.7	2	33.3	1	16.7	6
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	19.9	312

Q2-Type of Research Institution Employed By by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Institutional Associations

	Institutional Assoc Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	20	27.8	27	37.5	12	16.7	3	4.2	10	13.9	72
Academic Medical Center	11	21.2	22	42.3	4	7.7	4	7.7	11	21.2	52
Research Organization, Institute, etc.	8	12.3	22	33.8	17	26.2	5	7.7	13	20.0	65
Independent Hospital	6	60.0	2	20.0	.	.	.	.	2	20.0	10
Other Educational Organization	.	.	1	33.3	.	.	.	.	2	66.7	3
Other Health Organization	1	14.3	3	42.9	.	.	2	28.6	1	14.3	7
Federal or State Government	1	20.0	2	40.0	.	.	1	20.0	1	20.0	5
Other	12	13.0	25	27.2	18	19.6	13	14.1	24	25.1	92
No Response	1	16.7	1	16.7	1	16.7	2	33.3	1	16.7	6
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.9	312

Q2-Type of Research Institution Employed By by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Scientific Societies

	Scientific Societys Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	11	15.3	17	23.6	24	33.3	9	12.5	11	15.3	72
Academic Medical Center	7	13.5	10	19.2	17	32.7	7	13.5	11	21.2	52
Research Organization, Institute, etc.	22	33.8	11	16.9	15	23.1	5	7.7	12	18.5	65
Independent Hospital	.	.	3	30.0	3	30.0	1	10.0	3	30.0	10
Other Educational Organization	2	66.7	.	.	.	.	.	.	1	33.3	3
Other Health Organization	.	.	2	28.6	2	28.6	2	28.6	1	14.3	7
Federal or State Government	.	.	2	40.0	.	.	2	40.0	1	20.0	5
Other	21	22.8	18	19.6	19	20.7	11	12.0	23	25.0	92
No Response	1	16.7	3	50.0	1	16.7	.	.	1	16.7	6
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.6	312

Q2-Type of Research Institution Employed By by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Independent Consultants

	Independ Consultant Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	2	2.8	2	2.8	8	11.1	50	69.4	10	13.9	72
Academic Medical Center	.	.	2	3.8	11	21.2	28	53.8	11	21.2	52
Research Organization, Institute, etc.	7	10.8	2	3.1	8	12.3	36	55.4	12	18.5	65
Independent Hospital	.	.	1	10.0	.	.	6	60.0	3	30.0	10
Other Educational Organization	.	.	.	.	.	.	1	33.3	2	66.7	3
Other Health Organization	1	14.3	.	.	3	42.9	2	28.6	1	14.3	7
Federal or State Government	.	.	1	20.0	1	20.0	2	40.0	1	20.0	5
Other	15	16.3	15	16.3	6	6.5	31	33.7	25	27.2	92
No Response	.	.	1	16.7	2	33.3	2	33.3	1	16.7	6
Total	25	8.0	24	7.7	39	12.5	158	50.6	69	21.2	312

Q2-Type of Research Institution Employed By by
Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

	Likelihood Seek Assist From Outside Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	6	8.3	23	31.9	23	31.9	15	20.8	5	6.9	72
Academic Medical Center	3	5.8	10	19.2	23	44.2	8	15.4	8	15.4	52
Research Organization, Institute, etc.	5	7.7	19	29.2	15	23.1	21	32.3	5	7.7	65
Independent Hospital	.	.	3	30.0	3	30.0	3	30.0	1	10.0	10
Other Educational Organization	1	33.3	.	.	.	.	2	66.7	.	.	3
Other Health Organization	.	.	5	71.4	2	28.6	.	.	.	.	7
Federal or State Government	.	.	2	40.0	1	20.0	2	40.0	.	.	5
Other	7	7.6	25	27.2	22	23.9	24	26.1	14	15.2	92
No Response	.	.	1	16.7	1	16.7	3	50.0	1	16.7	6
Total	22	7.1	88	28.2	90	28.8	78	25.0	34	10.9	312

Q2-Type of Research Institution Employed By by
Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	Likelihood Seek Asst Frm Outside Advisor									
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	8	11.1	28	38.9	20	27.8	11	15.3	5	6.9	72
Academic Medical Center	10	19.2	16	30.8	13	25.0	6	11.5	7	13.5	52
Research Organization, Institute, etc.	13	20.0	27	41.5	9	13.8	10	15.4	6	9.2	65
Independent Hospital	1	10.0	4	40.0	3	30.0	1	10.0	1	10.0	10
Other Educational Organization	1	33.3	2	66.7	.	.	.	.	.	.	3
Other Health Organization	2	28.6	4	57.1	1	14.3	.	.	.	.	7
Federal or State Government	.	.	3	60.0	.	.	2	40.0	.	.	5
Other	12	13.0	38	41.3	16	17.4	10	10.9	16	17.4	92
No Response	1	16.7	3	50.0	1	16.7	.	.	1	16.7	6
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	312

Q2-Type of Research Institution Employed By by
Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	Likelihood Seek Asst From Inst Associat										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	9	12.5	19	26.4	27	37.5	13	18.1	4	5.6	72
Academic Medical Center	9	17.3	15	28.8	14	26.9	7	13.5	7	13.5	52
Research Organization, Institute, etc.	4	6.2	17	26.2	20	30.8	19	29.2	5	7.7	65
Independent Hospital	1	10.0	2	20.0	3	30.0	3	30.0	1	10.0	10
Other Educational Organization	1	33.3	1	33.3	.	.	1	33.3	.	.	3
Other Health Organization	.	.	1	14.3	3	42.9	3	42.9	.	.	7
Federal or State Government	.	.	3	60.0	2	40.0	.	.	.	.	5
Other	5	5.4	24	26.1	27	29.3	21	22.8	15	16.3	92
No Response	.	.	2	33.3	1	16.7	2	33.3	1	16.7	6
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Q2-Type of Research Institution Employed By by
Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	Likelihood Seek Asst From Scientific Soc										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	8	11.1	30	41.7	18	25.0	12	16.7	4	5.6	72
Academic Medical Center	5	9.6	13	25.0	19	36.5	8	15.4	7	13.5	52
Research Organization, Institute, etc.	9	13.8	21	32.3	17	26.2	13	20.0	5	7.7	65
Independent Hospital	.	.	3	30.0	4	40.0	2	20.0	1	10.0	10
Other Educational Organization	2	66.7	1	33.3	.	.	.	.	.	.	3
Other Health Organization	.	.	1	14.3	3	42.9	3	42.9	.	.	7
Federal or State Government	.	.	2	40.0	2	40.0	1	20.0	.	.	5
Other	12	13.0	26	28.3	22	23.9	17	18.5	15	16.3	92
No Response	.	.	2	33.3	2	33.3	1	16.7	1	16.7	6
Total	36	11.5	99	31.7	87	27.9	57	18.3	33	10.6	312

Q2-Type of Research Institution Employed By by
Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

	Likelihood Seek Asst-Indepen Invest Firm										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	.	.	11	15.3	20	27.8	36	50.0	5	6.9	72
Academic Medical Center	3	5.8	4	7.7	17	32.7	21	40.4	7	13.5	52
Research Organization, Institute, etc.	2	3.1	11	16.9	22	33.8	24	36.9	6	9.2	65
Independent Hospital	.	.	2	20.0	1	10.0	6	60.0	1	10.0	10
Other Educational Organization	.	.	.	.	1	33.3	2	66.7	.	.	3
Other Health Organization	.	.	3	42.9	3	42.9	1	14.3	.	.	7
Federal or State Government	.	.	1	20.0	1	20.0	3	60.0	.	.	5
Other	5	5.4	20	21.7	29	31.5	22	23.9	16	17.4	92
No Response	.	.	1	16.7	1	16.7	3	50.0	1	16.7	6
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	312

Q2-Type of Research Institution Employed By by
Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	Likelihood Seek Assistance From ORI										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	35	48.6	20	27.8	5	6.9	7	9.7	5	6.9	72
Academic Medical Center	29	55.8	8	15.4	5	9.6	1	1.9	9	17.3	52
Research Organization, Institute, etc.	32	49.2	16	24.6	8	12.3	3	4.6	6	9.2	65
Independent Hospital	2	20.0	6	60.0	1	10.0	.	.	1	10.0	10
Other Educational Organization	2	66.7	1	33.3	.	.	.	.	.	.	3
Other Health Organization	1	14.3	4	57.1	1	14.3	1	14.3	.	.	7
Federal or State Government	3	60.0	1	20.0	1	20.0	.	.	.	.	5
Other	42	45.7	21	22.8	6	6.5	5	5.4	18	19.6	92
No Response	2	33.3	1	16.7	1	16.7	1	16.7	1	16.7	6
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Q2-Type of Research Institution Employed By by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

	Overall Satisfaction w/Contact with ORI												
	Very Satisfied		Somewhat Satisfied		Somewhat Dissatisfied		Very Dissatisfied		No Contact		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By													
Institute of Higher Education	13	18.1	5	6.9	2	2.8	.	.	41	56.9	11	15.3	72
Academic Medical Center	23	44.2	3	5.8	1	1.9	.	.	13	25.0	12	23.1	52
Research Organization, Institute, etc.	7	10.8	1	1.5	.	.	2	3.1	42	64.6	13	20.0	65
Independent Hospital	1	10.0	.	.	.	.	.	.	7	70.0	2	20.0	10
Other Educational Organization	.	.	.	.	.	.	.	.	3	100.0	.	.	3
Other Health Organization	1	14.3	.	.	.	.	.	.	5	71.4	1	14.3	7
Federal or State Government	1	20.0	1	20.0	.	.	.	.	2	40.0	1	20.0	5
Other	8	8.7	1	1.1	.	.	.	.	60	65.2	23	25.0	92
No Response	1	16.7	.	.	1	16.7	.	.	3	50.0	1	16.7	6
Total	55	17.6	11	3.5	4	1.3	2	0.6	176	56.4	64	20.5	312

Q2-Type of Research Institution Employed By by
Q20c-Hesitant to Contact ORI for Following Reasons

	Not Hesitant to Contact ORI		Hes to Contact cause ORI Regulatory Off		Don't Want to Inform ORI Until Warranted		Protect Confidentiality of Proceedings		Protect the Reputation of the Respondent	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By										
Institute of Higher Education	48	66.7	8	11.1	12	16.7	6	8.3	6	8.3
Academic Medical Center	34	65.4	7	13.5	8	15.4	6	11.5	9	17.3
Research Organization, Institute, etc.	42	64.6	5	7.7	11	16.9	11	16.9	6	9.2
Independent Hospital	6	60.0	4	40.0	3	30.0	3	30.0	1	10.0
Other Educational Organization	3	100.0	0	0.0	0	0.0	0	0.0	0	0.0
Other Health Organization	3	42.9	3	42.9	1	14.3	3	42.9	3	42.9
Federal or State Government	4	80.0	1	20.0	1	20.0	0	0.0	0	0.0
Other	61	66.3	4	4.3	10	10.9	7	7.6	5	5.4
No Response	2	33.3	0	0.0	1	16.7	1	16.7	2	33.3
Total	203	65.1	32	10.3	47	15.1	37	11.9	32	10.3

(CONTINUED)

Q2-Type of Research Institution Employed By by
Q20c-Hesitant to Contact ORI for Following Reasons

	Other Sources of Asst Readily Available		Need Information on ORI Website		Other Reason Hesitant to Contact ORI		ALL
	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By							
Institute of Higher Education	6	8.3	7	9.7	3	4.2	72
Academic Medical Center	4	7.7	2	3.8	1	1.9	52
Research Organization, Institute, etc.	5	7.7	2	3.1	2	3.1	65
Independent Hospital	2	20.0	1	10.0	0	0.0	10
Other Educational Organization	0	0.0	0	0.0	0	0.0	3
Other Health Organization	0	0.0	0	0.0	0	0.0	7
Federal or State Government	0	0.0	0	0.0	0	0.0	5
Other	3	3.3	1	1.1	1	1.1	92
No Response	1	16.7	0	0.0	0	0.0	6
Total	21	6.7	13	4.2	7	2.2	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Within Institution or Affiliate

	Develop Source of Asst Within Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	35	48.6	7	9.7	1	1.4	1	1.4	28	38.9	72
Academic Medical Center	25	48.1	4	7.7	.	.	1	1.9	22	42.3	52
Research Organization, Institute, etc.	20	30.8	2	3.1	2	3.1	3	4.6	38	58.5	65
Independent Hospital	6	60.0	1	10.0	1	10.0	.	.	2	20.0	10
Other Educational Organization	.	.	.	.	.	.	.	.	3	100.0	3
Other Health Organization	2	28.6	2	28.6	.	.	.	.	3	42.9	7
Federal or State Government	.	.	1	20.0	1	20.0	.	.	3	60.0	5
Other	22	23.9	4	4.3	7	7.6	5	5.4	54	58.7	92
No Response	1	16.7	.	.	.	.	.	.	5	83.3	6
Total	111	35.6	21	6.7	12	3.8	10	3.2	158	50.6	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

	Develop Consortium as Source of Assist										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	4	5.6	16	22.2	8	11.1	8	11.1	36	50.0	72
Academic Medical Center	2	3.8	11	21.2	8	15.4	4	7.7	27	51.9	52
Research Organization, Institute, etc.	4	6.2	13	20.0	4	6.2	9	13.8	35	53.8	65
Independent Hospital	1	10.0	5	50.0	1	10.0	1	10.0	2	20.0	10
Other Educational Organization	.	.	.	.	.	.	.	.	3	100.0	3
Other Health Organization	.	.	2	28.6	1	14.3	.	.	4	57.1	7
Federal or State Government	.	.	3	60.0	.	.	.	.	2	40.0	5
Other	6	6.5	17	18.5	5	5.4	5	5.4	59	64.1	92
No Response	2	33.3	.	.	1	16.7	1	16.7	2	33.3	6
Total	19	6.1	67	21.5	28	9.0	28	9.0	170	54.5	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

	Develop Source of Asst Outside Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	1	1.4	4	5.6	12	16.7	6	8.3	49	68.1	72
Academic Medical Center	3	5.8	1	1.9	6	11.5	4	7.7	38	73.1	52
Research Organization, Institute, etc.	1	1.5	6	9.2	5	7.7	7	10.8	46	70.8	65
Independent Hospital	.	.	1	10.0	3	30.0	1	10.0	5	50.0	10
Other Educational Organization	.	.	1	33.3	.	.	.	.	2	66.7	3
Other Health Organization	.	.	.	.	2	28.6	.	.	5	71.4	7
Federal or State Government	.	.	1	20.0	1	20.0	.	.	3	60.0	5
Other	3	3.3	6	6.5	11	12.0	11	12.0	61	66.3	92
No Response	1	16.7	.	.	1	16.7	.	.	4	66.7	6
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.2	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Outside Advisor/Consultant

	Develop Advisors as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	1	1.4	6	8.3	9	12.5	8	11.1	48	66.7	72
Academic Medical Center	1	1.9	4	7.7	5	9.6	5	9.6	37	71.2	52
Research Organization, Institute, etc.	4	6.2	6	9.2	7	10.8	9	13.8	39	60.0	65
Independent Hospital	.	.	.	.	1	10.0	3	30.0	6	60.0	10
Other Educational Organization	.	.	.	.	.	.	1	33.3	2	66.7	3
Other Health Organization	1	14.3	1	14.3	2	28.6	.	.	3	42.9	7
Federal or State Government	.	.	.	.	.	.	2	40.0	3	60.0	5
Other	7	7.6	16	17.4	8	8.7	15	16.3	46	50.0	92
No Response	2	33.3	1	16.7	.	.	1	16.7	2	33.3	6
Total	16	5.1	34	10.9	32	10.3	44	14.1	186	59.6	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Institutional Association

	Develop Institut Assoc as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	7	9.7	15	20.8	11	15.3	10	13.9	29	40.3	72
Academic Medical Center	3	5.8	13	25.0	7	13.5	13	25.0	16	30.8	52
Research Organization, Institute, etc.	1	1.5	7	10.8	15	23.1	11	16.9	31	47.7	65
Independent Hospital	2	20.0	1	10.0	.	.	1	10.0	6	60.0	10
Other Educational Organization	.	.	.	.	2	66.7	.	.	1	33.3	3
Other Health Organization	.	.	.	.	.	.	2	28.6	5	71.4	7
Federal or State Government	.	.	1	20.0	1	20.0	1	20.0	2	40.0	5
Other	3	3.3	8	8.7	8	8.7	8	8.7	65	70.7	92
No Response	.	.	.	.	3	50.0	1	16.7	2	33.3	6
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Scientific Society

	Devel Scientif Society as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	1	1.4	7	9.7	9	12.5	10	13.9	45	62.5	72
Academic Medical Center	3	5.8	3	5.8	9	17.3	9	17.3	28	53.8	52
Research Organization, Institute, etc.	9	13.8	7	10.8	11	16.9	8	12.3	30	46.2	65
Independent Hospital	1	10.0	.	.	1	10.0	.	.	8	80.0	10
Other Educational Organization	1	33.3	1	33.3	.	.	1	33.3	.	.	3
Other Health Organization	.	.	.	.	.	.	1	14.3	6	85.7	7
Federal or State Government	.	.	.	.	3	60.0	.	.	2	40.0	5
Other	5	5.4	14	15.2	10	10.9	10	10.9	53	57.6	92
No Response	.	.	1	16.7	1	16.7	.	.	4	66.7	6
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Independent Investigative Firm

	Devel Indep Invest Frm as Source of Asst											
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	
Type of Research Institute Employed By												
Institute of Higher Education	.	.	1	1.4	2	2.8	10	13.9	59	81.9	72	
Academic Medical Center	.	.	.	.	3	5.8	8	15.4	41	78.8	52	
Research Organization, Institute, etc.	.	.	5	7.7	3	4.6	7	10.8	50	76.9	65	
Independent Hospital	.	.	1	10.0	1	10.0	.	.	8	80.0	10	
Other Educational Organization	.	.	.	.	.	.	.	.	3	100.0	3	
Other Health Organization	1	14.3	.	.	1	14.3	2	28.6	3	42.9	7	
Federal or State Government	.	.	.	.	.	.	2	40.0	3	60.0	5	
Other	4	4.3	10	10.9	8	8.7	10	10.9	60	65.2	92	
No Response	.	.	1	16.7	1	16.7	1	16.7	3	50.0	6	
Total	5	1.6	18	5.8	19	6.1	40	12.8	230	73.7	312	

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Office of Research Integrity

	Develop ORI as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By											
Institute of Higher Education	18	25.0	9	12.5	9	12.5	14	19.4	22	30.6	72
Academic Medical Center	9	17.3	12	23.1	5	9.6	5	9.6	21	40.4	52
Research Organization, Institute, etc.	21	32.3	10	15.4	2	3.1	8	12.3	24	36.9	65
Independent Hospital	3	30.0	1	10.0	2	20.0	1	10.0	3	30.0	10
Other Educational Organization	3	100.0	.	.	.	.	.	.	.	.	3
Other Health Organization	2	28.6	1	14.3	.	.	.	.	4	57.1	7
Federal or State Government	3	60.0	.	.	.	.	.	.	2	40.0	5
Other	30	32.6	7	7.6	7	7.6	10	10.9	38	41.3	92
No Response	1	16.7	1	16.7	.	.	1	16.7	3	50.0	6
Total	90	28.8	41	13.1	25	8.0	39	12.5	117	37.5	312

Q2-Type of Research Institution Employed By by
Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

	Develop Other Sources of Assistance								
	Rank 1		Rank 2		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By									
Institute of Higher Education	1	1.4	.	.	2	2.8	69	95.8	72
Academic Medical Center	.	.	.	.	1	1.9	51	98.1	52
Research Organization, Institute, etc.	1	1.5	1	1.5	.	.	63	96.9	65
Independent Hospital	.	.	.	.	.	.	10	100.0	10
Other Educational Organization	.	.	.	.	.	.	3	100.0	3
Other Health Organization	.	.	.	.	.	.	7	100.0	7
Federal or State Government	.	.	.	.	.	.	5	100.0	5
Other	3	3.3	.	.	2	2.2	87	94.6	92
No Response	.	.	.	.	.	.	6	100.0	6
Total	5	1.6	1	0.3	5	1.6	301	96.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q9-Likelihood Institution Would Rely On Assistance From Within

	Likelihood to Rely on Asst With-In Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	44	18.8	24	10.3	14	6.0	29	12.4	123	52.6	234
One	5	21.7	2	8.7	4	17.4	1	4.3	11	47.8	23
Two	3	30.0	.	.	2	20.0	.	.	5	50.0	10
Three	6	18.2	1	3.0	4	12.1	1	3.0	21	63.6	33
> Three	3	60.0	.	.	2	40.0	.	.	.	.	5
Missing	1	14.3	.	.	.	.	.	.	6	85.7	7
Total	62	19.9	27	8.7	26	8.3	31	9.9	166	53.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q10-Likelihood Institution Would Become Member of Consortium

	Likelihood to Become Member of Consort										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	12	5.1	31	13.2	28	12.0	41	17.5	122	52.1	234
One	2	8.7	4	17.4	5	21.7	1	4.3	11	47.8	23
Two	1	10.0	4	40.0	.	.	.	.	5	50.0	10
Three	.	.	5	15.2	5	15.2	2	6.1	21	63.6	33
> Three	1	20.0	1	20.0	1	20.0	2	40.0	.	.	5
Missing	.	.	1	14.3	.	.	.	.	6	85.7	7
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q11-Likelihood Institution Would Request Services From Consortium

	Likelihood Request Service of Consortium										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
# Allegations Pst 5 Yrs Reslt in Inquiry	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
None	14	6.0	37	15.8	23	9.8	35	15.0	125	53.4	234
One	2	8.7	5	21.7	4	17.4	1	4.3	11	47.8	23
Two	.	.	4	40.0	1	10.0	.	.	5	50.0	10
Three	1	3.0	2	6.1	6	18.2	3	9.1	21	63.6	33
> Three	.	.	1	20.0	1	20.0	2	40.0	1	20.0	5
Missing	.	.	1	14.3	.	.	.	.	6	85.7	7
Total	17	5.4	50	16.0	35	11.2	41	13.1	169	54.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q12-Would Incorporating Area into Scope of Consortium
Responsibilities, Make Consortium More Useful to Your Institution

	Questionable Rsrch Prac-Cons Responsibil		Allega of Retaliation-Consrt Responsibil		Human Subjects-Area Consort Responsibil		Animal Subjects Area Consort Responsibil		Conflicts of Interest-Consrt Responsibil		Hazardous Mat-Area Consrt Responsibility		Financial Management-Consort Responsibil	
# Allegations Pst 5 Yrs Reslt in Inquiry	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
None	78	33.3	45	19.2	76	32.5	33	14.1	70	29.9	31	13.2	47	20.1
One	7	30.4	3	13.0	7	30.4	2	8.7	8	34.8	1	4.3	3	13.0
Two	5	50.0	3	30.0	2	20.0	1	10.0	3	30.0	0	0.0	2	20.0
Three	12	36.4	11	33.3	8	24.2	5	15.2	13	39.4	5	15.2	5	15.2
> Three	2	40.0	2	40.0	2	40.0	2	40.0	2	40.0	1	20.0	0	0.0
Missing	2	28.6	1	14.3	2	28.6	0	0.0	2	28.6	0	0.0	2	28.6
Total	106	34.0	65	20.8	97	31.1	43	13.8	98	31.4	38	12.2	59	18.9

(CONTINUED)

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

# Allegations Pst 5 Yrs Reslt in Inquiry	No Consortium Responsibility		Other Area of Consortium Responsibility		ALL
	N	Percent	N	Percent	N
None	61	26.1	7	3.0	234
One	7	30.4	1	4.3	23
Two	1	10.0	0	0.0	10
Three	8	24.2	2	6.1	33
> Three	2	40.0	0	0.0	5
Missing	1	14.3	0	0.0	7
Total	80	25.6	10	3.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	Geographic Proximity as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	50	21.4	37	15.8	42	17.9	67	28.6	38	16.3	234
One	3	13.0	5	21.7	4	17.4	4	17.4	7	30.4	23
Two	3	30.0	1	10.0	1	10.0	3	30.0	2	20.0	10
Three	2	6.1	3	9.1	9	27.3	10	30.3	9	27.3	33
> Three	2	40.0	.	.	2	40.0	1	20.0	.	.	5
Missing	1	14.3	.	.	.	.	1	14.3	5	71.4	7
Total	61	19.6	46	14.7	58	18.6	86	27.6	61	19.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Similar Size as Basis of Forming a Consortium

	Similar Size as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	14	6.0	50	21.4	56	23.9	74	31.6	40	17.1	234
One	1	4.3	3	13.0	5	21.7	7	30.4	7	30.4	23
Two	.	.	2	20.0	3	30.0	3	30.0	2	20.0	10
Three	2	6.1	5	15.2	9	27.3	9	27.3	8	24.2	33
> Three	.	.	1	20.0	2	40.0	2	40.0	.	.	5
Missing	.	.	.	.	1	14.3	1	14.3	5	71.4	7
Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.8	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Similar Type as Basis of Forming a Consortium

	Similar Institutional Type as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	91	38.9	47	20.1	36	15.4	23	9.8	37	17.8	234
One	10	43.5	4	17.4	1	4.3	1	4.3	7	30.4	23
Two	5	50.0	1	10.0	2	20.0	2	20.0	.	.	10
Three	20	60.6	6	18.2	.	.	.	.	7	21.2	33
> Three	3	60.0	1	20.0	.	.	1	20.0	.	.	5
Missing	.	.	1	14.3	1	14.3	.	.	5	71.4	7
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Scientific Field as Basis of Forming a Consortium

	Scientific Field as Preference										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	58	24.8	63	26.9	43	18.4	34	14.5	36	15.4	234
One	3	13.0	5	21.7	5	21.7	3	13.0	7	30.4	23
Two	1	10.0	3	30.0	1	10.0	3	30.0	2	20.0	10
Three	3	9.1	11	33.3	6	18.2	4	12.1	9	27.3	33
> Three	.	.	3	60.0	1	20.0	1	20.0	.	.	5
Missing	1	14.3	1	14.3	.	.	.	.	5	71.4	7
Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Individual Institutions

	Individ Institute Should Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	74	31.6	34	14.5	55	23.5	33	14.1	38	16.3	234
One	5	21.7	4	17.4	6	26.1	1	4.3	7	30.4	23
Two	2	20.0	6	60.0	.	.	.	.	2	20.0	10
Three	16	48.5	3	9.1	5	15.2	2	6.1	7	21.2	33
> Three	3	60.0	1	20.0	1	20.0	.	.	.	.	5
Missing	.	.	.	.	1	14.3	1	14.3	5	71.4	7
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	18.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Institutional Associations

	Institutional Assoc Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	38	16.2	83	35.5	45	19.2	26	11.1	42	1.3	234
One	8	34.8	4	17.4	4	17.4	.	.	7	30.4	23
Two	5	50.0	.	.	1	10.0	2	20.0	2	20.0	10
Three	8	24.2	13	39.4	1	3.0	2	6.1	9	27.3	33
> Three	1	20.0	3	60.0	1	20.0	.	.	.	.	5
Missing	.	.	2	28.6	.	.	.	.	5	71.4	7
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Scientific Societies

	Scientific Societys Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	55	23.5	51	21.8	60	25.6	28	12.0	40	17.1	234
One	3	13.0	7	30.4	6	26.1	.	.	7	30.4	23
Two	1	10.0	1	10.0	4	40.0	2	20.0	2	20.0	10
Three	2	6.1	6	18.2	10	30.3	6	18.2	9	27.3	33
> Three	1	20.0	1	20.0	1	20.0	1	20.0	1	20.0	5
Missing	2	28.6	.	.	.	.	.	.	5	.	7
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q14-Rank Preference Regarding Who Should Organize a Consortium
Independent Consultants

	Independ Consultant Shld Organize Consrt										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	25	10.7	22	9.4	27	11.5	118	50.4	44	19.0	234
One	.	.	1	4.3	.	.	15	65.2	7	30.4	23
Two	.	.	.	.	2	20.0	6	60.0	2	20.0	10
Three	.	.	1	3.0	8	24.2	15	45.5	9	27.3	33
> Three	.	.	.	.	1	20.0	3	60.0	1	20.0	5
Missing	.	.	.	.	1	14.3	1	14.3	5	71.4	7
Total	25	8.0	24	7.7	39	12.5	158	50.6	66	21.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

	Likelihood Seek Assist From Outside Inst										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	18	7.7	70	29.9	58	24.8	66	28.2	22	9.4	234
One	1	4.3	5	21.7	10	43.5	4	17.4	3	13.0	23
Two	1	10.0	5	50.0	2	20.0	1	10.0	1	10.0	10
Three	1	3.0	7	21.2	18	54.5	3	9.1	4	12.1	33
> Three	.	.	1	20.0	1	20.0	3	60.0	.	.	5
Missing	1	14.3	.	.	1	14.3	1	14.3	4	57.1	7
Total	22	7.1	88	28.2	90	28.8	78	25.0	34	10.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	Likelihood Seek Asst Frm Outside Advisor										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	33	14.1	105	44.9	43	18.4	29	12.4	24	10.3	234
One	6	26.1	6	26.1	3	13.0	5	21.7	3	13.0	23
Two	1	10.0	2	20.0	4	40.0	2	20.0	1	10.0	10
Three	5	15.2	10	30.3	10	30.3	4	12.1	4	12.1	33
> Three	1	20.0	1	20.0	3	60.0	.	.	.	.	5
Missing	2	28.6	1	14.3	.	.	.	.	4	57.1	7
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	Likelihood Seek Asst From Inst Associat										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	19	8.1	66	28.2	76	32.5	52	22.2	21	9.0	234
One	1	4.3	7	30.4	8	34.8	4	17.4	3	13.0	23
Two	2	20.0	2	20.0	3	30.0	2	20.0	1	10.0	10
Three	5	15.2	7	21.2	10	30.3	7	21.2	4	12.1	33
> Three	1	20.0	1	20.0	.	.	3	60.0	.	.	5
Missing	1	14.3	1	14.3	.	.	1	14.3	4	57.1	7
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	Likelihood Seek Asst From Scientific Soc										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	31	13.2	81	34.6	61	26.1	40	17.1	21	9.0	234
One	.	.	7	30.4	10	43.5	3	13.0	3	13.0	23
Two	2	20.0	3	30.0	2	20.0	2	20.0	1	10.0	10
Three	1	3.0	6	18.2	14	42.4	8	24.2	4	12.1	33
> Three	1	20.0	1	20.0	.	.	3	60.0	.	.	5
Missing	1	14.3	1	14.3	.	.	1	14.3	4	57.1	7
Total	36	11.5	99	31.7	87	27.9	57	18.3	33	10.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

	Likelihood Seek Asst-Indepen Invest Firm										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	8	3.4	45	19.2	74	31.6	83	35.5	24	10.3	234
One	.	.	1	4.3	6	26.1	13	56.5	3	13.0	23
Two	.	.	2	20.0	3	30.0	4	40.0	1	10.0	10
Three	2	6.1	5	15.2	10	30.3	12	36.4	4	12.1	33
> Three	.	.	.	.	.	.	5	100.0	.	.	5
Missing	.	.	.	.	2	28.6	1	14.3	4	57.1	7
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	Likelihood Seek Assistance From ORI										
	Very Likely		Somewhat Likely		Somewhat Unlikely		Very Unlikely		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	113	48.3	62	26.5	18	7.7	15	6.4	26	11.1	234
One	10	43.5	5	21.7	5	21.7	.	.	3	13.0	23
Two	4	40.0	3	30.0	1	10.0	1	10.0	1	10.0	10
Three	17	51.5	6	18.2	4	12.1	1	3.0	5	15.2	33
> Three	2	40.0	1	20.0	.	.	1	20.0	1	20.0	5
Missing	2	28.6	1	14.3	.	.	.	.	4	57.1	7
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

	Overall Satisfaction w/Contact with ORI												
	Very Satisfied		Somewhat Satisfied		Somewhat Dissatisfied		Very Dissatisfied		No Contact		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry													
None	22	9.4	7	3.0	1	0.4	2	0.9	157	67.1	45	19.2	234
One	8	34.8	1	4.3	.	.	.	.	7	30.4	7	30.4	23
Two	4	40.0	1	10.0	.	.	.	.	3	30.0	2	20.0	10
Three	17	51.5	2	6.1	2	6.1	.	.	6	18.2	6	18.2	33
> Three	3	60.0	.	.	.	.	.	.	2	40.0	.	.	5
Missing	1	14.3	.	.	1	14.3	.	.	1	14.3	4	57.1	7
Total	55	17.6	11	3.5	4	1.3	2	0.6	176	56.4	64	20.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20c-Hesitant to Contact ORI for Following Reasons

	Not Hesitant to Contact ORI		Hes to Contact cause ORI Regulatory Off		Don't Want to Inform ORI Until Warranted		Protect Confidentiality of Proceedings		Protect the Reputation of the Respondent		Other Sources of Asst Readily Available		Need Information on ORI Website	
# Allegations Pst 5 Yrs Reslt in Inquiry	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
None	157	67.1	22	9.4	32	13.7	29	12.4	20	8.5	10	4.3	7	3.0
One	14	60.9	3	13.0	7	30.4	3	13.0	4	17.4	4	17.4	2	8.7
Two	6	60.0	2	20.0	1	10.0	1	10.0	1	10.0	1	10.0	1	10.0
Three	21	63.6	5	15.2	6	18.2	3	9.1	6	18.2	4	12.1	2	6.1
> Three	3	60.0	0	0.0	0	0.0	0	0.0	0	0.0	1	20.0	0	0.0
Missing	2	28.6	0	0.0	1	14.3	1	14.3	1	14.3	1	14.3	1	14.3
Total	203	65.1	32	10.3	47	15.1	37	11.9	32	10.3	21	6.7	13	4.2

(CONTINUED)

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20c-Hesitant to Contact ORI for Following Reasons

	Other Reason Hesitant to Contact ORI		ALL
# Allegations Pst 5 Yrs Reslt in Inquiry	N	Percent	N
None	4	1.7	234
One	2	8.7	23
Two	0	0.0	10
Three	1	3.0	33
> Three	0	0.0	5
Missing	0	0.0	7
Total	7	2.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Within Institution or Affiliate

	Develop Source of Asst Within Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	75	32.1	11	4.7	11	4.7	9	3.8	128	54.7	234
One	10	43.5	6	26.1	.	.	.	.	7	30.4	23
Two	7	70.0	1	10.0	.	.	.	.	2	20.0	10
Three	15	45.5	2	6.1	.	.	1	3.0	15	45.5	33
> Three	3	60.0	.	.	1	20.0	.	.	1	20.0	5
Missing	1	14.3	1	14.3	.	.	.	.	5	71.4	7
Total	111	35.6	21	6.7	12	3.8	10	3.2	158	50.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Consortium

	Develop Consortium as Source of Assist										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	16	6.8	53	22.6	19	8.1	21	9.0	125	53.4	234
One	1	4.3	2	8.7	3	13.0	1	4.3	16	69.6	23
Two	.	.	4	40.0	1	10.0	.	.	5	50.0	10
Three	2	6.1	7	21.2	5	15.2	3	9.1	16	48.5	33
> Three	.	.	1	20.0	.	.	2	40.0	2	40.0	5
Missing	.	.	.	.	.	.	1	14.3	6	85.7	7
Total	19	6.1	67	21.5	28	9.0	28	9.0	170	54.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

	Develop Source of Asst Outside Institut										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	7	3.0	15	6.4	33	14.1	23	9.8	156	66.7	234
One	.	.	2	8.7	5	21.7	1	4.3	15	65.2	23
Two	1	10.0	.	.	1	10.0	1	10.0	7	70.0	10
Three	1	3.0	2	6.1	2	6.1	4	12.1	24	72.7	33
> Three	.	.	1	20.0	.	.	.	.	4	80.0	5
Missing	.	.	.	.	.	.	.	.	7	100.0	7
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Outside Advisor/Consultant

	Develop Advisors as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	15	6.4	28	12.0	22	9.4	33	14.1	136	58.1	234
One	1	4.3	1	4.3	4	17.4	5	21.7	12	52.2	23
Two	.	.	2	20.0	1	10.0	1	10.0	6	60.0	10
Three	.	.	3	9.1	3	9.1	5	15.2	22	66.7	33
> Three	.	.	.	.	1	20.0	.	.	4	80.0	5
Missing	.	.	.	.	1	14.3	.	.	6	85.7	7
Total	16	5.1	34	10.9	32	10.3	44	14.1	186	59.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Institutional Association

	Develop Institut Assoc as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	13	5.6	29	12.4	35	15.0	29	12.4	128	54.7	234
One	.	.	5	21.7	3	13.0	7	30.4	8	34.8	23
Two	1	10.0	1	10.0	1	10.0	3	30.0	4	40.0	10
Three	2	6.1	8	24.2	7	21.2	6	18.2	10	30.3	33
> Three	.	.	1	20.0	1	20.0	1	20.0	2	40.0	5
Missing	.	.	1	14.3	.	.	1	14.3	5	71.4	7
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Scientific Society

	Devel Scientif Society as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	15	6.4	29	12.4	32	13.7	27	11.5	131	56.0	234
One	1	4.3	1	4.3	4	17.4	2	8.7	15	65.2	23
Two	.	.	1	10.0	1	10.0	1	10.0	7	70.0	10
Three	2	6.1	1	3.0	6	18.2	8	24.2	16	48.5	33
> Three	1	20.0	1	20.0	.	.	1	20.0	2	40.0	5
Missing	1	14.3	.	.	1	14.3	.	.	5	71.4	7
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Independent Investigative Firm

	Devel Indep Invest Frm as Source of Asst										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	5	2.1	16	6.8	16	6.8	25	10.7	172	73.5	234
One	.	.	2	8.7	.	.	3	13.0	18	78.3	23
Two	.	.	.	.	1	10.0	2	20.0	7	70.0	10
Three	.	.	.	.	1	3.0	8	24.2	24	72.7	33
> Three	.	.	.	.	1	20.0	1	20.0	3	60.0	5
Missing	.	.	.	.	.	.	1	14.3	6	85.7	7
Total	5	1.6	18	5.8	19	6.1	40	12.8	230	73.7	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Office of Research Integrity

	Develop ORI as Source of Assistance										
	Rank 1		Rank 2		Rank 3		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
None	75	32.1	23	9.8	18	7.7	32	13.7	86	36.8	234
One	6	26.1	7	30.4	.	.	4	17.4	6	26.1	23
Two	.	.	3	30.0	4	40.0	.	.	3	30.0	10
Three	7	21.2	5	15.2	3	9.1	3	9.1	15	45.5	33
> Three	1	20.0	2	40.0	.	.	.	.	2	40.0	5
Missing	1	14.3	1	14.3	.	.	.	.	5	71.4	7
Total	90	28.8	41	13.1	25	8.0	39	12.5	117	37.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Other Source of Assistance

	Develop Other Sources of Assistance								
	Rank 1		Rank 2		Rank 4		Missing		ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry									
None	4	1.7	1	0.4	3	1.3	226	96.6	234
One	1	4.3	.	.	.	.	22	95.7	23
Two	.	.	.	.	.	.	10	100.0	10
Three	.	.	.	.	2	6.1	31	93.9	33
> Three	.	.	.	.	.	.	5	100.0	5
Missing	.	.	.	.	.	.	7	100.0	7
Total	5	1.6	1	0.3	5	1.6	301	96.5	312

APPENDIX D: SUMMARY OF OPEN-ENDED RESPONSES

CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE

Summary of Open-Ended Responses

Types of allegations (as discussed in comments on various questions)

False - falsification of research protocols or data (19)
Credit - plagiarism or authorship dispute (12)
IRB - proceeded without appropriate clearances or outside of approved plan (3)
Personnel - unqualified personnel or inappropriate staffing (e.g., interviewer) (1)
Confidential - will not provide information on allegation (2)

5. From what source(s) did your institution seek assistance/expertise in conducting a competent inquiry or investigation? (Check all that apply.)

Other (specify)

Legal - outside - sought legal advice from independent counsel (4)
Funder - gov't - assistance from funding agency (2)
Funder - other - assistance from private foundation that funded (1)
Private individual - outside academic as expert (1)

6. In what areas did your institution seek assistance? (Check all that apply.)

Other (specify)

This question was not coded because responses were generally elaborations of issues at dispute, not areas that needed assistance.

7. Based on the assistance received from the sources indicated in Question 5, where would your institution most likely seek assistance in the future?

Other (specify)

Funder - (4)
Legal - (3)

8. If your institution were to receive an allegation of research misconduct, would it need assistance in any of the following areas? (Please check all that apply.)

Other (specify)

None - no outside assistance needed (12)

Steps - neutral guidance in how to handle overall investigation, step by step (5)

Consequences - what happens to research, authorship, money, etc. (1)

Don't know (2)

9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution?

Quick reference - a short guide to conducting investigations (e.g., a list of steps or checklist) (52)

Legal - advice on legal issues, unforeseen pitfalls (32)

Training - ORI sponsored training on conducting inquiries, interviewing, etc. (15)

Hotline - a web page and/or telephone hotline for reference (14)

Policies - definitions of terms and sample policies on misconduct (14)

Case studies - real-life examples of what was done in similar situations, especially "gray" areas (10)

Roster - of available experts or institutions familiar with conducting inquiries (6)

Funding - money to investigate or receive training (2)

10. What is the likelihood that your institution would become a member of a consortium ....?

10a. Please briefly explain your responses.

Not needed - no outside assistance would be needed in these situations (50)

Additional help - input or advice from those experienced in these investigations would be helpful, although not necessarily required (26)

Burden - participation would interfere with already overloaded activities (especially for small institutions or small research departments) (26)

Arrangements - the arrangements of a consortium would dictate whether to use it or not, especially institutional type, expertise, and protocols for confidentiality (21)

Small - a small business (note: the pertinence of this is subject to interpretation) (13)

No expertise - have not had to deal with the situation, so could offer no assistance (12)

Rare - incidents are rare, so need for consortium would be too limited to participate (11)

Would help - institution would be willing to offer assistance if/when needed (9)

Costs - costs would be a consideration factor (6)

11. What is the likelihood that your institution would request services from a consortium?

11a. Please briefly explain your response.

Existing resources - would be used first or fully to investigate. If they recommend additional resources, then might go outside (32)

Useful resource - would consider using such a mechanism (27)

Arrangements - the design of the consortium is not well defined or understood, further information would affect decisions to join (19)

Neutral party - an outsider could provide an objective view if needed (11)

Not likely - misconduct not likely to occur in field or organization (9)

Advice - would only use to get advice from those who have done these investigation before (7)

Costs - cost of services must be low or free to use services (6)

See answer to 10a. - (49)

12. Would incorporating any of the following areas into the scope of a consortium's responsibility make a consortium more useful to your institution? (Check all that apply.)

Other (specify)

Legal - legal advice or services (4)

Confidentiality - assurance that research and investigation will be kept private (2)

Subject - expertise in research subject area or misconduct (2)

15b. What else would make it more likely that your institution would seek advice from unaffiliated/outside institutions?

Subject - if need expertise in a particular area not available in-house (27)

Confidentiality - assurance all will remain confidential (data, investigation, etc.) (19)

Experience - proven track record in field or investigations (18)

Personal acquaintance - that the responsible official and/or institution is known personally to those handling the investigation (14)

Costs - no or low costs for services (10)

Policies - the outside institution uses or understands the policies (and local laws) in effect at institution conducting investigation (6)

Proximity - would prefer those who work nearby (3)

Registry - that an easily accessed and well-defined means of identifying “experts” and getting current contact information exists (3)

Similar type - of institution (3)

16c. What else would make it more likely that your institution would seek advice from outside advisors/consultants?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (31)

Costs - low or no costs for services (27)

Subject - appropriate subject expertise not available in-house (19)

Confidentiality - that use of services would not breach confidentiality of research or people (4)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (4)

Proximity - close by (3)

17a. What would make it more likely that your institution would seek advice from institutional associations?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (32)

Ease of use - easy process, formal service, membership in association, or no member requirements, easy to access (23)

Subject - appropriate subject expertise not available in-house (18)

Costs - low or no costs for services (10)

No other alternative - (8)

Confidentiality - that use of services would not breach confidentiality of research or people (7)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (7)

Proximity - close by (4)

Nothing - would not use this resource (4)

18a. What would make it more likely that your institution would seek advice from scientific societies?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (24)

Ease of use - easy process, formal service, membership in association, or no member requirements, easy to access (16)

Costs - low or no costs for services (9)

Nothing - would not use this resource (8)

No other alternative - (7)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (6)

Confidentiality - that use of services would not breach confidentiality of research or people (3)

Subject - appropriate subject expertise not available in-house (15)

See previous answers (25)

19a. What would make it more likely that your institution would seek assistance/expertise from an independent investigative firm?

Costs - low or no costs for services (24)

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (24)

Nothing (19)

Complicated - exceptionally difficult case(s) or caseload, likely lawsuit (16)

No other alternative - (12)

Subject - appropriate subject expertise not available in-house (9)

Objectivity - that this resource would provide most objective perspective (6)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (2)

Confidentiality - that use of services would not breach confidentiality of research or people (1)

Proximity - close by (1)

See previous answers (11)

20a. What would make it more likely that your institution would seek advice from the Office of Research Integrity?

Range - the range of services available from advice on select aspects to support of the investigation to a focus on correcting the problem, not punishments (33)

Reputation - that they have a reputation for providing accurate advice and helpful service (24)

Ease of use - if easy to access, knowledge of services, guidelines as to when to call them, etc. (20)

Procedures - ORI staff know the processes and policies (20)

Confidentiality - use of services would not breach confidentiality of research or people (10)

Objectivity - assurance the assistance is without prejudice (8)

Training - provide more training and/or guidelines, onsite and through web (8)

PHS funded - the allegation occurred on a PHS-funded project (5)

Situation - depends on the situation (4)

Resources - expand the ORI staff and budget to allow more onsite services and investigations (2)

See previous answers (6)

Not applicable (3)

20c. Is your institution hesitant to contact the Office of Research Integrity for assistance/expertise for any of the following reasons? (Check all that apply.)

Other (specify)

No need to date - have not needed to contact ORI (5)

First organization - would be first place contacted (4)

Perception of "guilt" - ORI seems to assume allegations are justified, not personal vengeance, and/or that there was intentional wrong doing (3)

Slow or no response to inquiry/inconsistent answers - (3)

Lack of confidentiality - (2)

21. Please rank (number) four selections from the following sources of assistance/expertise according to which sources your institution would like to see further developed.

Other (specify)

ORI - would be included in process, often as first contact (4)

Legal guidance (2)

Necessary - all sources are necessary (2)

*Start in-house (*2)

Small Business Organizations - (1)

Ad Hoc Comments

Focus on developing good policies, more education to ensure appropriate conduct rather than focus on misconduct - (3)