ANNOUNCEMENT OF FEDERAL FUNDING OPPORTUNITY

OVERVIEW

Federal Agency Name:Corporation for National and Community ServiceFunding Opportunity Title:AmeriCorps State and National Grants FY 2013Announcement Type:RevisedCFDA Number:94.006

Dates: Applications are **due Wednesday**, **February 6**, **2013** at 5:00 p.m. Eastern Time. Successful applicants will be notified no later than June 14, 2013, contingent on full year appropriations.

The mission of the Corporation for National and Community Service (CNCS) is to improve lives, strengthen communities, and foster civic participation through service and volunteering. CNCS—through its AmeriCorps and Senior Corps programs and the Social Innovation Fund has helped to engage millions of citizens in meeting community and national challenges through service and volunteer action.

This AmeriCorps State and National (AmeriCorps) *Notice of Federal Funding Opportunity Notice* (*Notice*) will focus grant making for fiscal year 2013 in six focus areas identified by the Serve America Act (SAA):

- Disaster Services.
- Economic Opportunity.
- Education.
- Environmental Stewardship.
- Healthy Futures.
- Veterans and Military Families.

In order to maximize the impact of the public investment in national service, CNCS will fund programs that can demonstrate community impact and solve community problems using an evidence-based or evidence-informed approach (e.g. performance data, research, theory of change).

In accordance with the Obama Administration's emphasis on open government, CNCS is committed to greater openness and transparency in grantmaking. Section V of this *Notice* includes a description of the review and selection process.

The following information pertaining to this competition for new and recompete applications will be published on the CNCS website (<u>http://nationalservice.gov/about/open/grants.asp</u>), within 90 business days after all grants are awarded:

- A blank template of external review form.
- A list of all compliant applications submitted.
- Executive summaries of all compliant applications prepared by the applicants as part of the application.

- Copies of the SF424 and Program Narrative submitted by applicants for successful applicants.
- Summaries of external reviewer's comments for successful applications.

Publication of this *Notice* does not obligate CNCS to award any specific number of grants or to obligate the entire amount of funding available.

I. FUNDING OPPORTUNITY DESCRIPTION

A. Purpose of AmeriCorps funding

AmeriCorps grants are awarded to eligible organizations engaged in evidence-based or evidenceinformed (e.g. performance data, research, theory of change) interventions that use AmeriCorps members to strengthen communities. An AmeriCorps member is an individual who engages in community service through an approved national service position. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members receive a Segal AmeriCorps Education Award from the National Service Trust.

For nearly two decades, the Corporation for National and Community Service (CNCS) has invested in community solutions across the nation -- working hand in hand with local partners to improve lives, expand economic opportunity, and engage millions of Americans in solving problems in their communities.

With its unique structure as a public-private partnership and its cost-effective model of engaging citizens and leveraging outside resources, national service offers a quadruple bottom line return on investment: benefiting the recipients of service, those who serve, and local communities and our nation.

Through all its programs, CNCS expands economic opportunity – helping Americans acquire the skills, education, and training they need for productive employment. By helping more Americans graduate, purse higher education, and find work, national service provides immediate and long term benefits, by expanding individual opportunity, building family stability, and creating more sustainable, resilient communities.

Through AmeriCorps and other programs, CNCS brings vital leadership, resources, and coordination to some of the most pressing challenges facing America: educating students for jobs of the 21st century, supporting individuals, families, and neighborhoods on the road to economic recovery; addressing the needs of military families and a new generation of veterans returning from war; helping communities rebuild after natural disasters; strengthening energy efficiency and improving at-risk ecosystems; and providing healthy futures.

CNCS believes that all Americans should have opportunities to participate in national service, including those communities that have been traditionally underrepresented in national service, such as rural residents, veterans and military families, Native Americans, and "Opportunity Youth," the one in six young people (ages 16-24) who are disconnected from school or work. CNCS recognizes that service can create powerful pathways to education and

employment for these populations, transforming their communities and creating broad economic benefit for the country.

In order to carry out Congress' intent and to maximize the impact of investment in national service, CNCS is targeting AmeriCorps funding in the following focus areas:

Disaster Services:

Grant activities will:

- Increase the preparedness of individuals.
- Improve individuals' readiness to respond.
- Help individuals recover from disasters.
- Help individuals mitigate disasters.

Economic Opportunity:

Grants will provide support and/or facilitate access to services and resources that contribute to the improved economic well-being and security of economically disadvantaged people. Grant activities will help economically disadvantaged people to:

- Have improved access to services and benefits aimed at contributing to their enhanced financial literacy.
- Transition into or remain in safe, healthy, affordable housing.
- Have improved employability leading to increased success in becoming employed.

Education:

Grants will provide support and/or facilitate access to services and resources that contribute to improved educational outcomes for economically disadvantaged individuals, especially children. CNCS is particularly interested in program designs that support youth engagement and service-learning as strategies to achieve improved academic outcomes. Grant activities will improve:

- School readiness for economically disadvantaged young children.
- Educational and behavioral outcomes of students in low-achieving elementary, middle, and high schools.
- The preparation for and prospects of success in post-secondary education institutions for economically disadvantaged students.

Environmental Stewardship:

Grants will provide support for direct services that contribute to increased energy and water efficiency, renewable energy use, or improving at-risk ecosystems. In addition, grants will support increased citizen behavioral change leading to increased efficiency, renewable energy use, and ecosystem improvements particularly for economically disadvantaged households and communities. Grant activities will:

- Decrease energy and water consumption.
- Improve at-risk ecosystems.
- Increase behavioral changes that lead directly to decreased energy and water consumption or improved at-risk ecosystems.
- Increase green training opportunities that may lead to decreased energy and water consumption or improved at-risk ecosystems.

In addition, the Administration is exploring ways to provide service, training, education, and employment opportunities for young Americans through protecting, restoring and enhancing public and tribal lands. CNCS is exploring potential programs along the lines of a "21st century Civilian Service Corps" that can facilitate conservation service work on public lands and encourage a new generation of natural resource managers and environmental scientists, particularly in low income and disadvantaged communities.

Healthy Futures:

Grants will meet health needs within communities including access to care, aging in place, and childhood obesity. Grant activities will:

- Improve access to primary and preventive health care for communities served by CNCS-supported programs.
- Increase seniors' ability to remain in their own homes with the same or improved quality of life for as long as possible.
- Increase physical activity and improve nutrition in youth with the purpose of reducing childhood obesity.

Veterans and Military Families:

Grants will positively impact the quality of life of veterans and improve military family strength. Grant activities will increase:

- The number of veterans and military service members and their families served by CNCS-supported programs.
- The number of veterans and military family members engaged in service through CNCS-supported programs.

Capacity Building:

In addition to the six focus areas described above, grants also will provide support for capacity building activities provided by national service participants. As a general rule, CNCS considers capacity building activities to be *indirect services* that enable CNCS-supported organizations to provide more, better, and sustained *direct services* in CNCS' six focus areas. Capacity building activities cannot be solely intended to support the administration or operations of the organization. Examples of capacity building activities include:

- Recruiting and/or managing community volunteers.
- Implementing effective volunteer management practices.
- Completing community assessments that identify goals and recommendations.
- Developing new systems and business processes (technology, performance management, training, etc.) or enhancing existing systems and business processes.

Encore Programs:

Congress set a goal that 10 percent of AmeriCorps funding should support encore service programs that engage a significant number of participants age 55 or older. CNCS seeks to meet that 10 percent target in this competition and encourages encore programs to apply.

National Performance Measures:

The SAA emphasizes measuring the impact of service and focusing on a core set of issue areas. CNCS' five-year Strategic Plan establishes an ambitious set of objectives that support the mission and goals to implement the SAA. These strategic goals guided the development of sixteen agency-wide Priority Measures. National Performance Measures allow CNCS to demonstrate aggregated impact of all its national service programs, including AmeriCorps State and National. They are divided in two categories: Priority Measures and Complementary Program Measures. For more information, please refer to the National Performance Measure Instructions.

B. 2013 AmeriCorps Funding Priorities

In the FY 2013 AmeriCorps competition, CNCS seeks to prioritize the investment of national service resources in economic opportunity, education, veterans and military families, disaster services, and the Governor and Mayor Initiative (described more fully below). CNCS will continue to focus on national service programs that improve academic outcomes for children, youth, and young adults. This focus reflects the extensive experience and past success of national service programs in education, and aligns with the efforts of the Department of Education. In addition, CNCS seeks to increase its investment in programs that serve veterans and military families or engage veterans and military families in service. CNCS will also focus investment in programs that increase community resiliency through disaster preparation, response, recovery, and mitigation.

CNCS will focus investment in programs that increase economic opportunities for community and AmeriCorps members.

Applicants proposing programs that receive priority consideration are not guaranteed funding. CNCS will seek to build a diversified portfolio across the focus areas, and other considerations outlined below in Section V. B. Furthermore, programs must demonstrate significant program focus, design, and impact to receive priority consideration. CNCS will give priority consideration to applicants in the following Tiers, in descending order of preference:

Tier 1: Programs that select:

- Complementary Program Measures in Economic Opportunity (Programs that select O12, O14, O15, O17 must also select an additional Priority or Complementary Program Measure from Tier 1, 2, or 3 that measures community impact), or
- Priority Measures in Education and serve in schools that received awards under the School Improvement Grants (SIG) program and are implementing one of the SIG school intervention models and/or Priority Schools identified by a State educational agency (SEA) that has received approval from the Department of Education of its request for Elementary and Secondary Education Act (ESEA) flexibility, or
- Priority Measures in Veterans and Military Families, or
- Priority Measures in Disaster Services, or
- Governor and Mayor Initiative.

NOTE: Programs that fit these Priority or Complementary Program Measures are <u>required</u> to use these measures. These measures can be found in Section IX, Tier 1. Applicants whose members will be serving in schools that received awards under the School Improvement Grants

(SIG) program and are implementing one of the SIG school intervention models and/or Priority Schools identified by a State educational agency (SEA) that has received approval from the Department of Education of its request for Elementary and Secondary Education Act (ESEA) flexibility must check the box on the Performance Measure tab in eGrants "SIG/Priority Schools" to be considered for Tier 1. The "NCES School ID" will need to be entered in the service location information at the time the members are enrolled.

Tier 2: Programs that select Priority Measures in:

- Education that are not serving in schools that received awards under the School Improvement Grants (SIG) program and are implementing one of the SIG school intervention models and/or Priority Schools identified by a State educational agency (SEA) that has received approval from the Department of Education of its request for Elementary and Secondary Education Act (ESEA) flexibility, or
- Environmental Stewardship, or
- Healthy Futures, or
- Capacity Building.

NOTE: Programs that fit these Priority Measures are <u>required</u> to use these measures. These measures can be found in Section IX, Tier 2.

Tier 3: Programs that select Complementary Program Measures. These measures can be found in Section IX. Tier 3.

Tier 4: Programs in the Focus Areas with self-nominated measures.

Tier 5: Programs outside the Focus Areas with self-nominated measures.

Continuation requests for expansion will receive priority consideration and preference in the same manner as described above.

Governor and Mayor Initiative:

CNCS will be piloting the Governor and Mayor Initiative and it will be given priority consideration in Tier 1. CNCS sets as a goal that 10% of the available funds for this year's grant competition will support this initiative.

CNCS will accept one application per state. The application must address a pressing challenge the Governor wishes to solve in her or his state. A Governor must apply with one Mayor in his or her state and a minimum of two nonprofits. In conjunction with the Mayor, the Governor will be responsible for identifying and selecting those nonprofits that are best able to achieve a demonstrated positive impact on the problem. The application should include letters of commitment from selected partnering nonprofit entities, or describe the process that the Governor and Mayor will use to select the nonprofit entities. The application, submitted to the State Commission, will respond to the application criteria and explain how several nonprofits working together, with the Governor's office serving as a convener, will effectively deploy AmeriCorps members for a collective impact. For example, a Governor and a Mayor in her state could conclude the most pressing challenge facing the state is its high school graduation rate. Together, they could decide to focus on the elementary, junior high, and senior high schools in the Mayor's town. The Governor and/or Mayor would submit one application describing:

- How the partnership will be organized and AmeriCorps resources will be allocated between the partnering entities (State, locality, and nonprofit entities).
- The proposed theory of change and program model. If the program model has not yet been fully developed, this should outline the approach that the consortium will take to ensure that implementation can begin within 90 days of grant award.
- How they will utilize an identified consortium of four nonprofits that are well positioned to impact outcomes for those three schools using their theory of change. For example, nonprofit A operates an AmeriCorps program whose members are focused on increasing literacy gains. Nonprofit B operates an AmeriCorps program whose members are focused on school readiness. Nonprofit C operates an AmeriCorps program whose members are focused on increased food security. Nonprofit D operates an AmeriCorps program whose members are focused on increased food security. Nonprofit D operates an AmeriCorps program whose members are focused on increased food security.

In geographic locations where other city, state, and place-based initiatives are under way, CNCS encourages Governors and Mayors to partner with these public/private partnerships supported by non-profits, faith-based and community organizations, the private sector, local and state elected leadership, as well as city or neighborhood focused federal initiatives. Programs that operate in the summer and engage middle school youth in building skills would be an appropriate program design for this Initiative.

Applications submitted as part of the Governor and Mayor Initiative must check the "Governor and Mayor Initiative" box in the Performance Measure tab and email a letter of endorsement cosigned by the Governor and Mayor, as well as signed letters of commitment from partnering nonprofits to <u>americorpsgrants@cns.gov</u> in order to be considered for this Initiative. The subject line of the email must include [Your State] Governor and Mayor Initiative.

Note: Only the Governor, Mayor, or their designated government office may apply for grants under the Governor and Mayor initiative. Applications from other entities will be deemed unresponsive.

II. AWARD INFORMATION

A. Funding Available

CNCS expects a highly competitive AmeriCorps FY 2013 grant competition. CNCS expects to award new, recompeting, and continuation AmeriCorps grants. The actual level of funding will be subject to the availability of annual appropriations which have not yet been made. In awarding funds, CNCS considers continuation grants first, followed by new and recompeting grants.

B. Award Amount

Awards will be for funds and AmeriCorps member slots. CNCS will review applications and determine the appropriate award amount, if any. Award amounts vary.

C. Award Period

Unless otherwise specified, the grant generally covers a three-year project period. In approving a multi-year project period, CNCS generally makes an initial award for the first year of operation. In most cases, the application is submitted with a one-year budget. Continuation funding is contingent upon satisfactory performance, demonstrated capacity to manage the grant and comply with grant requirements, and availability of Federal appropriations. CNCS reserves the right to adjust the amount of a grant award for subsequent years, or elect not to continue funding on any or all these bases.

D. Types of Grants

The types of AmeriCorps grants in this competition are described below. CNCS will not provide more than one grant for the same project in one fiscal year. CNCS recommends consulting the AmeriCorps State and National <u>Fit Finder</u> to decide which type of grant best aligns with your proposed project (<u>http://www.americorps.gov/fitfinder/</u>).

1. AmeriCorps State Grants

These grants are for organizations planning to operate an AmeriCorps program in a single state. Organizations apply through State Commissions.

2. National Direct Grants

These grants are for organizations planning to operate in more than one state. Organizations apply directly to the CNCS.

3. Education Award Program (EAP) Fixed amount Grants

These grants are awarded to applicants that apply for a small fixed-amount grant and use their own or other resources for the majority of member living allowance and program costs. There is no match requirement for EAP grants. Unlike full-time fixed-amount grants, EAPs may enroll less-than-full-time members in addition to full-time members.

4. Professional Corps Grants

These grants are awarded to organizations that propose to operate a Professional Corps program in a single or multiple states. Professional corps programs recruit and place qualified members in positions as teachers, health care providers, police officers, engineers, or other professionals in communities with an inadequate number of such professionals. Applicants are eligible to apply for funding for a portion of program costs. Professional Corps member salaries are paid entirely by the organizations with which the members serve, and are not included in the budget. These grants can either be fixed amount or reimbursement grants.

5. Indian Tribe Grants

These grants are competitively awarded to Indian Tribes. An Indian Tribe is defined as a federally recognized Indian Tribe, band, nation, or other organized group or community, including any Native village, Regional Corporation, or Village Corporation, as defined under the

Alaska Native Claims Settlement Act (43 U.S.C. § 1602), that the United States Government determines is eligible for special programs and services provided under federal law to Indians because of their status as Indians. Indian Tribes also include tribal organizations controlled, sanctioned, or chartered by one of the entities described above.

A nonprofit that desires to apply for a grant as a "tribal organization" on behalf of a federallyrecognized tribe, or multiple specific federally-recognized tribes, must submit a sanctioning resolution adopted by the Tribal Council (or comparable tribal governing body) of <u>each</u> tribe. The resolution must identify the nonprofit by name as a "tribal organization" and it must authorize the nonprofit organization to act on behalf of and include the tribe in a CNCS grant application for the purpose of conducting the activities and providing the services described in the application.

E. Types of Funding

AmeriCorps grants can be awarded on a cost reimbursement or fixed amount basis.

1. Cost Reimbursement Grants

Cost reimbursement grants fund a portion of program operating costs and member living allowances with flexibility to use all of the funds for allowable costs regardless of whether or not the program recruits and retains all AmeriCorps members. These grants are awarded to organizations operating in a single state or in more than one state.

2. Stipended Full-time Fixed Amount Grants (Non-EAP)

Stipended full-time fixed amount grants are available for programs that enroll full-time members only. The grants provide a fixed amount per MSY. Programs can access all of the funds provided if they recruit and retain the members supported under the grant based on the MSY level awarded. Organizations use their own or other resources to cover the remaining cost of the program. Programs are not required to submit budgets or financial reports and there is no match requirement. However, CNCS provides only a portion of the cost of running the program and organizations must still raise the additional resources needed to run the program.

Stipended fixed-amount grants are only available to recompeting programs. Second and thirdyear continuation applicants with cost reimbursement grants must submit a new application if they are interested in applying for a fixed amount grant.

Stipended fixed amount applicants in the Education Focus Area will be required to select either a Priority Education Measure or Complementary Program Measure. Applicants proposing non-Education programs may select from Tiers 1-5. All performance measures will be negotiated prior to grant selection and award. Approved applicants must agree to participate in any relevant evaluations conducted by CNCS.

3. Education Award Fixed Amount Grants

Programs apply for a small fixed amount per MSY and use their own resources to cover all other costs. Programs are not required to pay a living allowance to less-than-fulltime members and can access funds under the grant based on enrolling the full complement of members supported under the grant. As with stipended fixed amount grants, there are no match or financial reporting

requirements. Unlike full-time fixed-amount grants, EAPs may enroll less-than-full-time members in addition to full-time members.

4. Professional Corps Fixed Amount Grants

Programs apply for a fixed amount per MSY and enroll only full-time members. Programs can access all of the funds awarded if they recruit and retain all of their members. Professional Corps member salaries are paid entirely by the organizations with which the members serve and are not included in the budget. As with stipended fixed amount grants, there are no match or financial reporting requirements.

III. ELIGIBILITY

A. Eligible to apply

Public or private nonprofit organizations, including faith-based and other community organizations; institutions of higher education; government entities within states or territories (e.g., cities, counties); Indian Tribes; labor organizations; partnerships and consortia; and intermediaries planning to subgrant funds awarded are encouraged to apply.

Organizations that have been convicted of a Federal crime are disqualified from receiving the assistance described in this *Notice*. Pursuant to the Lobbying Disclosure Action of 1995, an organization described in Section 501 (c)(4) of the Internal Revenue code of 1986, 26 U.S.C. 501 (c)(4) that engages in lobbying activities is not eligible to apply.

Current AmeriCorps grantees may apply for assistance. Receiving funding previously from CNCS or another Federal agency is not a prerequisite to applying under this Notice.

New Applicants

CNCS encourages organizations that have never received funding from CNCS or AmeriCorps to apply for the grants described in this *Notice*. New organizations should submit applications with the understanding that the general practice is to award no more than 50 member slots for new grantees.

1. States and Territories with Commissions

Organizations that are proposing a project that operates in only one state must apply to this competition through the Governor-appointed State or Territory Commissions. Each state and territory administers its own selection process and puts forward to CNCS the applicants they select to compete for funding. Organizations should contact their State Commissions to learn about their state or territory processes and deadlines. The list of State and Territory Commissions can be found here: <u>http://www.americorps.gov/about/contact/statecommission.asp</u>.

2. Territories without Commissions

Applicants in the Commonwealth of the Northern Mariana Islands, American Samoa, and the U.S. Virgin Islands apply directly to CNCS because these Territories have not established a state commission. Applicants are eligible to apply for funds for member support and program costs. Government entities of the Territory are not eligible to apply. CNCS will fund successful applicants with the Territory's allocated formula grant funding.

3. Multi-State Applicants

Organizations that propose to operate AmeriCorps programs in more than one state or territory apply directly to CNCS.

4. Indian Tribes

CNCS sets aside one percent of grant funds to support programs operated by Indian Tribes and selected by CNCS on a competitive basis. Tribes apply directly to CNCS to access this pool of funds.

B. Match Requirements

1. Fixed amount Grants

There is no match requirement for fixed amount grants. However, the fixed amount grant does not cover all costs. Fixed amount grantees provide the additional resources needed to operate the program, but are not required to track or report on them.

2. Cost Reimbursement Grants (non-fixed amount)

A first-time successful applicant is required to match at 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten, according to the minimum overall share chart found in 45 CFR §2521.60.

Section 121(e)(5) of the National Community Service Act requires programs that use other federal funds as match for an AmeriCorps grant to report the amount and source of these funds to CNCS on the Federal Financial Report.

The living allowance or salary provided to Professional Corps AmeriCorps members does not count toward the matching requirement.

3. Indian Tribes

Indian Tribal Government programs are subject to the same matching requirements as other grantees. However, in accordance with Executive Order 13175, "Consultation and Coordination with Indian Tribal Governments," CNCS may expedite approving waivers of matching requirements. Tribal governments that require a waiver must submit a request at least 60 days before the AmeriCorps application is due. For full information on applying for a Tribal waiver, send a request for instructions to <u>TribalMatchWaiver@cns.gov</u>.

4. Alternative Match

Under certain circumstances, applicants may qualify to meet alternative matching requirements that increase over the years to 35 percent instead of 50 percent as specified in the regulations at 45 CFR §2521.60(b). To qualify, applicants must demonstrate that the proposed program is either located in a rural county or in a severely economically distressed community as defined in the Application Instructions. Applicants that plan to request an alternative match schedule must submit a request at least 60 days prior to the application deadline. State programs submit your request for alternative match to your State Commission. State Commissions submit on behalf of your state programs and Multi-State Program submit your request to ACAlternateMatchScheduleRequests@cns.gov.

C. Dun and Bradstreet Universal Numbering System (DUNS) and System for Award Management (SAM)

Applications must include a DUNS number and an Employer Identification Number. The DUNS number does not replace an Employer Identification Number. All applicants must be registered with the Systems for Award Management (SAM).

DUNS numbers may be obtained at no cost by calling the DUNS number request line at (866) 705-5711 or by applying online: https://www.sam.gov/portal/public/SAM/.The website indicates a 24-hour e-mail turnaround time on requests for DUNS numbers; however, registering at least 30 days in advance of the application due date is suggested. Expedited DUNS numbers may be obtained by following instructions found here: <u>http://smallbusiness.dnb.com/establish-your-business/12334338-1.html</u>.

SAM is combining federal procurement system and the catalog of Federal Domestic Assistance into one new system. SAM collects, validates, stores, and disseminates data in support of Federal agency contracts, grant awards, cooperative agreements, and other forms of federal assistance. All grant recipients are required to maintain a valid registration, which must be renewed annually.

Applicants that are not already registered with SAM are urged to begin the registration process immediately in order to avoid any delays in submitting applications. Applicants must have a DUNS number in order to register with SAM. To register online go towww.SAM.gov.

D. Other Requirements

1. Member Living Allowance

The proposed budget must include a living allowance for full-time members that is between \$12,100 (minimum) and \$24,200 (maximum) per member except as noted below. A living allowance is not considered a salary or a wage.

Programs are not required to provide a living allowance for members serving in less than fulltime terms of service. If a program chooses to provide a living allowance to a less than full-time member, it must comply with the maximum limits in the Table below. For cost-reimbursement grants, the amount must be included in the proposed budget as either CNCS or grantee share.

While fixed-amount grant applicants are not required to submit detailed budgets, they are still required to provide a living allowance that complies with the minimum and maximum requirements to members. Fixed-amount grant applicants are not required to indicate that amount in the application.

Tuble 1. Minimum and Maximum Elving Milowanee			
Service Term	Minimum # of Hours	Minimum Living Allowance	Maximum Total Living Allowance
Full-time	1700	\$12,100	\$24,200
One-year Half-time	900	n/a	\$12,800
Reduced Half-time	675	n/a	\$9,600
Quarter-time	450	n/a	\$6,400
Minimum-time	300	n/a	\$4,260

Table 1: Minimum and Maximum Living Allowance

2. Exceptions to the Living Allowance Requirements

(for programs existing prior to September 21, 1993)

If a program existed prior to September 21, 1993, a living allowance is not required. If an applicant chooses to offer a living allowance, it is exempt from the minimum requirement of \$12,100, but not the maximum requirement of \$24,200.

EAP Fixed amount Grantees

EAP grantees are not required to provide a living allowance for less-than-fulltime members, but if a living allowance is provided, it must comply with the maximum requirements set forth in the Living Allowance Table above.

Professional Corps Grantees

Professional Corps members must receive a living allowance or salary, which must meet the minimum, but may exceed the maximum living allowance set in the Living Allowance Table above. Professional Corps member salaries are paid entirely by the organizations with which the members serve, and are not included in the budget.

3. Maximum Cost per Member Service Year (MSY)

Maximum Costs per MSY are set forth in Table 2 below. CNCS considers comparative costs per MSY in making funding decisions. New applicants that submit with a low cost per MSY and recompeting applicants that submit with a lower cost per MSY than previously funded may receive higher priority for funding. One MSY is equivalent to at least 1700 service hours, a full-time AmeriCorps position. The CNCS cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested. It does not include child care or the value of the education award a member may earn. The maximum amount an applicant may request from CNCS per MSY is determined on an annual basis.

Continuation and recompeting grantees requesting a higher cost per MSY than in the previous year must include a compelling rationale for this increased cost. This applies even if the increased cost per MSY is less than the maximum.

New and recompeting State Commission sub-grantees/applicants will be held to the maximum cost per MSY for their grant type. This does not affect continuation programs currently funded at a higher cost per MSY.

CNCS will consider higher cost per MSY for Indian Tribes programs that include a justification for the higher cost in their application. This explanation should detail the lack of local resources that make a higher cost per MSY necessary. Please include this request as part of the response to the Cost Effectiveness and Budget Adequacy section of the selection criteria.

Grant Program	Maximum
Individual Competitive State Program (not EAP)	\$13,300
Multi-state (not EAP)	\$13,300
Indian Tribes	\$13,300
Professional Corps (Cost Reimbursement)	\$2,500
Professional Corps Fixed-amount Grant	\$2,000
Education Award Program Fixed-amount Grant	\$800
Stipended Program Fixed-amount Grant	\$13,000
State Commission Formula Prime	\$18,000
Individual State Formula Program	\$20,000
State Commission Average (of all its subgrants)	\$13,300

Table 2: 2013 Maximum Cost per MSY

This NOFO is for the competitive process not the state formula process.

4. Member Enrollment Requirements for Existing Grantees

We expect grantees to enroll all the members included in their grant award. Enrollment rates have a direct bearing on the number of slots and the size of awards approved in the continuation and recompete processes. Continuation and recompeting grantees that have not achieved full enrollment in the previous year must provide an explanation and a corrective action plan in their application. Successful applicants that do not fully enroll are likely to receive a decrease in funding in subsequent years.

5. Member Retention Requirements for Existing Grantees

We expect grantees to pursue the highest retention rate possible. Retention rates will have a direct bearing on the number of slots and the size of awards approved in the continuation and recompete processes. Successful applicants that do not retain their members are likely to receive a decrease in funding in subsequent years. We recognize retention rates may vary among equally effective programs depending on the program model. Continuation or recompeting grantees that have not achieved full retention in the most recently completed program year must provide an explanation and a corrective action plan in their application.

6. Amount of the Segal AmeriCorps Education Award for FY 2013

AmeriCorps members serving in programs funded with FY 2013 dollars who successfully complete a term of service will receive an Education Award from the National Service Trust of \$5,550 for a year of full-time service, with correspondingly smaller awards for less-than-full-time service. The amount of the Education Award is determined on the basis of the Pell Grant award. A member has up to seven years after his or her term of service has ended to use the award.

Term of Service	Minimum # of Hours	FY13 Education Award
Full Time	1700	\$5,550
One-Year Half Time	900	\$2,775
Two-Year Half Time	900	\$2,775
Reduced Half Time	675	\$2,114
Quarter Time	450	\$1,468
Minimum Time	300	\$1,175

Table 3: Term of Service and FY13 Education Award

IV. APPLICATION AND SUBMISSION INFORMATION

A. How to Apply

Organizations that propose to operate in one state apply directly to the State Commission for the state in which they will operate. Single-state applicants must contact their state commissions for state-specific deadlines and application instructions. Organizations that propose to operate in more than one state apply directly to CNCS. Organizations in states and territories without commissions apply directly to CNCS. Indian Tribes may apply through State Commissions, or directly to CNCS.

This *Notice* should be read together with the AmeriCorps Regulations, 45 CFR §§ 2520–2550, the Application Instructions, and the Performance Measure Instructions which are incorporated by reference. The Notice and Application Instructions can be found at http://www.americorps.gov/for_organizations/funding/nofa.asp. The full regulations are available online at <u>www.gpoaccess.gov/ecfr</u>. The TTY number is 800-833-3722. For a printed copy of related material, call 202-606-7508.

1. Notice of Intent to Apply

State Commissions, multi-state applicants, and Indian Tribes are strongly encouraged to send a Notice of Intent to Apply by e-mail to americorpsgrants@cns.gov by November 27, 2012. Single state programs applying through a State Commission should not provide this information.

Submitting a Notice of Intent will help CNCS plan more efficiently for external and internal review. Include the name of the applicant organization, address, contact person, e-mail address, and phone number in the Notice of Intent. Applicants should state the type of grant they intend to apply for. State Commissions are encouraged to include an estimate of the number of programs planned for submission. Providing an e-mail address will also allow CNCS to contact applicants directly if application materials are updated.

2. Submission Dates and Times

The deadline for applications is 5:00 pm Eastern Time on Wednesday February 6, 2013. CNCS will not consider applications received after the deadline, except when noted below. CNCS reserves the right to extend the submission deadline and any notice of such extended deadline will be posted in eGrants. This deadline applies to continuation grantees, as well as new and recompeting applicants.

3. Late Applications

CNCS may, in its discretion, consider an application received after the deadline, but only if the applicant submits a letter to <u>LateApplications@cns.gov</u> explaining the extenuating circumstance which caused the delay. The letter must be received no later than 5:00 pm Eastern time on February 7, 2013. If the applicant experiences technical difficulties with eGrants that are unresolved, the correspondence must include the eGrants help desk ticket number. CNCS determines whether or not to accept a late application for review on a case-by-case basis.

If extenuating circumstances make the use of eGrants impossible, applicants may send a hard copy of the application to the address provided in Section VII. Agency Contacts, via overnight carrier. Please use a non-U.S. Postal Service because of security-related delays in receiving mail from the U.S. Postal Service. All deadlines and requirements in this *Notice* apply to hard copy applications. Hard copy applications must include a cover letter detailing the circumstances that make it impossible to submit via e-Grants. CNCS does not accept applications submitted via fax or e-mail.

B. Content and Form of Application Submission

1. Submitting in eGrants

Applicants must submit their applications electronically via the CNCS web-based system, <u>eGrants</u>. It is recommended that applicants create an eGrants account and begin the eGrants application creation process at least three weeks before the deadline. Applicants should draft the application as a word processing document, then copy and paste the document into the appropriate eGrants fields no later than 10 days before the deadline.

Contact the National Service Hotline at 800-942-2677 or

https://questions.nationalservice.gov/app/ask if a problem arises while creating an account, preparing, or submitting an application. National Service Hotline hours are Monday through Thursday: 9:00 a.m. to 7:00 p.m. ET. Be prepared to provide the application ID, organization's name, and the *Notice* to which your organization is applying.

If technical issues will prevent an applicant from submitting an application on time, please contact the National Service Hotline prior to the deadline to explain the technical issue and receive a ticket number. If the issue cannot be resolved by the deadline, the applicant must continue working with the National Service Hotline to submit via eGrants.

Any supporting attachments (e.g letters of commitment for the Governor's and Mayor's Initiative) should be emailed to <u>americorpsgrants@cns.gov</u> and reference [Your State] Governor and Mayor Initiative in order to be considered for this Initiative.

2. Page Limits

In eGrants, applicants will enter text in the following fields

- Executive Summary: a brief paragraph, maximum of a half-page. Executive Summaries of all compliant applications will be published on the CNCS website following grant award notifications.
- Program Design.

- Organizational Capability.
- Cost Effectiveness and Budget Adequacy.
- Evaluation Plan.

If you are:

- Applying for the first time;
- Have only received formula funding in the past;
- Are a former grantee (non-formula) whose last AmeriCorps grant was received more than five years ago; OR
- A current planning grantee applying for a three-year implementation grant.

You may not exceed 26 double-spaced pages for the Narratives, including the Executive Summary and Cover Page, as the pages print out from eGrants. This limit does not include the budget and performance measures or <u>required</u> supplementary materials (e.g. letters of commitment).

If you are:

- Recompeting (in year three of a competitive three-year funding cycle and applying for a new three-year grant);OR
- Not a current grantee that has received a competitive AmeriCorps grant in the past five years;

You may not exceed 27 double-spaced pages for the Narratives, including the Executive Summary and Cover Page, as the pages print out from eGrants. This limit does not include the budget and performance measures.

Reviewers will not consider submitted material that is over the page limit, even if eGrants allows you to enter and submit text over the limit. Therefore, CNCS recommends that applicants print out your application from the Review and Submit page prior to final submission to ensure it is not over the page limit.

Do not submit supplemental materials such as videos, DVDs, brochures, letters of support, or any other item <u>not requested</u> in the *Notice* or application instructions. CNCS will not review or return them.

C. Coordination among State Commissions and Multi-state Applicants

CNCS expects State Commissions and multi-state applicants to consult and coordinate activities at the local level, as specified in Section 131 of the National Community Service Act, as amended (NCSA) [42 U.S.C. § 12583]. This consultation is designed to ensure the most effective use of national service resources and lead to enhanced coordination among grantees. The list of State and Territory Commissions can be found here:

<u>http://www.americorps.gov/about/contact/statecommission.asp</u>. Indian Tribes are not required to coordinate with State Commissions. To ensure coordination:

Multi-state applicants and grantees must:

- Consult in a timely manner and before submission of their application with the State Commission of each state in which the organization knows it will operate and describe this consultation in their applications. Contact the State Commission(s) for details about the consultation process.
- Provide the State Commission with contact information for multi-state programs in their state after grants are awarded, and update these lists on an annual basis.
- Participate in the State Commission's annual needs assessment and training plan development activities, and in the development of its state service plan, as well as appropriate training and other events.
- Include the State Commission on the multi-state grantee's mailing list and invite it to appropriate training and other events.

State Commissions must:

- Consult in a timely manner with the multi-state applicants and grantees that contact them prior to application submission.
- Consider the schedules and needs of multi-state programs operating in their states when planning annual events and technical assistance activities.
- Include multi-state programs in their annual needs assessment and training plan development activities, and in the development of their state service plan.
- Add staff of multi-state programs in their state to their mailing list and invite them to appropriate training and other events.

CNCS will solicit State Commission input on multi-state applicants proposing to operate in their state. Participation by State Commissions is strongly encouraged. This input will be provided via eGrants.

D. Funding Restrictions

Grants under this program, except for fixed-amount and EAP grants, are subject to the applicable Cost Principles under OMB Circulars A-21 (2 CFR Part 220), A-122 (2 CFR Part 230), or A-87 (2 CFR Part 225) and the Uniform Administrative Requirements for grants under A-102 (45 CFR Part 2541) or A-110 (45 CFR 2543 or 2 CFR Part 21).

V. APPLICATION REVIEW INFORMATION

A. Assessment Criteria

Each applicant must clearly describe a project that will deploy AmeriCorps members effectively to solve a significant community problem. We urge your organization to submit a high quality application following the guidance in the NOFO and in the Application Instructions carefully. The quality of your application will be an important factor in determining whether your organization will receive funding. Your application will be assessed as follows:

1. Program Design (50 percent)

In assessing Program Design, reviewers will examine the degree to which the applicant demonstrates how AmeriCorps members are particularly well-suited to solving the identified community problem.

Reviewers will consider the extent to which:

-Recompeting grantees describe their efforts and impact to date, and provide persuasive evidence they should continue to be funded.

-New applicants already working to meet the community need identified in the application have described how the proposed use of AmeriCorps members will be more effective than what is currently being implemented or enhance existing efforts.

In assessing how you will meet the criteria, reviewers will consider the quality of your response to the following:

a. Need (7 points)

Provide persuasive evidence that the identified needs exist in the targeted community(ies). Describe the community problem(s) you will be working on. Provide documentation of the extent/severity of the need in the target community. Describe the target community including whether it is economically disadvantaged. Explain why did you selected this population to be served?

b. AmeriCorps Members as Highly Effective Means to Solve Community Problems. (10 points)

What will members do? Give examples of specific proposed member activities. What will the organization accomplish that it would not otherwise accomplish through existing staff and/or volunteers? How many AmeriCorps members are you requesting? What types of slots (service terms) are needed for these members? If you are requesting different slot types, explain how the different slot types align with your program design and activities.

c. Evidence-Based/Evidence-Informed and Measurable Community Impact (10 points)

Describe how the interventions the AmeriCorps members and volunteers are engaged in are both evidence-based or evidence-informed and will have a measurable community impact. Explain the impact of the AmeriCorps investment. The intervention is evidence-based if programs can demonstrate community impact and solve community problems through an evidence-based approach (e.g. based on research or backed by statistically significant evaluation findings). The intervention is evidence-informed if programs can demonstrate community impact and solve community problems through an evidence of the solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact and solve community problems through an evidence of the solve community impact.

What is the overall change you expect or plan to see by the end of the three-year grant cycle? What demonstrable impact will your program have? How will you measure that impact? How will you report on this on an annual basis? How did you determine your performance measure targets?

For Current Grantees and Former Grantees Only:

Describe your performance against objectives during your last full year of program operation. What impact has your program had? How successful have you been in solving the identified problem? If you did not meet performance targets, provide an explanation and describe your plan for improvement. What is the performance measure data collected thus far?

d. Member Recruitment (5 points)

Describe your plans for recruiting members for your program. Describe how members will be included from the local communities to be served by your program. Describe how your organization will be recruiting and engaging traditionally underrepresented populations as well as your history with working with those populations or how you will ensure success if this is a new population being recruited. Underrepresented populations may include new Americans, low-income individuals, youth from disadvantaged backgrounds (sometimes also referred to as "opportunity youth"), rural residents, older Americans, veterans, people of color, Native Americans and people with disabilities.

e. Member Training (5 points)

Describe your plan for orienting members to AmeriCorps, the community they are serving, their placement site, and the service they will perform. Describe what skills the member will acquire during their term of service. Describe how you will ensure that training provided to members will prepare members to perform all the activities they will engage in during their term of service. Describe, as necessary, the ongoing training provided to members throughout their terms. What are the anticipated training topics and the timeline for member training? How and when will you ensure that members and generated volunteers are aware of and are adhering to the rules regarding prohibited activities?

f. Member Supervision (5 points)

Describe your plan for supervising members, and how the plan ensures that members will receive adequate support and guidance throughout their terms. Who will supervise the AmeriCorps members? Describe how supervisors are selected and trained. Describe how your program provides training, oversight, and support to supervisors.

Tutoring Programs Only

If you are proposing to operate a tutoring program, describe how your program complies with AmeriCorps requirements for member tutoring qualifications. Members who tutor must have a high school diploma, and successfully complete high-quality, research-based pre- and in-service training for tutors. This requirement does not apply to a member enrolled in a secondary school who is providing tutoring through a structured, school-managed cross-grade tutoring program.

Describe how your strategy for training members complies with AmeriCorps requirements for member tutor training that is high quality and research based, consistent with the instructional program of the local agency and with state academic content standards (See Section 1111 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311)), includes appropriate member supervision by individuals with expertise in tutoring, and provides specialized preservice and in-service training consistent with the activities the member will perform.

g. Member Experience (3 points)

Describe the program components that enable the AmeriCorps members to have powerful service experiences that produce community impact and lead to continued civic participation and connectivity with other AmeriCorps and national service participants.

Demonstrate how you will provide structured opportunities for participants to reflect on and learn from their service. Describe how your program will ensure that members are aware they are AmeriCorps members and identify as such to community members, partners, and the general public. Describe how you will connect your members with each other, with other AmeriCorps members and national service participants in the local communities in which they serve, and with other AmeriCorps and national service participants in the state, and/or nationally. How will your program foster a sense of connection with the AmeriCorps identity?

h. Volunteer Generation (3 points)

Describe how the proposed program will recruit volunteers to expand the reach/impact of the program in the community. How will volunteers help meet the identified community needs and what will be their role(s)? What role will AmeriCorps members have in volunteer recruitment and management? How will your program ensure that volunteers will not be recruited for or engaged in prohibited or unallowable activities? If volunteer generation is not possible due to your program design, please request a waiver of the requirement to recruit or support volunteers (see 45 CFR § 2520.35), enter the rationale in the waiver justification field. Your program will not be penalized for its inability to recruit or support volunteers.

i. Organizational Commitment to AmeriCorps Identification (2 points)

Describe your organizational commitment to branding national service. How will your program ensure that it and any subgrantees and/or affiliates and/or service locations will use the AmeriCorps name on websites, service gear and public materials such as stationery, application forms, recruitment brochures, on-line position posting, or other recruitment strategies, orientation materials, member curriculum, signs, banners, press releases and publications related to their AmeriCorps program?

2. Organizational Capability (25 percent)

In assessing how you will meet the criteria, reviewers will consider the quality of your response to the following:

a. Organizational Background and Staffing (8 points)

Provide the organization's mission and a brief history. Describe how the organization has the experience, staffing, and management structure to plan and implement the proposed program. Who will staff the AmeriCorps program and what is their specific role? What is their relevant experience? If positions are currently vacant, please describe the desired qualifications for each open position. What are your plans for providing financial and programmatic orientation and training and technical assistance to staff? Describe the organization's capacity to provide training and skills development for members. Describe the organization's capacity to complete an evaluation or provide support to an external evaluator. Describe your organization's prior

experience administering AmeriCorps grants or other federal funds. Describe your organization's management structure and how the board of directors (if applicable), administrators, and staff members will support your program.

For Current Grantees Only:

Describe how your AmeriCorps program is integrated and supported within your organization. Provide evidence that you have managed the program well, have performed satisfactorily, and have a record of compliance and responsiveness.

b. Sustainability (6 points)

Describe how the organization has secured, or describe an effective plan for securing, the financial and in-kind resources necessary to support program implementation and to demonstrate community stakeholder support. Describe your organization's experience raising funds to support service activities and initiatives. How will that contribute to long-term sustainability?

Outline your plans for ensuring that the impact of your program in the community is sustainable beyond the grant period. For example, you might describe how your community relationships will lead to community investment in the program's continued operation; how you will diversify your funding sources to include a wide range of stakeholders (such as state, local, and private sector funding); how your strategies for recruiting and supporting volunteers will sustain member activities after your AmeriCorps grant ends; or how the community will maintain your project once it is completed.

Who are your community stakeholders and partners? How are they involved in planning and implementing the proposed program? How will their involvement contribute to long-term sustainability?

c. Compliance and Accountability (11 points)

What are your plans for monitoring program and service sites for compliance? Describe how the organization has the ability and structure to ensure its and its subgrantees and/or service site locations' compliance with AmeriCorps rules and regulations including those related to prohibited activities. How will your organization ensure compliance with AmeriCorps rules and regulations at the grantee, subgrantee, and service site locations (if applicable)? How will your organization prevent and detect compliance issues in general and specifically as it relates to prohibited activities? How will your organization hold itself, subgrantees, and service site locations (if applicable) accountable if instances of risk or noncompliance are identified?

Special Circumstances

In applying the organizational capability criteria, reviewers may also take into account the following circumstances of individual organizations: The age of your organization and its rate of growth; and whether your organization serves a resource-poor community, such as a rural or remote community, a community with a high poverty rate, or a community with a scarcity of philanthropic and corporate resources.

In considering applications, CNCS shall ensure meaningful representation of applicants from urban areas, applicants from rural areas, applicants of diverse sizes (as measured by the number

of participants served), applicants from States, applicants from multi-state programs, and faithand community-based organizations. If you feel that any of the circumstances stated above have an impact on your organizational capability that has not already been discussed, please describe the circumstance and how it affects your organizational capacity.

For Current Grantees and Former Grantees Only:

Demonstrated Compliance: Describe any compliance issues and areas of weakness/risk identified during your last full year of program operation at your organization, your subgrantees, and service site locations (if applicable). If you, your subgrantees, and/or service site locations (if applicable) had compliance or areas of weakness/risk identified, provide an explanation and describe the corrective action taken and your plan for improvement.

Enrollment: If you enrolled less than 100% of the slots received during your last full year of program operation, provide an explanation, and describe your plan for improvement. Enrollment rate is calculated as slots filled plus refill slots filled divided by slots awarded.

Retention: If you were not able to retain all of your members during your last full year of program operation, provide an explanation, and describe your plan for improvement. While we recognize retention rates may vary among equally effective programs depending on the program model, we expect grantees to pursue the highest retention rate possible. Retention rate is calculated as the number of members exited with award (full or partial award) divided by the number of members enrolled.

For Multi-state Applicants Only:

Consultation with State Commissions (not required for Indian Tribes) Describe how you have consulted with state and territory service commissions in the states and territories in which you plan to operate to ensure non-duplication and coordination of CNCS resources.

Operating Sites and Member Service Sites

Identify your proposed operating sites and member service sites. Describe your process for selecting operating and service sites and ensuring they have adequate programmatic and financial capabilities to succeed. How will your site selection process incorporate the criteria required by the AmeriCorps regulations 45 CFR §2522.475 (quality, innovation, sustainability, quality of leadership, past performance, community involvement), and the special considerations found in 45 CFR §2522.450 (program models, program activities and programs supporting distressed communities)? What are your current or previous programmatic and funding relationships with the sites? If member service sites are not yet known, describe your timeline for selecting service sites.

3. Cost Effectiveness and Budget Adequacy (25 percent)

In assessing how you will meet the criteria, reviewers will consider the quality of your response to the following:

For Cost-Reimbursement grants:

a. Cost Effectiveness (13 points)

Explain how the budget is cost effective. Explain how the requested funds do not exceed the maximum cost per Member Service Year (MSY) or for existing programs, have not increased over previous years. The amount requested is a competitive factor in the selection process.

The cost per MSY will be automatically calculated once you enter your budget in eGrants. The cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested in the application. It does not include childcare or the cost of the education award. One MSY is equivalent to at least 1700 service hours, a full-time AmeriCorps position.

Cost effectiveness will be evaluated by analyzing cost per MSY in relation to your program design. Having a low cost per member is a competitive advantage. New applicants that submit with a low cost per MSY and recompeting applicants that submit with a lower cost per MSY than previously funded may receive higher priority for funding. If you request above the maximum, you must justify your request. Please note that such requests are rarely approved.

All recompeting grantees requesting a higher cost per MSY than in the previous year must include a compelling rationale for this increased cost including why this increase could not be covered by grantee share. This applies even if the increased cost per MSY is less than the maximum or if the increase is due to increased costs associated with the grant.

Please list all sources of organizational funding and what percent the proposed AmeriCorps project represents in your budget. If you have received support from CNCS during the last five years, please specify what type of support you received. What percentage of your total operational budget does your proposed funding request from CNCS represent? If a multi-state applicant, please include any State Commission funding requests if applicable to calculate the percentage of your total operational budget.

Demonstrate how your program has or will obtain diverse resources for program implementation. Indicate how much funding your program needs from non-CNCS sources to support the project. Indicate the non-CNCS resource commitments (in-kind and cash) that you have obtained to date and the sources of these funds. Indicate what additional commitments you plan to secure, and how you will secure them.

Discuss how your program is a cost effective approach for addressing the community need(s) identified in your application. Consider the total costs and benefits of the program and, to the extent possible, document the costs and benefits. Compare the cost effectiveness of the program with the costs and benefits of alternative models or approaches (if available), and demonstrate how your program model is most cost effective. For further information on cost effectiveness analysis, see OMB's "Circular No. A-94 Revised"

(http://www.whitehouse.gov/omb/circulars_a094). Programs will be evaluated based upon their ability to maximize their return on investment; applicants with a program design that achieves equal results at a lower cost will be advantaged over programs that achieve similar results at a higher cost.

Special Circumstances

In applying the cost-effectiveness criteria, CNCS may take into account the following circumstances of individual programs: program age, the extent to which your program expands to new sites; whether your program or project is located in a resource-poor community, such as a rural or remote community, a community with a high poverty rate, or a community with a scarcity of corporate or philanthropic resources; whether your program or project is located in a high-cost, economically distressed community, measured by applying appropriate Federal and State data; and whether the reasonable and necessary costs of your program or project are higher because they are associated with engaging or serving difficult-to-reach populations, or achieving greater program impact as evidenced through performance measures and program evaluation.

If you feel that any of the circumstances stated above have an impact on your organizational capability that has not already been discussed, please describe the circumstance and how it affects your cost effectiveness.

For Current Grantees Only:

Describe the extent to which you are increasing your share of costs to meet or exceed program goals, or the extent to which you are proposing deeper impact or broader reach without a commensurate increase in Federal funds.

b. Budget Adequacy (12 points)

Explain how the budget is clear, reasonable, , and in alignment with the program narrative. Discuss the adequacy of your budget to support your program design including how it is sufficient to support your program activities and desired outputs and outcomes. Please explain how the cost of criminal history checks and FBI checks, if applicable, are covered if they are not included in the budget.

For EAPs and other Fixed Amount grants Only:

The extent to which a current grantee is increasing its share of costs will not be considered in assessing a fixed-amount application. However, all other indicators described under Cost Effectiveness and Budget Adequacy apply and it will be weighted 25% of the total application.

Discuss how you will raise the additional resources you will need to manage and operate an AmeriCorps program beyond the fixed amount. Identify the total amount you have budgeted to operate the program, including the fixed-amount from the CNCS and grantee share and how your program determined that amount. Keep in mind that full-time AmeriCorps program costs include expenditures for the AmeriCorps living allowance, health care, and criminal history checks.

Education Award Programs are not required to pay living allowances or cover health care for less-than-full-time members, but must conduct criminal history checks.

Discuss how your program is a cost effective approach for addressing the community need(s) identified in your application. Consider the total costs and benefits of the program and, to the extent possible, document the costs and benefits. Compare the cost effectiveness of the program

with the costs and benefits of alternative models or approaches (if available), and demonstrate how your program model is most cost effective. For further information on cost effectiveness analysis, see OMB's "Circular No. A-94 Revised"

(http://www.whitehouse.gov/omb/circulars_a094). Programs will be evaluated based upon their ability to maximize their return on investment; applicants with a program design that achieves equal results at a lower cost will be advantaged over programs that achieve similar results at a higher cost.

You will not be required to track or report on your expenditures. However, you must demonstrate that you have planned for total costs. Reviewers will assess the adequacy of your plan to secure resources to support your program design.

B. Goals of the review and selection process

The assessment of applications involves a wide range of factors and considerations. As in the past, CNCS will engage external reviewers to provide insight and input with respect to eligible applications. In addition, CNCS staff will apply their experience and expertise in evaluating applications. In the end, the review and selection process will produce a diversified set of high-quality programs that represent the priorities and selection factors described in this *Notice*.

Specifically, the review and selection process will:

1. Identify eligible applications that satisfy the following considerations:

- High alignment with criteria.
- Priorities, in the order of preference outlined in Section I.B., above.
- Relative risk and opportunity.

2. Consider:

- Recruitment and deployment of community volunteers to leverage impact.
- State Commission rank and recommendations on single-state applicants.
- State Commission input on multi-state applications.
- The strength of the evidence base for the program
- 3. Yield a diversified portfolio based on the following strategic considerations:
 - Geographic representation.
 - Meaningful representation of
 - Rural and urban communities.
 - Small and large programs.
 - Single and multi-state programs.
 - Faith- and community-based organizations.
 - Focus area representation.
 - Organizations that build capacity for individuals, nonprofits and communities to solve problems.
 - Organizations and/or program models that recruit and engage traditionally underrepresented populations as AmeriCorps members and expand opportunities to serve as AmeriCorps members; particularly new Americans, low-income individuals, economically disadvantaged young adults (also referred to as "opportunity youth"), rural residents, older

Americans, veterans, communities of color, Native Americans, and people with disabilities.

• Organizations that embrace innovative approaches to solving problems, including service-learning.

Applicants must check the relevant boxes in the Performance Measure tab in eGrants in order to be considered for CNCS' assessment of the above strategic considerations.

C. Stages in the review and selection process

1. Compliance Review

CNCS staff will review all applications to determine compliance with eligibility, deadline, and completeness requirements. Applications that are submitted by eligible organizations, submitted by the deadline, and that are complete, will advance to External Review.

2. External Review

External reviewers will assess applications based on the Program Design criteria only. Each application will be reviewed by at least three external reviewers. External reviewers will assess the quality of each application. Reviewers will be recruited and selected on the basis of demonstrated knowledge of AmeriCorps programming, and/or the selection criteria and focus areas. All external reviewers will be screened for conflicts of interest.

3. Post Review Quality Control (Quality Control)

After the external reviewers complete their assessment, staff will review the results for fairness and consistency. Some applications may be selected for a Quality Control assessment. This additional level of review may be used to assess:

- Applications ranked first by a State Commission that received low scores in External Review.
- Applications for which there are significant panel anomalies.
- Applications submitted by high-performing current grantees that received a low score in External Review.

Applications identified for additional assessment will receive a Quality Control review. The Quality Control reviewer provides an assessment of the application's key strengths and weaknesses.

4. Selection of Applications for Internal Review

Applications will advance to Internal Review based on the results of the External Review and Quality Control, as well as the consideration of the priorities outlined in Section I.B. above and the strategic considerations identified in Section V.B.3. above. Strategic Consideration will be given based on the check boxes that are applicant determined found in the Performance Measures tab in eGrants. Note that not all applications will advance.

5. Internal Review

CNCS staff will assess Program Design, particularly focusing on evidence-basis for the proposed solution(s) to the identified community problem(s), appropriateness of national service as a solution, and potential quality of the member experience; Organizational

Capability; and Cost Effectiveness and Budget Adequacy. Submitted program evaluations will be considered at this stage.

Following staff assessment, some applicants may receive requests to provide clarifying information. Clarifying information is used by CNCS staff in making final recommendations. A request for clarification does not guarantee a grant award. Failure to respond to requests for information in a timely fashion will result in the removal of applications from consideration.

CNCS staff will determine which applications to recommend for selection based on their assessment of the applicants that move from External Review and Quality Control into Internal Review, and Clarification as well as the Tier priorities and strategic considerations,

6. Selection for Funding

With the goal of a diversified portfolio based on the NOFO priorities and strategic considerations, the Chief Executive Officer will select the final portfolio based on staff recommendation from the applicants that advanced to Internal Review.

7. Feedback to Applicants

Following grant awards, each applicant will receive the results of external and, if applicable, internal review pertaining to their application. Feedback will be based on the review of the original application and will not reflect any information that may have been provided during clarification.

D. Continuation Expansion Requests

Requests for continuation expansions will be assessed using the same Tier priorities as the new and recompete applications and whether the applicant has lowered its cost per MSY.

VI. AWARD ADMINISTRATION INFORMATION

A. Award Notice

CNCS will award grants following the grant selection announcement. We anticipate announcing the results of this competition no later than June 14, 2013.

B. Documents that Govern the Grant

The Notice of Grant Award incorporates the approved application as part of a binding commitment under the grant as well as the AmeriCorps regulations and grant provisions.

C. Project/Award Period

CNCS generally makes grant awards for three years, with funding in annual increments. Grantees will be eligible for non-competitive continuation funding in the second and third year contingent on the availability of appropriations, compliance, and satisfactory performance. The project start date may <u>not</u> occur prior to the date CNCS awards the grant. AmeriCorps members may <u>not</u> enroll prior to the start date of the award. AmeriCorps members may <u>not</u> begin service prior to the beginning of the member enrollment period as designated in the grant award. A program may not certify any hours a member performs prior to the beginning of the member enrollment period. Additional funding is contingent upon satisfactory performance, a grantee's demonstrated capacity to manage a grant and comply with grant requirements, and availability of Congressional appropriations. CNCS reserves the right to adjust the amount of an additional grant award in subsequent years, or elect not to continue funding, based on these bases.

D. Use of Material

To ensure that materials generated with CNCS funding are available to the public and readily accessible to grantees and non-grantees, CNCS reserves a royalty-free, nonexclusive, and irrevocable right to obtain, use, modify, reproduce, publish, or disseminate publications and materials produced under the award, including data, and to authorize others to do so. 45 CFR § 2543.36; 2541.30

E. Reporting Requirements

Grantees, including Professional Corps grantees, are required to provide bi-annual progress reports, bi-annual financial reports, and an internal or external evaluation report as required by the AmeriCorps regulations §§2522.500-2522.540 and §§2522.700-2522.740. Fixed-amount grantees are required to provide bi-annual performance reports and an internal or external evaluation report. All grantees provide quarterly expense reports through the Payment Management System at the U.S. Department of Health and Human Services.

If you receive an award directly from CNCS, you will be required to report at <u>www.FSRS.gov</u> on all subawards over \$25,000 and may be required to report on executive compensation for your organization and for your subgrantees. You must have the necessary systems in place to collect and report this information. See 2 CFR. Part 170 for more information and to determine how these requirements apply.

F. Re-Focusing of Funding

CNCS reserves the right to re-focus funding in the event of disaster or other compelling need for service.

VII. AGENCY CONTACT

This *Notice* is available at <u>www.americorps.gov/for_organizations/funding/nofa.asp</u>. The TTY number is 202–606-3472. For further information or for a printed copy of this *Notice*, call 202-606-7508. For questions regarding multi-state or Indian Tribes applications submitted directly to CNCS, please call (202) 606-7508 or send an e-mail to <u>americorpsgrants@cns.gov</u>. A list of all State Commissions can be found here:

http://www.americorps.gov/about/contact/statecommission.asp. The mailing address is:

Corporation for National and Community Service ATT: Office of Grants Policy and Operations/ASN Application 1201 New York Avenue NW Washington, DC 20525

VIII. OTHER INFORMATION TO KNOW BEFORE APPLYING

In addition to consulting the *Notice* and AmeriCorps regulations as directed in these instructions, State Commission and multi-state applicants may also consult the CNCS web site for a schedule of technical assistance conference calls, Frequently Asked Questions, and other resources targeted to multi-state applicants. Applicants in a single state should consult with their State Commission.

IX. NATIONAL PERFORMANCE MEASURES

Tier 1: Priority Measures in Disaster Services, Education and Veterans and Military Families and Complementary Program Measures in Economic Opportunity

Economic Opportunity
O1: Number of economically disadvantaged individuals receiving financial literacy services
O9: Number of economically disadvantaged individuals with improved financial knowledge
O2: Number of economically disadvantaged individuals receiving job training and other skill development
services
O3: Number of economically disadvantaged individuals receiving job placement services
O10: Number of economically disadvantaged individuals placed in jobs
O12: Number of economically disadvantaged National Service Participants who are unemployed prior to their
term of service*
O15: Number of economically disadvantaged National Service Participants that secure employment during their
term of service or within one year after finishing a CNCS-supported program*
O14: Number of National Service Participants who have their high school diploma or equivalent but have not
completed a college degree prior to their term of service*
O17: Number of National Service Participants that complete a college course within one year after finishing a
CNCS-supported program*
Disaster Services
D1: Number of individuals that received CNCS-supported services in disaster preparedness
D2: Number of individuals that received CNCS-supported services in disaster response
D3: Number of individuals that received CNCS-supported services in disaster recovery
D4: Number of individuals that received CNCS-supported services in disaster mitigation
Education – Operating in School Improvement Grant(SIG)/DOE Priority Schools
ED21: Number of children that completed participation in CNCS-supported early childhood education programs
ED23: Number of children demonstrating gains in school readiness in terms of social and/or emotional
development
ED24: Number of children demonstrating gains in school readiness in terms of literacy skills
ED25: Number of children demonstrating gains in school readiness in terms of numeracy (math) skills
ED2: Number of students that completed participation in CNCS-supported K-12 education programs
ED4A: Number of disadvantaged youth/mentor matches that were sustained by the CNCS-supported program
for at least the required time period
ED5: Number of students with improved academic performance in literacy and/or math
ED27: Number of students in grades K-12 that participated in the mentoring or tutoring or other education
program, including CNCS-supported service learning, who demonstrated improved academic engagement
ED6: Number of students that improved their school attendance over the course of the CNCS-supported
program's involvement with the student
Veterans and Military Families
V1: Number of veterans that received CNCS-supported assistance
V8: Number of veterans' family members that received CNCS-supported assistance
V7: Number of family members of active duty military service members that received CNCS-supported assistance
V9: Number of active duty military service members that received CNCS-supported assistance
V2: Number of veterans engaged in service opportunities as a National Service Participant or volunteer.
V10: Number of military family members engaged in service opportunities as a National Service Participant or volunteer.
*Programs that select 012, 014, 015, 017 must also select an additional priority or complementary

*Programs that select O12, O14, O15, O17 must also select an additional priority or complementary program measure from Tier 1, 2, or 3 that measures community impact.

Tier 2: Priority Measures (in Education, Economic Opportunity, Environmental Stewardship, Healthy Futures and Capacity Building)

Education – NOT operating in School Improvement Grant(SIG)/DOE Priority Schools

ED21: Number of children that completed participation in CNCS-supported early childhood education programs

ED23: Number of children demonstrating gains in school readiness in terms of social and/or emotional development ED24: Number of children demonstrating gains in school readiness in terms of literacy skills

ED25: Number of children demonstrating gains in school readiness in terms of numeracy (math) skills

ED2: Number of students that completed participation in CNCS-supported K-12 education programs

ED4A: Number of disadvantaged youth/mentor matches that were sustained by the CNCS-supported program for at least the required time period

ED5: Number of students with improved academic performance in literacy and/or math

ED27: Number of students in grades K-12 that participated in the mentoring or tutoring or other education program, including CNCS-supported service learning, who demonstrated improved academic engagement

ED6: Number of students that improved their school attendance over the course of the CNCS-supported program's involvement with the student

Economic Opportunity¹

O5: Number of economically disadvantaged individuals, including homeless individuals, receiving housing services

O11: Number of economically disadvantaged individuals, including homeless individuals, transitioned into safe, healthy, affordable housing

Environmental Stewardship²

EN4: Number of acres of national parks, state parks, city parks, county parks, or other public and tribal lands that are improved

EN5: Number of miles of trails or waterways (owned/maintained by national, state, county, city or tribal governments) that are improved and/or created

Healthy Futures³

H8: Number of homebound OR older adults and individuals with disabilities receiving food, transportation, or other services that allow them to live independently

H9: Number of homebound OR older adults and individuals with disabilities who reported having increased social ties/perceived social support

H10 (formerly O6): Number of individuals receiving emergency food from food banks, food pantries, or other nonprofit organizations

H11 (formerly O7): Number of individuals receiving support, services, education and/or referrals to alleviate long-term hunger

H12: Number of individuals that reported increased food security of themselves and their children (household food security) as a result of CNCS-supported services

Capacity Building

G3-3.1: Number of community volunteers recruited by CNCS-supported organizations or National Service Participants

G3-3.2: Number of community volunteers managed by CNCS-supported organizations or National Service Participants

G3-3.3: Number of organizations implementing three or more effective volunteer management practices as a result of capacity building services provided by CNCS-supported organizations or National Service Participants

The following Economic Opportunity measures may also be used to report on your program's performance in providing services in the Disaster Services focus area,

² The following Environmental Stewardship measures may also be used to report on your program's performance in providing services in the Disaster Services focus area,

³ The following Healthy Futures measures may also be used to report on your program's performance in providing services in the Disaster Services focus area,

Tier 3: Complementary Program Measures

Education	
ED1: Number o	f students who start in a CNCS-supported education program
ED3A: Number	of disadvantaged youth/mentor matches that are commenced by CNCS-supported programs
	f students with no or decreased disciplinary referrals and suspensions over the course of the
CNCS-supporte	d programs' involvement
ED8: Number o	f youth with decreased substance abuse, arrest, or gang involvement
ED9: Number o	f students graduating from high school on time with a diploma
ED10: Number	of students entering post-secondary institutions
ED11: Number	of students earning a post-secondary degree
ED12: Number	of CNCS-Supported National Service Participants who begin serving as teachers through a
Teacher Corps	program
	of CNCS-Supported National Service Participants who completed serving as teachers through a
Teacher Corps	
	of individuals teaching in high need schools
ED15: Number	of students in CNCS-supported teacher classrooms with improved academic performance
ED17: Number	of teachers remaining in the education field, but not teaching in a school (school support staff
school administ	tration, district administration policy, education nonprofits, etc.) after their term of service
ED18: Number	of teachers who have had a positive impact on student learning as determined by observation
based assessme	ents of teacher performance
ED19: Number	of individuals receiving certification to teach in schools after their term of service
ED20: Number	of children who start in a CNCS-supported early childhood education program
ED22: Number	of children accessing high quality early childhood education programs
ED26: Number	of students acquiring a GED
Economic Opp	
O4: Number of	housing units developed, repaired, or otherwise made available for low-income individuals, ple with disabilities
	f economically disadvantaged National Service Participants who have not obtained their high or equivalent prior to the start of their term of service
O16: Number c	f National Service Participants that obtain a GED/diploma while serving in CNCS-supported
programs or wi	thin one year after finishing serving in CNCS-supported programs
Environmental	Stewardship
EN1: Number o	f housing units of low-income households and structures weatherized or retrofitted to
	prove energy efficiency
EN2: Number o	f low-income households home and public building energy audits conducted
EN3: Number o	f individuals receiving education or training in energy-efficient and environmentally-conscious
practices, inclu-	ding but not limited to sustainable energy and other natural resources, and sustainable
agriculture	
EN6: Number o	f tons of materials collected and recycled

Healthy Futures

H1: Number of individuals who are uninsured, economically disadvantaged, medically underserved, or living in rural areas utilizing preventive and primary health care services and programs

H2: Number of clients to whom information on health insurance, health care access and health benefits programs is delivered

H3: Number of clients enrolled in health insurance, health services, and health benefits programs

H4: Number of clients participating in health education programs

H5: Number of children and youth engaged in in-school or afterschool physical education activities with the purpose of reducing childhood obesity

H6: Number of children and youth receiving nutrition education with the purpose of reducing childhood obesity

H7: Number of clients receiving language translation services at clinics and in emergency rooms

Veterans and Military Families

V3: Number of veterans assisted in pursuing educational opportunities

V4: Number of veterans assisted in receiving professional certification, licensure, or credentials

V6: Number of housing units developed, repaired, or otherwise made available for veterans

Capacity Building

G3-3.4 Number of organizations that received capacity building services from CNCS-supported organizations or national service participants

G3-3.5: Number of staff and community volunteers that received training (of one or more types) as a result of capacity building services provided by CNCS-supported organizations or national service participants

G3-3.6: Number of organizations that completed a community assessment identifying goals and

recommendations with the assistance of CNCS-supported organizations or national service participants

G3-3.7: Hours of service contributed by community volunteers who were recruited by CNCS-supported organizations or national service participants

G3-3.8: Hours of service contributed by community volunteers who were managed by CNCS-supported organizations or national service participants

G3-3.9: Number of organizations reporting that capacity building activities provided by CNCS-supported organizations or national service participants have helped to make the organization more efficient

G3-3.10: Number of organizations reporting that capacity building activities provided by CNCS-supported organizations or national service participants have helped to make the organization more effective

G3-3.11: Number of new systems and business processes (technology, performance management, training, etc.) or enhancements to existing systems and business processes put in place as a result of capacity building services provided by CNCS-supported organizations or national service participants

G3-3.12: Number of organizations that monitored their progress towards the goals identified in their community assessment with the assistance of CNCS-supported organizations or national service participants

G3-3.13: Number of additional activities completed and/or program outputs produced by the program as a result of capacity building services provided by CNCS-supported organizations or national service participants in a) Disaster Services, b) Economic Opportunity, c) Education, d) Environmental Stewardship, e) Healthy Futures and/or f) Veterans and Military Families

Capacity Building, cont.

G3-3.14: Number of organizations that have experienced an increase in requests for their programs and services as a result of capacity building services provided by CNCS-supported organizations or national service participants

G3-3.15: Number of additional types of services offered by organizations as a result of capacity building services provided by CNCS-supported organizations or national service participants in a) Disaster Services, b) Economic Opportunity, c) Education, d) Environmental Stewardship, e) Healthy Futures and/or f) Veterans and Military Families

G3-3.16: Dollar value of cash resources leveraged by CNCS-supported organizations or national service participants

G3-3.17: Dollar value of in-kind resources leveraged by CNCS-supported organizations or national service participants

G3-3.18: Number of new beneficiaries that received services as a result of capacity building efforts in: Disaster Services, Economic Opportunity, Education, Environmental Stewardship, Healthy Futures, and/or Veterans and Military Families

G3-3.19: Number of new beneficiaries from one or more targeted or underserved populations (counts by target population, e.g., racial or ethnic group) that received services as a result of capacity building efforts in: Disaster Services, Economic Opportunity, Education, Environmental Stewardship, Healthy Futures, and/or Veterans and Military Families