

RESCINDED

Appendix A Examination Scheduling, Scoping, and Management

Section 060

This document and any attachments are superseded by the Comptroller's Handbook - Bank Supervision Process.

BASIC SCOPING MATRIX

Product Lines	Savings Accounts	Transaction Accounts	Mortgage Loans (Including Construction)	Consumer Non-Mortgage Loans	Commercial Non-Mortgage Loans	Finance Leasing	Monetary Instrument Sales	Payment Systems Risk	Insurance Sales	Securities Sales	Branch Security & Notices	
Institution: Docket: Field Manager: EIC:												
Compliance Areas												
Equal Credit Opportunity – EH 1205			X	X	X	X						X
Fair Housing – EH 1210			X									X
Home Mortgage Disclosure – EH 1215			X									X
Fair Credit Reporting – EH 1300	X	X	X	X	X				X			
Truth-in-Lending – EH 1305			X	X								
OTS Mortgage Regulations – EH 1315			X									
Real Estate Settlement Procedures – EH 1320			X									
Homeowners Protection – EH 1323			X									
Consumer Leasing – EH 1325						X						
Electronic Fund Transfer – EH 1330	X	X						X				
Expedited Funds Availability – EH 1335		X										X
Check 21 – EH 1336		X										
Flood – EH 1340			X									
Right to Financial Privacy – EH 1345	X	X	X	X	X	X	X	X	X	X	X	X
Fair Debt Collection – EH 1350			X	X								
Unfair or Deceptive Acts – FTC EH 1354	X	X	X	X	X				X			
Unfair or Deceptive Acts – Credit Practices Rule – EH 1355			X									
Military Service Member Protections – EH 1356	X	X		X								
Servicemembers Civil Relief Act – EH 1357		X	X	X								
Homeownership Counseling – EH 1360			X									
Truth-in-Savings – EH 1365	X	X										
Electronic Banking – EH 1370	X	X	X	X	X	X	X	X	X	X		
Privacy of Consumer Financial Information – EH 1375	X	X	X	X	X		X	X				
Insurance Consumer Protection – EH 1380				X	X	X			X			
Bank Secrecy Act and OFAC– FFIEC BSA/AML	X	X	X	X	X		X	X	X	X		
Bank Protection Act – EH 1405												X
Interest on Deposits – EH 1420	X	X										
Advertising – EH 1425	X	X	X	X	X		X	X	X	X	X	