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DEPARTMENT OF THE TREASURY ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB) BREWER'S NOTICE

		Brewery's Sec	tion	
1. This is notice serial number	r	2. Th	e notice date is	
3. Our brewery's name is				
4. Our trade name is				
5. Our business address is				
(Number and Street) 6. Our brewery location is	(City)	(County)	(State)	(Zip Code)
(Number and Street)	(City)	(County)	(State)	(Zip Code)
7. Our main contact person is			TTB can reach me at ()
	()	lame)		(Phone Number)
		Brewery Descri	•	
8. Our phone number at the bi	rewery is	9. Ou	r E-mail Address is	
()				
10. Our brewery [] ^¦æe^• Áæ Áæ	Br	ewery AMAMANA	₩₩₩Brewpub Øÿ́[ઁÁ[č•Á&[{] ^ơ Áitems FÌ and FJÈj	Pilot Brewing Plant
11. We are a: (check one only))			
Sole Proprietorship	Partnership	Corporation	Limited Liability Company	Limited Partnership
12æ Our employer identification	n number is: (for exa	mple: 12-3456789)	_	
	n number is: <i>(for exa</i>	mple: 12-3456789)	_	
	n number is: <i>(for exa</i>	mple: 12-3456789)		
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	n number is: <i>(for exa</i>	mple: 12-3456789)	_	
	n number is: <i>(for exa</i>	mple: 12-3456789)		
12æ Our employer identification		<i>mple: 12-3456789)</i> ngage in the business of pr	 oducing beer.	
12æ Our employer identification 12æ Our employer identification 14. We are filing this notice to: give TTB our original noti	ce that we intend to e	ngage in the business of pr) for the following reason(s)
12æ Our employer identification 12æ Our employer identification 14. We are filing this notice to: give TTB our original noti amend our previously app	ce that we intend to e	ngage in the business of pr (Registry No. BR		, , ,
 12æ Our employer identification 12æ Our employer identification 14. We are filing this notice to: give TTB our original noti amend our previously app add or delete (circle or 	ice that we intend to e proved original notice ne) a trade or operating	ngage in the business of pr (Registry No. BR		, , ,
12æ Our employer identification 12æ Our employer identification 14. We are filing this notice to: give TTB our original noti amend our previously app add or delete (circle or show a change in bre make changes in offic	ce that we intend to e proved original notice ne) a trade or operating ewery premises <i>(attac</i> cers, directors, memb	ngage in the business of pr (Registry No. BR g name <i>(attach registration, it</i> th description of change).	your state requires, of new trade or	operating name). List new

16. The Internal Revenue Code (IRC) provides that if you produce not more than 2,000,000 barrels per year, you are entitled to a reduced rate of tax on your first 60,000 barrels. What is your estimated production in barrels per year? *(check one)*

More than 2,000,000 barrels per year. We are not entitled to the reduced rate.

More than 60,000 barrels per year, but not more than 2,000,000 barrels per year. We are entitled to the reduced tax rate on our first 60,000 barrels removed for consumption or sale.

Not more than 60,000 barrels per year. We are entitled to the reduced tax rate on all beer removed for consumption or sale.

Signing Authority Fo	or Corporate Officials	
17. We held our board meeting with <i>(check one)</i>		
Directors Trustees Managers Gove	ernors Date of Meeting	
	of the listed offices to execute all documents and to do all acts for us in mpress your corporate seal. If you do not have one, two witnesses must sign.)	
Title: Title:	Corporate Seal:	
Title: Title:		
Title: Title:		
Witness: Witness:		
Brewpub Applicant Information (If you are	e a brewpub, you must complete this section.)	
 We are a brewpub. Our entire business location is the brewery pren acknowledge that: 	nises. We understand that by initialing these boxes, we	
a. We must separate the brewery operations (non-public area) from Access to the brewery operations must be restricted to authorized	om the public area of the brewery premises by an adequate partition. ized visitors and employees only.	
b. The serving tanks as noted on our attached diagram are our t approximately barrels/kegs and are accurately	tax-determined beer tanks. These tanks have a working capacity of / calibrated with appropriate measuring devices.	
c. We must transfer beer ready for consumption or sale from our approved measuring device. We will make prompt and accurate	fermenters into an empty tax-detemination tank for measurement by the terecords of these transactions to determine tax due.	
19. We plan to sell retail liquors other than beer.ఈ ∧Á ٽ•oÁäٍ^Áǽ́4]	$\label{eq:states} \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$	
Attachme	nt Section	
 You must make attachments to complete an original notice. You may Check all the blocks here for your attachments for this notice. For original business organization. For amended Brewer's Notices, some forms and 	ginal Brewer's Notices, you must attach all documents for your type of	
Articles of Incorporation/Organization (Corporations/LLCs)	Personnel Questionnaires (for all owners/officers/directors/partners/ members/stockholders of over 10%)	
Trade Name Registrations (if required by state)	By-Laws (Corporations/LLCs)	
Certificate to Transact Business in a Foreign State (if applicable)	Partnership Agreement	
Power of Attorney (TTB F 5000.8, or Corporate Resolution)	Environmental Information (TTB F 5000.29)	
Diagram (or Plat/Plan) with dimensions of the brewery	Diagram for Brewpub as required	
Legal description of the Brewery	Statement Describing the Security at the Brewery	
Supplemental Information on Water Quality Considerations	I	
(TTB F 5000.30)	w e	
	a	
All statements and documents are part of this notice. Under penalties of p to the best of my knowledge and belief they are true, correct, and complet	perjury, I declare that I have examined this notice and all attachments and te.	
21. Signature of Authorized Person	Date	
22. Printed or Typed Name and Title		
Mail this completed package with all attachments to:		
Director National Revenue Center		
550 Main St, Ste 8002 Cincinnati, OH 45202-5215		
TTB Section - F	or TTB Use Only	
	tive Date: Approval Date:	
Approved Not Approved		
Director, National Revenue Center	Registry Number: BR-	

1. Where do I send my application?

File this form with TTB at this address: Director National Revenue Center 550 Main St, Ste 8002 Cincinnati, OH 45202-5215

- 2. When may I start Brewing? You may not operate your brewery until we approve your Brewer's Notice (including all attachments) and your Brewer's Bond.
- 3. When must I file a Brewer's Notice? You must file this form:
 - To start business at your brewery premises;
 - To amend or supplement information you previously submitted or;
 - In connection with a new bond.
- 4. Do I need to file any other documents with the Brewer's Notice? You must file the attachments this form requires. Also, we may require you to furnish any additional information we find necessary to protect revenue and insure collection of taxes.
- 5. What happens if I do not complete this notice? We will return your notice to you for correction if it is not completed in accordance with these instructions or does not include all of the required information and documents. If you do not complete the notice you may not produce or package beer.
- 6. What items do I need to complete on this notice? You must complete all items on this notice that apply to your business, regardless of the purpose for which you are filing.
- 7. How long must I keep my copy of this notice? At your brewery you must keep available for TTB inspection during your normal business hours:
 - Your most recently approved Brewer's Notice and
 - All attachments and documents that provide current and complete information of this form.

Specific Instructions

- Item 1. What serial number do I use? Serially number each notice. Begin with No. 1 for the first notice and continue in sequence for each amendment or supplemental notice you file after you start business.
- Item 2. What is the notice date? The date that you submit this notice for approval.

Item 3. What is my brewery's name?

If you are	Then your name is
An individual	Your name, followed by "sole owner" and the name you use to operate
A partnership	The name of each partner followed by the name you use to operate
A corporation or limited liability corporation (LLC)	The corporation or LLC name and, if different, the name you use to operate

- Item 4. What if I use a trade name? You must list all trade names you use to do business or to package beer. Approval of a trade name does not necessarily constitute approval as a brand name for labeling purposes. Submit your requests for brand names to the Alcohol Labeling and Formulation Division on an Application for and Certificate/Exemption of Label/Bottle Approval, TTB F 5100.31. If your State requires you to register your trade name, you must submit a copy of the State trade name registration.
- Item 5. What is our business address? Your business address may be different than the actual location of the brewery. Your business address is where you receive mail deliveries and you conduct office activity. You must include the county of this address.
- Item 6. What is our brewery location? Your brewery location is where you actually brew your beer.
- **Item 7.** Who may be a contact person? Your contact person must be someone who has authority to speak or write to any of our officers regarding any aspect of your brewing operations. You must file with us a signing authority or power of attorney for this person.

tem 14. What is a controlled brewery group? A controlled brewery group is a group of breweries that share common ownership or controlling interest. You may not transfer beer in bond between 2 different breweries unless:

- (a) one brewery owns controlling interest in the other brewery or
- (b) there is a common ownership in the controlling interest in each brewery.

If you are a member of a controlled brewery group and you intend to ship beer to or receive beer from another brewery, without payment of tax, you must:

- 1.a give the name and principal business address of each of the other breweries;
- a2. provide a list to alloot the reduced rateffor 60,000 barrels between the members of the con
- state whether condition (a) or condition (b) above applies. If condition (a) applies, state which brewery owns controlling interest in the other and the percentage of that interest. If condition (b) applies, give the name and principal business address of the person owning controlling interest in both breweries and state the perce

Item 17. Who may sign for my corporation? You may indicate signature authority in two ways.

By corporate office: In item 17 you may list the corporate offices or employees whose incumbents may sign for your corporation. Check

With the composition of the meeting. List the offices by title. Attach your

With the office when you change personnel in that office.

ltem 18. When must Iinitial the brewpub information? ÁU]^¦ææð;*Áær,Áæðik^]`àÁ(^æð)•Ás@æa^(`Á,ā|Á,[cÁa^Aà[cdā*Áa^^¦Áa`cÁææ@\Ás@æa^(`Á,ā|Áa åæī]^}•ð;*Áa^\+^;Á}}^Á+[{ Á^\çð;*Áæð}\•ÈÁGÁs@ar Áar Ás@e A£as@A^Eas@A*(`*orábjānædÁ*æ&@A*(;cÁa)àÁ,\[çãa^Áæð)\Á&æðjæ&ãc Át[Áa^{ [}•dæe^Ás@æaA ^[`Á }å^\+cæð;åÁ]^&&ã+¦ææð,!ææð;}*Át[;Á,]^¦ææð;*Áæç^\;+Á,}Áa\^,^¦^Á,!^{ ã^+E

Item 20. What are the attachments for my type of business? Follow these instructions. You must attach the organizational information and documents we require for your type of business.

If you are a	Then you must file
Sole proprietor	A list with the name and address of each person who holds an interest in the brewery, whether the interest appears in the name of the interested party or in the name of another for that party.
Partnership	 A copy of (1) articles of partnership of association, if any; or (2) the certificate of partnership or association if required to be filed by any State, county, or municipality. A list with the name and address of each person who holds an interest in the brewery, whether the interest appears in the name of the interested party or in the name of another for that party.
Corporation	 A copy of the corporation charter or of the certificate of corporate existence or incorporation. A list with the name, address, and title of each officer and director. Copies of extracts or digests of minutes of meetings of the board of directors authorizing certain individuals to sign for the corporation. A statement showing the number of shares of stock (or other evidence of ownership) authorized and outstanding and the voting rights of the respective owners. A list with the names and addresses of all persons having a voting interest of 10 percent or more in the corporation whether the interest appears in the name of the interested party or in the name of another person. For each person listed, show the amount of the stockholding or other interest.

a. May I refer to information that I filed for another operation? If you have already filed information with us, you may incorporate that information by reference if that information is complete and accurate. You may, instead of resubmitting that information, provide a statement that:

■ identifies the other premises by operating name, type (brewery, winery, etc.), and location (city and State); and

- specifies which organizational information and documents are being incorporated. You must attach all organizational information and documents you do not incorporate by reference.
- b. What must I keep available for TTB inspection? You must keep available for our inspection the originals of the corporate documents we require under item 20 of this notice and certain other corporate documents (articles of incorporation, bylaws, State certificates authorizing the brewer to operate in the State where located). Each brewer's notice filed by multi-plant brewers must state, as part of the response to item 20, the location where we may inspect these original corporate documents.

Item 21. Who is the "authorized person" who must sign this notice? These are the persons authorized to sign this notice:

If you are a	The notice must be	
Sole proprietor	■ signed by the sole owner or	
	■ an empowered attorney-in-fact.	
Partnership	■ signed by all partners, or	
	 a partner authorized to sign on behalf of all of the partners, or 	
	an empowered attorney-in-fact	
Corporation	executed in the corporate name, followed by the signature and title of a person authorized to act for the	
	···corporation	

Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. We use this information collection to determine your identity as a brewer, the location and extent of your brewery premises, and whether your brewery operations conform with Federal laws and regulations. The information we request is required for you to obtain or retain a benefit and is mandatory by law (26 U.S.C. 5401(a)).

We estimate the average burden associated with this collection of information is 3 hours per respondent or recordkeeper, depending on your individual circumstances. Address your comments concerning the accuracy of this burden estimate and suggestions to reduce this burden to: Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.

Privacy Act Information

We provide this information to comply with Section 3 of the Privacy Act of 1974 (5 U.S.C. 552a(e)(3)):

- 1. What is TTB's authority to ask for this information? We require this information under the authority of 26 U.S.C. 5401(a). You must disclose this information to obtain authority to conduct brewing operations.
- 2. What is the purpose for this information collection? You provide this information to give TTB notice of your intention to establish a brewery, so that we may identify you as a brewer, and to identify your brewery location and processes.
- 3. How does TTB routinely use this information? We use this information to make determinations for the purposes described in paragraph 2. Also, we may disclose the information to other Federal, State, foreign, and local law enforcement and regulatory agency personnel to verify information on the form where such disclosure is not prohibited by law. We may disclose the information to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, we may disclose the information to members of the public in order to verify information on the form where such disclosure is not prohibited by law.
- 4. What is the effect of my not supplying the information TTB requests? If you fail to supply complete information then we will delay processing and may disapprove or deny your application.