

**ANIMAL PROGRAM SEMIANNUAL ASSESSMENT CHECKLIST**  
(Based upon [2011 Guide](#))

DATE: \_\_\_\_\_

	PROGRAM AREAS	GUIDE Page	ACCEPT	Type of Deficiency	
				MINOR	SIGNIF
<b>I</b>	<b><a href="#">ANIMAL CARE &amp; USE PROGRAM</a></b>				
<b>A</b>	<b>Program Management &amp; Responsibility</b>	13-24			
	• Key Management Personnel – IO, Attending Vet, ACUC	13-15			
	• <a href="#">Collaborations</a> – Review of IAAs, MOUs, Contracts ( <a href="#">PM 3040-3</a> )	15			
	• Training & Education – Vets/Professional Staff, Animal Care, Researchers, ACUC	15-17			
	• <a href="#">Occupational Health &amp; Safety</a> – Control & Prevention, Hazard ID & Risk Assessment, Facilities, Equipment & Monitoring, Training, <a href="#">Hygiene</a> , Research Hazards, Protection, <a href="#">Medical Eval</a> ( <a href="#">LAAPP</a> , <a href="#">PM 3044-2</a> , <a href="#">Visitors</a> )	17-23			
	• Personnel Security	23			
	• <a href="#">Investigating &amp; Reporting Animal Concerns</a> (ARAC <a href="#">C2</a> )	23-24			
<b>B</b>	<b>Program Oversight</b>	24-34			
	• Animal Care and Use Committee - Role, <a href="#">Constitution</a> , & Function (ARAC <a href="#">C1,C5</a> )	24-25			
	• Standard Operating Procedure Review	25			
	• <a href="#">Animal Study Proposal Review</a> - de novo, Annual Reviews, <a href="#">Amendments</a> , FCR, DMR	25-33			
	o Use-Benefit Analysis	27			
	o <a href="#">Protocol Endpoints</a>	27-28			
	o Unexpected Outcomes	28-29			
	o Physical Restraint ( <i>Prolonged</i> )	29-30			
	o Multiple Survival Surgery Procedures	30			
	o <a href="#">Food &amp; Fluid Regulation</a>	30-31			
	o <a href="#">Non-Pharmaceutical Grade Compounds</a>	31-32			
	• <a href="#">Post-approval Monitoring</a>	33			
<b>C</b>	<b>Disaster Planning &amp; Emergency Preparedness</b>	35			
<b>II</b>	<b>ENVIRONMENT, HOUSING, &amp; MANAGEMENT</b>				
<b>A</b>	<b>Terrestrial Animal Environment</b> Temp, Humidity, Ventilation, Air Quality, Illumination, Noise & Vibration	42-50			
<b>B</b>	<b>Terrestrial Housing</b> – Primary Enclosure, Enrichment, Outdoor Housing, Naturalistic Environments, Space	50-63			
<b>C</b>	<b>Terrestrial Management</b> - Behavior & Social Management (Activity, Social Environment, Habituation, & Training), Husbandry (Food & Water), Bedding/Nesting Materials, Sanitation (Bedding Change, Waste Disposal, & Effectiveness), Pest Control, Emergency, Weekend, & Holiday Care, Population Management ( <a href="#">Identification</a> , Recordkeeping, <a href="#">Breeding</a> , Nomenclature, & <a href="#">Genetics</a> )	63-77			
<b>D</b>	<b>Aquatic Animal Environment</b> - Water Quality, Support System, Temperature, Humidity, Ventilation, Illumination, Noise & Vibration	77-82			
<b>E</b>	<b>Aquatic Housing</b> – Primary Enclosure, Enrichment, Social Housing, Outdoor Housing, Naturalistic Environments, Space	82-83			
<b>F</b>	<b><a href="#">Aquatic Management</a></b> - Behavior & Social Management, Husbandry (Food, Water, Substrate, Sanitation (Micro & Macroenvironment), Waste Disposal, Pest Control, Emergency, Weekend, & Holiday Care, Population Management (Identification, Recordkeeping)	84-88			
<b>III</b>	<b>VETERINARY CARE</b>				
<b>A</b>	<b>Animal <a href="#">Procurement</a> &amp; Transportation</b> (ARAC <a href="#">A1a</a> , <a href="#">A1b</a> , <a href="#">CC Policy</a> )	106-107			
<b>B</b>	<b>Preventive Medicine</b> - Biosecurity, Quarantine, Stabilization, <a href="#">Separation of Species</a> , & Disease Surveillance, Diagnosis, Treatment, Control ( <a href="#">PM 3043-1</a> , <a href="#">PM3044-1</a> , ARAC <a href="#">D3</a> )	109-113			
<b>C</b>	<b>Clinical Care &amp; Management</b> - Medical Management, Emergency Care, & <a href="#">Recordkeeping</a>	113-115			
<b>D</b>	<b><a href="#">Surgery</a></b> - Training, Planning, Facilities, Procedures, Aseptic Technique, Intra-Op Monitoring, Post-Op Care	115-120			
<b>E</b>	<b>Pain &amp; Distress</b> (ARAC <a href="#">B12</a> , <a href="#">P&amp;D Memo</a> )	120-121			
<b>F</b>	<b>Anesthesia &amp; Analgesia</b> ( <a href="#">PM 1345</a> )	121-123			
<b>G</b>	<b>Euthanasia</b> (ARAC <a href="#">B4</a> , <a href="#">B5</a> )	123-124			
<b>IV</b>	<b>PHYSICAL PLANT</b>				
<b>A</b>	<b>Functional Areas</b> – Housing, Care, Sanitation, Receipt, Quarantine, <a href="#">Separation of Species</a> , Storage	135-136			
<b>B</b>	<b><a href="#">Construction Guidelines</a></b> - Corridors, Doors, Windows, Floors, Drains, Walls/Ceilings, HVAC, Power, Lighting/Light Timer Override, Storage, Noise, Vibration, Sanitization Facilities, Environmental Monitoring	136-143			
	• Safety Devices - Showers, Eyewashes, Escape for Cage/Rack Washers, Autoclaves	143			
<b>C</b>	<b><a href="#">Special Facilities</a></b> - Surgery, Barriers, Imaging, Irradiators, Hazard Containment, Behavior Studies, Aquatics	143-150			
<b>D</b>	<b><a href="#">Security &amp; Access Control</a></b>	151			

ACCEPT = Category found to be in accordance with regulations & policies.

SIGNIF = Significant Deficiency; i.e. may present a threat to the health and safety of animals or humans.

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