

September 17, 2001

```
«NAME»
«TITLE»
«ORGANIZA»
«STREET_A»
«STREET_1»
«CITY», «ST» «ZIP» «COUNTRY»
```

Dear «NAME»:

On behalf of the Office of Research Integrity (ORI), Department of Health and Human Services, Logicon/ROW Sciences is conducting a survey to determine the most effective methods for assisting institutions in responding to allegations of scientific misconduct. The survey explicitly explores four main topics: (1) how well institutions are equipped to respond to allegations of scientific misconduct, (2) the feasibility of developing consortia among institutions, professional associations, or scientific societies for this purpose, (3) the utilization of technical assistance offered by ORI, and (4) suggestions for other useful mechanisms to assist in responding to allegations.

This study is expected to identify several methods that could be used by institutions to acquire the guidance and expertise required to respond appropriately to allegations of scientific misconduct. Your participation in this study is voluntary. However, we encourage your participation. All participants will receive a summary of the study results. Analyses and tables will reflect aggregated information rather than responses from specific organizations.

As «TITLE» of «ORGANIZA» you are in a key position to help us gather this important information, and we greatly appreciate the time and effort involved. Please complete the survey over the Internet by October 15, 2001, by following the instructions on the attached sheet. If you prefer, you can request a paper copy of the survey by contacting me: telephone (301-294-5463), fax (301-294-5401), or email (cflatau@hq.row.com).

A federal agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The valid OMB control number is 0990-0248. Public reporting burden for this collection of information is estimated to vary from 15 minutes to 25 minutes with an average of 20 minutes per response, including time for searching existing data sources, gathering the necessary data, and completing and reviewing the collection of information.

If you have any questions, please feel free to contact me. Thank you in advance for your participation.

Sincerely,

Claudia Flatau, M.P.H. Study Manager Logicon/ROW Sciences

OMB No.: 0990-0248 Expiration Date: 06/30/2002

CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE

1.	How many researchers and faculty that conduct research are employed in your institution?
	1-10
2.	What type of research institution are you employed by?
	Institution of higher education that is not affiliated with an academic medical center
	Academic medical center or affiliated institution of higher education Research organization, institute, foundation, or lab Independent hospital Educational organization other than higher education Other health, human resources, or environmental services organization Federal or State government Other
3.	Does your institution have a formal affiliation with another institution to respond to allegations of scientific misconduct?
	Yes No Don't know
4.	In the past 5 years, how many allegations of scientific misconduct received by your institution resulted in inquiries or investigations?
	None One Two Three More than three

on or affiliate atside institution arch Integrity r/consultant our institution see	ek assistance? (C	heck all that app	2
our institution see	ek assistance? (C	heck all that app	2
our institution see	ek assistance? (C	heck all that app	2
our institution see	ek assistance? (C	heck all that app	2
our institution see	ek assistance? (C	heck all that app	2
	Allegation 1		2
	Allegation 1		2
ce	G	Allegation 2	Allegation (
	onts		
	onta		
minimary assessing	ents		
nfidentiality			
2			
histleblowers			
espondents			
f committees			
•			
of evidence			
ence			
rts			
	onfidentiality opriate expertise icts of interest whistleblowers espondents of committees uiry estigation of evidence techniques cills ence	ropriate expertise icts of interest rhistleblowers espondents f committees uiry estigation of evidence techniques kills ence	ropriate expertise icts of interest rhistleblowers espondents f committees uiry estigation of evidence techniques kills ence

 $\overline{2}$

	Future			
Source	Allegation			
Within institution or affiliate				
Unaffiliated, outside institution				
Office of Research Integrity				
Outside advisor/consultant				
Other (specify)				
Please skip to	Question 9.			
assistance in any of the following areas? (Ple	ase check all that apply.)			
If your institution were to receive an allegation assistance in any of the following areas? (Ple Overall guidance Conducting preliminary assessments				
assistance in any of the following areas? (Ple Overall guidance	ase check all that apply.) Conduct of inquiry			
Overall guidance Conducting preliminary assessments	Conduct of inquiry Conduct of investigation			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise Handling conflicts of interest	Conduct of inquiry Conduct of investigation Sequestration of evidence			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise	Conduct of inquiry Conduct of investigation Sequestration of evidence Investigational techniques			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise Handling conflicts of interest	Conduct of inquiry Conduct of investigation Sequestration of evidence Investigational techniques Interviewing skills			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise Handling conflicts of interest Treatment of whistleblowers	Conduct of inquiry Conduct of investigation Sequestration of evidence Investigational techniques Interviewing skills Assessing evidence			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise Handling conflicts of interest Treatment of whistleblowers Treatment of respondents	Conduct of inquiry Conduct of investigation Sequestration of evidence Investigational techniques Interviewing skills Assessing evidence Legal issues			
Overall guidance Conducting preliminary assessments Maintaining confidentiality Acquiring appropriate expertise Handling conflicts of interest Treatment of whistleblowers Treatment of respondents Management of committees	Conduct of inquiry Conduct of investigation Sequestration of evidence Investigational techniques Interviewing skills Assessing evidence Legal issues			

7.

All participants should answer the remaining questions.

responding to allegations of research misconduct that could be initiated or further developed. The following are sources you will be asked about: Within institution/affiliate Consortium Unaffiliated/outside institutions Outside advisor/consultant Institutional association Scientific society Independent investigative firm Office of Research Integrity 9. What is the likelihood that your institution would rely entirely on assistance/expertise available within your institution or an affiliated institution? Very likely Somewhat likely Somewhat unlikely Very unlikely 9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution? 10. What is the likelihood that your institution would become a member of a consortium designed to provide assistance/expertise in conducting inquiries or investigations? Very likely Somewhat likely Somewhat unlikely Very unlikely 10a. Please briefly explain your response.

The remaining questions address possible alternative sources of assistance/expertise in

11.	what is the likelihood that your institut	ion would request services from a consortium?
	Somewhat likely	
	11a. Please briefly explain your response	onse.
12.		ng areas into the scope of a consortium's useful to your institution? (Check all that apply)
	Questionable research practices Allegations of retaliation Human subjects	Conflicts of interest Hazardous materials Financial management
	Animal subjects Other (specify)	None
13.	Please rank (number) the following pos according to your institution's preference	sibilities for the basis of forming a consortium ce.
	(Please rank the choices from 1 =	= most preferred to 4 = least preferred.)
	Geographic proximity	Similar institutional type
	Similar size	Scientific field
14.	Please rank your preference regarding v provide assistance/expertise in conducti	who should organize a consortium designed to inquiries or investigations.
	(Please rank the choices from 1 =	= most preferred to 4 = least preferred.)
	Individual institutions	Scientific societies
	Institutional associations	Independent consultants

Very likely Somewhat likely Somewhat unlikely Very unlikely				
15a.	Would a registry of responsible officials at institutions that has misconduct allegations make it more likely that your institution from unaffiliated/outside institutions?			
	Yes	No		
15b.	What else would make it more likely that your institution wou unaffiliated/outside institutions?	ıld seek advice fi		
	is the likelihood that your institution would seek assistance/exp	pertise from outs		
advis V So So	is the likelihood that your institution would seek assistance/expors/consultants? Yery likely Omewhat likely Omewhat unlikely Omewhat unlikely			
advis V So So	Gors/consultants? Wery likely	s who have		
advis V So So V	Gery likely Somewhat likely Somewhat unlikely Sery unlikely Would it be useful to create a registry of advisors/consultant	s who have		
advis V So So V	Gery likely Somewhat likely Somewhat unlikely Sery unlikely Would it be useful to create a registry of advisors/consultant experience in responding to allegations of research misconductions.	ts who have ct?		

S ID

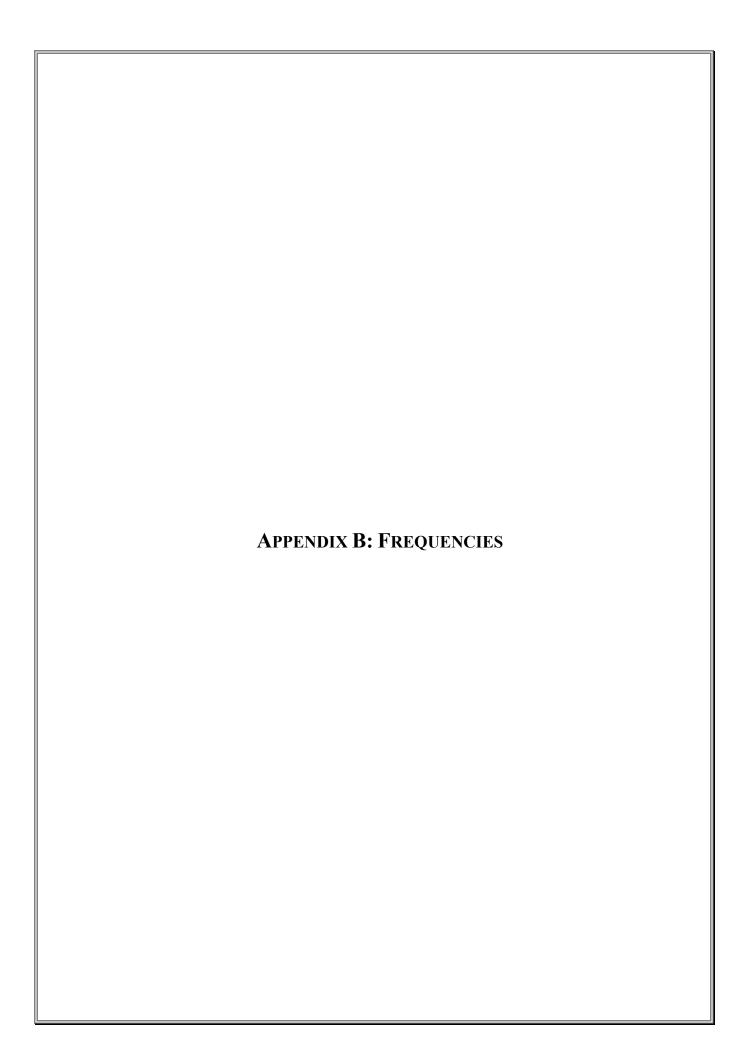
16c.	What else would make it more likely that your institution would seek advice from outside advisors/consultants?
_	
	is the likelihood that your institution would seek assistance/expertise from utional associations (AAMC, AAU)?
So So	ery likely omewhat likely omewhat unlikely ery unlikely
17a.	What would make it more likely that your institution would seek advice from institutional associations?
_	
	is the likelihood that your institution would seek assistance/expertise from scientificies (AAAS, FASEB, ASMB)?
So So	ery likely omewhat likely omewhat unlikely ery unlikely
18a.	What would make it more likely that your institution would seek advice from scientific societies?
	What institution of the second

What is the likelihood that your institution would seek assistance/expertise from an independent investigative firm?					
So So	Very likely omewhat likely omewhat unlikely Very unlikely				
19a.	What would make it more likely that you assistance/expertise from an independent		k		
_					
19b.	Would it be useful to create a registry of available to provide assistance/expertise		ative firms that		
		Yes	No		
What is the likelihood that your institution would seek assistance/expertise from the Off of Research Integrity?					
So So	Very likely omewhat likely omewhat unlikely Very unlikely				
		11	le adesia a france 4		
20a.	What would make it more likely that you Office of Research Integrity?	ur institution would see	k advice from		

	200.	what was the overall satisfaction	on with the services received?
		Somewhat satisfied	RI for assistance
	20c.	5	ontact the Office of Research Integrity for the following reasons? (Check all that apply.)
		ORI is a regulatory office Do not want to inform ORI about an investigation is warranted . Protect the confidentiality of the Protect the reputation of the result	out a case until ne proceedings spondent dily available ebsite
21.	accord	ding to which sources your institu	rom the following sources of assistance/expertise ution would like to see further developed. om 1 = most preferred to 4 = least preferred.)
	Within	n institution or affiliate	Institutional association Scientific society
	Outsic	iliated, outside institution de advisor/consultant (specify)	Independent investigative firm Office of Research Integrity
		<u> </u>	

Thank you for completing this questionnaire.

Please return in the enclosed envelope by October 15, 2001 To: Claudia Flatau, ROW Sciences, 1700 Research Boulevard, Suite 400, Rockville, MD 20850



CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE FREQUENCIES

(N=312)

1.	How many researchers and faculty that conduct research are employed in your institution's
	1-10 126 11-100 79 101-500 50 501-1,000 17 Over 1,000 36 None 2 Missing 2
2.	What type of research institution are you employed by?
	Institution of higher education that is not affiliated with an academic medical center
3.	Does your institution have a formal affiliation with another institution to respond to allegations of scientific misconduct?
	Yes 36 No 266 Don't know 10
4.	In the past 5 years, how many allegations of scientific misconduct received by your institution resulted in inquiries or investigations?
	None 234 One 23 Two 10 Three 33 More than three 5 Missing 7

5. From what source(s) did your institution seek assistance/expertise in conducting a competent inquiry or investigation? (Check all that apply.)

Source	Allegation 1	Allegation 2	Allegation 3
Within institution or affiliate	60	38	27
Unaffiliated, outside institution	13	6	3
Office of Research Integrity	21	17	11
Outside advisor/consultant	10	6	5
Other (specify)	4	3	2
Missing	8	6	5
See Appendix C for explanations of	"Other"		

In what areas did your institution seek assistance? (Check all that apply.) 6.

Source	Allegation 1	Allegation 2	Allegation 3
Overall guidance	34	26	18
Conducting preliminary assessments	s 26	11	10
Maintaining confidentiality	10	5	5
Acquiring appropriate expertise	14	10	5
Handling conflicts of interest	4	1	2
Treatment of whistleblowers	14	7	6
Treatment of respondents	16	9	8
Management of committees	8	5	2
Conduct of inquiry	22	11	6
Conduct of investigation	12	7	5
Sequestration of evidence	14	7	5
Investigational techniques	4	6	5
Interviewing skills	1	2	2
Assessing evidence	9	8	2
Legal issues	26	18	15
Preparing reports	11	9	8
Other (specify)	2	2	0
Missing	20	14	14
See Appendix C for explanations of	"Other"		

See Appendix C for explanations of "Other

7. Based on the assistance received from the sources indicated in Question 5, where would your institution most likely seek assistance in the future?

	Future
Source	Allegation
Within institution or affiliate	52
Unaffiliated, outside institution	12
Office of Research Integrity	41
Outside advisor/consultant	12
Other (specify)	8
Missing	2
See Appendix C for explanations of "Other"	

8. If your institution were to receive an allegation of research misconduct, would it need assistance in any of the following areas? (Please check all that apply.)

141	Overall guidance	74	Conduct of inquiry
53	Conducting preliminary assessments	71	Conduct of investigation
25	Maintaining confidentiality	45	Sequestration of evidence
79	Acquiring appropriate expertise	66	Investigational techniques
37	Handling conflicts of interest	29	Interviewing skills
39	Treatment of whistleblowers	62	Assessing evidence
33	Treatment of respondents	117	Legal issues
23	Management of committees	73	Preparing reports
10	Other (specify)		
See .	Appendix C for explanations of "Other"	43	Missing

The remaining questions address possible alternative sources of assistance/expertise in responding to allegations of research misconduct that could be initiated or further developed. The following are sources you will be asked about: Within institution/affiliate Consortium Unaffiliated/outside institutions Outside advisor/consultant Institutional association Scientific society Independent investigative firm Office of Research Integrity 9. What is the likelihood that your institution would rely entirely on assistance/expertise available within your institution or an affiliated institution? 9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution? See Appendix C for Summary What is the likelihood that your institution would become a member of a consortium designed to provide assistance/expertise in conducting inquiries or investigations?

Somewhat unlikely39Very unlikely46Missing165

Please briefly explain your response.

See Appendix C for Summary

10a.

4

11. What is the likelihood that your institution would request services from a consortium?

Very likely	7
Somewhat likely	0
Somewhat unlikely	5
Very unlikely	1
Missing	9

11a. Please briefly explain your response. *See Appendix C for Summary*

12. Would incorporating any of the following areas into the scope of a consortium's responsibility make a consortium more useful to your institution? (Check all that apply.)

106	Questionable research practices	98	Conflicts of interest
65	Allegations of retaliation	38	Hazardous materials
97	Human subjects	59	Financial management
43	Animal subjects	80	None
10	Other (specify)	64	Missing
See Appendix C for explanations of "Other"			

13. Please rank (number) the following possibilities for the basis of forming a consortium according to your institution's preference.

1	Most Preferred	Second Choice	Third Choice	Least Preferred	Not Ranked
Geographic proximity	61	46	58	86	61
Similar size	17	61	76	96	62
Similar institutional type	129	60	40	25	58
Scientific field	66	86	56	45	59

14. Please rank your preference regarding who should organize a consortium designed to provide assistance/expertise in conducting inquiries or investigations.

1	Most Preferred	Second Choice	Third Choice	Least Preferred	Not Ranked
Individual institutions	100	48	68	37	59
Institutional associations	s 60	105	52	30	65
Scientific societies	64	66	81	37	64
Independent consultants	25	24	39	158	66



13.		iliated/outside institutions?
	Sc Sc Ve	ery likely 22 emewhat likely 88 emewhat unlikely 90 ery unlikely 78 eissing 34
	15a.	Would a registry of responsible officials at institutions that have received research misconduct allegations make it more likely that your institution would seek advict from unaffiliated/outside institutions?
		Yes 192 No 86
	15b.	What else would make it more likely that your institution would seek advice from unaffiliated/outside institutions? See Appendix C for Summary
16.		is the likelihood that your institution would seek assistance/expertise from outside ors/consultants?
	Sc Sc Ve	ery likely 48 smewhat likely 125 smewhat unlikely 63 ery unlikely 40 sissing 36
	16a.	Would it be useful to create a registry of advisors/consultants who have experience in responding to allegations of research misconduct?
		Yes 225 No 52
	16b.	Would it be useful to create a certification program for outside advisors/consultants?
		Yes 173 No 98

	16c.	What else would make it more likely that your institution would seek advice from outside advisors/consultants? See Appendix C for Summary
17.		is the likelihood that your institution would seek assistance/expertise from utional associations (AAMC, AAU)?
	So So Vo	ery likely 29 omewhat likely 84 omewhat unlikely 97 ery unlikely 69 issing 33
	17a.	What would make it more likely that your institution would seek advice from institutional associations? See Appendix C for Summary
18.		is the likelihood that your institution would seek assistance/expertise from scientific ies (AAAS, FASEB, ASMB)?
	So So Vo	ery likely 36 omewhat likely 99 omewhat unlikely 87 ery unlikely 57 issing 33
	18a.	What would make it more likely that your institution would seek advice from scientific societies? See Appendix C for Summary

	Sc Sc Ve	ery likely omewhat likely omewhat unlikely ery unlikely issing		53 95 118
	19a.	What would make it more likely that your assistance/expertise from an independent See Appendix C for Summary		
	19b.	Would it be useful to create a registry of i available to provide assistance/expertise?	ndependent investigati	ve firms that are
			Yes 157	No 112
20.	Vo So So Vo	is the likelihood that your institution would search Integrity? ery likely omewhat likely omewhat unlikely ery unlikely fissing		148 78 28 18
	20a.	What would make it more likely that your Office of Research Integrity? See Appendix C for Summary	institution would seek a	ndvice from the

20b.	If your institution has contacted the Office of Research Integrity for assistance,
	what was the overall satisfaction with the services received?

Very satisfied
Somewhat satisfied
Somewhat dissatisfied
Very dissatisfied
Institution has not contacted ORI for assistance
<i>Missing</i> 64

20c. Is your institution hesitant to contact the **Office of Research Integrity** for assistance/expertise for any of the following reasons? (Check all that apply.)

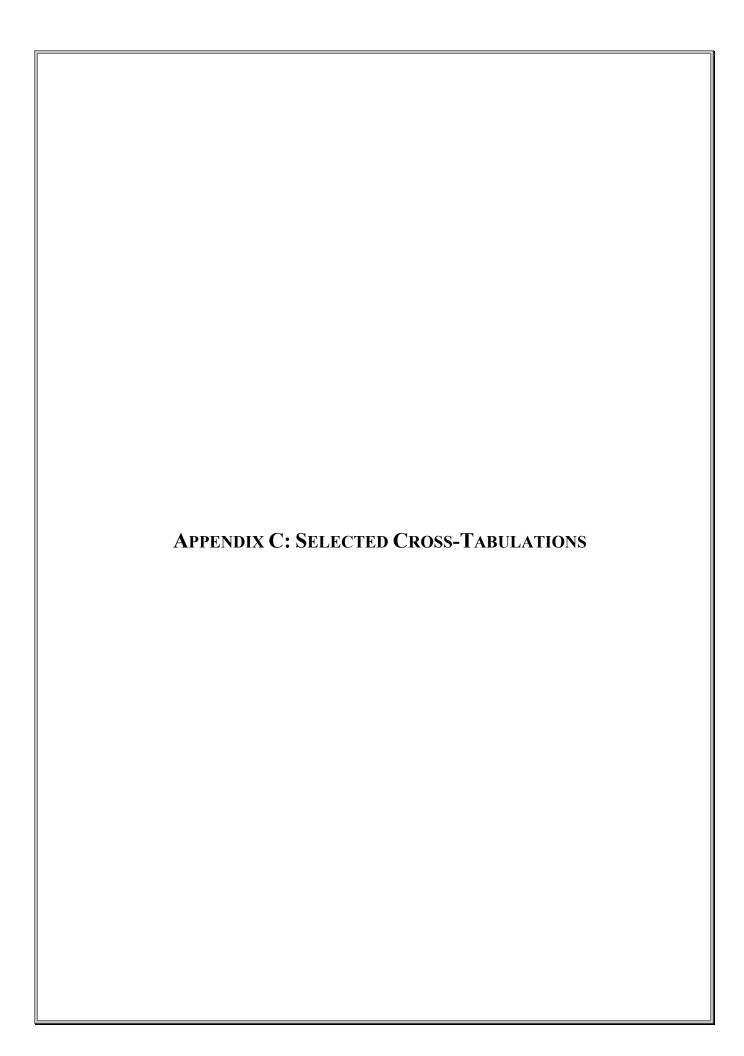
Not hesitant
ORI is a regulatory office
Do not want to inform ORI about a case until
an investigation is warranted
Protect the confidentiality of the proceedings
Protect the reputation of the respondent
Other sources of assistance readily available
Needed information on ORI website
Other (specify)
Missing
See Appendix C for explanations of "Other"

21. Please rank (number) four selections from the following sources of assistance/expertise according to which sources your institution would like to see further developed.

(Please rank your four selections from 1 = most preferred to 4 = least preferred.)

1,1	lost ferred	Second Choice	Third Choice	Least Preferred	Total
Within institution or affiliate	111	21	12	10	154
Consortium	19	67	28	28	142
Unaffiliated, outside institution	ı 9	20	41	29	99
Outside advisor/consultant	16	34	32	44	126
Institutional association	16	45	47	47	155
Scientific society	20	33	44	39	136
Independent investigative firm	5	18	19	40	82
Office of Research Integrity	90	41	25	39	195
Other (specify)	5	1	0	5	11





Institute Type by Q1-Number of Researchers Employed by Institution

	 			# 0	f R	esearcher	s Ei	mployed a	t I	nstitutio	n				
	 - 	0		1-10	1:	1-100	1	01-500	50	1-1000	Ove	er 1000	м	issing	 ALI
	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories	 		 				 -			 				 - 	
Institute of Higher Education	 2	 1.7	9	 7.7	23	 19.7	35	 29.9	15	 12.8	32	 27.4	1	 0.9	 117
Research Org, Inst, Foundation, Lab	 •	 	4	 14.3	14	 50.0	7	 25.0	2	 7.1	1	 3.6		 .	 28
Independent Hospital	 •	 •	3	 17.6	8	 47.1	4	 23.5	•	 •	2	 11.8	•	 •	 13
Other HHR, Environmental	 •	 •	16	 57.1	8	 28.6	3	 10.7	•	 •			1	 3.6	 28
Other-including small business	 •	 •	94	 77.0	26	 21.3	1	 0.8	•	 •	1	 0.8	•	 •	 122
 Total 	2	0.6	126	40.4	79	25.3	50	16.0	17	5.4	36	11.5	2	0.6	312

Institute Type by Q2-Type of Research Institution Employed By

						Type o	of Re	search In	stitu	te Employe	ed By					
	F	citute of Higher Nucation	М	ademic edical enter	Orgai	search nization, titute, etc.	Ind	ependent spital	 Edu	Other cational nization		Health	i	leral or State vernment	 	Other
i	N	Percent	N	Percent	и	Percent	N	Percent	и	Percent	и	Percent	и	Percent	и	Percent
PHS Institutional Categories		 	 	 	 			 	 	 	 		 	 	 	
Institute of Higher Education	70	 59.8	 42	 35.9	 1	 0.9		 .	 •	 .	 •		 .		 1	 - 0.:
Research Org, Inst, Foundation, Lab		 	 1	 3.6	 23		1	 3.6	 •	 .	 •		 1	 . 3.6	 1	
Independent Hospital	 1	 5.9	 6	 35.3	 •	 •	9	 52.9	 •	 •	 •		 1	 . 5.9	 .	
Other HHR, Environmental		 L 3.6	 2	 7.1	 11	 		 .	 1	 3.6	 4	14.3	 2	 	 :	
Other- including small business		 	 1	 0.8	 30	 24.6	•	 .	 2	 1.6	 3	2.5	 1	 . 0.8	 83	 68.
Total	72	2 23.1	 52	16.7	 65	20.8	10	3.2	 3	1.0	 7	2.2	 5	5 1.6	 92	29.!

 	Re In	ype of search stitute loyed By	
	No :	Response	- ALL
	N	Percent	и
PHS Institutional Categories		 	
Institute of Higher Education	3	 2.6	
Research Org, Inst, Foundation, Lab	1	 3.6	 5 28
Independent Hospital		 .	 . 17
Other HHR, Environmental	•	 	 . 28
Other- including small	_	 	
business Total	2 6		5 122 9 312

Institute Type by Q4-Number of Allegations

			:	# Allegat	ion	s Pst 5 Y	rs	Reslt in	Inq	uiry			
		None		One		Two		Three	>	Three	M	issing	 ALI
ļ	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories								 				 	
Institute of Higher Education	59	 50.4	12	 10.3	8	 6.8	30	 25.6	4	 3.4	4	 3.4	 117
Research Org, Inst, Foundation, Lab	20	 71.4	5	 17.9	•	 •	2	 7.1	•	 •	1	 3.6	 28
Independent Hospital	10	 58.8	5	 29.4	•	 .	1	 5.9	1	 5.9		 •	 1
Other HHR, Environmental	26	 92.9	•	 •	1	 3.6			•	 .	1	3.6	 2
Other-including small business	119	 97.5	1	 0.8	1	 0.8			•	 •	1	 0.8	 12
Total	234	 75.0	23	 7.4	10	 3.2	33	10.6	5	 1.6	7	2.2	: 31:

Institute Type by Q5-What Sources Did Institution Seek Assistance - Allegation #1

 		onduct nguiry		onduct nguiry				onduct nguiry	 	onduct	
l i	•			rom:	l I a.	onduct	•	lquiry Vith	•		!
 	Fro	om With-			-				•	nquiry	!
 -	!	In		ıtside		nquiry		ıtside	•	ng Other	:
 	Inst 	titute-1	Inst	citute-l 	Witl	n ORI-1	Adv	/isor-1 	So:	urce-1 	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
 PHS	 	 		 	 	 	 	 	 	 I	 I
Institutional	İ	i		İ	i	j	i		i	İ	i
Categories	İ	j i		İ	İ	j	İ		İ	İ	İ
	i	j i		İ	i	j i	i		i	İ	i
Institute of	i	i		İ	i	i	i		i	İ	i
Higher Education	44	81.5	7	13.0	17	31.5	4	7.4	2	3.7	54
		· 							· 		
Research Org,						İ				1	
Inst,	İ	İ		İ	İ	İ	İ		İ	İ	Ì
Foundation, Lab	7	100.0	3	42.9	3	42.9	3	42.9	1	14.3	7
 Independent	 I	 		 	 I	 	 I	 	 	 I	 I
Hospital	6	85.7	3	42.9	0	0.0	2	28.6	1	14.3	7
 Other HHR,	 I	 I		 I	 I	 I		 I	 I	 	 I
Environmental	1	100.0	0	0.0	0	0.0	0	0.0	, 0	0.0	1
 Other-including	 I	 I		 I	 I	 I	 I	 	 I	 I	 I
small business	0	0.0	0	0.0	1	50.0	0	0.0	, 0	0.0	2
 Total	 58	81.7	13	18.3	 21	29.6	 9	12.7	 4	 5.6	 71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institute Type by Q5-What Sources Did Institution Seek Assistance - Allegation #2

	Fro	onduct nquiry om With- In titute-2	Ir I Ot	ıtside	Iı	onduct quiry n ORI-2	Ir V		Iı Usir	onduct nquiry ng Other urce-2	•
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories Institute of Higher Education	32		4	9.5	14	33.3	4	 9.5	 3	 7.1	 42
Research Org, Inst, Foundation, Lab	2	 100.0	1	50.0	 1	50.0	 2	 100.0	 0	 0.0	 2
Independent Hospital	2	 100.0	1	50.0	1	50.0	 0	 0.0	 0	 0.0	 2
Other HHR, Environmental	1	 100.0	0	0.0	 0	0.0	 0	 0.0	 0	 0.0	 1
Other-including	0	 0.0	0	0.0	 0	0.0	 0	 0.0	 0	 0.0	 1
 Total	37	77.1	6	12.5	16	33.3	6	12.5	3	6.3	 48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Institute Type by Q5-What Sources Did Institution Seek Assistance - Allegation #3

	Co	onduct		onduct		l	C	onduct			l
	II	nquiry	Ir	quiry		l	I	nquiry	C	onduct	
ļ	Fre	om With-	E	rom	Co	onduct	1	With	I	nquiry	
ļ		In	Οι	ıtside	Ir	quiry	01	utside	Usi	ng Other	
	Inst	titute-3	Inst	itute-3	With	n ORI-3	Ad	visor-3	So	urce-3	ALI
;	N	Percent	N	Percent	N	Percent	N	Percent	и	Percent	и
PHS	 	 	 	 		 		 I	 	 	 I
Institutional		i	i	i		i		İ	i	i	i
Categories		j i	i i	i		į		İ	İ	İ	İ
				İ					ĺ	ĺ	ĺ
Institute of				ĺ		ĺ		ĺ	ĺ	ĺ	ĺ
Higher Education	21	61.8	2	5.9	9	26.5	2	5.9	2	5.9	34
Research Org,	 	 	 			 		 	 	 	 I
Inst,			i			į		İ	i	į	i
Foundation, Lab	2	100.0	1	50.0	1	50.0	2	100.0	j 0	0.0	j 2
Independent	 	 	 	 		 		 	 	 	 I
Hospital	2	100.0	0	0.0	0	0.0	0	0.0	j 0	0.0	j 2
Total	25	 65.8						10.5	 2		 38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to this question.

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #1

		Overall	Sought Conductin Asse	g Prelim	Mainta	: Asst aining ential-1	Acquiri	Asst ing App cise-1	Sought Handling of I	Conflict
	N	Percent	N	Percent	N	Percent	n	Percent	N	Percent
PHS Institutional Categories	 		 	 	 		 	 		
Institute of Higher Education	 	46.3	 18	 33.3	 5	 9.3	 7	13.0	 2	3.7
Research Org, Inst, Foundation, Lab	 6	85.7	 5	71.4	 3	 42.9	 4	57.1	 2	28.6
Independent Hospital	1	14.3	1	14.3	2	28.6	3	42.9	0	0.0
Other HHR, Environmental	0	0.0	1	100.0	0	0.0	0	0.0	0	0.0
Other-including small business	 1	50.0	 1	50.0	 0	 0.0	 0	0.0	 0	0.0
Total	33	46.5	26	36.6	10	 14.1	14	19.7	 4	5.6

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought w/Trtm Whistle		Sought w/Trtm Respond	ent of	w/Manag	t Asst gemnt of ttee-1	Conduct of	Asst with of Inquiry L	Sought w/Cond Investi	uct of
	N	Percent	n	Percent	N	Percent	N	Percent	n	Percent
PHS Institutional Categories	 		 	 		 	 	 	 	
Institute of Higher Education	 9	16.7	 12	 22.2	4	 7.4	12	 	 7	13.0
Research Org, Inst, Foundation, Lab	 4	 57.1	 3	 42.9	3	 42.9	5		 1	14.3
Independent Hospital	1	14.3	0	0.0	1	14.3	3	42.9	3	42.9
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	1	100.0	1	100.0
Other-including small business	 0	0.0	 0	0.0	0	 0.0	0	 0.0	 0	0.0
Total	14	19.7	15	21.1	8	11.3	21	29.6	12	16.9

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #1

	Sought Sequestr Evidr	ation of	Sought w/Investi Tech		w/Inter	Asst rviewing	Sought	Evidence	_	Asst with Issues-1
	N	Percent	N	Percent	n	Percent	и	Percent	N	Percent
PHS Institutional Categories				 	 	 	 	 		
Institute of Higher Education	 	14.8		0.0	0	0.0	 6	 11.1	18	 33.3
Research Org, Inst, Foundation, Lab	 4	57.1	 2	28.6	 1	14.3	 2	 28.6	4	 57.1
Independent Hospital	1	14.3	2	28.6	0	0.0	 1	14.3	2	28.6
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	 0	0.0	 0	0.0	0	0.0	 0	0.0	0	 0.0
Total	13	18.3	 4	5.6	1	1.4	 9	12.7	24	33.8

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #1

	!	Asst with	gaah.t	0.5.5
	Preparing	g Reports- 1	_	otner ance-1 ALL
	N	Percent	N	Percent N
PHS Institutional	 	 	 	
Categories	į	į į	į	į
Institute of Higher	- 	 	-	ļ
Education	6	11.1	1	1.9 54
Describ Our Took				
Research Org, Inst, Foundation, Lab	2	 28.6	 1	14.3 7
	·	· 	<u>·</u>	
Independent Hospital	1	14.3	0	0.0 7
Other HHR, Environmental	0	0.0	 0	0.0 1
	· ·			
Other-including small business	1	 50.0	ا	0.0 2
	1 		۱۰ 	0.0 2
Total	10	14.1	2	2.8 71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #2

		Overall	Sought Conductin Asse	g Prelim	Mainta	Asst aining antial-2	Sought Acquiri Expert		Sought Handling of I	
	N	Percent	и	Percent	N	Percent	n	Percent	N	Percent
PHS Institutional Categories	 			 		 	 			
Institute of Higher Education	 	57.1	 9	21.4	4	 9.5	 8	19.0	 0	0.0
Research Org, Inst, Foundation, Lab	 1	50.0	 1	 50.0	1	 50.0	 1	50.0	 1	50.0
Independent Hospital	0	0.0	1	50.0	0	0.0	1	50.0	0	0.0
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other-including small business	 0	0.0	 0	 0.0	0	 0.0	 0	0.0	 0	0.0
Total	25	52.1	11	22.9	5	10.4	10	20.8	 1	2.1

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #2

	w/Trtm	Sought Asst w/Trtmnt of Whistle Blow-2		Sought Asst w/Trtment of Respondents-2		Asst gemnt of ttee-2	Conduct of	Asst with of Inquiry 2	_		
	N	Percent	n	Percent	N	Percent	N	Percent	n	Percent	
PHS Institutional Categories	 	 	 	 	 	 	 		 		
Institute of Higher Education	 	14.3	 8	19.0	3	7.1	 8	 	 5	11.9	
Research Org, Inst, Foundation, Lab	 1	50.0	 	50.0	 1	50.0	 1	 50.0	 1	50.0	
Independent Hospital	0	0.0	0	0.0	1	50.0	1	50.0	1	50.0	
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	
Other-including small business	 0	0.0	 0	 0.0	 0	0.0	 0	 0.0	 0	0.0	
Total	 7	14.6	9	18.8	5	10.4	10	20.8	 7	14.6	

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Sequesti	Sought Asst Sequestration of w Evidnce-2		Sought Asst v/Investigational Technq-2		Asst rviewing lls-2	Sought Assessing 2	Evidence	Sought Asst with Legal Issues-2		
 	N	Percent	N	Percent	N	Percent	и	Percent	N	Percent	
PHS Institutional	 					 	 	 	·	 	
Institute of Higher Education	 5	11.9		9.5	0	 0.0	 6	 14.3	15	35.7	
Research Org, Inst, Foundation, Lab	 1	50.0	 1	50.0	1	 50.0	 1	50.0	1	50.0	
 Independent Hospital 	1	50.0	1	50.0	1	50.0	1	50.0	1	50.0	
Other HHR, Environmental	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	
Other-including small business	 0	0.0	 0	0.0	0	 0.0	 0	0.0	0	0.0	
 Total 	7	14.6	6	12.5	2	4.2	8	16.7	17	35.4	

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #2

	Preparing	Asst with g Reports- 2		Other ance-2 ALL
	N	Percent	n	Percent N
PHS Institutional Categories	 		 	
Institute of Higher Education	 7		2	4.8 42
Research Org, Inst, Foundation, Lab	 1	 50.0	 0	0.0 2
Independent Hospital	1	50.0	0	0.0 2
Other HHR, Environmental	0	0.0	0	0.0 1
Other-including small business	 0	 0.0	 	0.0 1
Total	9	18.8	2	4.2 48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #3

		 Sought Overall Guidance-3		Sought Asst Conducting Prelim Assess-3		: Asst lining ential-3	Sought Acquiri Expert	ng App	Sought Asst Handling Conflict of Int-3		
	N	Percent	и	Percent	n	Percent	n	Percent	N	Percent	
PHS Institutional Categories	 	 	 	 	 	 	 				
Institute of Higher Education	· 16	47.1	 7	20.6	 4	11.8	 4	11.8	 1	2.9	
Research Org, Inst, Foundation, Lab	 1	50.0	 1	50.0	 1	50.0	 1	50.0	 1	50.0	
Independent Hospital	0	0.0	1	50.0	0	0.0	0	0.0	0	0.0	
Total	17	44.7	9	23.7	5 	13.2	5	13.2	2	5.3	

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #3

	w/Trt	Sought Asst w/Trtmnt of Whistle Blow-3		Sought Asst w/Trtment of Respondents-3		Sought Asst w/Managemnt of Committee-3		Asst with of Inquiry B	Sought Asst w/Conduct of Investigatn-3	
	N	Percent	n	Percent	n	Percent	N	Percent	n	Percent
PHS Institutional Categories	 		 	 	 	 			 	
Institute of Higher Education	 5		 7	20.6	0	0.0	 3	 8.8	 3	8.8
Research Org, Inst, Foundation, Lab	 1		 	50.0	 1	50.0	 1	50.0	 1	50.0
Independent Hospital	a	0.0	0	0.0	1	50.0	 1	50.0	0	0.0
Total	6	15.8	8	21.1	2	5.3	5	13.2	4	10.5

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Seque	Sought Asst Sequestration of v Evidnce-3		Sought Asst w/Investigational Technq-3		Asst rviewing lls-3	Sought Assessing	Evidence	Sought Asst with Legal Issues-3		
	N	Percent	и	Percent	и	Percent	n	Percent	и	Percent	
PHS Institutional Categories	 -	 	 	 	 	 	 	 	 		
Institute of Higher Education	İ	3 8.8	3	 8.8	 1	2.9	 1	2.9	 12	35.3	
Research Org, Inst, Foundation, Lab	 	 1 50.0		50.0	 1	50.0	 1	 50.0	 1	50.0	
Independent Hospital		1 50.0	1	50.0	0	0.0	0	0.0	1	50.0	
 Total		5 13.2	5	13.2	2	5.3	 2	5.3	14	36.8	

Institution Type by Q6-In What Area Did Institution Seek Assistance - Allegation #3

Sought Asst with |Preparing Reports-| Sought Other Assistance-3 Percent | Percent | N PHS Institutional Categories Institute of Higher Education 0.0 34 Research Org, Inst, 0 | Foundation, Lab 1| 50.0 0.0 2 Independent Hospital 1| 50.0 0 | 0.0 2 0.0| 38| 7 | 18.4 0 |

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to this question.

Institution Type by Q7-Where Seek Assistance in Future - Allegation #1

	See	k Future	See	k Future	l		See	k Future	See		
	As	st With-			•				•		
		In				istance				m Othr	
	Ins	titute-1	Inst-1		From ORI-1		Ad	visor-1	So	urce-1	ALI
	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS	 	 		 	 	 	 	 	 	 	 I
Institutional	İ	j i		į	İ	j	İ	İ	İ	j	İ
Categories					l			1		1	
		[ļ		ļ	<u> </u>	ļ	<u> </u>	ļ
Institute of			_						,		
Higher Education	39	72.2	8	14.8	32 	59.3	6 	11.1	4 	7.4	54
Research Org,				 	I	 	I	 	I	 	
Inst,	İ	i i		i	i	İ	i	İ	i	i	i
Foundation, Lab	7	100.0	4	57.1	3	42.9	3	42.9	2	28.6	į 7
Independent	 	 		 	 	 	 	 	 	 	
Hospital	4	57.1	0	0.0	2	28.6	2	28.6	1	14.3	7
Other HHR,	 I	 		 	 	 I	 	 		 	 I
Environmental	0	j 0.0	0	0.0	1	100.0	j o	j 0.0	j o	j 0.0	1
Other-including	 	 		 	 	 	 	 	 	 	 I
small business	0	j 0.0	0	j 0.0	1	50.0	j 0	j 0.0	j 0	j 0.0	j 2
Total	50	70.4	12	16.9	 39	54 . 9	11	15.5	7	 9.9	 71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Institution Type by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

		stance In Guidance	Need Ass Conductin Ass	g Prelim	Need Ass Mainta Confid		Need Acqui Approp Expe	ring	Need Ass Handling of	
	N	Percent	N	Percent	n	Percent	n	Percent	N	Percent
PHS Institutional Categories		 	 	 	 	 	 			
Institute of Higher Education	 27	 42.9	 10	15.9	 2	3.2	 21	33.3	9	14.3
Research Org, Inst, Foundation, Lab	 9	 42.9	 4	 19.0	 1	4.8	 3	14.3	 2	9.5
Independent Hospital	6	60.0	3	30.0	0	0.0	4	40.0	1	10.0
Other HHR, Environmental	12	44.4	3	11.1	2	7.4	8	29.6	3	11.1
Other-including small business	 87	 72.5	33	 27.5	 20	16.7	 43	35.8	 22	18.3
Total	141	58.5	53	22.0	25	10.4	79	32.8	37	15.4

Institution Type by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	 w/Treat	Need Asst w/Treatment of Whistle Blowers		Need Assistan w/Treatment of Respondents		ssistan ement of ttees	Need Ass with Cor Inqu	duct of	Need Assistan w/Conduct of Investigation		
	N	Percent	n	Percent	N	Percent	n	Percent	n	Percent	
PHS Institutional Categories	 		 	 	 		 		 		
Institute of Higher Education	 	17.5	 4	6.3	4	6.3	17	 27.0	 16	25.4	
Research Org, Inst, Foundation, Lab	 2	9.5	 4	 19.0	 2	9.5	 4	19.0	 6	28.6	
Independent Hospital	1	10.0	2	20.0	0	0.0	2	20.0	4	40.0	
Other HHR, Environmental	6	22.2	6	22.2	4	14.8	6	22.2	9	33.3	
Other-including small business	 19	15.8	 17	 14.2	13	10.8	 45	37.5	 36	30.0	
Total	39	16.2	33	13.7	23	9.5	74	30.7	 71	29.5	

Institution Type by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	Sequestr	Need Asst with Sequestration of w Evidence				sistance erviewing	•		 Need Assistance with Legal Issues		
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	
PHS Institutional Categories	 		 		 	 	 				
Institute of Higher Education	 	15.9	 15	23.8	 8	 12.7	 19	30.2	 	41.3	
Research Org, Inst, Foundation, Lab	 4	19.0	 5	23.8	 1	 	 6	28.6	 9	42.9	
Independent Hospital	1	10.0	2	20.0] 1	. 10.0	2	20.0	3	30.0	
Other HHR, Environmental	6	22.2	8	29.6	3	11.1	5	18.5	14	51.9	
Other-including small business		19.2	 35	29.2	 16	 13.3	 29	24.2	 64	53.3	
Total	44	18.3	65	27.0	29	12.0	61	25.3	116	48.1	

Institution Type by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

 	!		istance Reports	Need Other Assistance				
 -	N		Percent	N	Percent	N		
 PHS Institutional Categories 	 	 	 	 		 		
 Institute of Higher Education	 	16	25.4 	6 	9.5	 63		
Research Org, Inst, Foundation, Lab	 	 6	28.6 	0	0.0	 21		
Independent Hospital		2	20.0	0	0.0	10		
Other HHR, Environmental	 	7	25.9	2	7.4	27		
Other-including small	 	 42	 35.0	 2	1.7	 120		
 Total 		73	30.3	10	4.1	 241 		

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to this question.

Institution Type by Q9-Likelihood Institution Would Rely On Assistance From Within

		Li	kelih	nood to	Rel	y on Asst	Wit	:h-In Ins	st		
	j	y Likely	Li)	cely	Un:	mewhat likely	Un]				 ALI
		Percent 		ercent		Percent 	N	rercent 		Percent	
PHS Institutional Categories		 		 		 				 	
Institute of Higher Education	 27	 23.1	 7	6.0	11	 9.4	3	2.6	69	 59.0	 117
Research Org, Inst, Foundation, Lab	 3	 10.7	 2	7.1	4	 14.3	2	7.1	17	 60.7	 28
Independent Hospital	6	35.3	2	11.8	1	5.9	1	5.9	7	41.2	17
Other HHR, Environmental	 7	 25.0	 5	 17.9	1	 3.6	1	3.6	14	 50.0	 28
Other-including small business	 19	 15.6	 11	9.0	9	 7.4	24	19.7	59	 48.4	 122
Total	62	19.9	27	8.7	26	8.3	31	9.9	166	53.2	312

Institution Type by Q10-Likelihood Institution Would Become Member of Consortium

		Li	kel:	ihood to	Bec	ome Membe	r o	f Consort	: 	 l
	 Ver	 y Likely		newhat ikely				- '	M	issing AL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional CategoriesInstitute of Higher	 					 		 		
Education	6	5.1	24	20.5	11	 	7	 6.0	69	
Research Org, Inst, Foundation, Lab	 2	 7.1	3	 10.7	4	 14.3	2	 7.1	17	 60.7 2
Independent Hospital	3	17.6	2	11.8	2	11.8	3	17.6	7	41.2 1
Other HHR, Environmental		 .	4	 14.3	5	 17.9	5	 17.9	14	 50.0 2
Other-including small business	 5	 4.1	13		17	 13.9	29		58	 47.5 12
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9 31

Institution Type by Q11-Likelihood Institution Would Request Services From Consortium

	 	Lik	elil	nood Requ	est	Service	of (Consortiu	m		
	 Ver	!		newhat ikely				- !		issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories	 			 	 					 	
Institute of Higher Education	 4	 3.4	20	 17.1	13	11.1	10	 8.5	70	 59.8	 117
Research Org, Inst, Foundation, Lab	 •	 .	4	 14.3	 4	14.3	3	 10.7	17	 60.7	 28
Independent Hospital	1	5.9	3	17.6	3	17.6	2	11.8	8	47.1	17
Other HHR, Environmental	 2	 7.1	5	 17.9	 3	10.7	4	 14.3	14	 50.0	 28
Other-including small business	 10	 8.2	18		 12	9.8	22	 18.0	60	 49.2	 122
 Total	 17	 5.4	50	 16.0	35	11.2	41	 13.1	 169	 54.2	 312

Institution Type by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Rsrc	h Pr	nable ac-Cons sibil	-		Area (Subjects- Consort onsibil	Area (Subjects Consort onsibil	Intere	icts of st-Consrt onsibil	Area	dous Mat- Consrt sibility
	и	P	ercent	N 1	Percent	N	Percent	N	Percent	N N	Percent	N	Percent
PHS Institutional Categories	 					 	 	 	 	 	 	 	
Institute of Higher Education	 	 46	 	21	17.9	 33	 28.2	 24	 20.5	 37	 31.6	 19	 16.2
Research Org, Inst, Foundation, Lab	 	 7	25.0	 6	21.4	 7	 25.0	 3	 10.7	 8	 28.6	 6	 21.4
Independent Hospital	 	 10	58.8	4	23.5	6	35.3	1	 5.9	8	47.1	0	0.0
Other HHR, Environmental	 	 10	35.7	7	25.0	11	39.3	0	0.0	11	39.3	 2	7 . 1
Other-including small business	 	 33	27.0	 27	22.1	 40	 32.8	 15	 12.3	 34	 27.9	 11	 9.0
Total	1	06	34.0	65	20.8	97	31.1	43	13.8	 98	31.4	 38	12.2

Institution Type by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Ma:	nag	ncial gement- nsort onsibil			sortium ibility	Cons	Area of ortium sibility	 ALI
	N	 	Percent	N	1	Percent	N	Percent	и
PHS Institutional Categories	 	 		 	 		 	 	
Institute of Higher Education		ا 20	17.1	3	2	27.4	 5	 4.3	 117
Research Org, Inst, Foundation, Lab	 	 4	14.3	 	 5	17.9	 0	 0.0	 28
Independent Hospital		4	23.5		4	23.5	1	5.9	17
Other HHR, Environmental		3	10.7		5	17.9	0	0.0	28
Other-including small business	 	 28	23.0	 3	4	27.9	 4	 3.3	 122
Total		 59	18.9	8	0	25.6	10	3.2	312

Institution Type by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	 		Geog	graphic P	rox	mity as	Pre:	ference			
	Ra	ank 1	Ra	ank 2	Ra	nk 3	R	ank 4	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories Institute of				 		 				 	
Higher Education	20	 17.1	18	15.4	32	27.4	27	 23.1	20	 17.1	 117
Research Org, Inst, Foundation, Lab	3	 10.7	7		3	10.7	7	 25.0	8	 28.6	 28
Independent Hospital	 3	 17.6	1	 5.9	3	 17.6	7	 41.2	3	 17.6	 17
Other HHR, Environmental	4	 14.3	5	 17.9	4	14.3	9	 32.1	6	 21.4	 28
Other- including small business	31		15		16	13.1	36		24	 19.7	 122
Total	61	19.6	46	14.7	58	18.6	86	27.6	61	19.6	312

Institution Type by Q13-Rank Similar Size as Basis of Forming a Consortium

				Similar	Size	as Pref	ere	nce			
	Ra	ank 1	Ra	ank 2	Ra	nk 3	R	ank 4	M	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N N	Percent	N	Percent	N
PHS											
Education	4	3.4	25	21.4	26	22.2	42	35.9	20	17.1	117
Research Org, Inst, Foundation, Lab	1		 5		7	 25.0	7		8	28.6	 28
 Independent Hospital	2	 11.8	 3	 17.6	7	 41.2	2	 11.8	3	17.6	 17
 Other HHR,	1	 3.6	 3	 10.7	8	 28.6	10	 35.7	6	21.4	 28
Other- including small business	9		 25		28	23.0	35	 28.7	25	20.5	 122
 Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.9	 312

Institution Type by Q13-Rank Similar Type as Basis of Forming a Consortium

	 	Sim	ilar	Institu	tion	nal Type	as 1	Preferenc	e.		
	Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	M	issing	 ALI
i	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories	 	 	 	 		 		 		 	
Institute of Higher Education	 67	 57.3	19 	 16.2	10	 8.5	3	 2.6	18	 15.4	 117
Research Org, Inst, Foundation,	 		 							 	
Lab	14	50.0	4	14.3	1	3.6	1	3.6	8	28.6	28
Independent Hospital	 8	 47.1	 5	 29.4	- -	 .	1	 5.9	3	 17.6	 17
Other HHR, Environmental	 15	 53.6	 2	 7.1	4	 14.3	2	 7.1	5	 17.9	 28
Other- including small business	 25		 30	 24.6	25	20.5	18		24	 19.7	 122
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6	 312

Institution Type by Q13-Rank Scientific Field as Basis of Forming a Consortium

	 		Sc	cientific	Fie	eld as Pr	efe	rence			
	Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	M	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories	 	 				 		 		 	
Institute of Higher Education	11	 9.4	35	 29.9	25	 21.4	25	 21.4	21	 17.9	 117
Research Org, Inst, Foundation, Lab	 6		4	 14.3	5	 17.9	6		7	 25.0	 28
Independent Hospital	 2	 11.8	5	 29.4	4		3	 17.6	3	 17.6	 17
Other HHR, Environmental	5	 17.9	12	 42.9	4	 14.3	2	 7.1	5	 17.9	 28
Other- including small business	42	 34.4	30	24.6	18	14.8	9	 7.4	23	 18.9	 122
Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9	312

Institution Type by Q14-Rank Preference Regarding Who Should Organize a Consortium Individual Institutions

	 					Should Or					
	Ra	ank 1	Ra	ank 2	R	ank 3	R	ank 4	М	issing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS	 	 		 		 		 		 	
Institutional											
Categories				!!		ļ ļ				ļ	ļ
				!!!		ļ ļ				!	ļ
Institute of	l			 							
Higher Education	 	 	10	 15 4	21	 17.9	o		1 7	 14.5	 111'
Education	52	44.4	1 10	13.4		±/•9		/•/		14.5	
Research Org,	l	1 1		1 1		1 1		l I		I	I
Inst,	İ	i i		i i		i i		i i		İ	i
Foundation,	ĺ	i i		i i		i i		i i		İ	i
Lab	7	25.0	4	14.3	5	17.9	4	14.3	8	28.6	28
Independent	 	 I I	 	 I I		 I I		 I I		 	 I
Hospital	3	17.6	5	29.4	4	23.5	1	5.9	4	23.5	17
Other HHR,	 	 		 		 		 			 I
Environmental	11	39.3	5	17.9	6	21.4	2	7.1	4	14.3	28
Other-		 		 				 			
including		l Ì		l İ		ĺĺ		l İ			
small											
business	27	22.1	16	13.1	32	26.2	21	17.2	26	21.3	122
Total	100	32.1	48	15.4	68	 21.8	_ .	11.9	59	18.9	31:

Institution Type by Q14-Rank Preference Regarding Who Should Organize a Consortium Institutional Associations

						Shld Or	9an. 				l I
	Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	M	issing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
 PHS		 I I	 I	 		 		 		 	 I
Institutional		i i		i i		i		i i		i	i
Categories		j j		i i		į		i i		į	İ
i		j j	ĺ	į į	j	ĺ		į į		İ	İ
Institute of											
Higher											
Education	31	26.5	45	38.5	14	12.0	6	5.1	21	17.9	1117
Research Org,											ļ
Inst,		 				ļ					!
Foundation, Lab	1	 149	ام	 	5	 170	1	 26	۵	 22.1	l Io
		14.3	ا و		ر 			3.0 			
Independent		l I		I I		1				I	ı
- '	6	35.3	5	29.4	2	11.8		i .i	4	23.5	17
Other HHR,											
${ t Environmental} \mid$	4	14.3	9	32.1	6	21.4	4	14.3	5	17.9	28
0.1											
Other-		 	ļ								
including small		 								I I	l I
business	15	ı l I 12.31	37	30.3	25	20.5	19	ı l I 15.61	26	21.3	I 122
		. <u> </u>	ا ر د								
Total	60	19.2	105	22 7	5 2	16 7	20	ا مدا	65	1 20 0	1315

Institution Type by Q14-Rank Preference Regarding Who Should Organize a Consortium Scientific Societies

ļ		Sci	enti	lfic Soci	etys	Shld Or	gan:	ize Consr	t		
	R	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	M	issing	 ALI
ļ	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories		 		 		 		 		 	
Institute of Higher Education	15	 12.8	27	 23.1	38	 32.5	15	 12.8	22	 18.8	 117
Research Org, Inst, Foundation,		 		 						 	
Lab		21.4 	4	14.3 		28.6 	2 	7.1 		28.6 	28
Independent Hospital	2	 11.8	3	 17.6	5	 29.4	2	 11.8	5	 29.4	 17
Other HHR, Environmental	5	 17.9	7	 25.0	7	 25.0	4	 14.3	5	 17.9	 28
Other- including small business	36		25	 20.5	23		14	 11.5	24	 19.7	 122
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.5	312

Institution Type by Q14-Rank Preference Regarding Who Should Organize a Consortium Independent Consultants

¦			iepei	na Consul	tanı	shld Or	gan:	ize Consr			
į	Ra	ank 1	Ra	ank 2	Ra	ank 3	R	ank 4	М	issing	ALI
İ	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
 РНS		 		 		 		 		 	
${ t Institutional} $											
Categories						!!				ļ	ļ
 Institute of											
Institute of Higher		 		 		 		 		 	
Education	1	0.9	3	2.6	18	15.4	74	63.2	21	17.9	 117
		<u></u>		· 				· 		<u>-</u>	
Research Org,		!!!		!!!						!	ļ
Inst, Foundation,				 				 			
Lab	2	 7.1		.	2	 7.1	16		8	28.6	28
·										· 	
Independent	_		_			_	_		_		_
Hospital	1	5.9 	1	5.9 	1	5.9	9 	52.9 	5	29.4	17
Other HHR,		 		 		 		 			
Environmental	4	14.3	1	3.6	4	14.3	14	50.0	5	17.9	28
other-		 		 I I		 I I		 I I			 I
including		i i		i i		i i		i i		i	i
small		į į		j j		i i		j j		İ	İ
business	17	13.9	19	15.6	14	11.5	45	36.9	27	22.1	122
 Total	25	 8.0	24	 77		 12 E	150	 I		21.2	 21 ·

Institution Type by Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

		Lik	eli	hood Seek	As	sist From	Out	side Ins	st		
	Very			mewhat ikely				Very likely	 M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories				 					 	 	
Institute of Higher Education	7	 6.0	30	 25.6	45	 38.5	22	 18.8	13	 11.1	 117
Research Org, Inst, Foundation, Lab	 •	 .	7	 25.0	10	 35.7	7	 25.0	 4	 14.3	 28
Independent Hospital	 •		4	 23.5	5	 29.4	5	 29.4	 3	 17.6	 17
Other HHR, Environmental	 4	 14.3	10	 35.7	4	 14.3	8	 28.6	 2	 7.1	 28
Other-including small business		9.0	37	 30.3	26	 21.3	36	 29.5	12	 9.8	 122
Total	22	7.1	88	 28.2	90	28.8	78	25.0	34	10.9	312

Institution Type by

Q15a-Would Registry of Responsible Officials That Have Received Misconduct Charges Make it More Likely Your Institution Would Seek Advice From Outside Institutions

	Reg	ist Raise		elihood nst	Assi	t Outsde	
		Yes		No	м:	issing	 ALL
	N	Percent	и	Percent	N	Percent	и
PHS Institutional Categories	 		 	 		 	
Institute of Higher Education	 70	 59.8	34	29.1	13	 11.1	 117
Research Org, Inst, Foundation, Lab	 15	 53.6	 9	 32.1	4	 14.3	 28
Independent Hospital	10	58.8	5	29.4	2	11.8	17
Other HHR, Environmental	 20	 71.4	 6	 21.4	2	 7.1	 28
Other-including small business	•	 63.1	 32	26.2	13	 10.7	 122
Total	192	61.5	86	27.6	34	10.9	312

Institution Type by Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	 	Lik	eli	hood Seek	As	st Frm Ou	tsi	de Adviso	or 		
	 Very			mewhat ikely				Very likely	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional CategoriesInstitute of Higher Education	 12	10.3	44		31		17	 14.5	13	 11.1	 11'
Research Org, Inst, Foundation, Lab	 8	 28.6	10	 35.7	2	 7.1	4	 14.3	4	 14.3	 28
Independent Hospital	 4	 23.5	3	 17.6		 35.3	2	 11.8	2	 11.8	 1
Other HHR, Environmental	 4	 14.3	14	 50.0	3	 10.7	5	 17.9	2	 7.1	 2
Other-including small business	 20	 16.4	54		21	 17.2	12	 9.8	15	 12.3	 12:
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	31

Institution Type by Q16a-Would It Be Useful To Create a Registry of Advisors With Experience Responding to Allegations of Research Misconduct

	1 	Useful to		eate Regi visors	str	y of	
	· 	Yes		No	 M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories	 	 	 			 	
Institute of Higher Education	 79	 67.5	25	21.4	13	11.1	 117
Research Org, Inst, Foundation, Lab	 19	 67.9	 5	17.9	4	 14.3	 28
Independent Hospital	14	82.4	1	5.9	2	11.8	17
Other HHR, Environmental	 23		 3	10.7	2	 7.1	 28
Other-including small business	 90	 73.8	 18	14.8	14	 11.5	 122
Total	225	72.1	52	16.7	35	11.2	312

Institution Type by Q16b-Would It Be Useful To Create a Certification Program For Outside Advisors

 	 បរ 	seful to		te Certi isors	f Pi	rog of	
 		Yes		No	M:	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories	 		 	 		 	
Institute of Higher Education	 59	 50.4	46	39.3 	12	 10.3	 117
Research Org, Inst, Foundation, Lab	 14	 50.0	 9	32.1	5	 17.9	 28
Independent Hospital	10	58.8	5	29.4	2	11.8	17
Other HHR, Environmental	 16	 57.1	8	28.6	4	 14.3	 28
Other-including small business	 74	 60.7	30	24.6	18	 14.8	 122
Total	173	55.4	98	31.4	41	13.1	312

Institution Type by Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	 	Li	t Associa	ıt		 					
	 Ver	y Likely				mewhat likely		Very likely	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories										 	
Institute of Higher Education	15	 12.8	30	 25.6	40	 34.2	20	 17.1	12	 10.3	 117
Research Org, Inst, Foundation, Lab	 3	 10.7	4	 14.3	9	 32.1	8	 28.6	4	 14.3	 28
Independent Hospital	 2	 11.8	6	 35.3	4	 23.5	3	 17.6	2	 11.8	 1:
Other HHR, Environmental	 2	 7.1	9	 32.1	7	 25.0	8	 28.6	2	 7.1	 28
Other-including small business		 5.7	35		37	 30.3	30		13	 10.7	 122
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Institution Type by Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

		Lik	elil	nood Seek	As	st From S	cie	ntific So	oc .		
	Very			newhat ikely				/ery Likely	 M:	issing	 ALI
	N	 Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS				 					 !	 [!
Institutional Categories				 						 	
Institute of Higher Education	10	8.5	41	 	35	29.9	19	16.2	12	 10.3	 117
Research Org, Inst, Foundation, Lab	 •	 .	8	 28.6	9	 32.1	7	 25.0	 4	 14.3	 21
Independent Hospital	 	<u>.</u> 		 17.6		 	 	 	 	 11.8	 1
Other HHR, Environmental	 5	17.9	8	 28.6	8	 28.6	5	17.9	 2	 7.1	 2
Other-including small business	20	 16.4	39	 32.0	27	22.1	23	18.9	13	 10.7	 12
Total	36	11.5	99	31.7	87	27.9	57	18.3	33	10.6	31

Institution Type by Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

		Lik	eli	hood Seek	Ass	st-Indepe	n I	nvest Fir	rm.		
	Very			mewhat ikely		mewhat likely		Very likely	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories	 	 		 				 		 	
Institute of Higher Education		1.7	14	 12.0	32	 27.4	56	 47.9	13	 11.1	 117
Research Org, Inst, Foundation, Lab	 •	 -	2	 7.1	8		13	 46.4	 5	 17.9	 28
Independent Hospital	 •	 -	3	 17.6	3	 17.6	9	 52.9	2	 11.8	 17
Other HHR, Environmental	 1	 3.6	2	 7.1	14	 50.0	9	 32.1	 2	 7.1	 28
Other-including small business	 7	 5.7	32	 26.2	38	 31.1	31	 25.4	 14	 11.5	 122
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	312

Institution Type by Q19a-Would It Be Useful To Create a Registry of Independent Investigative Firms That Are Available To Provide Experience/Expertise

	Use:	Eul to Cr		e Registr Firm	у о:	f Invest	
		Yes		No	M	issing	 ALI
	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories		 				 	
Institute of Higher Education	 49	 41.9	52	 44.4 	16	 13.7	 117
Research Org, Inst, Foundation, Lab	 11	 39.3	11	 39.3	6	 21.4	 28
Independent Hospital	6	35.3	9	52.9	2	11.8	17
Other HHR, Environmental	 16	 57.1	8	 28.6	4	 14.3	 28
Other-including small business	 75	 61.5	32	 26.2	15	 12.3	 122
Total	157	 50.3	112	 35.9	43	13.8	 312

Institution Type by Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	Likelihood Seek Assistance From ORI										
	 Very			mewhat ikely		newhat Likely		/ery likely	M:	issing	 ALI
	N	Percent	N	Percent	и	Percent	N	Percent	N	Percent	N
PHS Institutional Categories	 	 		 	 					 	
Institute of Higher Education	 58	49.6	28	 23.9	 9	7.7	8	6.8	14	 12.0	 117
Research Org, Inst, Foundation, Lab	 8	 28.6	7	 25.0	 6	21.4	1	 3.6	6	 21.4	 28
Independent Hospital	 5	29.4	7	 41.2	 2	11.8	1	5.9	2	 11.8	 17
Other HHR, Environmental	 19	 67.9	4	 14.3	 2	7.1	1	3.6	2	 7.1	 28
Other-including small business		 47.5	32	 26.2	 9	7.4	7	 5.7	16	 13.1	 122
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Institution Type by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

 		Overall Satisfaction w/Contact								ORI			
 	Sa	Very tisfied	•	omewhat cisfied		omewhat satisfied	 Dis	Very satisfied	No	Contact	 м	issing	 ALI
 	N	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories		 	 	 	 	 	 			 	 	 	
Institute of Higher Education	36	 30.8	 7	 6.0	 3	 2.6	 •	 	50	 42.7	 21	 17.9	 117
Research Org, Inst, Foundation, Lab		 .	 1	 3.6	 .	 .	 .	 •	20	 71.4	 7	 25.0	 28
Independent Hospital	2	 11.8	 1	 5.9	 •	 •	 •	 .	9	 52.9	 5	 29.4	 17
Other HHR, Environmental	9	 32.1	 •	 	 •	 	 •	 .	15	 53.6	 4	14.3	 28
Other- including small business	8	 6.6	 2	1.6	 1	 0.8	 2	 1.6	82	 67.2	 27	 22.1	 12:
Total	55	17.6	11	3.5	4	1.3	 2	0.6	176	56.4	64	20.5	31:

Institution Type by Q20c-Hesitant to Contact ORI for Following Reasons

 	:	sitant to	caus		Infor	Want to m ORI Warranted	Confid	_	Reput	ect the ation of spondent	of Ass	Sources t Readily ilable
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
 PHS Institutional Categories	 	 	 		 		 	 	 	 	 	
 Institute of Higher Education	 77	 65.8		11.1	 17	14.5	 11	9.4	 13	 11.1	10	 8.5
	 11	39.3	 4	14.3	 9	32.1	 6	 21.4	 6	 21.4	 5	 17.9
Independent Hospital	8	47.1	6	35.3	6	35.3	3	17.6	2	11.8	2	11.8
Other HHR, Environmental	24	85.7	1	3.6	0	0.0	2	7.1	2	7.1	0	0.0
Other-including small business	 83	68.0	 8	6.6	 15	12.3	 15	 12.3	 9	 7.4	 4	 3.3
 Total 	203	65.1	32	10.3	47	15.1	37	11.9	 32 	10.3	21	6.7

Institution Type by Q20c-Hesitant to Contact ORI for Following Reasons

		ormatio	on on	Hesit	Reason ant to	 ALL
	N	Per	cent	N	Percent	N
PHS Institutional Categories	 	 			 	
Institute of Higher Education		 8	6.8	4	3.4	 117
Research Org, Inst, Foundation, Lab		 2	7.1	1	3.6	 28
Independent Hospital		1	5.9	0	0.0	17
Other HHR, Environmental		1	3.6	0	0.0	28
Other-including small business		 1	0.8	 2	 1.6	 122
Total		13	4.2	7	2.2	312

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Within Institution or Affiliate

		De	vel	op Source	of	Asst Wit	hin	Institut		
	R	ank 1	Ra	ank 2	Ra	ank 3	R	ank 4	M:	issing ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional Categories	 	 		 		 		 		
Institute of Higher Education	 56	 47.9 	10	 8.5	1	 0.9 	2	 1.7	48	 41.0 117
Research Org, Inst, Foundation, Lab	8	 28.6	2	 7.1	•		1	 3.6	17	 60.7 28
Independent Hospital	9	52.9	3	17.6	1	5.9	•	•	4	23.5 17
Other HHR, Environmental	 7	 25.0	1	 3.6	2	 7.1	2	 7.1	16	 57.1 28
Other-including small business	 31		5		8	 6.6	5		73	 59.8 122
 Total	- 111	35.6	21	6.7	12	3.8	10	3.2	 158	50.6 312

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

		De	velo	p Consor	tiw	m as Sour	ce	of Assist			
	Re	ink 1	Ra	nk 2	R	ank 3	R	ank 4	 M:	issing	 ALI
	N	Percent	и	Percent	N	Percent	N	Percent	N	Percent	и
PHS Institutional Categories	 	 	 	 		 		 		 	
Institute of Higher Education	 7	6.0	 25	21.4	16	13.7	12	10.3	57	 48.7	 11'
Research Org, Inst, Foundation, Lab	 1	3.6	 4	14.3	2	 7.1	1	 3.6	20	 71.4	 2
Independent Hospital	1	5.9	7	41.2	1	5.9	2	11.8	6	35.3	1
Other HHR, Environmental	 2	7.1	6	21.4	1	 3.6	3	 10.7	16	 57.1	 28
Other-including small business	 8	6.6	25	20.5	8	 6.6	10	 8.2	71	 58.2	 12:
Total	19	6.1	67	21.5	28	9.0	28	9.0	 170	54.5	31

Institution Type by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

l I		De	velo	op Source	of	Asst Out	side	e Institu	ıt 		
	R	ank 1	Ra	ank 2	Ra	ank 3	R	ank 4	М	issing	 ALI
ļ	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
PHS Institutional Categories		 		 		 				 	
Institute of Higher Education	3	 2.6	4	 3.4	16	 13.7	10	 8.5	84	 71.8	 117
Research Org, Inst, Foundation, Lab	1	 3.6	2		3	 10.7	3	 10.7	19	 67.9	 28
Independent Hospital	•	 •	3	 17.6	4	 23.5	1	 5.9	9	 52.9	 17
Other HHR, Environmental	•	 •	1	 3.6	3	 10.7	3	 10.7	21	 75.0	 28
Other- including small business	5		10		15		12	 9.8	80	 65.6	 122
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.3	312

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Outside Advisor/Consultant

	 	Dev	elor	Advisor	s a	s Source	of i	 Assistanc	 e	
	 Ra	ank 1	Ra	ank 2	Ra	ank 3	R	 ank 4	 м	 issing ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional Categories	 	 				 		 		
Institute of Higher Education	 1	0.9	9	 7.7	14	 12.0	14	 12.0	79	 67.5 11
Research Org, Inst, Foundation, Lab	 3	 10.7	3	 10.7	5	 17.9	3	 10.7	14	
Independent Hospital	1	5.9	1	5.9	•	.	4	23.5	11	64.7 1
Other HHR, Environmental	 2	 7.1	1	 3.6	3	 10.7	5	 17.9	17	
Other-including small business	 9	 7.4	20	 16.4	10	 8.2	18	 14.8	65	 53.3 12
Total	16	5.1	34	10.9	32	10.3	44	14.1	 186	59.6 31

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Institutional Association

		Dev	relo	p Institu	t A	ssoc as S	our	ce of Ass	t	
	R	ank 1	Ra	ank 2	R	ank 3	R	ank 4	 M	issing ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional Categories	 	 		 		 		 		
Institute of Higher Education	 10	 8.5	27	 23.1 	17	 14.5 	20	 17.1 	43	 36.8 117
Research Org, Inst, Foundation, Lab	 1	 3.6	4	 14.3	4	 14.3	4	 14.3	15	 53.6 28
Independent Hospital	1	5.9	3	17.6	4	23.5	1	5.9	8	47.1 17
Other HHR, Environmental	 1	 3.6	3	 10.7	4	 14.3	5	 17.9	15	 53.6 28
Other-including small business	 3	 2.5	8	 6.6	18		17	 13.9	76	 62.3 122
Total	 16	5.1	45	14.4	47	15.1	47	15.1	 157	50.3 312

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Scientific Society

		Dev	rel s	Scientif	Soc:	iety as S	our	ce of Ass	t	ļ
	Ra	ank 1	Ra	nk 2	Ra	ank 3	R	ank 4	м	issing AL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional Categories	 	 				 		 		
Institute of Higher Education	4	 3.4	9	7.7	15	 12.8	18	 15.4	71	 60.7 11
Research Org, Inst, Foundation, Lab	 4	 14.3	1	3.6	6	 21.4	1	 3.6	16	 57.1 2
Independent Hospital	·	·	1	5.9	3	17.6	1	5.9	12	70.6 1
Other HHR, Environmental	 1	 3.6	2	7.1	5	 17.9	3	 10.7	17	 60.7 2
Other-including small business	 11	 9.0	20	16.4	15	 12.3	16	 13.1	60	 49.2 12
 Total	20	 6.4	33	10.6	44	14.1	39	12.5	 176	56.4 31

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Independent Investigative Firm

	 	De	vel	Ind	lep Inve	est	Frm as S	our	ce of Ass	t	I
	 R	ank 1	F	Rank	2	Ra	ank 3	R	ank 4	 M	issing AL
	N	Percent	N	Pe	rcent	N	Percent	N	Percent	N	Percent N
PHS Institutional	 	 	 				 		 		
Categories 	 	 	 						 		
Institute of Higher	<u> </u>	İ	İ	i	i		i i		i i		i i
Education	١.		1	L	0.9	5	4.3	17	14.5	94	80.3 11
Research Org, Inst, Foundation, Lab	 •	 •	 1	 -	 3.6	2	 7.1	3	 10.7	22	
Independent Hospital	 •	l .	1	L	5.9	•	l ·	3	17.6	13	76.5 1
Other HHR, Environmental	 1	3.6	 2	 2	7.1	3	 10.7	4	 14.3	18	 64.3 2
Other-including small business	 4	3.3	 13	 }	10.7	9		13	 10.7	83	 68.0 12
Total	5	1.6	18	3	5.8	19	6.1	40	12.8	230	73.7 31

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Office of Research Integrity

	 		Dev	elop ORI	as S	Source of	As	sistance		
	R	ank 1	Ra	ank 2	Ra	ank 3	R	ank 4	M	issing ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
PHS Institutional Categories	 			 		 		 		
Institute of Higher Education	 25	 21.4 	20	 17.1	13	 11.1 	17	 14.5 	42	 35.9 11
Research Org, Inst, Foundation, Lab	 5	 17.9	4	 14.3	•		4	 14.3	15	 53.6 28
Independent Hospital	5	29.4	2	11.8	2	11.8	3	17.6	5	29.4 1
Other HHR, Environmental	 9	 32.1	4	 14.3	1	 3.6	1	 3.6	13	 46.4 28
Other-including small business	 46	 37.7	11	 9.0	9	 7.4	14		42	 34.4 122
 Total	90	28.8	41	13.1	25	8.0	39	12.5	117	37.5 31

Institution Type by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

 -		Deve	lop	Ot1	her Sour	ces	of Assi	stan	ce	
	 R	ank 1	:	Ra	nk 2	R	 ank 4	 м	issing	 ALI
	N	Percen	t N		Percent	N	Percent	 и	Percent	N
PHS Institutional Categories	 	 	 	 	 		 	 	 	
Institute of Higher Education	 1	 0.	 9	 -		3	 2.6	 113	 96.6	 117
Research Org, Inst,	 I	 	 6	 1	 		 	 	 92.9	 I
Independent Hospital	.		•	 •	٠	•		 17	100.0	17
Other HHR, Environmental	 •	 	 .	 -	 -	•	 .	 28	 100.0	 28
Other-including small business	 3	2.	 5	 -	 -	2	 1.6	 117	 95.9	 122
Total	5	i 1.	6	1	0.3	5	1.6	 301	96.5	312

Q1-Number of Researchers Employed in Institution by Q2-Type of Research Institution Employed By

							Type o	of Rea	search In	stitu	te Employ	ed By					
	 II 	Hi Edu	tute of gher cation	Me Ce	edical enter	Organ Inst e	earch ization, itute, tc.	Hos	ependent spital	Edu Orga		Orga	r Health nization	Gove	eral or State ernment		Other
	1	. I	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institutior	į ا	 	 						 	 	 		 	 			
0			. .	1	 50.0		•	•				.	Ι.				!
1-10		8	6.3	3	2.4	34	27.0	3	2.4	 2	1.6	5	4.0	1	0.8	69	54.
11-100		21	26.6	7	8.9	20	25.3	5	6.3	1	1.3	1	1.3	2	2.5	20	25.
101-500		26	52.0	10	20.0	9	18.0	1	2.0	·	.	.	 I .	2	4.0	1	2.
501-1000	I	6	35.3	9	52.9	1	5.9			·	1	5.
Over 1000		10	27.8	22	61.1	1	2.8	1	2.8	·	.	.	·	.	·	1	2.
Missing		1	50.0	•	.	.	٠ ا			·	.	1	50.0	.	·	•	
 Total		 72	23.1	52	16.7	65	20.8	10	3.2	 3	1.0	7	 2.2	 5	 1.6	92	 29.

Q1-Number of Researchers Employed in Institution by Q2-Type of Research Institution Employed By

İ ı	T	ype of	Ιİ
	Res	search	
		stitute	
!!!	Emp.	loyed By	!!
 	NO I	Response	I
 	N	Percent	N
# of			
Researchers		!	
Employed at			!!
Institution			
	_		
0	1	50.0	2
 1-10	1	I 0 0	 126
			120
 11-100	2	2.5	 79
j			j
101-500	1	2.0	50
501-1000	•		17
Over 1000	1	2.8	36
 Missing	•	 •	 2
			i
Total	6	1.9	312

Q1-Number of Researchers Employed in Inst by Q4-Number of Allegations

			#	Allegat	ions	Pst 5 Y	rs F	Reslt in 1	Inqui	ry			ļ
	ļ	None	(One		Iwo	т	hree	> 1	hree	М:	issing	 ALI
	N	Percent	N	Percent	N	Percent	и	Percent	и і	ercent	N	Percent	и
 # of	 	 	 	I	· 	 I	 	 	 	 		 	
Researchers	•	[ļ	- [Ţ	ļ		- [ļ		ļ	
Employed at	•	ļ	!!	ļ	!	ļ	ļ	ļ	ļ	ļ.		<u> </u>	ļ .
Institution	ļ				- !	ļ	ļ	ļ	-	ļ		 	
0	1	50.0	.	.	1	 50.0	:
1-10	 123	97.6	1	0.8	1	0.8	۱٠	٠	٠	٠١	1	0.8	12
 11-100	 75	94.9	4	5.1	٠	٠	۱٠	٠	٠	٠١	•	 .	7:
 101-500	 25	50.0	11	22.0	4	8.0	7	14.0	٠	٠١	3	6.0	5
501-1000	 4	23.5	5	29.4	٠١	٠١	8	47.1	٠	٠١	•	·	1
Over 1000	 6	16.7	2	5.6	4	11.1	17	47.2	5	13.9	2	5.6	3
Missing	1	50.0	•	٠١	1	50.0	۱٠	٠	٠	٠١	•	·	:
 Total	 234	 75.0	 23	7.4	10	3.2	33	10.6	 5	1.6	7	2.2	31:

Q1-Number of Researchers Employed in Institution by Q5-What Sources Did Institution Seek Assistance - Allegation #1

ļ	CO										
		nduct	Co	nduct		- 1	Co	nduct		I	
ļ		quiry		quiry		ļ		quiry		onduct	
ļ.		m With-		rom		nduct		ith		nquiry	
ļ		In		tside		quiry				ng Other	
-	Inst:	1tute-1 	Inst	itute-1 	with	ORI-I	Adv:	isor-1 	Sot	rce-1	ALI
į	n	Percent	n	Percent	N	Percent	N	Percent	N	Percent	N
 # of		I		 		I		 I			
Researchers		- 1		- 1	-	- 1		I			
Employed at	ļ	ļ	ļ	ļ	ļ	ļ	ļ				
Institution	!	!	!	!	!	!	!	!			
 0	ا	0.0	ا	0.0	ا	0.0	ا	0.0	0	 0.0	
·	0	0.01	0	0.01	0	0.01	0	ا ۵۰۰		0.01	1
1-10	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
 11-100	3	75.0	0	0.0	1	25.0	0	0.0	0	0.0	
 101-500	19	86.4	6	27.3	9	40.9	4	18.2	3	13.6	22
501-1000	11	84.6	1	7.7	4	30.8	1	7.7	0	0.0	13
Over 1000	24	85.7	6	21.4	6	21.4	4	14.3	1	3.6	28
Missing	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	58	81.7	13	18.3	21	29.6	9	12.7	4	5.6	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to this question.

Q1-Number of Researchers Employed in Institution by Q5-What Sources Did Institution Seek Assistance - Allegation #2

C	onduct	Co	onduct			Co	onduct		ĺ	
I	nquiry	Ir	quiry			II	nquiry	Cc	onduct	
Fre	om With-					V			'	
	In				'	Οι	ıtside	•	-	
Ins	titute-2	Inst	itute-2	With	ORI-2	Adv	/isor-2	Sou	ırce-2	ALI
N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
				ļ	ļ					ļ
										!
0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
9	81.8	1	9.1	3	27.3	2	18.2	1	9.1	11
7	87.5	1	12.5	5	62.5	3	37.5	0	0.0	8
20	76.9	4	15.4	8	30.8	1	3.8	2	7.7	26
1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
37	 77.1	6	12.5	16	33.3	6	12.5	3	6.3	 48
	1: Fr	In Institute-2	Inquiry Ir From With- F In Ou Institute-2	Inquiry Inquiry From With- From Outside Institute-2 Institute-2 Institute-2 Institute-2 Institute-2 Institute-3	Inquiry Inquiry From With- From Co In Outside Ir Institute-2 With- N Percent N Percent N	Inquiry Inquiry	Inquiry Inquiry Infrom With- From Conduct With In Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Outside Inquiry Inquiry Outside Inquiry Inquiry Outside Inquiry Inquiry Outside Inquiry Inquiry Inquiry Outside Inquiry	Inquiry Inquiry Inquiry From With- From Conduct With In Outside Inquiry Outside Institute-2 Institute-2 With ORI-2 Advisor-2 N Percent	Inquiry Inquiry Conduct With In Tin Outside Inquiry Outside Usin Institute-2 Institute-2 With ORI-2 Advisor-2 South ORI-2 Advisor-2 South ORI-2 Advisor-2 South ORI-2 Advisor-2 Outside Usin Outside Outsid	Inquiry Inquiry Conduct With Inquiry Inquiry Inquiry Outside Using Other Institute-2 Institute-2 With ORI-2 Advisor-2 Source-2 N Percent

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to this question.

Q1-Number of Researchers Employed in Institution by Q5-What Sources Did Institution Seek Assistance - Allegation #3

	I: Fr	onduct nquiry om With- In titute-3	In F Ou	nduct quiry rom tside itute-3	In	nduct quiry ORI-3	Ir W		 Ir Usir	onduct nquiry ng Other nrce-3	:
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	į	 0.0	 	0.0	 	0.0	 	0.0		0.0	 1
101-500	 4	57 . 1	0	0.0	2	28.6	1	14.3	 1	14.3	 7
501-1000	7	87.5	2	25.0	3	37.5	1	12.5	1	12.5	8
Over 1000	14	63.6	1	4.5	5	22.7	2	9.1	0	0.0	22
Total	25	65.8	3	7.9	10	26.3	4	10.5	2	5.3	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

		Overall ance-1		t Asst ng Prelim ss-1 	Maint	t Asst aining ntial-1	Acquir	t Asst ing App tise-1	Handling	nt Asst g Conflict [nt-1	w/Trt	t Asst mnt of Blow-1
	, N	Percent	N	Percent	и	Percent	и	Percent	N	Percent	и	Percent
# of Researchers Employed at Institution	į	 	 	 	 	 	 		 		 	
0	0	0.0	i oi	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	1	50.0	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0
11-100	1	25.0	1	25.0	1	25.0	0	0.0	0	0.0	0	0.0
101-500	15	68.2	10	45.5	2	9.1	6	27.3	0	0.0	5	22.7
501-1000	6	46.2	6	46.2	6	46.2	5	38.5	4	30.8	6	46.2
Over 1000	10	35.7	7	25.0	1	3.6	3	10.7	0	0.0	3	10.7
Missing	0	0.0	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	33	 46.5	 26	36.6	10	14.1	14	19.7	 4	 5.6	14	19.7

	į w	/Trtm	t Asst ent of dents-1	Sought Asst w/Managemnt of Committee-1		Sought Asst with Conduct of Inquiry-1		Sought Asst w/Conduct of Investigatn-1		Sought Asst Sequestration of Evidnce-1		w/Invest	nt Asst sigational nnq-1
	<u> </u> 1	4	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution	İ	 		 			 	 		 	 	 	
0	İ	0	0.0	0	0.0	0	0.0	0	0.0	j o	0.0	0	0.0
1-10	l	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
11-100	I	1	25.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	 	5	22.7	2	9.1	9	40.9	3	13.6	6	27.3	2	9.1
501-1000	I	5	38.5	3	23.1	5	38.5	2	15.4	2	15.4	1	7.7
Over 1000	I	4	14.3	3	10.7	6	21.4	6	21.4	5	17.9	1	3.6
Missing	I	0	0.0	0	0.0	1	100.0	1	100.0	0	0.0	0	0.0
Total	 	15	21.1	8	11.3	 21	29.6	 12	16.9	 13	18.3	 4	5.6

	w/Inter	t Asst viewing ls-1	_			Asst with Issues-1		sst with g Reports 1		other	 ALI
	и	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	 	 	 			 		 	 		
0	0	0.0	0	0.0	0	0.0	i oi	0.0	0	0.0	1
1-10	0	0.0	0	0.0	0	0.0	1	50.0	0	0.0	2
 11-100	0	0.0	1	25.0	0	0.0	0	0.0	0	0.0	4
 101-500	0	0.0	3	13.6	8	36.4	2	9.1	0	0.0	22
501-1000	1	7.7	2	15.4	6	46.2	2	15.4	1	7.7	13
Over 1000	0	0.0	3	10.7	10	35.7	5	17.9	1	3.6	28
 Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
 Total	1	1.4	 9	12.7	24	. 33.8	 10	 14.1	2	2.8	 71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

		Sough	t Asst	Sough	nt Asst	Sough	nt Asst	Sough	nt Asst	Sough	nt Asst
_		•	- '		- '	_			=		mnt of
Guida	nce-2	Asse 	ss-2 	Confide	ential-2 	Exper	tise-2	of :	Int-2 	Whistle	Blow-2
n	Percent	N	Percent	N	Percent	n	Percent	N	Percent	N	Percent
İ		į į	İ	ĺ	İ	ĺ		ĺ	İ	ĺ	
			ļ		l l	ļ		[
		!!	!			!		!			
0	0.0	 0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
7	63.6	4	36.4	1	9.1	3	27.3	0	0.0	4	36.4
5	62.5	1	12.5	3	37.5	3	37.5	1	12.5	2	25.0
13	50.0	6	23.1	1	3.8	4	15.4	0	0.0	1	3.8
0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
25	52.1	 11	22.9	 5	 10.4	 10l	20.8	 1	 l 2.1	 7	14.6
	Guida N	0 0.0 0 0.0 7 63.6 5 62.5 13 50.0	Sought Overall Conducti Guidance-2 Asse N Percent N	Guidance-2 Assess-2 N Percent N Percent N Percent O O O O O O O O O	Sought Overall Guidance-2 Conducting Prelim Prelim Adams Maintenance N Percent N Percent N	Sought Overall Guidance-2 Conducting Prelim Assess-2 Maintaining Confidential-2 N Percent N Percent N Percent N Percent N Percent N Percent 0 0.0 0.0 0.0 0.0 0.0 0 0.0 0 0.0 0.0 0.0 7 63.6 4 36.4 1 9.1 5 62.5 1 12.5 3 37.5 13 50.0 6 23.1 1 3.8 0 0.0 0 0.0 0 0.0	Sought Overall Guidance-2 Conducting Prelim Assess-2 Maintaining Confidential-2 Acquir Expersion N Percent N Percent N Percent N Percent N N Percent N N N Percent N N N N N N N N N N N N N N N N N N N	Sought Overall Guidance-2 Conducting Prelim Assess-2 Maintaining Confidential-2 Acquiring App Expertise-2 N Percent N Percent N Percent N Percent N Percent N Percent N Percent N Percent N Percent 0 0.0 0 0 0.0 0 0.0 0 0.0 0	Sought Overall Guidance-2 Conducting Prelim Agential Confidential Con	Sought Overall Guidance-2 Conducting Prelim Assess-2 Maintaining Confidential-2 Acquiring App Expertise-2 Handling Conflict of Int-2 N Percent N Percent N Percent N Percent N Percent N Percent N Percent 0 0.0 0	Sought Overall Guidance-2 Conducting Prelim Assess-2 Maintaining Confidential-2 Acquiring App Expertise-2 Handling Conflict of Int-2 w/Tet Whistle Expertise-2 N Percent N Per

	1	Sough	t Asst	Sough	nt Asst	Sought A	Asst with	Sough	nt Asst	Sougl	nt Asst	Sougl	nt Asst
		w/Trtm	ent of		gemnt of		ict of		luct of			•	tigational
		Respon	dents-2	Commi	.ttee-2	Inqu	uiry-2	Invest	igatn-2	Evi	dnce-2	Tecl	nnq-2
		N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of	 	 		 		 	 	 		 	 	 	
Researchers	3	ĺ		ĺ			l i	İ		ĺ	ĺ	ĺ	ĺ
Employed at	:												
Institution	1	ļ								[[
	- [ļ									!		
0	 	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1-10	1	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	Ι	3	27.3	2	18.2	3	27.3	2	18.2	3	27.3	1	9.1
501-1000		3	37.5	1	12.5	2	25.0	1	12.5	1	12.5	1	 12.5
Over 1000		3	11.5	2	7.7	5	19.2	4	15.4	3	11.5	4	 15.4
Missing	 	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total	 I	9	18.8	 5	10.4	10	20.8	 7	14.6	 7	14.6	 6	 12.5

	w/Inter	t Asst viewing .ls-2	Sough Asse Evide		_	Asst with Issues-2		asst with ag Reports 2	_	Other	 ALI
 	и	Percent	и	Percent	N	Percent	N	Percent	n	Percent	и
# of	I		I	I		 	 	 	 		
Researchers	ļ	ļ	ļ					!			
Employed at Institution		ļ		 		 	 	ļ	 		
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	:
1-10	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	:
101-500	0	0.0	1	9.1	4	36.4	2	18.2	0	0.0	1:
501-1000	1	12.5	2	25.0	3	37.5	1	12.5	0	0.0	8
Over 1000	1	3.8	5	19.2	10	38.5	6	23.1	2	7.7	26
 Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
 Total	2	4.2	8	16.7	17	35.4	 9	18.8	2	4.2	48

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to answer this question.

	•	_	Overall nce-3	Conducti	nt Asst .ng Prelim ess-3	Maint	t Asst aining ential-3	Acquir	t Asst ing App tise-3	Handling	nt Asst g Conflict Int-3	w/Trt	t Asst mnt of Blow-3
	1	1	Percent	N	Percent	и	Percent	N	Percent	n	Percent	и	Percent
# of Researchers Employed at Institution	:İ	 			 	 		 				 	
0	i	ο¦	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500		3	42.9	2	28.6	1	14.3	0	0.0	0	0.0	1	14.3
501-1000		5	62.5	3	37.5	4	50.0	3	37.5	1	12.5	4	50.0
Over 1000	Ι	9	40.9	4	18.2	0	0.0	2	9.1	 1	4.5	1	4.5
Total	 	 17	44.7	 9	23.7	5	13.2	 5	13.2	 2	5.3	6	15.8

	w/Trt	ht Asst ment of ondents-3	w/Manag		Condu	sst with ct of iry-3	w/Cond	t Asst luct of :igatn-3	Sequestr	t Asst ration of lnce-3	w/Invest	nt Asst rigational nnq-3
	N	Percent	и	Percent	и	Percent	n	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution	İ	 			 		 					
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
101-500	2	28.6	0	0.0	0	0.0	0	0.0	1	14.3	0	0.0
501-1000	3	37.5	1	12.5	3	37.5	2	25.0	3	37.5	3	37.
Over 1000	3	13.6	1	4.5	2	9.1	2	9.1	1	4.5		9.1
Total	 8	21.1	2	 5.3	5 5	13.2	4	10.5	 5	13.2	 5	13.2

 	w/Inter	nt Asst rviewing lls-3	_		_	asst with		asst with ag Reports 3	_	Other	 ALI
ļ	N	Percent	n	Percent	N	Percent	N	Percent	и	Percent	N
# of		 	 	 	 		 	 	 		
Researchers		l I		I					- 1		
Employed at				- 1							
Institution				- 1							
0	0	0.0	0	0.0	 0	0.0	 0	0.0	 	0.0	 1
101-500	0	0.0	0	0.0	1	14.3	1	14.3	0	0.0	7
501-1000	2	25.0	1	12.5	5	62.5	2	25.0	0	0.0	8
Over 1000	0	0.0	1	4.5	8	36.4	4	18.2	0	0.0	22
Total	2	5.3	2	5.3	14	36.8	 7	18.4	 0	0.0	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by Q7-Where Seek Assistance in Future - Allegation #1

	Seel	Tuture	Seek	Future			Seel	t Future	Seek	. Future	ĺ
	Ass				•	r Future	•		:		
	ļ	In			•	istance	•			n Othr	
	Inst 	itute-1	1r	1St-1	Fron	n ORI-1	Adv	/1sor-1	Sot	irce-1	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of	 	 	 		 	 	 	 	 		
Researchers											
Employed at											
Institution									!!		
0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1
1-10	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
11-100	3	75.0	1	25.0	1	25.0	1	25.0	0	0.0	4
101-500	17	77.3	4	18.2	12	54.5	4	18.2	4	18.2	22
501-1000	11	84.6	3	23.1	8	61.5	2	15.4	2	15.4	13
Over 1000	19	67.9	4	14.3	16	57.1	4	14.3	1	3.6	28
Missing	0	0.0	0	0.0	1	100.0	0	0.0	0	0.0	1
Total	50	70.4	12	16.9	39	54.9	11	15.5	7	9.9	71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	In (Gui	Assistance Overall .dance	Conducti Ass		Maint Confid		Acqu Appro Expe	ertse	Handling of	ssistance g Conflict Int	w/Treat Whistle	Blowers
	и	Percent		Percent		Percent	N	Percent	 N	Percent	и	Percent
# of Researchers Employed at Institution			 	 	 	 						
o	.1 (0.0		0.0	0	0.0	0	0.0	 0	0.0	0	0.0
1-10	87	70.2	 41	33.1	21	16.9	47	37.9	28	22.6	25	20.
 11-100	40	53.3	6	8.0	2	2.7	17	22.7	6	8.0	9	12.
 101-500	10	35.7	3	10.7	1	3.6	12	42.9	1	3.6	1	3.0
501-1000	1	_ 25.0	1	25.0	0	0.0	1	25.0	2	50.0	2	50.0
 Over 1000	3	37.5	1	12.5	1	12.5	1	12.5	0	0.0	2	25.0
 Missing	(0.0	1	100.0	0	0.0	1	100.0	0	0.0	0	0.0
 Total	 141	. 58 . 5	 53	22.0	 25	10.4	79	32.8	 37	15.4	39	16.

Q1-Number of Researchers Employed in Institution by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	w/Treat	Assistan tment of ondents	Need Assistan w/Management of Committees		with Co	sistance nduct of uiry	w/Cond	ssistan uct of igation	Sequestr	st with ation of lence	w/Invest	Asst igational iques
	N	Percent	n	Percent	n	Percent	n	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution	 	 	 	 	 	 	 					
0	0	0.0	0	0.0	 0	0.0	 0	0.0	 0	0.0	 0	0.0
1-10	25	20.2	18	14.5	52	41.9	47	37.9	31	25.0	40	32.3
11-100	5	6.7	4	5.3	14	18.7	16	21.3	8	10.7	18	24.0
101-500	2	7.1	0	0.0	4	14.3	4	14.3	 4	14.3	5	17.9
501-1000	1	25.0	1	25.0	1	25.0	0	0.0	1	25.0	1	25.0
Over 1000	0	0.0	0	0.0	3	37.5	3	37.5	0	0.0	0	0.0
Missing	0	0.0	0	0.0	0	0.0	1	100.0	0	0.0	1	100.0
Total	33	13.7	23	9.5	74	30 . 7	 71	29.5	 44	18.3	 65	27.0

Q1-Number of Researchers Employed in Institution by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	•		sistance erviewing lls	Asse	sistance ssing ence		sistance al Issues		sistance g Reports	Need Assis		 ALI
	N	- 1	Percent	и	Percent	n	Percent	N	Percent	n	Percent	N
# of Researchers Employed at Institution	 	 	 	 		 		 	 	 	 	
0	- 	0	0.0	 0	0.0	0	0.0	 0	0.0	0	0.0	1
1-10		20	16.1	36	29.0	70	56.5	 46	37.1	6	4.8	124
11-100		6	8.0	13	17.3	31	41.3	18	24.0	1	1.3	75
101-500		1	3.6	9	32.1	9	32.1	7	25.0	1	3.6	28
501-1000		1	25.0	1	25.0	1	25.0	1	25.0	2	50.0	4
Over 1000		0	0.0	2	25.0	4	50.0	0	0.0	0	0.0	ξ
Missing		1	100.0	0	0.0	1	100.0	1	100.0	0	0.0	1
Total	 -	29	12.0	 61	25.3	116	48.1	 73	30.3	10	4.1	241

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to answer this question.

Q1-Number of Researchers Employed in Institution by Q9-Likelihood Institution Would Rely On Assistance From Within

	 	Li	keli 	hood to	Rely	on Asst	Wit	h-In Ins	t	 	
	 Very	 Likely				ewhat ikely		ery ikely	Mi	ssing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of					ļ	ļ	<u> </u>	 !		ļ ļ	
Researchers Employed at					-	- !		ļ			
Institution	:							 			
0			•		.	.	.	ا٠	2	100.0	2
1-10	19	15.1	16	12.7	7	5.6	23	18.3	61	48.4	120
11-100	18	22.8	7	8.9	4	5.1	5	6.3	45	57.0	79
101-500	11	22.0	2	4.0	7	14.0	2	4.0	28	56.0	5(
501-1000	2	11.8	ا ٠	.	3	17.6	ا ٠	۱.	12	70.6	17
Over 1000	10	27.8	2	5.6	5	13.9	1	2.8	18	50.0	36
Missing	2	100.0	ا ٠	.	٠	٠١	ا .	۱۰	ا٠	·	:
Total	62	19.9	 27	8.7	26	8.3	31	9.9	166	53.2	312

Q1-Number of Researchers Employed in Institution by Q10-Likelihood Institution Would Become Member of Consortium

	 	Li	kel:	ihood to	Beco	ome Membe	r of	Consort	:		
	 Very	 Likely				newhat Likely		/ery Likely	Mi	ssing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	į								 		
0			•		•		•		2	100.0	2
1-10	6	4.8	14	11.1	18	14.3	28	22.2	60	47.6	126
11-100	4	5.1	9	11.4	8	10.1	13	16.5	45	57.0	79
101-500	3	6.0	11	22.0	7	14.0	1	2.0	28	56.0	50
501-1000	·	.	3	17.6	2	11.8	•	·	12	70.6	17
Over 1000	3	8.3	7	19.4	4	11.1	4	11.1	18	50.0	36
Missing	·	 .	2	100.0	•	.	•	·	ا٠	•	2
Total	16	 5.1	46	 14.7	39	12.5	46	14.7	165	52.9	 312

Q1-Number of Researchers Employed in Institution by Q11-Likelihood Institution Would Request Services From Consortium

	 I	 T.ik	elih	ood Requ	 -st	Service	of (onsortii			 I
				ewhat		newhat		Very			
	very 	y Likely 		 кета	Un]	likely	Un.	likely 		ssing	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of	 	 				 	 	 			
Researchers											
Employed at			!	!							
Institution	l i		-	-				l I	 		
0			.	.		•			2	100.0	 2
1-10	12	9.5	17	13.5	11	8.7	24	19.0	62	49.2	126
11-100	2	2.5	13	16.5	9	11.4	9	11.4	46	58.2	 79
101-500	1	2.0	9	18.0	10	20.0	2	4.0	28	56.0	 50
501-1000	1	5.9	2	11.8	1	5.9	1	5.9	12	70.6	17
Over 1000	1	2.8	7	19.4	4	11.1	5	13.9	19	52.8	36
Missing	.	.	2	100.0	•	·			.		2
Total	17	5.4	50	16.0	35	11.2	41	13.1	169	54.2	 312

Q1-Number of Researchers Employed in Institution by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Rsrch	ionable Prac-Cons onsibil	Alleg Retali Cons Respor	ation- srt	Area C	subjects- sonsort	Area (Subjects Consort	 Intere	icts of st-Consrt onsibil	Area	dous Mat- Consrt sibility	Manag Cor	ancial gement- nsort onsibil
	N	Percent	N I	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of Researchers Employed at Institution	i		 	 	 				 	 	 			
0	j o	0.0	0	0.0	o j	0.0	0	0.0	j o	0.0	j o	j 0.0j	0	0.0
1-10	37	29.4	28	22.2	48	38.1	18	14.3	43	34.1	16	12.7	31	24.6
11-100	30	38.0	12	15.2	19	24.1	9	11.4	17	21.5	9	11.4	12	15.2
101-500	20	40.0	10	20.0	16	32.0	7	14.0	20	40.0	6	12.0	7	14.0
501-1000	8	47.1	6	35.3	7	41.2	4	23.5	8	47.1	4	23.5	3	17.6
Over 1000	10	27.8	8	22.2	7	19.4	5	13.9	9	25.0	3	8.3	6	16.7
 Missing	1	50.0	1	50.0	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0
 Total	 106	34.0	65	20.8	 97	31.1	43	13.8	 98	31.4	38	 12.2	 59	18.9

Q1-Number of Researchers Employed in Institution by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

				Area of	
	•	nsortium	Conso		
	Respons	sibility	Respons	ibility	ALL
	N	Percent	N	Percent	N
# of	 	 			
Researchers	j	j i	İ	į	
Employed at				- 1	
Institution					
				Ţ	
0	0	0.0	0	0.0	2
1-10	31	24.6	1	0.8	 126
11-100	 22	27.8	5	6.3	 79
101-500	9	18.0	2	4.0	50
501-1000	5	29.4	0	0.0	17
Over 1000	13	36.1	2	5.6	36
Missing	0	0.0	0	0.0	2
Total	80	25.6	10	3.2	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

		•	Geog	graphic P	roxi	mity as	Pref	erence			ļ
•	R	ank 1	Ra	nk 2	Ra	ink 3	Ra	nk 4	 Мі	ssing	 ALI
	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of		I I	ı	I		1		1	١		l
Researchers		!!		. !	ļ	!		ļ	ļ		ļ
Employed at Institution	•	 		ļ	l				l i		l I
	! 	i i		i	i	i		i	i		İ
0	į .	i i	٠ĺ	·i	•	• [۰	·i	2	100.0	į :
1-10	33	26.2	15	11.9	14	11.1	41	32.5	23	16.3	 12
11-100	15	19.0	14	17.7	18	22.8	17	21.5	15	19.0	7
101-500	6	12.0	9	18.0	13	26.0	11	22.0	11	22.0	5
501-1000	2	11.8	4	23.5	6	35.3	3	17.6	2	11.3	1
Over 1000	5	13.9	4	11.1	7	19.4	12	33.3	3	22.2	3
Missing	•	•	•	.1	 	.	2	100.0	•	•	
Total	61	19.6	46	14.7	58	18.6	86	27.6	56	17.9	31

Q1-Number of Researchers Employed in Institution by Q13-Rank Similar Size as Basis of Forming a Consortium

 				Similar	Siz	ze as Pre	fere	ence		 	
	Ra	nk 1	Ra	nk 2	Ra	ank 3	Ra	ink 4	 Mi	ssing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N
# of Researchers Employed at Institution	İ		 	 		- 	 	 	 		_
0	·	·	ا٠	.	•		ا ٠ 	· 	2 	100.0	2
1-10	7	5.6	29	23.0	29	23.0	36	28.6	25 	19.8	126
11-100	7	8.9	14	17.7	18	22.8	25	31.6	15	19.0	79
101-500	1	2.0	10	20.0	11	22.0	17	34.0	11	22.0	50
501-1000	•	.	2	11.8	5	29.4	8	47.1	2	11.3	17
Over 1000	2	5.6	5	13.9	12	33.3	10	27.8	3	19.4	36
Missing		·	1	50.0	1	50.0	۱۰	٠١	۱۰	ا ٠	2
Total	17	5.4	61	19.6	76	24.4	96 	30.8	62 	19.9	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Similar Type as Basis of Forming a Consortium

	 	Simil	 ar I	nstituti	onal	. Type as	Pre	ference		 	
_	Ra	nk 1	Ra	nk 2	Ra	nk 3	Ra	nk 4	Mi	ssing A	LL
	N	Percent	N	Percent	и	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	i i	 	 	. .	 				 	0.0 2	
1-10	' 31	24.6	 31		23	18.3	 18			17.9 1	 L26
11-100	 35	44.3	14	17.7	11	13.9	5	6.3	14	17.8	79
101-500	27	54.0	7	14.0	4	8.0	1	2.0	11	22.0	50
501-1000	11	64.7	2	11.8	2	11.8	٠	٠١	2	11.3	17
Over 1000	23	63.9	6	16.7	۱۰	٠١	1	2.8	6	16.7	36
Missing	2	100.0	۰	٠	۱۰	٠١	٠	٠١	٠.	•	2
Total	129 	41.3	60 	19.2	40	12.8	25 	8.0	58	18.6 3	312

Q1-Number of Researchers Employed in Institution by Q13-Rank Scientific Field as Basis of Forming a Consortium

	 			ientific	F16	eld as Pr	ete:	rence 			
	 Ra	ank 1	Ra	nk 2	Ra	ank 3	Ra	ank 4	Mi	ssing	ALI
	N N	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N
# of	 		 			 		 	 		
Researchers	•	ļ ļ	ļ	ļ		ļ		ļ ļ	ļ		
Employed at Institution	•		ļ						ļ		
	l I	 	l i					 	i		
0	İ	i .i	٠i	·i	•	i i	•	i i	2	100.0	j 2
1-10	38	30.2	30	23.8	21	16.7	14	11.1	23	18.3	126
11-100	16	20.3	21	26.6	15	19.0	14	17.7	13	16.5	79
101-500	9	18.0	12	24.0	8	16.0	10	20.0	11	22.0	50
501-1000	2	11.8	7	41.2	3	17.6	3	17.6	2	11.3	17
Over 1000	1	2.8	15	41.7	8	22.2	4	11.1	3	22.2	36
Missing	•		۰	.	1	50.0	1	50.0	- -	•	2
Total	 66	21.2	86	27.6	56	17.9	45	14.4	 59	18.9	 312

Q1-Number of Researchers Employed in Institution by Q14-Rank Preference Regarding Who Should Organize a Consortium Individual Institutions

	 	Ind	ivid	Institu	te Sl	nould Org	gani	ze Consr	t 		
	 Rá	ank 1	Ra	nk 2	Rar	ık 3	Ra	nk 4	Mi	ssing	 ALI
	N	Percent	и	Percent	и і	Percent	N	Percent	и	Percent	N
# of		l l	ļ	ļ	Ţ	ļ	Ţ	ļ	ļ	ļ	
Researchers	•		ļ	ļ	ļ	ļ	ļ	ļ	ļ		
Employed at			!	!	!		ļ	!	!		
Institution	 	 		 	-	l i	ļ	 	ļ		
0	 •	2	100.0	2
1-10	28	22.2	18	14.3	32	25.4	25	19.8	23	18.3	120
11-100	26	32.9	12	15.2	19	24.1	7	8.9	15	19.0	79
101-500	18	36.0	9	18.0	8	16.0	4	8.0	11	22.0	50
501-1000	6	35.3	3	17.6	5	29.4	1	5.9	2	11.3	1
Over 1000	20	55.6	6	16.7	4	11.1	۱.	٠	6	16.7	3
Missing	2	100.0	٠١	٠١	•	٠١	۰	٠١	۱۰	•	:
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	18.9	31

Q1-Number of Researchers Employed in Institution by Q14-Rank Preference Regarding Who Should Organize a Consortium Institutional Associations

		Ins	titu	tional A	ssoc	Shld Or	gani	ze Consr	t 	I	
	Ra	ank 1	Ra	nk 2	Ra	nk 3	Ra	ınk 4	Mi	ssing	 ALL
	N	Percent	и	Percent	и	Percent	N	Percent	и	Percent	N
# of Researchers Employed at Institution				 	 		 			 	
0		 	 -	. .	 -	.	ا •	.	2 	100.0	 2
1-10	21	16.7	39	31.0	26	20.6	17	13.5	23	18.34	126
11-100	12	15.2	31	39.2	11	13.9	7	8.9	18	22.8	79
101-500	12	24.0	13	26.0	10	20.0	3	6.0	12	24.0	50
501-1000	7	41.2	6	35.3	2	11.8	ا ٠	٠١	2	11.3	 17
Over 1000	8	22.2	15	41.7	3	8.3	2	5.6	3	22.2	36
Missing			1	50.0	۱۰	٠١	1	50.0	۰۱	۱۰	
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.9	312

Q1-Number of Researchers Employed in Institution by Q14-Rank Preference Regarding Who Should Organize a Consortium Scientific Societies

Scientific Societys Shld Organize Consrt	LL N
j	
N Percent N Percent	N
# of	
Researchers	
Employed at	
Institution	
0 2 100.0	2
1-10 36 28.6 25 19.8 26 20.6 16 12.7 2 18.3 12	.26
11-100 16 20.3 17 21.5 23 29.1 7 8.9 . 28.3 7	79
101-500 8 16.0 12 24.0 13 26.0 5 10.0 . 24.0 5	50
501-1000 2 11.8 6 35.3 7 41.2 . . . 11.3 1	17
Over 1000 2 5.6 5 13.9 11 30.6 9 25.0 1 24.5 3	36
Missing . . 1 50.0 1 50.0 	2
Total 64 20.5 66 21.2 81 26.0 37 11.9 64 20.6 31	12

Q1-Number of Researchers Employed in Institution by Q14-Rank Preference Regarding Who Should Organize a Consortium Independent Consultants

		Ind	eper	nd Consul	tant	Shld Org	anize	Consr	 t		
	Ra	ank 1	Ra	nk 2	Ra	 nk 3	Rank	4	Mi	ssing	 ALL
ļ	N	Percent	N	Percent	и	Percent	N Pe	rcent	N	Percent	N
# of Researchers Employed at Institution			 			 	 	 	 		
0	•				2	100.0	2
1-10	15	11.9	19	15.1	15	11.9	52	41.3	25	19.9	126
11-100	10	12.7	1	1.3	10	12.7	 41	51.9	17	21.5	 79
101-500	•	.	3	6.0	5	10.0	 31	62.0	11	22.0	50
501-1000	ا •	·		۰۱	2	11.8	13	76.5	2	11.3	 17
Over 1000	۰	· ·	1	2.8	6	16.7	 20	55.6	9	24.5	 36
Missing	۰	· ·	 •	٠.	1	50.0	1	50.0	۰	•	 2
Total	25	8.0	24	7.7	39	12.5 1	58	50.6	65	21.2	312

Q1-Number of Researchers Employed in Institution by Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

	 	Lik	elih	ood Seek	As	sist From	Out	side Ins	st 		
	 Very	y Likely		ewhat kely		mewhat likely		/ery likely	 Mi	ssing	 ALL
	N	Percent	и	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers	 	 - 		 				 	 		
Employed at Institution	•	 		į							
0	 •	 . 	.	.	•	 . 	•	 	 2	100.0	 2
1-10	11	8.7	41	32.5	26	20.6	36	28.6	12	9.5	126
11-100	2	2.5	21	26.6	20	25.3	25	31.6	11	13.9	 79
101-500	5	10.0	13	26.0	16	32.0	11	22.0	5	10.0	50
501-1000	2	11.8	5	29.4	8	47.1	1	5.9	1	5.9	17
Over 1000	2	5.6	7	19.4	19	52.8	5	13.9	3	8.3	36
Missing	١.	.	1	50.0	1	50.0	•	•	•		2
Total	22	7.1	88	28.2	90	28.8	78	25.0	34	10.9	312

Q1-Number of Researchers Employed in Institution by Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

	 I	T.ik	 elił	 nood Seek	Ass	st Frm Ou	 tsid	le Adviso			 I
	 Ver	 Y Likely 				mewhat Likely		Very Likely	Mi	ssing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N
# of		 		 	 						
Researchers	•										
Employed at Institution	:	 							ľ		
		i i		i i		i		i	i		İ
0		i ·i	•	i •i	•	·i	•	·i	2	100.0	2
1-10	16	12.7	57	45.2	21	16.7	17	13.5	15	11.9	 126
11-100	16	20.3	33	41.8	12	15.2	8	10.1	10	12.7	 79
101-500	9	18.0	19	38.0	9	18.0	8	16.0	5	10.0	50
501-1000	3	17.6	7	41.2	4	23.5	2	11.8	1	5.9	 17
Over 1000	4	11.1	8	22.2	16	44.4	5	13.9	3	8.3	36
Missing	·		1	50.0	1	50.0	•	.	۱۰		
Total	48	15.4	125	40.1	63	20.2	40	12.8	36	11.5	312

Q1-Number of Researchers Employed in Institution by Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	 I	 T.i	kel:	ihood See	 k As	sst From	 Tnst	: Associa	 it		 I
	 										<u> </u>
	 Ver	y Likely		newhat ikely		newhat Likely		/ery Likely	M	issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# of Researchers Employed at	į	 						 		 	
Institution 0	 .	 •	•				•	 •	2	100.0	 2
1-10	8	6.3	39	31.0	38	30.2	28	22.2	13	10.3	 126
11-100	4	5.1	20	25.3	24	30.4	22	27.8	9	11.4	79
101-500	8	16.0	10	20.0	18	36.0	9	18.0	5	10.0	50
501-1000	4	23.5	6	35.3	5	29.4	1	5.9	1	5.9	17
Over 1000	5	13.9	8	22.2	11	30.6	9	25.0	3	8.3	36
Missing	·	·	1	50.0	1	50.0	•	·		·	2
Total	29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	 312

Q1-Number of Researchers Employed in Institution by Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	 	Lik	elil	nood Seek	Ass	st From S	cie	ntific Sc	oc		
	 Very	 y Likely				newhat Likely		/ery Likely	Mi	ssing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	į			 		 					
0		i .i	•		•	·i	•		2	100.0	2
1-10	22	17.5	39	31.0	30	23.8	22	17.5	13	10.3	126
11-100	5	6.3	25	31.6	23	29.1	17	21.5	9	11.4	79
101-500	5	10.0	18	36.0	15	30.0	7	14.0	5	10.0	50
501-1000	2	11.8	6	35.3	6	35.3	2	11.8	1	5.9	17
Over 1000	2	5.6	10	27.8	12	33.3	9	25.0	3	8.3	36
Missing	·	 .	1	50.0	1	50.0	•	·	•		2
Total	36	11.5	99	31.7	87	27.9	 57	18.3	33	10.6	31:

Q1-Number of Researchers Employed in Institution by Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

	 	Lik	elil	hood Seek	Ass	t-Indepe	n I	nvest Fir	m		
	 Ver	 y Likely				newhat .ikely		Very likely	Mi	ssing	 ALI
	 N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	İ				 	 					
0	i .			i .i		·i	•	i .i	2	100.0	; ;
1-10	7	5.6	27	21.4	43	34.1	35	27.8	14	11.1	120
11-100	1	1.3	15	19.0	25	31.6	27	34.2	11	13.9	7:
101-500	1	2.0	8	16.0	9	18.0	27	54.0	5	10.0	50
501-1000	1	5.9	•	 .	6	35.3	9	52.9	1	5.9	17
Over 1000	•		3	8.3	10	27.8	20	55.6	3	8.3	36
Missing	·		•	.	2	100.0	•	l	•		:
Total	10	3.2	53	17.0	95	30.4	118	37.8	36	11.5	31:

Q1-Number of Researchers Employed in Institution by Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

	 !		 Like	elihood S	eek	Assistan	ce F	rom ORI			 !
	 Very 	 Likely				ewhat ikely		ery ikely		ssing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N
# of Researchers Employed at Institution	į				 	 	 	 	 		
0		.	•	.	.	.	•	.	2	100.0	 2
1-10	61	48.4	31	24.6	9	7.1	10	7.9	15	11.9	126
11-100	34	43.0	26	32.9	6	7.6	2	2.5	11	13.9	79
101-500	23	46.0	11	22.0	8	16.0	2	4.0	6	12.0	50
501-1000	10	58.8	3	17.6	2	11.8		۱۰	2	11.8	17
Over 1000	20	55.6	6	16.7	3	8.3	3	8.3	4	11.1	36
Missing	.	· ·	1	50.0	٠١	٠١	1	50.0	۱٠		2
Total	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	 312

Q1-Number of Researchers Employed in Institution by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

 				Overall	sat:	ısractıon 	w/(Contact wi	tn 				
	Sat	Very tisfied	•	omewhat tisfied		mewhat atisfied 1	Dis	Very satisfied	No	 Contact	M	issing	 ALI
i	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# of		 	 	 		 		 				 	
Researchers		<u> </u>	ļ	!!	ļ	į.				!!!		<u> </u>	ļ
Employed at Institution		 -						 				 	
		 	 		ŀ			 				 	
0		i .	۱.	i i	.	.	•	i .i		i .i	2	100.0	:
1-10	11	8.7	 2	1.6	٠	.	2	1.6	88	69.8	23	18.3	120
11-100	9	11.4	 4	5.1	1	1.3	•	 •	44	 55.7	21	26.6	7:
101-500	12	24.0	 2	4.0	1	2.0	•	 •	25	50.0	10	20.0	5
501-1000	5	29.4	1	5.9	1	5.9	•	 •	7	41.2	3	17.6	1
Over 1000	17	47.2	 2	5.6	1	2.8	•	 •	12	33.3	4	11.1	30
 Missing	1	50.0	·	 .	٠١	•	•	 •	•	.	1	50.0	:
Total	 55	17.6	 11	 3.5	 4	1.3	 2	 0.6	176	 56.4	64	20.5	31:

Q1-Number of Researchers Employed in Institution by Q20c-Hesitant to Contact ORI for Following Reasons

				!	Contact	!	Want to		otect	•	ect the	•	Sources		eed
	:		tant to t ORI		e ORI		cm ORI		entiality ceedings		ation of	•	t Readily ilable	!	ation on Website
	N	P	ercent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
# of	 			 					 		 				
Researchers	!	!		!!								ļ			
Employed at Institution	•	-							 						
Institution	 	-						 	 	 	 	<u> </u>			
0	İ	0	0.0	0	0.0	0	0.0	0	0.0	, 0	0.0	į c	0.0	, o	0.0
1-10	 	84	66.7	14	11.1	14	11.1	15	11.9	11	8.7	5	5 4.0	2	1.6
11-100	 	51	64.6	5	6.3	14	17.7	11	13.9	8	10.1	2	2.5	3	3.8
101-500	 	32	64.0	6	12.0	9	18.0	4	8.0	4	8.0	5	10.0	 2	4.0
501-1000	 	14	82.4	1	5.9	3	17.6	2	11.8	2	11.8] 3	17.6	2	11.8
Over 1000	 	21	58.3	5	13.9	7	19.4	4	11.1	6	16.7	6	5 16.7	3	8.3
Missing	 	1	50.0	1	50.0	0	0.0	1	50.0	1	50.0	c	0.0	1	50.0
Total	 	 203	65.1	 32	10.3	 47	15.1	 37	 11.9	 32	 10.3	 21	. 6.7	 13	4.2

Q1-Number of Researchers Employed in Institution by Q20c-Hesitant to Contact ORI for Following Reasons

Other Reason Hesitant to Contact ORI ALL
Contact ORI ALL
N Percent N N Percent N N Percent N N Percent N N N N N N N N N
of
of
Researchers
Researchers
Employed at
Institution
0 0 0.0 2 1-10 2 1.6 126
 1-10
: : : : : : : : : : : : : : : : : : : :
: : : : : : : : : : : : : : : : : : : :
 11-100
 101-500 1 2.0 50
 501-1000 2 11.8 17
 Over 1000 1 2.8 36
ii
 Missing
 Total
İ İ

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Within Institution or Affiliate

		De	velo	p Source	of A	sst Witl	hin	Institut			l
	 Ra	ank 1	Ra	nk 2	Ran	k 3	Ra	nk 4	 Mi	ssing	ALI
	N	Percent	N	Percent	N P	ercent	и	Percent	и	Percent	N
# of	 	 		 		 	 		 	 	
Researchers		İ	ĺ	ĺ	ĺ	ĺ	ĺ	ĺ	ĺ	ĺ	
Employed at			ļ	ļ	ļ	ļ	ļ		ļ		
Institution			!		!	ļ	!	!	!	ļ	
					-	ļ	- !	- !	ا	100 0	
0	ı ·	l •I	٠١	۱۰	· I	• I	۱۰	٠١	2	100.0	2
1-10	30	23.8	7	5.6	8	6.3	7	5.6	74	58.7	126
11-100	24	30.4	6	7.6	3	3.8	2	2.5	44	55.7	79
101-500	26	52.0	5	10.0	•	٠	۰	٠١	19	38.0	50
501-1000	8	47.1	1	5.9	•	٠	1	5.9	 7	41.2	17
Over 1000	22	61.1	2	5.6	1	2.8	٠.	٠١	11	30.6	36
Missing	1	50.0	٠	٠	٠	٠١	٠	٠١	1	50.0	2
 Total	 111	 35.6	21	 6.7	12	3.8	 10	3.2	 158	50.6	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

!	 	I	Devel	op Consor	rtium	as Sour	ce o	f Assist			
	R	ank 1	F	ank 2	Raı	nk 3	Ra	nk 4	 Мі	ssing	 ALI
	N	Percent	: и	Percent	N 1	Percent	и	Percent	и	Percent	и
# of Researchers Employed at Institution0		 				 	 		 	100.0	
1-10	9	 7.1	 L 28	3 22.2	7	5.6	 9	7.1	73	57.9	 126
11-100	5	6.3	3 16	5 20.3	9	11.4	7	8.9	42	53.2	79
101-500	3	6.0) 10	20.0	5	10.0	7	14.0	25	50.0	50
501-1000	2	11.8	3 3	3 17.6	5	29.4	1	5.9	6	35.3	17
Over 1000		 	10	27.8	2	5.6	4	11.1	20	55.6	36
Missing		.	•		•	•	•	٠١	2	100.0	2
Total	19	6.1	L 67	21.5	28	9.0	28	9.0	 170 	54.5	312

Q1-Number of Researchers Employed in Institution by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

				Deve	lop	Source o	f As	st Outsi	de I	nstitut			
	 	Ra	nk :	L	Ra	nk 2	Ra	ink 3	Ra	nk 4	Mi	ssing	 ALI
	1	1	Per	cent	N	Percent	и	Percent	N	Percent	и	Percent	N
# of	ļ	ļ		ļ	ļ	į.	ļ	ļ	ļ	ļ	ļ	ļ	
Researchers		!		!	!	!	!	ļ	ļ	ļ	!		
Employed at Institution	•	-			-		ŀ		ļ		ļ		l I
	 	i		¦	ŀ	ł	ŀ	ł	l	ł	¦		
0	i 	٠İ		. i	ا.	.i	. i	.	·İ	.	2	100.0	i :
1-10		5		4.0	9	7.1	16	12.7	12	9.5	84	66.7	12
11-100	 	1		1.3	4	5.1	11	13.9	6	7.6	57	72.2	7
101-500		1		2.0	2	4.0	6	12.0	7	14.0	34	68.0	5
501-1000		2		11.8	2	11.8	1	5.9	2	11.8	10	58.8	1
Over 1000		۰		٠	3	8.3	7	19.4	2	5.6	24	66.2	3
Missing	 	٠		٠١	۰	٠١	۱۰	٠	٠,	٠١	2	100.0	I
Total	 	9		2.9	20	6.4	41	13.1	29	9.3	 213	68.2	31

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Outside Advisor/Consultant

	 I	 Dev	elor	Advisor		Source	 of A	eeietanc			 I
	R	ank 1	Ra	nk 2	Rar	ık 3	Ra	nk 4	Mi	ssing	ALL
	N	Percent	N	Percent	N E	ercent	и	Percent	N	Percent	N
# of	 	 	 	I		 	 	 	 	 	
Researchers	•	!!!	!	ļ	ļ	ļ	!	ļ	!		
Employed at	•		ļ	ļ	-	ļ	ļ	ļ	ļ		
Institution	 			-	-	-	ļ	<u> </u>	ŀ		l I
0		i .i	·i	2	100.0	2
1-10	6	4.8	17	13.5	8	6.3	21	16.7	74	58.7	126
11-100	10	12.7	10	12.7	6	7.6	8	10.1	45	57.0	79
101-500	.	 .	5	10.0	11	22.0	9	18.0	25	50.0	50
501-1000	.	· ·	2	11.8	1	5.9	2	11.8	12	70.6	17
Over 1000	·	.	 	.	4	11.1	4	11.1	28	77.8	36
Missing	.	·	۱۰	•	2	100.0	•	٠١	-	•	2
Total	16	 5.1	34	10.9	32	10.3	44	14.1	 186	59.6	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Institutional Association

	 '										
	 	Deve	 етор	Institu	t As	soc as so	ourc	e of Asst		 	
	 Ra 	ank 1	Raı	nk 2	Ra	nk 3	Ra	nk 4	Mi	ssing 	ALL
	N	Percent	N	Percent	и :	Percent	N	Percent	и	Percent	N
# of		 					ı				
Researchers	•		ļ	!		ļ		ļ		ļ	
Employed at Institution	•	 			-	!			-		
		i i	i	i	i	i	ľ	i	i	i	
0		i •i	٠İ	·i	٠İ	٠i	• أ	٠İ	2	100.0	2
1-10	6	4.8	14	11.1	19	15.1	16	12.7	71	56.3 1	 126
11-100	2	2.5	10	12.7	11	13.9	9	11.4	47	59.5	 79
101-500	3	6.0	9	18.0	7	14.0	10	20.0	21	42.0	50
501-1000	3	17.6	6	35.3	3	17.6	3	17.6	2	11.8	17
Over 1000	1	2.8	6	16.7	7	19.4	9	25.0	13	36.1	36
Missing	1	50.0	•	·	•	٠١	۱۰	٠	1	50.0	 2
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3 3	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Scientific Society

[Dev	el S	cientif	Soci	ety as So	ourc	e of Ass	t	I	
	R	ank 1	Ra	nk 2	Raı	nk 3	Ra	ınk 4	 Мі	ssing	ALI
	N	Percent	N	Percent	N 1	Percent	и	Percent	N	Percent	N
# of Researchers Employed at Institution		 	 		 		 		 -	 	
0 1-10	10	• 7.9	ا ۰ 18 ا	ا۰ 14.3	• 17	ا٠ 13.5l	ا • 16 ا	 12.7	2 65	·	
11-100	 5							7.6		·	
101-500	2	4.0	4	8.0	6	12.0	4	8.0	34	68.0	5(
501-1000	2	11.8	2	11.8	6	35.3	2	11.8	5	29.4	17
Over 1000	1	2.8	2	5.6	2	5.6	10	27.8	21	58.3	36
Missing	•	·	.	٠١	٠	٠١	1	50.0	1	50.0	
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Independent Investigative Firm

			Deve	el I:	ndep Inv	est 1	Frm as So	our	e of Ass	t	 	
	R	ank	1	Ra	nk 2	Raı	nk 3	Ra	nk 4	Mi	ssing	ALI
	N	Per	cent	и :	Percent	N	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution		 								 	 	
0				.			.	•		2	100.0	:
1-10	4		3.2	8	6.3	10	7.9	13	10.3	91	72.2	126
11-100	1		1.3	8	10.1	6	7.6	8	10.1	56	70.9	79
101-500	•		٠	2	4.0	•	٠	11	22.0	37	74.0	5(
501-1000	•		۰	٠	٠١	•	٠١	3	17.6	14	82.4	17
Over 1000	•		۰	٠	٠١	3	8.3	4	11.1	29	80.6	36
Missing	•		٠	٠	٠١	•	٠١	1	50.0	1	50.0	
Total	 5		1.6	18	5.8	19	6.1	40	12.8	 230	73.7	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Office of Research Integrity

	 !			Deve	lop ORI	as S	ource of	Ass	sistance			
	 I	Ran	ik 1	Ra	ınk 2	Rai	nk 3	Ra	nk 4	Mi	ssing	ALI
 	N	F	ercent	и	Percent	N 1	Percent	N	Percent	N	Percent	N
# of Researchers Employed at Institution	į		 	 	 		 			 	 	
0	 	· 	•	ا ٠ 	ا · 	• 	·	ا · 	•	2 	·	
	4	·	·		8.7						·	
11-100	2!	5 	31.6	5 	6.3	6 	7.6 	12	15.2	31 	39.2	79
101-500	10) 	20.0	11	22.0	5 	10.0	6	12.0	18 	36.0	50
501-1000	: 	L 	5.9	4	23.5	2	11.8	5	29.4	5 	29.4	17
Over 1000	: 	7	19.4	8	22.2	4	11.1	1	2.8	16	44.4	36
Missing	 	. 	٠١	2	100.0	٠١	٠١	ا ٠	٠١	ا ٠	٠١	
Total	90) 	28.8	41	13.1	25	8.0	39	12.5	117	37.5	312

Q1-Number of Researchers Employed in Institution by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

		Develor	Oth	er Sour	ces o	f Assist	anc	e	
	Ra	nk 1	Ran	k 2	Ranl	k 4	Mi	ssing	ALL
	и	Percent	и Р	ercent	и Р	ercent	и :	Percent	N
# of		1	- 1	- 1	-	1	- 1	İ	
Researchers					- 1				
Employed at	•				- 1				
Institution					ı				
					ı				
0	.	•	•	•	•	•	2	100.0	2
1-10	2	1.6	•	· l	3	2.4 1	21 	95.3	126
11-100	2	2.5	1	1.3	٠	٠	76	96.2	79
101-500	1	2.0	٠	٠١	1	2.0	48	96.0	50
501-1000	•	٠	٠	٠	٠	٠	17	100.0	17
Over 1000	•	٠	٠١	٠١	1	2.8	35	97.2	36
Missing	.	٠١	•	٠١	•	٠١	2	100.0	2
Total	5	1.6	1	0.3	5	1.6 3	01	96.5	312

Q2-Type of Research Institute Employed By by Q4-Number of Allegations

 		# Allegations Pst 5 Yrs Reslt in Inquiry										 !	
		None		One		Two		Three	>	Three		issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By		 		 		 		 		 	 	 	
 Institute of Higher Education	54	 75.0	7	9.7	5	 6.9	4	 5.6		 .	2	2.8	 72
Academic Medical Center	10	 19.2	6	 11.5	3	 5.8	28	 53.8	3	 5.8	 2	 3.8	 52
 Research Organization, Institute, etc.	58	 89.2	5	 7.7	•	 .	1	 1.5		 	 1	 1.5	 65
 Independent Hospital 	7	 70.0	2	 20.0	•	 .		 •	1	 10.0	 .	 .	 10
Other Educational Organization	3	 100.0	•	 	 •	 .	 3
 Other Health Organization 	5	 71.4	•	 •	2	 28.6		 •		 •	 •	 •	 7
 Federal or State Government 	4	 80.0	1	 20.0		 .		 .		 .	 •	 •	 5
ı	89	96.7	2	2.2	•	·	•	•		l .	1	1.1	 92
No Response	4	66.7	•	· ·	•	· ·	•	•	1	16.7	1	16.7	6
 Total 	234			7.4 							•	•	 312

I		onduct		onduct			•	onduct			
		nquiry		nquiry		_	!	nquiry		onduct	
ļ	Fro	om With-		rom		onduct	!	Vith	•	nquiry	
 	Tnet	In itute		utside	•	nquiry			•	ng Other	 ALI
i							, Au				
i	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research		 		 		 				 	
Institute											1
Employed By									ļ		
Institute of					 		 		 	 	
Higher Education	16	100.0	4	25.0	5	31.3	1	6.3	j 0	0.0	16
Academic Medical		 		 	 	 		 	 	 	
Center	30	75.0	5	12.5	13	32.5	4	10.0	2	5.0	40
Research]]			[l	l
Organization,											
Institute, etc.	6	100.0	2	33.3	2 	33.3	2	33.3	1	16.7	
Independent		 			I		I		I	 	1
Hospital	3	100.0	2	66.7	0	0.0	2	66.7	1	33.3] 3
Other Health		 		 	 				 	 	
Organization	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0	2
Federal or State		 		 	 				 	 	 I
Government	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Other	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
No Response	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total		81.7			•	•	•				
								l .			

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

		onduct nguiry		onduct				onduct nguiry		onduct	
			•	nquiry	 	onduct		iquiry √ith			l i
	Fro	om With-	•		-				•	nquiry	!
		In		utside	•	nquiry	•			ng Other	!
+	Inst	itute-2	Inst	titute-2 	With	n ORI-2	Adv	/1sor-2 	Soi	ırce-2 	ALL
İ	N	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N
Type of Research		 	 	 	 	 	 	 	 	 	
Institute			ĺ	ĺ			İ		ĺ		ĺ
Employed By		j	İ	İ	İ	İ	i i		İ	İ	İ
	İ	İ	İ	İ	İ	İ	i i		İ	İ	İ
Institute of			İ	İ	i		i i		i		İ
Higher Education	9	100.0	ј з	33.3	5	55.6	1	11.1	j o	0.0	9
Academic Medical				1							
Center	24	70.6	2	5.9	10	29.4	4	11.8	j 3	8.8	34
Research											
Organization,				1							
Institute, etc.	1	100.0	0	0.0	0	0.0	1	100.0	0	0.0	1
Independent											
Hospital	1	100.0	1	100.0	1	100.0	0	0.0	0	0.0	1
Other Health											
Organization	1	50.0	0	0.0	0	0.0	0	0.0	0	0.0	2
No Response	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
 Total	37		 I	12.5	 16	 I 22 2				 l 6 3	 I 40
TOCAI	-		•	•	•	•				•	
									I		

Note: Only the 48 study participants who indicated that their institution had had two or more allegations in question 4 were eligible to answer this question.

											1
İ	Co	onduct	Co	onduct			Co	onduct	l		Ιİ
İ	İII	nquiry	Ir	quiry			II	nquiry	Co	onduct	i i
i	Fro	om With-	Ē	rom	C	onduct	ī	Vith	I1	nquiry	i i
i	İ	In	οι	ıtside	Iı	nguiry	01	ıtside	Usi:	ng Other	i i
i	Inst	titute-3	Inst	itute-3	Witl	n ORI-3	Adv	isor-3	Sot	irce-3	ALL
į	i		' 								i
į	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research	 	 	 		 	 		 	 	 	
Institute	i i	İ	i i			j i	İ		İ	İ	Ìί
Employed By	į į	ĺ	i i			j i	İ		İ	ĺ	Ìί
	ĺ					ĺ			ĺ	ĺ	ĺĺ
Institute of	İ	İ				į į			ĺ	ĺ	ĺĺ
Higher Education	4	100.0	1	25.0	0	0.0	0	0.0	1	25.0	4
Academic Medical											
Center	19	61.3	2	6.5	10	32.3	2	6.5	1	3.2	31
Research	 I	 I	 		 	 I I		 I	 I	 I	
Organization,	¦ ¦		¦		 	<u> </u>			¦	! 	i i
Institute, etc.	1	100.0	0	0.0	0	0.0	1	100.0	0	0.0	 1
											i
Independent	1 1								I	l	ıi
Hospital	1	100.0	i oi	0.0	0	0.0	0	0.0	i o	0.0	1
 	· 		· 								i
No Response	0	0.0	0	0.0	0	0.0	1	100.0	0	0.0	1
Total	25					26.3			!	!	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

	_	Overall	Sought Conductin			Asst aining	Acquir	Asst ing App ise-1
 	N	Percent	N	Percent	N	Percent 	N	Percent
Type of Research Institute Employed By			 	 				
Institute of	į	į	į	į	į	į		į
Higher Education	10	62 . 5	 8	50.0	3	18.8	3	 18.8
 Academic Medical				 		 		
Center 	16	40.0	11	27.5	3	7.5	5	12.5
 Research Organization, Institute,			 	 	!	 		
etc.	5	83.3	4	66.7	2	33.3	3	50.0
 Independent Hospital	1	33.3	1	33.3	 2	 66.7	2	 66.7
 Other Health	0	0.0	 1	 50.0	0	0.0	0	 0.0
 Federal or State Government	 	0.0	 0	0.0	 0		1	 100.0
			·					
Other 	1	50.0	1	50.0	0	0.0	0	0.0
 No Response 	0	0.0	0	0.0	0	0.0	0	0.0
 Total 	33	46.5 			10		14	•

	Handling	Asst Conflict Int-1	Sought w/Trtm Whistle		Sought w/Trtm Respond	ment of	w/Manag	a Asst gemnt of tee-1
İ !	N	Percent	и	Percent	N	Percent	N	Percent
Type of Research Institute Employed By	 		 	 		 		
Institute of	[[ļ	!	ļ	ļ		
Higher Education	 0	0.0	 5	31.3	3	18.8	2	12.5
 Academic Medical Center	 3	 	 5	 12.5	 10	 25.0	3	 7.5
 Research Organization,	 	: <u>-</u> 	<u>·</u> ــــــــــــــــــــــــــــــــــــ	<u></u> ا ا	 	<u>.</u> 		<u></u>
Institute, etc.	 1	 16.7	 3	 50.0	2	33.3	2	 33.3
 Independent Hospital	 0	0.0	 	33.3	 0	0.0	1	33.3
 Other Health Organization 		0.0	0	0.0	0	0.0	0	0.0
 Federal or State Government	 	 0.0	 0	0.0	 0	 0.0	0	 0.0
 Other	0	0.0	 0	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
 Total 	4 		 14 		15 		8	11.3

 	Conduct	Asst with of Inquiry l	_	luct of		Asst ration of nce-1	w/Invest	t Asst igational hnq-1
j !	N .	Percent	n	Percent	N	Percent	N	Percent
Type of Research Institute Employed By	 		 	 				
Institute of Higher	 		j I	j I	İ			
Education	8	50.0	4	25.0	2	12.5	0	0.0
Academic Medical Center	 6	 15.0	 5	 12.5	7	17.5	1	 2.5
 Research Organization, Institute,	 	 	 	 	 		 	
etc.	4	66.7	0	0.0	3	50.0	1	16.7
 Independent Hospital 	 2	 66.7	2	66.7	1	33.3	2	 66.7
 Other Health Organization 		 50.0	 1	 50.0	0	0.0	0	 0.0
 Federal or State Government	 0		 0	 0.0	 0	0.0	0	 0.0
 Other	0	0.0	0	0.0	0	0.0	0	0.0
 No Response	0	0.0	0	0.0	0	0.0	0	0.0
 Total 	 21 	 29.6 			13		4 	!

 			Sought Assessing 1	Evidence	_	Asst with Issues-1	Preparing	Asst with g Reports- L
 	N	Percent	и	Percent	N	Percent	N	Percent
Type of Research Institute Employed By Institute of			 	 			 	
Higher Education	 0	0.0	 4	25.0	6	37.5	 1	 6.3
 Academic Medical Center	 1	2.5	 3	 7.5	13	32.5	 7	 17.5
Research Organization, Institute, etc.	 0	0.0	 1	 16.7	3	 50.0	 1	 16.7
 Independent Hospital	 0	0.0	 1	33.3	1	 33.3	 0	 0.0
 Other Health Organization 	0	0.0	 0	0.0	0	0.0	 0	 0.0
 Federal or State Government	 0	0.0	 0	0.0	0	0.0	 0	 0.0
 Other	 0	0.0	 0	0.0	0	0.0	 1	50.0
 No Response	0	0.0	0			100.0	 0	0.0
 Total	 1	1.4		12.7			•	•

		t Other tance-1	 ALL
	N	Percent	N
Type of Research Institute Employed By		 	
Institute of Higher Education	0	 0.0	 16
Academic Medical Center	1	 2.5	 40
Research Organization, Institute, etc.	1	 16.7	 6
Independent Hospital	0	 0.0	 3
Other Health Organization	0	 0.0	 2
Federal or State Government	0	 0.0	 1
Other	0	0.0	2
No Response	0	0.0	1
Total	2	 2.8 	:

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

	a	0	Sought		Sought		_	Asst
	_	Overall nce-2	Conductin Asse	ss-2		aining ential-2	Acquir: Expert	
İ	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research	l i							
Institute	l I			i				l I
Employed By	i		i	i		i		
	į	j	j	į		i i		İ
Institute of				1		l I		
Higher				!		!		
Education	9	100.0	6	66.7	3	33.3	3	33.3
Academic	ا ا					 		
Medical	į		i	į		i		
Center	16	47.1	4	11.8	2	5.9	6	17.6
Research			 	 		 		
Organization,	i		i	i		i		İ
Institute,	į	İ	į	İ	İ	İ		İ
etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent	ا	 	 	 		 		
Hospital	οj	0.0	1	100.0	0	0.0	1	100.0
Other Health			 	 		 		
Organization	٥į	0.0	oj	0.0	0	0.0	0	0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	25	52.1	11	22.9	5	10.4	10	20.8

	Sought Handling		Sought w/Trtm		_	asst	_	t Asst gemnt of
	of 1	Int-2	Whistle	Blow-2	Respond	dents-2	Commit	tee-2
	N	Percent	n	Percent	N	Percent	N N	Percent
Type of Research Institute	 		 	 				
Employed By	 	 		ł				
Institute of Higher	i !	i i	į Į	į				
Education	0	0.0	3	33.3	3	33.3	2	22.2
Academic Medical	 	 	 	 		 		
Center	1	2.9	4	11.8	6	17.6	2	5.9
Research Organization, Institute,	 	 	 	 		 		
etc.	0	0.0	0	0.0	0	0.0	0	0.0
Independent Hospital	 0	0.0	 0	0.0	0	0.0	1	 100.0
Other Health Organization	 0	0.0	 0	0.0	0	0.0	0	 0.0
No Response	0	0.0	0	0.0	0	0.0	0	0.0
Total	1 	2.1	7 	14.6	9	18.8	 5	10.4

			t with Inquiry	Sought	: Asst luct of	_	Asst		. Asst igational
		2		Investi		_	nce-2	•	nq-2
	N	P	ercent	n	Percent	N	Percent	N	Percent
Type of Research Institute Employed By	 	 	 	 	 		 	 	
Institute of Higher Education	 7	 	 77.8	 4	 44.4	3	 33.3	 3	 33.3
Academic Medical Center	 2	 	5.9	 	 5.9	3	 8.8	 2	 5.9
Research Organization, Institute, etc.	İ	 	0.0	 0	 0.0	0	 0.0	 0	 0.0
Independent Hospital	 1	 -	100.0	 1	100.0	1	 100.0	 1	 100.0
Other Health Organization		 	0.0	0	0.0	0	0.0	 0	 0.0
No Response	0)	0.0	0	0.0	0	0.0	0	0.0
Total	 10 	•	20.8	7 	14.6	7	14.6 	6 	 12.5

!	Sought		Sought					Asst with
		rviewing .ls-2	Assessing 2	:	_	Asst with Issues-2	_	g Reports [.] 2
į	N	Percent	' N	Percent		Percent	 N	Percent
Type of Research			 	ļ		 		
Institute				¦		l I		
Employed By	ŀ		¦ ;	i		! 		
	i		i i	i		İ		
Institute of	i		i i	i		İ	i	
Higher	į		j j	j		j	j	
Education	0	0.0	3	33.3	4	44.4	4	44.4
Academic	 		 I I	ا		 I	 I	 I
Medical				¦		! 		
Center	1	2.9	4	11.8	12	35.3	5	14.
Research			!!	!		 		
Organization, Institute,				¦		 		
etc.		0.0		0.0	0	0.0	l 0	0.0
Independent	I		l I	I		I		
Hospital	1	100.0	1	100.0	0	0.0	0	0.0
Other Health	 		 	 I		 I	 I	
Organization	0	0.0	0	0.0	0	0.0	0	0.0
	·						· 	
No Response	0	0.0	0	0.0	1	100.0	0	0.0
Total	2	4.2	 8	16.7	17	35.4	 9	18.
i	i		ii	i		i		

Q2-Type of Research Institution Employed By by Q6-In What Area Did Institution Seek Assistance - Allegation #2

 	_	Other	 ALL
j	N	Percent	N
Type of Research Institute Employed By Institute of Higher			
Education	0	0.0	 9
Academic Medical Center	 2	5.9	 34
Research Organization, Institute, etc.	 0	0.0	 1
Independent Hospital	0	0.0	 1
Other Health Organization	0	0.0	 2
No Response	0	0.0	1
Total	2	4.2	 48

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

1			Sought	Asst	Sought	. Asst		. Asst
1	_			ng Prelim		aining		ing App
	Guida	ince-3	Asse	Assess-3		ential-3	Expertise-3	
	n	Percent	N	Percent	N	Percent	N	Percent
Type of	 		 	 		 	 	
Research	į		į	i i				İ
Institute	į		İ	i i				
Employed By	I			 			<u> </u>	[[
Institute of	i			i i		İ		İ
Higher	į		j i	i i			İ	
Education	4	100.0	3	75.0	1	25.0	1	25.
Academic	 		 	 		 	 	
Medical	I							
Center	13	41.9	5	16.1	4	12.9	4	12.
Research	 		 	 			 	
Organization,				l I				
Institute,								
etc.	0	0.0	0	0.0	0	0.0	0	0.
Independent	I							
Hospital	0	0.0	1	100.0	0	0.0	0	0.
No Response	0	0.0	0	0.0	0	0.0	0	0.
Total	17	44.7	9	23.7	5	13.2	5	13.

	Sought Asst Handling Conflict of Int-3		Sought w/Trtm Whistle		_	: Asst ment of ments-3	Sought Asst w/Managemnt of Committee-3		
	n	Percent	n	Percent	n	Percent	N	Percent	
 Type of	 	 	 ا	 	 I	 		 	
Research	i i	Ĺ	į	Ĺ		į	İ		
Institute	į į	Ĺ	ĺ	Ĺ	Ì	İ	ĺ		
Employed By	 		[[
Institute of	i i	i	i	i		i			
Higher	j j	Ĺ	j	Ĺ	İ	İ	İ		
Education	0	0.0	1	25.0	2	50.0	0	0.	
Academic	 		I		I				
Medical		Ţ		Ţ		ļ			
Center 	2 	6.5	5	16.1	6	19.4	1	3.	
Research		1	I		ı	I	I		
Organization,	! !	Į.		Į.		ļ			
Institute,		Į.		ļ					
etc. 	0 	0.0	0 	0.0	0	0.0	0	0.	
Independent	l l	1	I	1	1	I	ļ		
Hospital	0	0.0	0	0.0	0	0.0	1	100.	
No Response	0	0.0	0	0.0	0	0.0	0	0.	
 Total	 2	5.3	6	15.8	 8	21.1	2	5.	

	-		sst with E Inquiry 	w/Cond	Sought Asst w/Conduct of Investigatn-3		t Asst ration of nce-3	Sought Asst w/Investigational Technq-3		
 	N N	I	Percent	N	Percent	N	Percent	N	Percent	
Type of Research Institute Employed By		 	 				 	 		
Institute of Higher Education		 2	50.0	2	 50.0	1	 25.0	 1	25.0	
Academic Medical Center		 2	6.5	2	 6.5	3	 9.7	 3	9.7	
Research Organization, Institute,	 	 	 0.0	0	 0.0	0	 0.0	 0	0.0	
 Independent Hospital	 	 -	100.0	0	 0.0	1	 100.0	 1	100.0	
No Response	()	0.0	0	0.0	0	0.0	0	0.0	
 Total 	 	 5 - -	13.2 	4	10.5 	5 	13.2 	5 	13.2	

 		Asst rviewing	Sought Asst Assessing Evidence 3			Asst with Issues-3	Preparing	Asst with g Reports-
 	n	Percent	и	Percent	N	Percent	N	Percent
Type of Research Institute Employed By				 			 	
Institute of Higher Education	1	25.0	 0	0.0	2	50.0	 1	25.0
Academic Medical Center	1	3.2		6.5	11	35.5	 6	19.4
Research Organization, Institute, etc.	0	0.0	 	0.0	0	0.0	 0	0.0
 Independent Hospital	 0	0.0	 0	0.0	0	 0.0	 0	0.0
 No Response	0	0.0	0	0.0	1	100.0	0	0.0
 Total	2 	5.3	2 2 	5.3 	14	36.8 	 7 	18.4

Q2-Type of Research Institution Employed By by Q6-In What Area Did Institution Seek Assistance - Allegation #3

	Sought	Other	
	Assist	cance-3	ALL
	N N	Percent	N
			!
Type of			!!
Research			!!
Institute			!!
Employed By			
Institute of			
Higher			
Education	0	0.0	4
Academic			
Medical			
Center	0	0.0	31
Research			
Organization,			
Institute,			
etc.	0	0.0	1
Independent			
Hospital	0	0.0	1
			i
No Response	0	0.0	1
Total	0	0.0	38

Note: Only the 38 study participants who indicated that their institution had had three or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by Q7-Where Seek Assistance in Future - Allegation #1

	•	k Future			•		•		•	t Future	ļ
	Ass	st With-			•				!		!
		In				istance		ıtside	Frm Othr		
	Inst	titute-1	Ir	Inst-1		n ORI-1	Adv	/isor-1	Source-1		ALL
	N N	Percent	N	Percent	N	Percent	и	Percent	и	Percent	N
Type of Research	 	 		 	 	 	 	 	 	 	
Institute	i	i i			İ	İ	i i		i i		i
Employed By	i	i i			İ	İ	i		i i		İ
		i i			İ	İ	į į		i i		İ
Institute of	i	i i			İ	İ	j j		j i		İ
Higher Education	14	87.5	5	31.3	10	62.5	2	12.5	2	12.5	16
 Academic Medical	 	 		 	 	 	 	 	 	 	
Center	27	67.5	4	10.0	24	60.0	6	15.0	2	5.0	40
 Research		 		 	 	 		 		 	
Organization,		i i			ĺ		ĺ		İ		ĺ
Institute, etc.	6	100.0	3	50.0	2	33.3	2	33.3	2	33.3	6
 Independent	 I	 		 	 	 	 I	 	 	 	
Hospital	1	33.3	0	0.0	j 0	0.0	1	33.3	1	33.3	3
Other Health	 	 		 	 	 	 I	 	 	 	
Organization	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
 Federal or State		 		 	 	 	 	 	 	 	
Government	1	100.0	0	0.0	1	100.0	0	0.0	0	0.0	1
 Other 	0	0.0	0	0.0	1	50.0	0	0.0	0	0.0	2
 No Response 	1	100.0	0	0.0	0	0.0	0	0.0	0	0.0	1
Total	50				•	54.9					 71

Note: Only the 71 study participants who indicated that their institution had had one or more allegations in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	•	 Need Assistance In Overall Guidance		sistance ng Prelim sess		sistance aining dential	Need Asst Acquiring Appropriate Expertse		
	N	Percent	N	Percent	N	Percent	N	Percent	
Type of Research Institute Employed By	 			 				 	
Institute of Higher Education	 24	42.9	 7	 12.5	2	3.6	19	 33.9	
Academic Medical Center	 7	58.3	3	 25.0	0	0.0	2	 16.7	
Research Organization, Institute, etc.	 37	62.7	12	20.3	6	10.2	13	 22.0	
Independent Hospital			3	 42.9	 0	0.0		 57.1	
Other Educational Organization	 2	 66.7	 1	33.3	 1	33.3		 66.7	
Other Health Organization		 80.0	 1	 20.0	 1	20.0	0	 0.0	
Federal or State Government	 4	100.0	0	0.0	0	0.0	1	 25.0	
Other	56	62.2	25	27.8	15	16.7	37	•	
No Response	3	60.0	1	20.0	0	0.0	1	20.0	
Total	 141 	58.5 	53 	22.0	25 	10.4	79 	32.8	

Q2-Type of Research Institution Employed By by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

		Need Assistance Handling Conflict of Int		Need w/Treat Whistle	ment of	w/Treat	ssistan tment of ndents	Need As w/Manage Commi	
	N	ı	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By	 								
Institute of Higher Education	 	 6	 10.7	9	 16.1	3	 5.4	 2	3.6
Academic Medical Center	 	 3	 25.0	3	25.0	1	 8.3	 2	 16.7
Research Organization, Institute, etc.	 	 6	10.2	8	13.6	10	 16.9	 5	 8.5
Independent Hospital	 	 1	14.3	0	0.0	1	14.3		14.3
Other Educational Organization	 	 1	33.3	2	66.7	2	 66.7	 1	33.3
Other Health Organization		 0	0.0	0	0.0	0	0.0		20.0
Federal or State Government	 	 0	0.0	0	0.0	0	0.0	 0	
Other	 	19	21.1	16	17.8	15	16.7	11	
No Response	 	1	20.0	1	20.0	1	20.0	0	
Total	 	37 			16.2				

Q2-Type of Research Institution Employed By by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

	with Cor	sistance nduct of niry	Need As w/Cond	luct of	Sequestr	sst with ation of lence	•	
 	N	Percent	n	Percent	n	Percent	N	Percent
Type of Research Institute Employed By Institute of Higher Education	12	21.4	 	 	7	12.5	 11	19.6
 Academic Medical Center	 4	33.3	 3	 25.0	 3	25.0	 5	 41.7
Research Organization, Institute, etc.	 13	22.0	 16	 	 9	15.3	 19	 32.2
 Independent Hospital	 3	 42.9	 4	 57.1	 1	14.3	 2	 28.6
 Other Educational Organization	 1	 33.3	 2	 66.7	 1	33.3	 1	 33.3
Other Health Organization		40.0	 3	60.0	2	40.0	 2	 40.0
 Federal or State Government	 0	 0.0	 0	 0.0	 1	25.0	 0	 0.0
 Other	37	41.1	28	31.1	20	22.2	24	
 No Response	2	40.0	1	20.0	0	0.0	1	•
 Total 	74 	!	71 					27.0

Q2-Type of Research Institution Employed By by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

 	•	Inte				•	sistance al Issues	•	
 	и		Percent	N	Percent	и	Percent	N	Percent
Type of Research Institute Employed By Institute of Higher Education	 	 	8.9	16	28.6	 22	39.3	 10	 17.9
 Academic Medical Center 	 	 3	 25.0	 4	33.3	 3	25.0	 5	 41.7
Research Organization, Institute, etc.	 	 5	8.5	 13	22.0	 35	59.3	 24	 40.7
Independent Hospital	 	 1	14.3	 1	14.3	 3	42.9		 28.6
 Other Educational Organization	 	 	33.3	 1	33.3	 2	66.7	 1	 33.3
Other Health Organization		 1	20.0	1	20.0	4	80.0	2	 40.0
Federal or State Government	 	 0	0.0	 0	0.0	 1	25.0	 0	 0.0
Other	 	13	14.4	23	25.6	 44	48.9	27	•
 No Response	 	0	0.0	2	40.0	2	40.0		•
 Total 	 	29 					48.1	•	

Q2-Type of Research Institution Employed By by Q8-If Institution Were to Receive an Allegation, Would It Need Assistance?

!!!		Other	
	Assıs	stance 	ALL
į i	N	Percent	N
Type of		 	
Research			i i
Institute			
Employed By		 	
Institute of		<u> </u>	
Higher		İ	i i
Education	6	10.7	56
 Academic		 I	
Medical			i i
Center	0	0.0	12
Research			
Organization, Institute,		l i	
etc.	1	1.7	l 1
Independent			Ιİ
Hospital	0	0.0	7
 Other		 	
Educational		j	i i
Organization	0	0.0	3
 Other Health		 I	
Organization	0	0.0	l 1 I 5 I
Federal or			Ιİ
State			
Government 	0	0.0	4
Other	3	3.3	90
No Response	0	0.0	 5
 Total	10	•	 241
-			

Note: Only the 241 study participants who indicated that their institution had not had an allegation in question 4 were eligible to answer this question.

Q2-Type of Research Institution Employed By by Q9-Likelihood Institution Would Rely On Assistance From Within

	 	Li	keli	hood to	Rel	y on Asst	. Wit	th-In Ins	st 		
	 Ver	 y Likely		newhat ikely		mewhat likely		/ery likely	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 		 	 				 	 	 	
Institute of Higher	 15	20.8	5	6.9	7	 9.7	3	 4.2	42	 58.3	 72
Academic Medical Center	 15		3	5.8	4	 7.7	1	1.9	29	55.8	 52
Research Organization, Institute, etc.	 7	 10.8	10	15.4	8	 12.3	8	 12.3	32	 49.2	 65
Independent Hospital	4	40.0	1	10.0	1	10.0	1	10.0	3	30.0	10
Other Educational Organization	 •	 .	•		•	 •	1	 33.3	2	 66.7	 3
Other Health Organization	 2	 28.6	1	14.3			1	 14.3	3	 42.9	 7
Federal or State Government	 1	 20.0	•			 •		 •	4	 80.0	 5
	15	16.3	7	7.6	5	5.4	16	17.4	49	53.3	92
No Response	3	50.0	•	•	1	16.7		 •	2	33.3	6
Total	 62 	 19.9 				8.3 					

Q2-Type of Research Institution Employed By by Q10-Likelihood Institution Would Become Member of Consortium

	 	Li	kel:	ihood to	Bec	ome Membe	r of	E Consort	 :		
	 Very	 Likely		newhat ikely		newhat likely		Very likely	 M:	issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 		 		 	 	 	
Institute of Higher Education	 4	 5.6	15	20.8	5	 6.9	6	 8.3	42	58.3	 72
Academic Medical Center	 4	 7.7	11	 21.2	7	 13.5	1	 1.9	 29	55.8	 52
Research Organization, Institute, etc.	 4	 6.2	7	10.8	9	 13.8	13	 20.0	32	49.2	 65
Independent Hospital	2	20.0	1	10.0	1	10.0	3	30.0	3	30.0	10
Other Educational Organization	 •	 •		 •	•	 •	1	 33.3	 2	66.7	 3
Other Health Organization	 •		3	 42.9	1	 14.3	•	 •	 3	42.9	 7
Federal or State Government	 •				1	 20.0			 4	80.0	 5
Other	1	1.1	9	9.8	14	15.2	20	21.7	48	52.2	92
No Response	1	16.7	•	·	1	16.7	2	33.3	2	33.3	6
Total	16 	!!!		!!		12.5 		!!			!

Q2-Type of Research Institution Employed By by Q11-Likelihood Institution Would Request Services From Consortium

	 	Lik	elih	nood Requ	ıest	Service	of (Consortiu	ım		
	 Ver	 Likely		newhat ikely		newhat likely		Very likely	 M:	issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research Institute Employed By	 			 		 	 	 		 	
Institute of Higher Education	 3	 4.2	14	19.4	5	 6.9	 7	 9.7	43	 59.7	 72
Academic Medical Center	 2	 3.8	8	15.4	10	 19.2	3	 5.8	29	 55.8	 52
Research Organization, Institute, etc.	 4	 6.2	8	12.3	9	 13.8	11	 16.9	33	 50.8	 65
Independent Hospital	1	10.0	2	20.0	1	10.0	2	20.0	4	40.0	10
Other Educational Organization	 1	 33.3	•	•		 •		 •	2	 66.7	 3
Other Health Organization	 •	 .	4	57.1		 •	 •	 	3	 42.9	 7
Federal or State Government	 •	 •	•	 •	1	 20.0	 •	 •	4	 80.0	 5
Other	5	5.4	14	15.2	8	8.7	16	17.4	49	53.3	92
No Response	1	16.7	•	.	1	16.7	2	33.3	2	33.3	6
Total	 17 	 5.4 						13.1 			

Q2-Type of Research Institution Employed By by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Questionable Rsrch Prac-Cons Responsibil		Allega of Retaliation-Consrt Responsibil N Percent		Area (Subjects- Consort onsibil	Area (Subjects Consort	Intere	icts of st-Consrt onsibil
j !	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
Type of Research Institute Employed By			 			 	 			
 Institute of Higher Education	29	40.3	7	9.7	20	27.8	14	19.4	20	27.8
Academic Medical Center	19	 36.5	 16	30.8	15	 28.8	 9	17.3	20	38.5
 Research Organization, Institute, etc.	 17	 26.2	 15	23.1	23	 35.4	 7	10.8	19	 29.2
 Independent Hospital	6	 60.0	 3	30.0	3	 30.0	 1	10.0	5	50.0
 Other Educational Organization	2	 66.7	 2	66.7	3	 100.0	 0	0.0	2	 66.7
 Other Health Organization	3		 1	14.3	3	 42.9	 0	0.0	4	57.1
 Federal or State Government	3		 1	20.0	2	 40.0	 0	0.0	1	20.0
 Other	23	25.0	18	19.6	26	28.3	11	12.0	24	26.1
 No Response	4	66.7	2	33.3	2	33.3	1	16.7	3	50.0
 Total 	106 	34.0 	65 	20.8	97	31.1 	43 	13.8 	98 	31.4

Q2-Type of Research Institution Employed By by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

 	 I	 I	 Fina	ncial	 I		 I		
 	Area	lous Mat- Consrt sibility	Manag Con	ement- sort		nsortium sibility	Consc	Area of ortium sibility	 ALL
 	 N	Percent	м	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By		 	 		 	 	 	 	
Institute of Higher Education	 13	18.1	13	18.1	 21	29.2	 3	 4.2	 72
 Academic Medical Center	 5	9.6	 10	19.2	 14	26.9	 2	 3.8	 52
Research Organization, Institute, etc.	 9	13.8	 9	13.8	 14	21.5	 0	 0.0	 65
 Independent Hospital 	 1	10.0	 2	20.0		30.0	 0	 0.0	 10
 Other Educational Organization	 0	 	 1	33.3	 0	0.0	 0	 0.0	 3
 Other Health Organization	 0	0.0	 	14.3		14.3	 0	 0.0	 7
 Federal or State Government 	 1	20.0	1	20.0	 1	20.0	 1	20.0	 5
 Other 	7	7.6	22	23.9	25	27.2	4	4.3	92
 No Response		33.3	0	0.0	1	16.7	0	0.0	6
 Total 	 38 	12.2	 59 						 312

$\tt Q2\mbox{-}Type$ of Research Institution Employed By by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

				 raphic Pr			ref				
	I 					y as F		 er ence			
	R	ank 1	R	ank 2	Ra	ank 3	R	ank 4	M	issing	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research Institute Employed By	 			 				 			
Institute of Higher Education	 15	 20.8	 14	 19.4	19	 26.4	15	 20.8	9	12.5	 72
Academic Medical Center	 6	 11.5	 5	 9.6	13		17	 32.7	11	 21.2	 52
Research Organization, Institute,	į	 	 12	 			21	 	12	 10 E	 65
etc. Independent Hospital	10 3	<u>.</u> 	 	<u>.</u> 		<u></u> 				: 	
Other Educational Organization	 	 	 •	 •	1	 33.3		 	1	 33.3	 3
Other Health Organization	:	 28.6	 1	 14.3	1	 14.3	2	 28.6	1	 14.3	 7
Federal or State Government	 •	 .	 2	 40.0	1	 20.0	2	 40.0		 .	 5
	24	 26.1	10	10.9	9	9.8	25	27.2	24	26.1	92
No Response	•	 •	1	16.7	3	50.0	1	16.7	1	16.7	 6
 Total 	 61 			14.7 						•	 312

Q2-Type of Research Institution Employed By by Q13-Rank Similar Size as Basis of Forming a Consortium

-	 			Similar 	Size	e as Pref	ere	nce 			
<u> </u>	R	ank 1	Ra	ank 2	Rá	ank 3	R	ank 4	M	issing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 						 		 	
Institute of Higher Education	 2	 2.8	19	 26.4	14	 	28	 38.9	9	 12.5	 7:
Academic Medical Center	 4	 7.7	8	 15.4	16	 30.8	13	 25.0	11	 21.2	 5:
Research Organization, Institute,	 2	 	14	 	18	 27.7	19	 29.2	12	 18.5	 6'
Independent Hospital	 •	<u>.</u> !				 40.0		<u>.</u> 		 20.0	
Other Educational Organization	 1	 33.3		 	•	 .	1	 33.3	1	 33.3	
Other Health Organization	!	 .	1	 14.3	3	 42.9	2	 28.6	1	 14.3	
Federal or State Government	 1	 20.0	•	 	1	20.0	3	 60.0	•	 •	
Other	6	6.5	17	18.5	19	20.7	25	27.2	25	27.2	9:
No Response	1	16.7	1	16.7	1	16.7	2	33.3	1	16.7	
Total	 17 			 19.6 						•	•

Q2-Type of Research Institution Employed By by Q13-Rank Similar Type as Basis of Forming a Consortium

		2	Simi:	lar Insti	tuti	onal Typ	e a	s Prefere	nce		
	 R	ank 1	R	ank 2	Ra	ink 3	Ra	ank 4	M	issing	 ALI
	N I	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research Institute Employed By	 	 		 		 				 	
Institute of Higher Education	 41	 56.9	12	 16.7	9	 12.5	2	 2.8	8	11.2	 7:
Academic Medical Center	 28	 53.8	 10	 19.2	1	 1.9	3	 5.8	10	19.2	 5:
Research Organization, Institute, etc.	 25	 38.5	 12	 	9	 13.8		 12.3	11	 16.9	 6!
Independent Hospital	- 5	 50.0	 3		 	 -		 •	2	 20.0	 1
Other Educational Organization	 2	 66.7	 .	 	•	 -	1	 33.3		 •	
Other Health Organization	!	 42.9	 1	 14.3	2	28.6		 .	1	 14.3	
Federal or State Government	 3	 60.0	 1	 20.0	1	 20.0	- -	 .	•	 .	
	 18	19.6	20	21.7	18	19.6	12	13.0	24	26.1	 9
No Response	 4	66.7	1	16.7	•	۱.	•	.	1	16.7	
 Total 				19.2							

Q2-Type of Research Institution Employed By by Q13-Rank Scientific Field as Basis of Forming a Consortium

 	 		 Sc	cientific	 Fie	eld as Pr	efe	 rence			
 	 Ra	 ank 1	Ra	ank 2		 ank 3		 ank 4	 М:	issing	 ALL
	N		N	Percent	N	Percent		Percent	N	Percent	N
Type of Research Institute Employed By	 				 					 	
Institute of Higher Education	 6	 8.3	18	 	18	 25.0	20	 27.8	10	 13.9	 72
Academic Medical Center	 8	 15.4	18	 34.6	10	 19.2	5	 9.6	11	 21.2	 52
Research Organization, Institute,	 23		16	 24.6	 9	 13.8			9	 13.8	 65
 Independent Hospital	 .	 .	4		 2	20.0	2	 20.0		 20.0	 10
Other Educational Organization	 2	 66.7		 .	 •			 .	1	 33.3	 3
 Other Health Organization		 14.3	3	 42.9	 •		2	 28.6	1	 14.3	 7
Federal or State Government	 3	 60.0	2	 40.0	 •			 .		 .	 5
	22	23.9	23	25.0	17	18.5	6	6.5	24	26.0	92
No Response	1	16.7	2	33.3	• 	.	2	33.3	1	16.7	6
l				27.6 							•

Q2-Type of Research Institution Employed By by Q14-Rank Preference Regarding Who Should Organize a Consortium Individual Institutions

 	 !	Ind	livi	d Institu	ite s	Should Or	gan	ize Consi	 rt		
	 Ra	ank 1	R	ank 2	Ra	ank 3	R	ank 4	M:	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 								 	
Institute of Higher	 31	 	14	 19.4	13	 18.1	7	 9.7	7	9.7	 72
Academic Medical Center	 23		7	 13.5	9	 17.3	3	 5.8	10	 19.2	 52
Research Organization, Institute, etc.	 17		11	 16.9	15		11	 16.9	11	 16.9	 65
 Independent Hospital	 2	 20.0	2	 20.0	 4	 40.0	•	 •	2	 20.0	 10
 Other Educational Organization	 •	 .		 		 .	1	 33.3	2	 66.7	 3
 Other Health Organization 	:	 57.1	1	 14.3	1	 14.3		 •	1	 14.3	 7
Federal or State Government	 1	 20.0	2	 40.0	1	 20.0	1	 20.0	1	 20.0	 5
 Other 	19	20.7	12	13.0	24	26.1	13	14.1	24	26.1	92
 No Response 	2	33.3	•	l •	1	16.7	2	33.3	1	16.7	6
Total	 100 	32.1 				 21.8 					

Q2-Type of Research Institution Employed By by Q14-Rank Preference Regarding Who Should Organize a Consortium Institutional Associations

	 I		nst	 titu	tic	onal	 L A	ssoc	: : Sl	nld (or	 gan:	 ize	Cons	 rt		 I
	 Ra	 ank 1			ank		 					_				 issing	 ALL
	 	 Percer	 1t	N	Pei		 nt	 N	Per							Percent	'
		' 	- <u>-</u> -		' 						- <u>-</u>					<u>'</u>	
Type of Research Institute Employed By		 			 								 		 	 	
Institute of Higher Education	 20	 27.	 8	27	 	37.	ן 5	12		16.	 7	3	 	4.2	 10	 13.9	 72
Academic Medical Center	 11	 21.	 2	22	 	42.	 3.	4	 	7.	 7	4	 	7.7	 11	 21.2	 52
			. <u>.</u> .														<u>-</u>
Research Organization, Institute,		 	 		 								 		 	 	
etc.	8	12.	3	22	l	33.	8	17		26.	2	5	l	7.7	13	20.0	65
Independent Hospital	 6	 60.	 0	2	 	20.	 0.	•	 		 		 		 2	 20.0	 10
Other Educational Organization	 •	 		1	 	33.	 3	•	 		 •	•	 		 2	 66.7	 3
Other Health Organization		 14.	 .3	3	 	42.	 9.	•	 		 -	2	 	28.6	 1	 14.3	 7
 Federal or State	 	 	 		 		 		 		 		 		 	 	
Government	1	20.	. 0	2		40.	اه.				.	1		20.0	1	20.0	5
	12	13.	. 0	25	 	27	. 2	18	 	19.	 6	13	 	14.1	 24	 25.1	 92
 No Response	1	16.	7	1	 	16.	.7	1	 	16.	 7	2	 	33.3	1 	16.7	 6
	 60 							52 						9.6			

Q2-Type of Research Institution Employed By by Q14-Rank Preference Regarding Who Should Organize a Consortium Scientific Societies

 	 I	Sci	entit	 Eic Socie	tvs	Shld Ord	an i	ze Consrt	 :		 I
	R	ank 1 	Ra	ank 2 		ank 3		ank 4 	M:	issing 	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By		 	 					 		 	
Institute of Higher Education	 11	 15.3	 17	 23.6	24	 33.3	9	 12.5	11	 15.3	 72
 Academic Medical Center	 7	 13.5	 10	 19.2	17	 32.7	7	 13.5	11	 21.2	 52
Research Organization, Institute, etc.	 22	 33.8	 11	 16.9	15	23.1	5	 7.7	12	 18.5	 65
 Independent Hospital	 •	 •	 3	 30.0	3	 30.0	1	 10.0	3	 30.0	 10
 Other Educational Organization	 2	 66.7	 •	 .	•	 .		 .	1	 33.3	 3
 Other Health		 .	 2	 28.6	2	 28.6	2	 28.6	1	 14.3	 7
Federal or State Government	 .	 .	 2	 40.0	•	 •	2	 40.0	1	 20.0	 5
Other	21	22.8	18	19.6	19	20.7	11	12.0	23	25.0	 92
 No Response	1	16.7	3	50.0	1	16.7	•	 .	1	16.7	 6
 Total 	 64 							 11.9 			

Q2-Type of Research Institution Employed By by Q14-Rank Preference Regarding Who Should Organize a Consortium Independent Consultants

 	 I	Inde	pend	d Consult	ant	Shld Oro	ani	ze Consrt	: :		 I
	 p:	ank 1		ank 2						 issing	 ALL
	i										
 		Percent 	N	Percent 		Percent 		Percent 	N	Percent	
Type of Research Institute Employed By	 	 						 		 	
Institute of Higher Education	 2	 2.8	2	 2.8	8	 11.1	50	 69.4	10	 13.9	 72
Academic Medical Center	 •	 	2	 3.8	11	 21.2	28	 53.8	11	 21.2	 52
Research Organization, Institute, etc.	 7	 10.8	2		8	 12.3	36	 55.4	12	 18.5	 65
Independent Hospital	 .	 	1	 10.0	•	 .	6	 60.0	 3	 30.0	 10
Other Educational Organization	 •	 .	•	 .	•	 .	1	 33.3	2	 66.7	 3
Other Health Organization	!	 14.3	•	 •	3	 42.9	2	 28.6	1	 14.3	 7
Federal or State Government	 •	 .	1	 20.0	1	 20.0	2	 40.0	1	 20.0	 5
	15	16.3	15	16.3	6	6.5	31	33.7	25	27.2	 92
	·	 •	1	16.7	2	33.3	2	33.3	1	16.7	 6
Total	 25			7.7							

Q2-Type of Research Institution Employed By by Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

		Lik 	celil	nood Seek	As:	sist From	n Out	side Ins	st 		
	Very	Likely				newhat likely		/ery Likely	 M:	issing	 ALI
	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research Institute Employed By	 			 		 	 		 	 	
Institute of Higher Education	6	8.3	23	 31.9	23	 31.9	15	20.8	5	6.9	 72
Academic Medical Center	 3	5.8	10	 19.2	23	 44.2	8	15.4	 8	 15.4	 52
Research Organization, Institute, etc.	 5	7.7	19	 29.2	15	 23.1	 21	32.3	 5	7.7	 65
Independent Hospital	 •		3	 30.0	3	 30.0	 3	30.0	 1	10.0	 10
Other Educational Organization	 1	33.3		 •		 •	 2	66.7	 •	 	 3
Other Health Organization	 .		5	 71.4	2	 28.6	 •		 .	 •	 7
Federal or State	 •		2	 40.0	1	 20.0	 2	40.0	 •	 .	 5
Other	7	7.6	25	27.2	22	23.9	24	26.1	14	15.2	92
No Response	.	•	1	16.7	1	16.7	3	50.0	1	16.7	6
Total	22 					 28.8 					•

Q2-Type of Research Institution Employed By by Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

		Lik	celil	nood Seek	Ass	st Frm Ou	ıtsio	de Adviso	or 		
	Very	y Likely		mewhat ikely		mewhat likely		Very likely	 Mi	issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research Institute Employed By				 				 			
Institute of Higher Education	8	 11.1	28	 38.9	20	 27.8	11	15.3	5	6.9	 72
Academic Medical		19.2	16	30.8	13	 25.0	6	 11.5	7	13.5	 52
Research Organization, Institute, etc.	13	 20.0	27	 41.5	9	 13.8	10	 15.4	 6	9.2	 65
Independent Hospital	1	 10.0	4	 40.0	3	 30.0	1	 10.0	 1	10.0	 10
Other Educational Organization	1	 33.3	2	 66.7		 .		 •	 •		 3
Other Health Organization	2	 28.6	4	 57.1	1	 14.3	 •	 •	 •		 7
Federal or State			3	 60.0		 •	2	 40.0	.		 5
	12	13.0	38	41.3	16	17.4	10	10.9	16	17.4	92
	1	16.7	3	50.0	1	16.7	·	.	1	16.7	6
 Total 				 40.1 							

Q2-Type of Research Institution Employed By by Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

 	Likelihood Seek Asst From Inst Associat									 	
	 Very	Likely				newhat likely		Very likely	 Mi	issing	 ALL
	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
Type of Research	 	 						 	 	 	
 Institute of Higher Education 	 9	12.5	19	 26.4	27	 37.5	13	 18.1	 4	5.6	 72
 Academic Medical Center	 9	 17.3	15		14	 26.9	7	 13.5	 7	13.5	 52
Research Organization,	 4	 	17	 26.2	20	 30.8	19	 29.2	 5	7.7	 65
 Independent Hospital	 1	10.0	2	 20.0	3	 30.0	3	30.0	 1	10.0	 10
Other	 1	 33.3	1	 33.3		 .	1	 33.3	 •	 .	 3
 Other Health	 -	 	1	 14.3	3	 42.9	3	 42.9	 •	 	 7
 Federal or State Government	 -	 -	3	 60.0	2	 40.0		 •	 •		 5
 Other	5	5.4	24	26.1	27	29.3	21	22.8	15	16.3	92
 No Response	۱۰	ا٠	2	33.3	1	16.7	2	33.3	1	16.7	6
 Total 	29 			 26.9 				•			

Q2-Type of Research Institution Employed By by Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

	 	Lik	celil	nood Seek	. As	st From S	Scie	ntific So	oc		
	Very	Likely				mewhat likely		Very likely	 M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 		 		 	 	 	
Institute of Higher Education	 8	11.1	30	 41.7	18	 25.0	12	 16.7	4	5.6	 72
Academic Medical	 5	9.6	13	 25.0	19	 36.5	8	 15.4	 7	13.5	 52
Research Organization, Institute, etc.	 9	13.8	21	 32.3	17	 26.2	13	 20.0	 5	7.7	 65
Independent Hospital	 •	 •	3	 30.0	4	 40.0	2	 20.0	 1	10.0	 10
Other Educational Organization	 2	66.7	1	 33.3		 •		 	 •	 	 3
Other Health Organization	 .	 	1	 14.3	3	 42.9	3	 42.9	 •	 .	 7
Federal or State Government	 •	 -	2	 40.0	2	 40.0	1	 20.0	 •		 5
Other	12	13.0	26	28.3	22	23.9	17	18.5	15	16.3	92
No Response	•	•	2	33.3	2	33.3	1	16.7	1	16.7	6
Total	 36 					 27.9 					•

Q2-Type of Research Institution Employed By by Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

		Li	celi	hood Seel	As:	st-Indepe	en Ir	nvest Fir	cm		
	Very	Likely				mewhat likely		/ery Likely	 M:	issing	 ALI
	и	Percent	N	Percent	N	Percent	N	Percent	и	Percent	и
Type of Research Institute Employed By	 		 	 	 -				 	 	
Institute of Higher Education	•	•	 11	 15.3	20	 27.8	36	50.0	 5	 6.9	 72
Academic Medical		5.8	 4	 7.7	 17	 32.7	21	40.4	 7	 13.5	 52
Research Organization, Institute, etc.	 2	3.1	 11	 16.9	22	 33.8	24	36.9	 6	 9.2	 65
Independent Hospital	 •	•	 2	 20.0	 1	 10.0	6	60.0	 1	 10.0	 10
Other Educational Organization	 •	 	 	 •	 1	 33.3	2	66.7	 •	 .	 3
Other Health Organization	 •	•	 3	 42.9	 3	 42.9	1	14.3	 .	 •	 7
Federal or State	 .	•	 1	 20.0	 1	 20.0	3	60.0	 .	 •	 5
Other	5	5.4	20	21.7	29	31.5	22	23.9	16	17.4	92
No Response	.	•	1	16.7	1	16.7	3	50.0	1	16.7	ε
Total	10 				•	 30.4 			•		•

Q2-Type of Research Institution Employed By by Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

ĺ											ĺ
	Very	Likely				newhat likely		/ery likely	M:	issing	 ALI
i	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 		 				 	
Institute of Higher Education	35	48.6	20	 27.8	5	 6.9	7	9.7	5	 6.9	 72
Academic Medical	29	 55.8	8	 15.4	5	 9.6	1	1.9	9	 17.3	 52
Research Organization, Institute, etc.	 32	 49.2	16	 24.6	8	 12.3	3	 4.6	6	 9.2	 65
Independent Hospital	 2	20.0	6	 60.0	1	 10.0		 	1	 10.0	 10
Other Educational Organization	 2	 66.7	1	 33.3	•	 .				 •	 :
Other Health Organization	 	 14.3	4	 57.1	1	 14.3	1	 14.3		 •	
Federal or State Government	3	60.0	1	20.0	1	 20.0				 •	 !
Other	42	45.7	21	22.8	6	6.5	5	5.4	18	19.6	9
No Response	2	33.3	1	16.7	1	16.7	1	16.7	1	16.7	
 Total	1481	 47 41	78	 25.0	 28	 I a n I	10	 5.8	40	12.8	

Q2-Type of Research Institution Employed By by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

 	 I			Overal	 l Sat	tisfaction	 n w/0	Contact wi	th (ORI			
	 Sa	Very tisfied	•	omewhat		omewhat satisfied	 Dis	Very satisfied	No	 Contact	 M	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 	 	 		 				 	
 Institute of Higher	 13	 18.1	 5	 6.9	 2	 2.8		 	41	56.9	 11	 15.3	 72
 Academic Medical Center	 23	 44.2	 3	 5.8	 1	 1.9	 •	 •	13	 25.0	12	 23.1	 52
Research Organization, Institute, etc.	 7		 1	 1.5	 •	 .	 2	 3.1	42	64.6	 13	 20.0	 65
 Independent Hospital	 1	 10.0	 •	 .	 •	 .	 •	 •	7	70.0	 2	 20.0	 10
 Other Educational Organization 	 •	 .	 •	 .	 •	 •	 •	 •	3	 100.0	 •	 .	 3
 Other Health	•	 14.3	 •	 •	 •	 	 •	 	5	71.4	 1	 14.3	 7
Federal or State Government	 1	 20.0	 1	 20.0	 •	 .	 •	 •	2	40.0	 1	 20.0	 5
 Other 	8	8.7	1	1.1	·	·	 •		60	65.2	23	25.0	92
 No Response	1	16.7	•	 	1	16.7	•	 	3	50.0	1	16.7	6
 Total 	 55 			•			•	0.6 			•	•	 312

Q2-Type of Research Institution Employed By by Q20c-Hesitant to Contact ORI for Following Reasons

	!	sitant to	caus	Contact se ORI cory Off	Infor		Confide	otect entiality ceedings	Reputa	
! !	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
 Type of Research Institute Employed By	 	 	 				 			
 Institute of Higher Education 	 48	 66.7	 8	11.1	 12	16.7	 6	 8.3	6	8.3
 Academic Medical Center	 34	 65.4	 7	13.5	 8	15.4	 6	 11.5	9	17.3
 Research Organization, Institute, etc.	 42	 64.6	 5	7.7	 11	16.9	 11	 16.9	6	9.2
 Independent Hospital	 6	 60.0	 4	40.0	 3	30.0	 3	 30.0	1	10.0
 Other Educational Organization	 3	 100.0	 0	0.0	 0	0.0	 0	 0.0	0	0.0
 Other Health Organization	 3	 42.9	 3	42.9	 1	14.3	 3	 42.9	3	42.9
 Federal or State Government	 4	 80.0	 1	20.0	 1	20.0	 0	 0.0	0	0.0
 Other	61	66.3	 4	4.3	10	10.9	7	7.6	5	5.4
 No Response	2	33.3	0	0.0	1	16.7	1	16.7	2	33.3
 Total 	 203 	65.1 		10.3	 47 	15.1	37 	11.9 	32	10.3

Q2-Type of Research Institution Employed By by Q20c-Hesitant to Contact ORI for Following Reasons

		Sources		ed	Other	•
		Readily		tion on ebsite		ant to ct ORI ALI
	N	Percent	и	Percent	N	Percent N
Type of Research Institute Employed By			 	 	 	
Institute of Higher Education	6	8.3	7	9.7	 3	 4.2 72
Academic Medical Center	 4	7.7	 2	3.8	 1	 1.9 52
Research Organization, Institute, etc.	 5	7.7	 2	 	 	 3.1 65
Independent Hospital	 2	20.0	1	10.0	0	0.0 10
Other Educational Organization	 0	0.0	 0	0.0	 	0.0 3
Other Health Organization	 0	0.0	 0	0.0	 	 0.0 7
Federal or State Government	 0	0.0	 0	0.0	 	0.0 5
Other	3	3.3	1	1.1	1	1.1 92
No Response	1	16.7	0	0.0	0	0.0 6
Total	21		13	4.2		2.2 312

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Within Institution or Affiliate

 	 I			n Sourge		 Asst Wit	hin	Tnetitut		 I	
	Rá 	ank 1 	Ra	ank 2 	Ra 	ank 3 	Ra	ank 4 	M:	issing 	ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 				 	 	 	
 Institute of Higher Education 	 35	 48.6	7	9.7	1	 1.4	1	1.4	28	 38.9	72
 Academic Medical Center 	 25	 48.1	4	 7.7	•		1	1.9	22	 42.3	52
Research Organization,	 20	 30.8	2	 3.1	2	 3.1	3	 4.6	38	 58.5	65
 Independent Hospital 	6	60.0	1	10.0	1	10.0			2	20.0	10
 Other Educational Organization 	 •	 •	 -	 	•	 •	•	 •	3	 100.0	3
Other Health Organization	 2	 28.6	2	28.6			•		3	 42.9	7
 Federal or State Government 	 .		1	20.0	1	 20.0	•		3	 60.0	5
 Other 	22	23.9	4	4.3	7	7.6	5	5.4	54	58.7	92
No Response	1	16.7	•	·	•	·	•	·	5	83.3	6
 Total 	 111 					 3.8 					

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

 	 	 D∈	velo	op Consor	tiu	m as Sour	ce o	of Assist		 !	 !
	Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	Mi	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By									[
Institute of Higher Education	 4	5.6	16	22.2	8	 	8	11.1	36	50.0	 72
Academic Medical Center	 2	 3.8	11	 21.2	8	 15.4	4	 7.7	 27	 51.9	 52
Research Organization,	 4	6.2	13	20.0	4	 6.2	9	 13.8	35	53.8	 65
 Independent Hospital 	1	10.0	5	50.0	1	10.0	1	10.0	2	20.0	10
Other Educational Organization		 •			•	 •			3	100.0	 3
Other Health Organization			2	28.6	1	 14.3	•	 •	4 	 57.1	 7
Federal or State Government			3	60.0	•		•		2	40.0	5
 Other 	6	6.5	17	18.5	5	5.4	5	5.4	59	64.1	92
No Response	2	33.3		.	1	16.7	1	16.7	2	33.3	6
 Total 						9.0 		9.0 			

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Unaffiliated, Outside Institution

 	 !	De	vel	op Source	of	Asst Out	sid	e Institu	 ıt		
	R	ank 1	R	ank 2	Ra	ank 3	R	ank 4	M	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By		 				 		 			
Institute of Higher Education	 1	 1.4	4	 5.6	12	 16.7	6	 8.3	49	68.1	 72
Academic Medical Center	 3	 5.8	1	 1.9	6	 11.5	4	 7.7	38	 73.1	 52
Research Organization, Institute, etc.	1		6	 9.2	5	 7.7	7	 10.8	46	 70.8	 65
Independent Hospital	 •	 .	1	 10.0	3	 30.0	1	 10.0	5	 50.0	 10
Other Educational Organization	 •	 .	1	 33.3		 .		 •	2	 66.7	 3
Other Health Organization		 .		 •	2	 28.6		 .	5	 71.4	 7
Federal or State Government	 •	 .	1	 20.0	1	 20.0	•	 •	3	 60.0	 5
 Other 	3	3.3	6	6.5	11	12.0	11	12.0	61	66.3	92
 No Response 	1	16.7	•	.	1	16.7	•	 	4	66.7	6
Total	 9 					13.1 			213		

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Outside Advisor/Consultant

 	 	Dev	elor	Advisor	 s as	Source c	 of A	ssistance		
	Ra	nk 1	Ra	nk 2	Ra	ank 3	Ra	nk 4	Missing	 ALL
 	N	Percent	и	Percent	N	Percent	и	Percent N	Percent	N
Type of Research Institute Employed By		 	 	 		 	 	 	 	
 Institute of Higher Education	 1	1.4	6	8.3	9	1 12.5	 8	11.1 4	 8 66.7	 72
Academic Medical Center	1	1.9	 4	7.7	5	 9.6	 5	9.6 3	 37 71.2	 52
Research Organization, Institute, etc.	 4	6.2	 6	9.2	7	 10.8	9	13.8 3	 39 60.0	 65
 Independent Hospital 	•	•	۰۱	۰۱	1	10.0	3	30.0	6 60.0	10
Other Educational Organization		 •	 	 -	•	 •	1	33.3	 2 66.7	3
Other Health Organization	1	14.3	 1	14.3	2	 28.6	.	.	 3 42.9	 7
 Federal or State Government 			.	.	•	 .	 2	 40.0	 3 60.0	 5
 Other 	7	7.6	16	17.4	8	8.7	15	16.3 4	16 50.0	92
 No Response 	2	33.3	1	16.7	•	•	1	16.7	2 33.3	6
 Total 								14.1 18	•	

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Institutional Association

	 	Dev	elor	Institu	t A	ssoc as S	our	ce of Ass	 t	 	
<u> </u>	 Ra	ank 1	Ra	ank 2	Ra	 ank 3	Ra	ank 4	 Mi	 issing	ALL
	N 	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 			 					 	 	
 Institute of Higher Education	 7	9.7	15	20.8	11	 15.3	10	13.9	29	40.3	72
Academic Medical Center	 3	5.8	13	25.0	7	 13.5	13	25.0	16	30.8	52
Research Organization,	 1	1.5	7	10.8	15	 23.1	11	 16.9	31	 47.7	65
 Independent Hospital 	2	20.0	1	10.0	•	۱ ،	1	10.0	6	60.0	10
Other Educational	 •		•	 •	2	 66.7	•		1	 33.3	3
 Other Health Organization	 •	 .	•			 •	2	 28.6	5 	 71.4	7
 Federal or State Government 	 •	 .	1	20.0	1	 20.0	1	20.0	2 	 40.0	5
 Other 	3	3.3	8	8.7	8	8.7	8	8.7	65	70.7	92
No Response	•	· · · ·	•	·	3	50.0	1	16.7	2	33.3	6
 Total 						15.1 					

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Scientific Society

 	 	Dev	rel S	cientif	Soc	iety as S	our	ce of Ass	t		
 		ank 1	Ra	ınk 2	Ra	ank 3	Ra	ank 4	M	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research	[[
 Institute of Higher Education		1.4	7	9.7	9	 12.5	10	 13.9	45	 62.5	 72
 Academic Medical Center 	3	 5.8	3	5.8	9	 17.3	9	 17.3	28	 53.8	 52
Research Organization,	 9	 13.8	7	10.8	11	 16.9	8	 12.3	30	 46.2	 65
 Independent Hospital 	1	10.0	•	•	1	10.0	•		8	80.0	10
 Other Educational Organization 	 1	 33.3	1 1	33.3	•	 •	1	 33.3	•	 	 3
 Other Health Organization 	 .	 .	ا ا •			 .	1	 14.3	6	 85.7	 7
 Federal or State Government 	 •	 •	ا ا •	•	3	 60.0	•	 .	2	 40.0	 5
 Other 	5	5.4	14	15.2	10	10.9	10	10.9	53	57.6	92
l		.	1	16.7	1	16.7	•	.	4	66.7	6
 Total						14.1 					

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Independent Investigative Firm

 	 	Dev	rel I	Indep Inv	est	Frm as S	our	e of Ass	 t	 	
	 Ra	ank 1	Ra	ank 2	R	ank 3	Ra	nk 4	 Mi	 ssing	ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research	 	 		 		 	 		 		
 Institute of Higher Education 	 .		1	1.4	2		10	13.9	59	81.9	72
 Academic Medical Center 	 •				3	 5.8	 8	 15.4	41	78.8	52
Research Organization,	 •		5	 7.7	3	 4.6	 7	10.8	50	76 . 9	65
 Independent Hospital 	·	·	1	10.0	1	10.0	·	٠١	8	80.0	10
 Other Educational Organization 	 •				•	 •	 •	.1	 3	100.0	3
Other Health Organization	 1	 14.3			1	 14.3	2	28.6	3	42.9	7
 Federal or State Government 	 .		•			 .	2 	40.0	 3	60.0	5
 Other 	4	4.3	10	10.9	8	8.7	10	10.9	60	65.2	92
 No Response 	•	.	1	16.7	1	16.7	1	16.7	3	50.0	6
 Total 	 5 					6.1 					

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Office of Research Integrity

 	 		Deve	elop ORI	as 9	Source of	Ass	sistance 		 	
	R	ank 1	Ra	nk 2	Ra	ank 3	Ra	ank 4	M	issing	ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 				 		 		 	
 Institute of Higher Education 	18	 25.0	9	12.5	9	 12.5	14	 19.4	22	 30.6	 72
Academic Medical Center 	9	 17.3	12	23.1	5	9.6	5	9.6	21	 40.4	 52
Research Organization,	 21	 32.3	10	15.4	2	 3.1	8	 12.3	24	 36.9	 65
 Independent Hospital 	3	30.0	1	10.0	2	20.0	1	10.0	3	30.0	10
 Other Educational Organization 	 3	 100.0	•			 •	•	 •	•	 •	 3
Other Health Organization	2	 28.6	1	14.3					4	 57.1	7
 Federal or State Government 	 3	 60.0	•			 .			2	 40.0	 5
l	30	32.6	7	7.6	7	7.6	10	10.9	38	41.3	92
 No Response 	1	16.7	1	16.7		.	1	16.7	3	50.0	6
Total	 90 	28.8 				8.0 					

Q2-Type of Research Institution Employed By by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

	 	Develo 	p 0	ther Sour	ces	of Assis	tano	ce 	
	Ra	ank 1	Ra	ank 2	Ra	ank 4	M:	issing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N
Type of Research Institute Employed By	 	 		 		 		 	
Institute of Higher	 1	 1.4			2	 2.8	69	 95.8	 7:
Academic Medical Center	 •		•		1	1.9	51	 98.1	 5:
Research Organization, Institute, etc.	•	 1.5	1	 1.5		 •	63	 96.9	 6!
Independent Hospital	١.		•				10	100.0	10
Other Educational Organization	 •	 •	•	 •		 •	3	 100.0	 :
Other Health Organization	 •		•				7	 100.0	
Federal or State Government	 •		•				5	 100.0	
 Other 	3	3.3	- -	·	2	2.2	87	94.6	9:
No Response	.			.	•	 •	6	100.0	(
 Total	•	 1.6 		0.3				•	•

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q9-Likelihood Institution Would Rely On Assistance From Within

	 	Li 	keli	hood to	Rel	y on Asst	Wi:	th-In Inst 	:	 	
	 Ver			newhat ikely				Very likely	Mi	ssing	ALL
	N 	Percent	N	Percent	N	Percent	N	Percent	и	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 									
None	44	18.8	24	10.3	14	6.0	29	1 12.4 1	.23	52.6	234
One	5	21.7	2	8.7	4	17.4	1	4.3	11	47.8	23
Two	3	30.0	 	.	2	20.0	·	•	5	50.0	10
Three	6	18.2	1	3.0	4	12.1	1	3.0	21	63.6	33
> Three	3	60.0	•	.1	2	40.0	•	۱ .۱	٠	•1	5
Missing	1	14.3	- -	.	-	.	- -	·	6	85.7	7
Total	62	19.9	27	8.7	26	8.3	31	9.9 1	.66	53.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q10-Likelihood Institution Would Become Member of Consortium

	 	Li	kel:	ihood to	Bec	ome Membe	r o	f Consort	 :	 	
		y Likely		newhat ikely				Very likely	 M:	 issing	 ALI
	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 		 				 	 	 	
None	1 12	5.1	31	13.2	28	12.0	41	17.5	122	 52.1	234
One	2	8.7	4	17.4	5	21.7	1	4.3	11	47.8	23
Two	1	10.0	4	40.0	•	·	•		5	50.0	10
Three	•		5	15.2	5	15.2	2	6.1	21	63.6	33
> Three	1	20.0	1	20.0	1	20.0	2	40.0			5
Missing	.	 .	1	14.3	•	 .	•		6	85.7	7
Total	16	5.1	46	14.7	39	12.5	46	14.7	165	52.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q11-Likelihood Institution Would Request Services From Consortium

	 	Lik	elil	nood Requ	est	Service	of	Consortiu	ım	 '	
	 Ver	y Likely		newhat ikely				Very likely	M:	 issing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 						 			
None	1 14	6.0	37	15.8	23	 9.8	35	15.0	125	 53.4	234
One	2	8.7	5	21.7	4	17.4	1	4.3	11	47.8	23
Two	.	·	4	40.0	1	10.0	•		5	50.0	10
Three	1	3.0	2	6.1	6	18.2	3	9.1	21	63.6	33
> Three	.		1	20.0	1	20.0	2	40.0	1	20.0	5
Missing	.	 .	1	14.3	•	 .	•		6	85.7	7
Total	17	 5.4	50	16.0	35	11.2	41	13.1	169	54.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	Rsrc	spons	c-Cons	Retal Con Respo	ga of iation- srt nsibil	Area (Respo	Subjects- Consort Onsibil	Area (Subjects Consort consibil	Intere	icts of st-Consrt onsibil 	Area Respon	dous Mat- Consrt sibility Percent	Manage Cons Respon	ncial ement- sort nsibil
# Allegations Pst 5 Yrs Reslt in Inquiry	 		 	 								** 		 	
None		78	33.3	45	19.2	76	32.5	33	14.1	 70	29.9	 31	13.2	47	20.1
One	 	7	30.4	3	13.0	7	30.4	2	8.7	8	34.8	1	4.3	3	13.0
Two	 	5	50.0	3	30.0	2	20.0	1	10.0	3	30.0	0	0.0	2	20.0
Three	 	12	36.4	11	33.3	8	24.2	5	15.2	13	39.4	5	15.2	5	15.2
> Three	 	2	40.0	2	40.0	2	40.0	2	40.0	 2	40.0	1	20.0	0	0.0
Missing	 	2	28.6	1	14.3	2	28.6	0	0.0	 2	28.6	0	0.0	2	28.6
Total	 1	06	34.0	65	20.8	97	31.1	43	13.8	 98	31.4	38	12.2	59	18.9

(CONTINUED)

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q12-Would Incorporating Area into Scope of Consortium Responsibilities, Make Consortium More Useful to Your Institution

	 I	 I	Other	 Area of
i	 No Co:	nsortium		rtium
ļ	Respon	sibility	Respons	ibility ALL
 	 N	Percent	 и	 Percent N
				i
#				
Allegations				
Pst 5 Yrs	!	ļ ļ	ļ	
Reslt in		!!	ļ	
Inquiry	!	!!	ļ	!!
	!	! !	ļ	! !
None	61	26.1	7	3.0 234
One	7	30.4	Τ	4.3 23
Two	1	10.0	 0	0.0 10
İ		· 		i
Three	8	24.2	2	6.1 33
 > Three	 l 2	 40.0	 0	0.0 5
			٠	
 Missing	1	14.3	0	0.0 7
Total	80	25.6	10	3.2 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Geographic Proximity as Basis of Forming a Consortium

	 I	G	eogi	raphic Pro	oxim	ity as P	 refe	 erence		
	 Ra	 ank 1	 Ra	ank 2	 Ra	nk 3	Ra	 ank 4	 м:	 issing ALI
	 N	 Percent		Percent	 и	Percent	 N	 Percent		Percent N
# Allegations Pst 5 Yrs Reslt in Inquiry	 				 					
None	 50	 21.4	37	 15.8	42	17.9	67		38	 16.3 234
One	3	13.0	5	21.7	4	17.4	4	17.4	7	30.4 23
Two	3	30.0	1	10.0	1	10.0	3	30.0	2	20.0 10
Three	2	6.1	3	9.1	 9	27.3	10	30.3	9	27.3 33
> Three	2	40.0	•	•	2	40.0	1	20.0	•	. 5
Missing	1	14.3	•	· · · ·	۱٠	٠١	1	14.3	5	71.4 7
Total	61	19.6	46	14.7	58	18.6	86	27.6	61	19.6 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Similar Size as Basis of Forming a Consortium

	 			Similar	 Size	as Pref	erei	nce		
	 Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	Mi	issing ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
# Allegations Pst 5 Yrs Reslt in Inquiry	_ .	 		-				 		
None	14	6.0	50	21.4	56	23.9	74	31.6	40	17.1 234
One	1	4.3	3	13.0	5	21.7	7	30.4	7	30.4 23
Two	•	.	2	20.0	3	30.0	3	30.0	2	20.0 10
Three	2	6.1	5	15.2	9	27.3	9	27.3	8	24.2 33
> Three	.		1	20.0	2	40.0	2	40.0	•	. 5
Missing	•		•	· ·	1	14.3	1	14.3	5	71.4 7
Total	17	5.4	61	19.6	76	24.4	96	30.8	62	19.8 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Similar Type as Basis of Forming a Consortium

	 	Simi 	lar 	Institut	iona	al Type a	s P:	reference		
	Ra	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	Mi	issing ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
# Allegations Pst 5 Yrs Reslt in Inquiry	 								 	
None	 91	 38.9	47	20.1	36	15.4	23	 9.8	37	 17.8 234
One	10	43.5	4	17.4	1	4.3	1	4.3	7	30.4 23
Two	5	50.0	1	10.0	2	20.0	2	20.0	•	. 10
Three	20	60.6	6	18.2	•	·	•	· ·	7	21.2 33
> Three	3	60.0	1	20.0	•	·	1	20.0	•	. 5
Missing	.	·	1	14.3	1	14.3	•	· ·	5	71.4 7
Total	129	41.3	60	19.2	40	12.8	25	8.0	58	18.6 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q13-Rank Scientific Field as Basis of Forming a Consortium

			Sci	ientific 1	riel	d as Pre	fere	ence		ļ
	Ra	ank 1	Ra	ank 2	Ra	ink 3	Ra	ank 4	Mi	issing ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
# Allegations Pst 5 Yrs Reslt in Inquiry		 			 					
None	58	24.8	63	26.9	43	18.4	34	14.5	36	15.4 234
 One	3	13.0	5	21.7	5	21.7	3	13.0	7	30.4 23
Two	1	10.0	3	30.0	1	10.0	3	30.0	2	20.0 10
 Three	3	9.1	11	33.3	6	18.2	4	12.1	9	27.3 33
> Three	•	 .	3	60.0	1	20.0	1	20.0	•	. 5
Missing	1	14.3	1	14.3	•	٠١	•	·	5	71.4 7
 Total	66	21.2	86	27.6	56	17.9	45	14.4	59	18.9 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q14-Rank Preference Regarding Who Should Organize a Consortium Individual Institutions

	 		v1a 	institut 	e sn	ould Org	anı:	ze Consrt 		
	Ra	ank 1	Ra	ank 2	Ra	nk 3	Ra	ank 4	M	issing ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 		 	 	 		 		
None	 74	 31.6	34	 14.5	 55	23.5	33	 14.1	38	 16.3 23
One	5	21.7	4	17.4	6	26.1	1	4.3	7	30.4 2
Two	2	20.0	6	60.0	ا ٠	•	•	.	2	20.0 10
Three	16	48.5	3	9.1	5	15.2	2	6.1	7	21.2 3
> Three	3	60.0	1	20.0	1	20.0		۱ ،		• !
Missing	•	·	•	.	1	14.3	1	14.3	5	71.4
Total	100	32.1	48	15.4	68	21.8	37	11.9	59	18.9 31

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q14-Rank Preference Regarding Who Should Organize a Consortium Institutional Associations

	 	Inst	itut	tional As	soc	Shld Org	ani:	ze Consrt		ا ا ـــــا	
	l Ra	ank 1	Ra	ank 2	Rá	ank 3	Rá	ank 4	Mi	issing	ALI
	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs	 	 				 			 	 	
Reslt in Inquiry	 	 									
None	38	16.2	83	35.5	45	19.2	26	11.1	42	1.3	234
One	8	34.8	4	17.4	4	17.4	•	.	7	30.4	23
Two	5	50.0		.	1	10.0	2	20.0	2	20.0	10
Three	8	24.2	13	39.4	1	3.0	2	6.1	9	27.3	33
> Three	1	20.0	3	60.0	1	20.0	•	. 	.	.	5
Missing		.	2	28.6	•				5	71.4	7
Total	60	19.2	105	33.7	52	16.7	30	9.6	65	20.9	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q14-Rank Preference Regarding Who Should Organize a Consortium Scientific Societies

	 	Sci	enti	fic Soci	ety	s Shld Or	gan:	ize Consr	t	ļ	
	 R	ank 1	Ra	nk 2	Ra	ank 3	Ra	ank 4	Mi	ssing	ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs	- <i></i> 	 	 			 		 	- - 		
Reslt in Inquiry	 	 						 			
None	55	23.5	51	21.8	60	25.6	28	12.0	40	17.1	234
One	3	13.0	7	30.4	6	26.1	•	·	7	30.4	23
Two	1	10.0	1	10.0	4	40.0	2	20.0	2	20.0	10
Three	2	6.1	6	18.2	10	30.3	6	18.2	9	27.3	33
> Three	1	20.0	1	20.0	1	20.0	1	20.0	1	20.0	5
Missing	2	28.6	ا ٠	.	•	.	•	.	5	.	7
Total	64	20.5	66	21.2	81	26.0	37	11.9	64	20.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q14-Rank Preference Regarding Who Should Organize a Consortium Independent Consultants

Ra			Consult	ant	snia Org	anı	ze Consrt		l	
Ra	nk 1								i	
	,	Ra	ank 2	Ra	ank 3	Ra	ank 4	Mi	ssing	ALI
N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
ļ	ļ		. !		. !		. !	!	ļ	
- !	ļ		!!!					ļ		
ļ	l i									
25	10.7	22	9.4	27	11.5	118	50.4	44	19.0	234
۱۰	٠١	1	4.3	•	·	15	65.2	7	30.4	23
۱٠-	٠١	•	·	2	20.0	6	60.0	2	20.0	10
۱٠	٠١	1	3.0	8	24.2	15	45.5	 9	27.3	33
۱.	٠١	•	•	1	20.0	3	60.0	1	20.0	5
۱٠-	٠١	•	· ·	1	14.3	1	14.3	 5	71.4	
 25	8.0	24	7.7	39	12.5	158	50.6	66	21.2	312
	 . 2 . . 1 3.0 8 1	25 10.7 22 9.4 27 11.5 .	25 10.7 22 9.4 27 11.5 118		25 10.7 22 9.4 27 11.5 118 50.4 44 . . 1 4.3 . . 15 65.2 7 2 20.0 6 60.0 2 . . . 1 3.0 8 24.2 15 45.5 9 1 20.0 3 60.0 1 1 14.3 1 14.3 5	. . 1 4.3 . . 15 65.2 7 30.4 2 20.0 6 60.0 2 20.0 . . 1 3.0 8 24.2 15 45.5 9 27.3 1 20.0 3 60.0 1 20.0 11 14.3 1 14.3 5 71.4				

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q15-Likelihood Your Institution Would Seek Assistance from Outside Institution

 	 !	Lik	eli	nood Seek	As:	sist Fron	out	side Ins	t		
 	 Ver	y Likely		mewhat ikely		newhat likely		/ery Likely	м:	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
 # Allegations Pst 5 Yrs Reslt in Inquiry	 			 		 				 	
 None 	1 18	7.7	70	29.9	58	24.8	66	28.2	22	9.4	 234
 One	1	4.3	5	21.7	10	43.5	4	17.4	3	13.0	23
 Two	1	10.0	5	50.0	2	20.0	1	10.0	1	10.0	10
 Three	1	3.0	7	21.2	18	54.5	3	9.1	4	12.1	33
	•	·	1	20.0	1	20.0	3	60.0	•	.	 5
 Missing 	1	14.3	•	•	1	14.3	1	14.3	4	57.1	 7
 Total 	22	7.1	88	28.2	90 	28.8	78	25.0	34	10.9	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q16-Likelihood Your Institution Would Seek Assistance from Outside Advisors

 	 		Lik	elil	nood Seek	As:	st Frm O	 utsi	de Advisc	 or		
	 Ve:	ry Like	 ly				mewhat likely	!	Very likely	M:	issing	 ALL
 	N	Perc	ent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 	 				 	 			 	
None	3:	3 14	ا 4.1	105	44.9	43	 18.4	 29	12.4	24	10.3	 234
One	(5 20	5.1	6	26.1	3	13.0	5	21.7	3	13.0	23
Two	:	1 1	0.0	2	20.0	4	40.0	2	20.0	1	10.0	10
Three	!	5 1!	5.2	10	30.3	10	30.3	4	12.1	4	12.1	33
> Three	:	1 2	0.0	1	20.0	3	60.0	·	.	•	 .	5
Missing	2	2 28	8.6	1	14.3	•	 •	·	.	4	57.1	7
 Total 	48	B 1!	5.4 	125	40.1	63	20.2	40 	12.8	36	11.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q17-Likelihood Your Inst Would Seek Expertise from Institutional Associations

	 	Li	kel	ihood See	k As	st From	Inst	Associa	t		
	 Ver	y Likely		newhat ikely		newhat Likely		/ery Likely	Mi	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 		 				 		 	
None	 19	8.1	66	28.2	76	32.5	52	22.2	21	9.0	234
One	1	4.3	7	30.4	8	34.8	4	17.4	3	13.0	2:
Two	2	20.0	2	20.0	3	30.0	2	20.0	1	10.0	10
Three	5	15.2	7	21.2	10	30.3	7	21.2	4	12.1	33
> Three	1	20.0	1	20.0	•	·	3	60.0	•	·	5
Missing	1	14.3	1	14.3	•	.	1	14.3	4	57.1	7
Total	 29	9.3	84	26.9	97	31.1	69	22.1	33	10.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q18-Likelihood Your Institution Would Seek Assistance from Scientific Societies

 	 	Lik	elih	ood Seek	Ass	st From S	 cier	tific So		 إ	
 	 Ver	y Likely				newhat likely		/ery Likely	Mi	ssing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
 # Allegations Pst 5 Yrs Reslt in Inquiry	 		 	 			 	 			
None	31	 13.2	81	34.6	61	26.1	40	17.1	21	9.0	 234
One	·		7	30.4	10	43.5	3	13.0	3	13.0	23
Two	2	20.0	3	30.0	2	20.0	2	20.0	1	10.0	10
Three	1	3.0	6	18.2	14	42.4	8	24.2	4	12.1	33
> Three	1	20.0	1	20.0	•	· ·	3	60.0	•		 5
 Missing	1	14.3	1	14.3	•	l	1	14.3	4	57.1	7
 Total 	36	11.5	99	31.7	87	27.9	57 	18.3	33	10.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q19-Likelihood Your Inst Would Seek Assistance from Independent Investigative Firm

 	 !	Lik	elil	nood Seek	As:	st-Indepe	n Ir	vest Fir	m		
 	 Ver	y Likely		mewhat ikely		mewhat likely		/ery Likely	Mi	issing	 ALL
 	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	 N
 # Allegations Pst 5 Yrs Reslt in Inquiry 	 					 		 		 	
 None 	 8	3.4	45	19.2	74	31.6	83	35.5	24	10.3	234
One	١.	•	1	4.3	6	26.1	13	56.5	3	13.0	23
Two	•	·	2	20.0	3	30.0	4	40.0	1	10.0	10
Three	2	6.1	5	15.2	10	30.3	12	36.4	4	12.1	 33
> Three	•		•	 .	•	 •	5	100.0	•	.	 5
 Missing	·		•	 •	2	28.6	1	14.3	4	57.1	 7
 Total 	10	3.2	53	17.0	95 	30.4	118	37.8	36	11.5	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20-Likelihood Your Inst Would Seek Assistance from Office of Research Integrity

 	 		 Like	elihood S	 eek	 Assistan	ce I	rom ORI			
 	 Very			newhat ikely				/ery Likely	м:	issing	 ALL
 	и	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry											
 None 	1113	48.3	62	26.5	18		15	 6.4	26	11.1	 234
 One	10	43.5	5	21.7	5	21.7	•	·	3	13.0	23
 Two	4	40.0	3	30.0	1	10.0	1	10.0	1	10.0	10
 Three	17	51.5	6	18.2	4	12.1	1	3.0	5	15.2	33
 > Three	2	40.0	1	20.0	•	 .	1	20.0	1	20.0	5
 Missing	2	28.6	1	14.3	•	 .	•	·	4	57.1	7
 Total 	148	47.4	78	25.0	28	9.0	18	5.8	40	12.8	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20b-If Institution Has Contacted ORI What Was the Overall Satisfaction w/Services Received

	 			Overall	Sa	tisfaction	ı w/	Contact w	ith (ORI			
	 Sa	Very		mewhat isfied		omewhat satisfied	 Dis	Very Satisfied	 No	Contact	 1	issing	· ALI
		Percent	N	Percent	N	Percent		Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 	 	 		 	 	 	 	 	 	 	
None	22	9.4	7	3.0	1	0.4	2	0.9	 157	67.1	45	 19.2	2 234
One	8	34.8	1	4.3	•	l .	·	.	7	30.4	7	' 30 . 4	23
Two	 4	40.0	1	10.0	•		 •	·	3	30.0	2	20.0	10
Three	 17	51.5	2	6.1	2	6.1		·	6	18.2	E	18.2	2 33
> Three	 3	60.0	•	·	•	·	· ·	·	2	40.0		·	5
Missing	1	14.3	•	·	1	14.3		·	1	14.3	4	57.1	. 7
Total	 55	17.6	 11	3.5	4	 1.3	 2	0.6	 176	 56.4	 64	20.5	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20c-Hesitant to Contact ORI for Following Reasons

			Hes to	Contact	Don't	Want to	Pr	otect	Prot	ect the	Other	Sources	N∈	eed
	Not H	esitant to	•	e ORI			'	-			•	t Readily	Informa	tion on
	Con	tact ORI	Regulat	ory Off	Until V	Varranted	of Pro	ceedings	the Re	spondent	Ava	ilable	ORI	<i>T</i> ebsite
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent
#	 	 	 		 	 	 	 	 	 	 	 	 	
Allegations	İ	İ	į į		j i		ĺ	İ	ĺ	j	İ	İ	j i	
Pst 5 Yrs	Ì	Ì	į į		ĺ			ĺ	ĺ	İ			ĺ	
Reslt in		1						I						
Inquiry									ļ		ļ			
None	 15	7 67.1	22	9.4	 32	13.7	 29	 12.4	 20	8.5	10	4.3	 7	3.0
One	1	4 60.9	3	13.0	7	30.4	3	13.0	<u>4</u>	17.4	<u>4</u>	17.4	2	8.7
Two	 	6 60.0	2	20.0	1	10.0	1	10.0	1	10.0	1	10.0	1	10.0
Three	2	 1 63.6	5	15.2	6	18.2	 3	9.1	 6	18.2	<u>4</u>	12.1	2	6.1
> Three	 I	3 60.0	0	0.0	0	0.0	0	0.0	0	0.0	 1	20.0	0	0.0
Missing	 	 2 28.6	0	0.0	1	14.3	 1	14.3	 1	14.3	 1	14.3	 1	14.3
Total	 20	 3 65 . 1	32	10.3	 47	15.1	 37	 11.9	 32	 10.3	 21	6.7	 13	4.2

(CONTINUED)

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q20c-Hesitant to Contact ORI for Following Reasons

				1
j l	Other	Reas	on	į
	Hesi	tant	to	
	Cont	act O	RI	ALL
ļ I	N	Perc	ent	N
				!
#		!	!	ļ
Allegations			ļ	ļ
Pst 5 Yrs				
Reslt in				- 1
Inquiry				- 1
None	4		1.7	234
One	2		8.7	23
Two	0		0.0	10
Three	1		3.0	33
> Three	0		0.0	5
Missing	0		0.0	7
Total	7		2.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Within Institution or Affiliate

	- -	De	evel	op Source	o£	Asst Wit	hin	Institut	: :		_ _
	 Ra	ank 1	R	ank 2	Ra	ank 3	R	ank 4	M:	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 				 		 	 	 	
None	 75	32.1	11	4.7	11	4.7	9	3.8	128	 54.7	234
One	10	43.5	6	26.1	•			 •	7	30.4	23
Two	7	70.0	1	10.0	•	· ·	•	·	2	20.0	10
Three	15	45.5	2	6.1	•	· ·	1	3.0	15	45.5	33
> Three	3	60.0	•	 •	1	20.0	•	 •	1	20.0	5
Missing	1	14.3	1	14.3	•	 .	•	 •	5	71.4	7
Total	111	35.6	21	6.7	12	3.8	10	3.2	158	50.6	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Consortium

	 !	De	velo	op Consor	tiur	m as Sour	ce o	of Assist	 :		 !
	 R	ank 1	Ra	ank 2	Ra	ank 3	Ra	ank 4	M:	issing	 ALL
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 						 		 	
None	 16	 6.8	53	22.6	19	8.1	21	9.0	125	 53.4	 234
One	1	4.3	2	8.7	3	13.0	1	4.3	16	69.6	23
Two	.	.	4	40.0	1	10.0	•	.	5	50.0	10
Three	2	6.1	7	21.2	5	15.2	3	9.1	16	48.5	33
> Three	·	·	1	20.0	•	.	2	40.0	2	40.0	5
Missing	·	 .	•	.	•	 •	1	14.3	6	85.7	7
Total	19	6.1	67	21.5	28	9.0	28	9.0	170	54.5	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by
Q21-Rank Which Source of Assistance Your Institution
Would Like to See Further Developed - Unaffiliated, Outside Institution

		Dev	elo	p Source o	of A	ast Outs	ide	Institut	:		
	R	ank 1	Ra	ank 2	Ra	ink 3	Ra	ank 4	M	issing	 ALI
i	N N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	· 			 	 	 				 	
None	7	3.0	15	6.4	33	14.1	23	9.8	156	66.7	234
One	•	 •	2	8.7	5	21.7	1	4.3	15	65.2	23
Two	1	10.0	·	•	1	10.0	1	10.0	7	70.0	10
Three	1	3.0	2	6.1	2	6.1	4	12.1	24	72.7	33
> Three		·	1	20.0	۰ ا	٠١	•	·	4	80.0	5
Missing	•	.	- -	.	·	.	- -	.	7	100.0	7
Total	9	2.9	20	6.4	41	13.1	29	9.3	213	68.2	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Outside Advisor/Consultant

	ļ	Dev	relo	p Advisor	s as	Source	of i	Assistano	e		
	 Ra	ank 1	R	ank 2	Ra	ank 3	R	ank 4	M	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 		 		 		 		 	
None	1 15	6.4	28	12.0	22	9.4	33	14.1	136	58.1	234
One	1	4.3	1	4.3	4	17.4	5	21.7	12	52.2	23
Two	·	·	2	20.0	1	10.0	1	10.0	6	60.0	10
Three	•		3	9.1	3	9.1	5	15.2	22	66.7	33
> Three	•		•	.	1	20.0	•		4	80.0	5
Missing	·		•	•	1	14.3	•		6	85.7	7
Total	16	5.1	34	10.9	32	10.3	44	14.1	186	59.6	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Institutional Association

	 !	Dev	relo	o Institu	t A	ssoc as S	our	ce of As	st		 ļ
	 R	ank 1	R	ank 2	Ra	ank 3	R	 ank 4	м	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	N
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 				 		 	 	 	
None	1 13	5.6	29	12.4	35	 15.0	29	12.4	 128	 54.7	 234
One	.	.	5	21.7	3	13.0	7	30.4	8	34.8	23
Two	1	10.0	1	10.0	1	10.0	3	30.0	4	40.0	10
Three	 2	 6.1	8	24.2	7	21.2	6	18.2	10	30.3	33
> Three	·		1	20.0	1	20.0	1	20.0	2	40.0	 5
Missing	.	•	1	14.3	•		1	14.3	5	71.4	7
Total	16	5.1	45	14.4	47	15.1	47	15.1	157	50.3	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Scientific Society

	Devel Scientif Society as Source of Asst										
	 R	Rank 1		Rank 2 Rank			k 3 Rank 4			Missing	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 				 		 	 	 	
None	 15	6.4	29	12.4	32	13.7	27	11.5	131	56.0	 234
One	1	4.3	1	4.3	4	17.4	2	8.7	15	65.2	23
Two	·	·	1	10.0	1	10.0	1	10.0	7	70.0	10
Three	2	6.1	1	3.0	6	18.2	8	24.2	16	48.5	33
> Three	1	20.0	1	20.0	•	.	1	20.0	2	40.0	 5
Missing	1	14.3	•	.	1	14.3	•	.	5	71.4	 7
Total	20	6.4	33	10.6	44	14.1	39	12.5	176	56.4	 312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Independent Investigative Firm

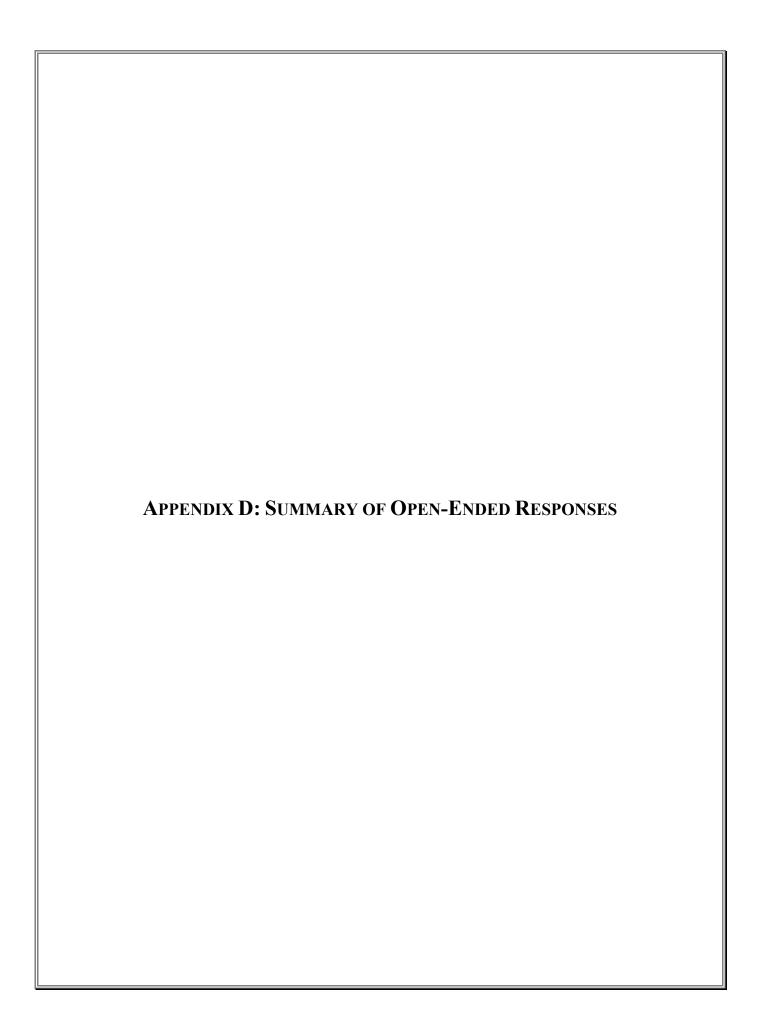
	 !	Devel Indep Invest Frm as Source of Asst										
	 R	Rank 1		Rank 2 Ranl			nk 3 Ra		Missing		 ALL	
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и	
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 						 		 	 	
None	 5	2.1	16		16	 	25	10.7	172	 73.5	 234	
One	.		2	8.7		·	3	13.0	18	78.3	23	
Two	.		•		1	10.0	2	20.0	7	70.0	10	
Three	.		•		1	3.0	8	24.2	24	72.7	33	
> Three	.		•	 .	1	20.0	1	20.0	3	60.0	 5	
Missing			•	l	•		1	14.3	6	85.7	7	
Total	 5	1.6	18	5.8	19	6.1	40	12.8	230	73.7	 312 	

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Office of Research Integrity

	Develop ORI as Source of Assistance										
	R	ank 1	R	ank 2	Ra	ank 3	R	ank 4	М.	issing	 ALI
	N	Percent	N	Percent	N	Percent	N	Percent	N	Percent	и
# Allegations Pst 5 Yrs Reslt in Inquiry	 									 	
None	75	 32.1	23	9.8	18		32	13.7	86	36.8	 234
One	6	26.1	7	30.4	•		4	17.4	6	26.1	23
Two	•		3	30.0	4	40.0			3	30.0	10
Three	7	21.2	5	15.2	3	9.1	3	9.1	15	45.5	33
> Three	1	20.0	2	40.0	•		•	· ·	2	40.0	5
Missing	1	14.3	1	14.3	•	.	•	 .	5	71.4	 7
Total	90	28.8	41	13.1	25 	8.0	39	12.5	117	37.5	312

Q4-# of Allegations of Misconduct Resulted in Inquiries by Q21-Rank Which Source of Assistance Your Institution Would Like to See Further Developed - Other Source of Assistance

	Develop Other Sources of Assistance									
	 R	ank 1	R	ank 2	Ra	ank 4	Missing		ALL	
	N	Percent	N	Percent	N	Percent	N	Percent	N	
# Allegations Pst 5 Yrs Reslt in Inquiry	 	 				 	 	 	 	
None	 4	 1.7	1	 0.4	3	1.3	 226	 96.6	 234	
One	1	4.3	•	·	•		22	95.7	23	
Two	 		•	.	•	٠ .	10	100.0	10	
Three	·	.	•	۱ .	2	6.1	31	93.9	33	
> Three	·	•	•	۱ .	•		5	100.0	5	
Missing	·	.	•	.	•		7	100.0	7	
Total	5	1.6	1	0.3	5	1.6	301	96.5	312	



CONSORTIUM FEASIBILITY STUDY QUESTIONNAIRE Summary of Open-Ended Responses

Types of allegations (as discussed in comments on various questions)

False - falsification of research protocols or data (19)

Credit - plagiarism or authorship dispute (12)

IRB - proceeded without appropriate clearances or outside of approved plan (3)

Personnel - unqualified personnel or inappropriate staffing (e.g., interviewer) (1)

Confidential - will not provide information on allegation (2)

5. From what source(s) did your institution seek assistance/expertise in conducting a competent inquiry or investigation? (Check all that apply.)

Other (specify)

Legal - outside - sought legal advice from independent counsel (4)

Funder - gov't - assistance from funding agency (2)

Funder - other - assistance from private foundation that funded (1)

Private individual - outside academic as expert (1)

6. In what areas did your institution seek assistance? (Check all that apply.)

Other (specify)

This question was not coded because responses were generally elaborations of issues at dispute, not areas that needed assistance.

7. Based on the assistance received from the sources indicated in Question 5, where would your institution most likely seek assistance in the future?

Other (specify)

Funder - (4)

Legal - (3)

8. If your institution were to receive an allegation of research misconduct, would it need assistance in any of the following areas? (Please check all that apply.)

Other (specify)

None - no outside assistance needed (12)

Steps - neutral guidance in how to handle overall investigation, step by step (5)

Consequences - what happens to research, authorship, money, etc. (1)

Don't know (2)

9a. What services could be provided to your institution that would support its reliance on assistance/expertise from within your institution or an affiliated institution?

Quick reference - a short guide to conducting investigations (e.g., a list of steps or checklist) (52)

Legal - advice on legal issues, unforeseen pitfalls (32)

Training - ORI sponsored training on conducting inquiries, interviewing, etc. (15)

Hotline - a web page and/or telephone hotline for reference (14)

Policies - definitions of terms and sample policies on misconduct (14)

Case studies - real-life examples of what was done in similar situations, especially "gray" areas (10)

Roster - of available experts or institutions familiar with conducting inquiries (6)

Funding - money to investigate or receive training (2)

10. What is the likelihood that your institution would become a member of a consortium?

10a. Please briefly explain your responses.

Not needed - no outside assistance would be needed in these situations (50)

Additional help - input or advice from those experienced in these investigations would be helpful, although not necessarily required (26)

Burden - participation would interfere with already overloaded activities (especially for small institutions or small research departments) (26)

Arrangements - the arrangements of a consortium would dictate whether to use it or not, especially institutional type, expertise, and protocols for confidentiality (21)

Small - a small business (note: the pertinence of this is subject to interpretation) (13)

No expertise - have not had to deal with the situation, so could offer no assistance (12)

Rare - incidents are rare, so need for consortium would be too limited to participate (11)

Would help - institution would be willing to offer assistance if/when needed (9)

Costs - costs would be a consideration factor (6)

11. What is the likelihood that your institution would request services from a consortium?

11a. Please briefly explain your response.

Existing resources - would be used first or fully to investigate. If they recommend additional resources, then might go outside (32)

Useful resource - would consider using such a mechanism (27)

Arrangements - the design of the consortium is not well defined or understood, further information would affect decisions to join (19)

Neutral party - an outsider could provide an objective view if needed (11)

Not likely - misconduct not likely to occur in field or organization (9)

Advice - would only use to get advice from those who have done these investigation before (7)

Costs - cost of services must be low or free to use services (6)

See answer to 10a. - (49)

12. Would incorporating any of the following areas into the scope of a consortium's responsibility make a consortium more useful to your institution? (Check all that apply.)

Other (specify)

Legal - legal advice or services (4)

Confidentiality - assurance that research and investigation will be kept private (2)

Subject - expertise in research subject area or misconduct (2)

15b. What else would make it more likely that your institution would seek advice from unaffiliated/outside institutions?

Subject - if need expertise in a particular area not available in-house (27)

Confidentiality - assurance all will remain confidential (data, investigation, etc.) (19)

Experience - proven track record in field or investigations (18)

Personal acquaintance - that the responsible official and/or institution is known personally to those handling the investigation (14)

Costs - no or low costs for services (10)

Policies - the outside institution uses or understands the policies (and local laws) in effect at institution conducting investigation (6)

Proximity - would prefer those who work nearby (3)

Registry - that an easily accessed and well-defined means of identifying "experts" and getting current contact information exists (3)

Similar type - of institution (3)

16c. What else would make it more likely that your institution would seek advice from outside advisors/consultants?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (31)

Costs - low or no costs for services (27)

Subject - appropriate subject expertise not available in-house (19)

Confidentiality - that use of services would not breach confidentiality of research or people (4)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (4)

Proximity - close by (3)

17a. What would make it more likely that your institution would seek advice from institutional associations?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (32)

Ease of use - easy process, formal service, membership in association, or no member requirements, easy to access (23)

Subject - appropriate subject expertise not available in-house (18)

Costs - low or no costs for services (10)

No other alternative - (8)

Confidentiality - that use of services would not breach confidentiality of research or people (7)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (7)

Proximity - close by (4)

Nothing - would not use this resource (4)

18a. What would make it more likely that your institution would seek advice from scientific societies?

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (24)

Ease of use - easy process, formal service, membership in association, or no member requirements, easy to access (16)

Costs - low or no costs for services (9)

Nothing - would not use this resource (8)

No other alternative - (7)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (6)

Confidentiality - that use of services would not breach confidentiality of research or people (3)

Subject - appropriate subject expertise not available in-house (15)

See previous answers (25)

19a. What would make it more likely that your institution would seek assistance/expertise from an independent investigative firm?

Costs - low or no costs for services (24)

Qualifications - that they have a reputation, references, or proven experience in the area of concern or are referred to institution by ORI or other concerned, knowledgeable party (24)

Nothing (19)

Complicated - exceptionally difficult case(s) or caseload, likely lawsuit (16)

No other alternative - (12)

Subject - appropriate subject expertise not available in-house (9)

Objectivity - that this resource would provide most objective perspective (6)

Registry - that an easily accessed and well-defined means of identifying "experts," their qualifications, and current contact information exists (2)

Confidentiality - that use of services would not breach confidentiality of research or people (1)

Proximity - close by (1)

See previous answers (11)

20a. What would make it more likely that your institution would seek advice from the Office of Research Integrity?

Range - the range of services available from advice on select aspects to support of the investigation to a focus on correcting the problem, not punishments (33)

Reputation - that they have a reputation for providing accurate advice and helpful service (24)

Ease of use - if easy to access, knowledge of services, guidelines as to when to call them, etc. (20)

Procedures - ORI staff know the processes and policies (20)

Confidentiality - use of services would not breach confidentiality of research or people (10)

Objectivity - assurance the assistance is without prejudice (8)

Training - provide more training and/or guidelines, onsite and through web (8)

PHS funded - the allegation occurred on a PHS-funded project (5)

Situation - depends on the situation (4)

Resources - expand the ORI staff and budget to allow more onsite services and investigations (2)

See previous answers (6)

Not applicable (3)

20c. Is your institution hesitant to contact the Office of Research Integrity for assistance/expertise for any of the following reasons? (Check all that apply.) Other (specify)

No need to date - have not needed to contact ORI (5)

First organization - would be first place contacted (4)

Perception of "guilt" - ORI seems to assume allegations are justified, not personal vengeance, and/or that there was intentional wrong doing (3)

Slow or no response to inquiry/inconsistent answers - (3)

Lack of confidentiality - (2)

21. Please rank (number) four selections from the following sources of assistance/expertise according to which sources your institution would like to see further developed.

Other (specify)

ORI - would be included in process, often as first contact (4)

Legal guidance (2)

Necessary - all sources are necessary (2)

Start in-house (2)

Small Business Organizations - (1)

Ad Hoc Comments

Focus on developing good policies, more education to ensure appropriate conduct rather than focus on misconduct - (3)