| I D_RSSD | Consolidated<br>Assets(\$000) | BHC Name                         | Home Office<br>Location |
|----------|-------------------------------|----------------------------------|-------------------------|
| 2644341  | 65, 389, 115                  | BBVA COMPASS BANCSHARES, INC.    | BIRMINGHAM, AL          |
|          |                               | BOSTON PRIVATE FINANCIAL HOLDI   |                         |
| 1102451  | 1, 026, 150                   | BRI SCOE RANCH, I NC.            | UVALDE, TX              |
| 1098648  | 1, 273, 514                   | CASS INFORMATION SYSTEMS, INC.   | BRIDGETON, MO           |
| 3808131  | 1, 751, 915                   | EDUCATIONAL SERVICES OF AMERIC   | KNOXVI LLE, TN          |
| 3309629  | 615, 482                      | F C HOLDINGS, INC.               | SUGAR LAND, TX          |
| 2624398  | 643, 763                      | FIRST MUTUAL OF RICHMOND, INC.   | RICHMOND, IN            |
| 1206313  | 620, 802                      | FIRST NATIONAL BANCSHARES, INC   | EAST LANSING, MI        |
| 1086131  | 943, 673                      | FIRST NATIONAL BANKERS BANKSHA   | BATON ROUGE, LA         |
| 1246216  | 13, 562, 728                  | FRANKLIN RESOURCES, INC.         | SAN MATEO, CA           |
| 2380443  | 951, 217, 000                 | GOLDMAN SACHS GROUP, INC., THE   | NEW YORK, NY            |
| 1109094  | 2, 673, 517                   | INDEPENDENT BANKERS FINANCIAL    | I RVI NG, TX            |
|          |                               | JLL/FCH HOLDINGS I, LLC          |                         |
| 3832583  | 516, 860                      | MARLIN BUSINESS SERVICES CORP.   | MOUNT LAUREL, NJ        |
| 2945824  | 819, 604, 409                 | METLIFE, INC.                    | NEW YORK, NY            |
| 3142349  | 3, 109, 583                   | MIDAMERICA FINANCIAL CORPORATI   | DES MOINES, IA          |
| 2162966  | 781, 030, 000                 | MORGAN STANLEY                   | NEW YORK, NY            |
| 1274974  | 1, 293, 626                   | SEI INVESTMENTS COMPANY          | OAKS, PA                |
| 3063622  | 5, 465, 694                   | STIFEL FINANCIAL CORP.           | SAINT LOUIS, MO         |
| 3191712  | 417, 665                      | TOLLESON WEALTH MANAGEMENT, IN   | DALLAS, TX              |
| 2291727  | 634, 374                      | UNI TED BANKERS' BANCORPORATI ON | BLOOMINGTON, MN         |
| 1842421  | 1, 835, 037                   | UNITED NATIONAL CORPORATION      | SIOUX FALLS, SD         |
|          |                               |                                  |                         |

Change From 12/31/2011 and Other Notes -----2nd Tier BHC Atypical BHC Atypical BHC Atypical BHC Moved from Peer 3; Atypical BHC 2nd Tier BHC Atypical BHC Atypical BHC Atypical BHC Atypical BHC Moved from Peer 1; Atypical BHC Atypical BHC Atypical BHC Atypical BHC Atypical BHC 2nd Tier BHC Atypical BHC New Y-9C/BHCPR reporter; Atypical BHC Atypical BHC Moved from Peer 5; Atypical BHC Atypical BHC Atypical BHC

Note: Peer Group 9 has 22 bank holding companies.