

Agency Information

Agency	National Credit Union Administration
Agency Abbreviation	NCUA
FOIA Annual Report Year	2010
Date Prepared	2010-11-19

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Total Number of Times Relied Upon by Agency
31 U.S.C. § 5319	Suspicious Activity Report(s) (SAR)	None	1

V. FOIA REQUESTS / A. Received, Processed and Pending FOIA Requests

	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AGENCY OVERALL	14	163	167	10

V. FOIA REQUESTS / B. (1) Disposition of FOIA Requests – All Processed Requests

	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									Total
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in chart below	
AGENCY OVERALL	71	54	10	17	1	4	1	0	6	0	3	0	167

V. FOIA REQUESTS / B. (2) Disposition of FOIA Requests – Other Reasons

	Description of “Other” Reasons for Denials from Chart B(1)	Number of Times “Other” Reason Was Relied Upon
AGENCY OVERALL		0

V. FOIA REQUESTS / B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AGENCY OVERALL	0	17	0	24	23	42	5	0	0	0	0	0	36	0

VI. ADMINISTRATIVE APPEALS / A. Received, Processed and Pending Administrative Appeals

	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
AGENCY OVERALL	2	12	12	2

VI. ADMINISTRATIVE APPEALS / B. Disposition of Administrative Appeals – All Processed Appeals

	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
AGENCY OVERALL	5	4	2	1	12

VI. ADMINISTRATIVE APPEALS / C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AGENCY OVERALL	0	2	1	2	2	5	0	0	0	0	0	0	4	0

VI. ADMINISTRATIVE APPEALS / C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
2	0	0	1	0	0	0	0	0	0	0

VI. ADMINISTRATIVE APPEALS / C. (3) Reasons for Denial on Appeal – Other Reasons

	Description of “Other” Reasons for Denial on Appeal from Chart C(2)	TOTAL
AGENCY OVERALL		0

VI. ADMINISTRATIVE APPEALS / C. (4) Response Time for Administrative Appeals

	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	18.50	21.25	8.00	64.00

VI. ADMINISTRATIVE APPEALS / C. (5) Ten Oldest Administrative Appeals

	10 th Oldest Appeal	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Appeal
Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-23	2010-05-14
Number of Days Pending	0	0	0	0	0	0	0	0	5	96

VII. A. Processed Requests – Response Time for All Processed Perfected Requests

	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	14	15	<1	134	39	43	13	123	12	32	10	74

VII. B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	14	16.4	1	134	40.5	46.7	16	123	74	74	74	74

VII. C. Processed Requests – Response Time in Day Increments – Simple Requests

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AGENCY OVERALL	115	22	6	0	1	0	1	0	0	0	0	0	0	145

VII. C. Processed Requests – Response Time in Day Increments – Complex Requests

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AGENCY OVERALL	5	5	6	0	2	0	1	0	0	0	0	0	0	19

VII. C. Processed Requests – Response Time in Day Increments – Requests Granted Expedited Processing

	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AGENCY OVERALL	2	0	0	1	0	0	0	0	0	0	0	0	0	3

VII. D. Pending Requests – All Pending Perfected Requests

	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AGENCY OVERALL	6	32	33.8	2	11.5	11.5	2	44.5	44.5

VII. E. Pending Requests – Ten Oldest Pending Perfected Requests

AGENCY OVERALL	10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
Date of Receipt	2010-09-22	2010-09-17	2010-09-13	2010-09-10	2010-08-27	2010-08-24	2010-08-07	2010-07-14	2010-06-29	2010-06-28
No. of Days Pending	6	9	13	14	23	26	38	55	65	66

VIII. A. Requests For Expedited Processing

	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number of Adjudicated Within 10 Calendar Days
AGENCY OVERALL	3	1	1.5	1.5	4

VIII. B. Requests For Fee Waiver

	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AGENCY OVERALL	12	4	1.5	2.3

IX. FOIA Personnel and Costs

	Personnel			Costs		
	Number of Full-Time FOIA Employees	Number of Equivalent Full-Time FOIA Employees	Total Number of Full-Time FOIA Staff	Processing Costs	Litigation-Related Costs	Total Costs
AGENCY OVERALL	0	1.48	1.48	\$142,596	0	\$142,596

X. Fees Collected For Processing Requests

	Total Amount of Fees Collected	Percentage of Total Costs
AGENCY OVERALL	\$2,201.35	1.54%

XII. A. Backlogs of FOIA Requests and Administrative Appeals

	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AGENCY OVERALL	5	1

XII. B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at NCUA as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Processed</u> by NCUA During the Fiscal Year	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at NCUA as of <u>end</u> of the Fiscal Year
AGENCY OVERALL	0	0	0	0

XII. C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies Pending at NCUA

AGENCY OVERALL	10 th Oldest Consultation	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Consultation
Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Number of Days	0	0	0	0	0	0	0	0	0	0

XII. D. 1. Comparison of Numbers of Requests from Previous and Current Annual Report

	Number of Requests <u>Received</u>		Number of Requests <u>Processed</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
AGENCY OVERALL	193	163	184	167

XII. D. 2. Comparison of Backlogged Requests from Previous and Current Annual Report

	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of Fiscal Year from Current Annual Report
AGENCY OVERALL	0	5

XII. E. 1. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report

	Number of Appeals <u>Received</u>		Number of Appeals <u>Processed</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
AGENCY OVERALL	12	12	10	12

XII. E. 2. Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of Fiscal Year from Current Annual Report
AGENCY OVERALL	0	1