

**COMMODITY FUTURES TRADING COMMISSION  
SECURITIES AND EXCHANGE COMMISSION**

**[Release No. 34-63169; File No. 265-26]**

**Joint CFTC-SEC Advisory Committee on Emerging Regulatory Issues**

**AGENCY:** Commodity Futures Trading Commission (“CFTC”) and Securities and Exchange Commission (“SEC”).

**ACTION:** Notice of Meeting of Joint CFTC-SEC Advisory Committee on Emerging Regulatory Issues.

**SUMMARY:** The Joint CFTC-SEC Advisory Committee on Emerging Regulatory Issues will hold a public meeting on November 5, 2010, from 9:00 am to 12:00 pm, at the CFTC’s Washington, D.C. headquarters. At the meeting, the committee will:

- 1) receive a summary and recap from the staffs of the SEC and CFTC on the report issued September 30, 2010;
- 2) hear a report from the subcommittee on cross-market linkages;
- 3) hear a report from the subcommittee on pre-trade risk management; and
- 4) discuss potential recommendations and responses.

**DATES:** The meeting will be held on November 5, 2010 from 9:00 am to 12:00 pm. Members of the public who wish to submit written statements in connection with the meeting should submit them by November 4, 2010.

**ADDRESSES:** The meeting will take place in the first floor hearing room at the CFTC’s headquarters, Three Lafayette Centre, 1155 21<sup>st</sup> Street, NW, Washington, D.C. 20581.

Written statements may be submitted to either the CFTC or the SEC; all submissions will be reviewed jointly by the two agencies. Please use the title “Joint

CFTC-SEC Advisory Committee” in any written statement you may submit. Statements may be submitted to any of the addresses listed below. Please submit your statement to only one address.

*E-mail*

Jointcommittee@cftc.gov

or

rule-comments@sec.gov. If e-mailing to this address, please refer to “File No. 265-26” on the subject line.

*SEC's Internet Submission Form*

<http://www.sec.gov/rules/other.shtml>

*Regular Mail*

Commodity Futures Trading Commission, Three Lafayette Centre, 1155  
21st Street, NW., Washington, DC 20581, attention Office of the  
Secretary

or

Elizabeth M. Murphy, Secretary, Securities and Exchange Commission,  
Mail Stop 1090, 100 F St., NE., Washington, DC 20549. Comments  
mailed to this address should be submitted in triplicate and should refer to  
File No. 265-26.

*Fax*

(202) 418-5521

Any statements submitted in connection with the committee meeting will be made available to the public.

**FOR FURTHER INFORMATION CONTACT:** Martin White, Committee Management Officer, at (202) 418-5129, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, NW., Washington, DC 20581; Ronessa Butler, Special Counsel, at (202) 551-5629, Division of Trading and Markets, Securities and Exchange Commission, 100 F St., NE., Washington, DC 20549; or Elizabeth M. Murphy, Committee Management Officer, at (202) 551-5400, Securities and Exchange Commission, 100 F St., NE., Washington, DC 20549

**SUPPLEMENTARY INFORMATION:**

The meeting will be webcast on the CFTC's website, [www.cftc.gov](http://www.cftc.gov). Members of the public also can listen to the meeting by telephone. The public access call-in numbers will be announced at a later date. The CFTC and SEC are providing less than fifteen calendar days Federal Register notice of this meeting because of the urgency of the issues being addressed.

**AUTHORITY:** 5 U.S.C. app. 2 § 10(a)(2)

By the Commodity Futures Trading Commission.

Martin White  
Committee Management Officer

By the Securities and Exchange Commission.

Elizabeth M. Murphy  
Committee Management Officer

Dated: October 25, 2010