

**UNITED STATES OF AMERICA  
NATIONAL CREDIT UNION ADMINISTRATION  
Alexandria, Virginia**

In the Matter of	)	
	)	
ALEXANDER BADURIA FLORES	)	Docket No.: 12-0010-R2
	)	

**NOTICE OF PROHIBITION**

WHEREAS on or about November 21, 2011, Alexander Baduria Flores (“Floes”) was convicted of Grand Theft, CAL PEN CODE § 487(a), in connection with his employment at Capstone Federal Credit Union in Aliso Viejo, California;

WHEREAS a violation of CAL PEN CODE § 487(a), is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Flores is prohibited from becoming an “institution affiliated party” of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;
2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Flores to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Case Summary" filed November 21, 2011, Case no.: 11HF2418, is made a part hereof and is incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 18<sup>th</sup> day of April, 2012.

**NATIONAL CREDIT UNION ADMINISTRATION BOARD**

By: Wendy A. Argus  
for Jane A. Walters  
Regional Director  
NCUA Region II

# Case Summary

**Case Number:** 11HF2418  
**OC Pay Number:** 6858205  
**Originating Court:** Harbor - Newport Beach Facility  
**Defendant:** Flores, Alexander Baduria  
**Demographics:**

Eye:	Brown
Hair:	Black
Height (Ft/in):	5'11"
Weight (lbs):	140

**Names:**

Last Name	First Name	Middle Name	Type
Mallari	Alexander	Baduria	Court True Name
Flores	Alexander	Baduria	Court True Name
Flores	Alexander	Baduria	Real Name
Mallari	Alexander	Baduria	Alias
Flores	Alexander	B	Alias
Flores	Alexander		Alias

**Case Status:**

Status: Convicted  
Case Stage:  
Release Status: Remanded  
Warrant: N  
DMV Hold : N  
Charging Document: Complaint  
Mandatory Appearance: Y  
Owner's Resp: N  
Amendment #: 0

**Counts:**

Seq	S/A	Violation Date	Section Statute	OL	Violation	Plea	Plea Date	Disposition	Disposition Date
1	0	05/25/2011	487(a) PC	F	Grand theft	GUILTY	11/21/2011	Pled Guilty	11/21/2011

**Participants:**

Role	Badge Agency	Name	Vacation Start	Vacation End
District Attorney	OCD A	Laird, Susan		
Public Defender	OCPD	Deputy Public Defender,		
Public Defender	OCPD	Kelly, Stacy		
Public Defender	OCPD	Eisler, Edward		

**Heard Hearings:**

Date	Hearing Type - Reason	Courtroom	Hearing Status	Special Hearing	Result
11/09/2011	Arraignment -	H2	Heard		
11/21/2011	Pre Trial -	H2	Heard	waives statutory time for	
11/22/2011	Preliminary Hearing -	H2	Cancel		

**Sentences:**

Seq #	Sentence Date	Sentence
1	11/21/2011	3 years Probation
2	11/21/2011	120 days Jail
3	11/21/2011	Restitution

**Probation:**

Sent Seq #	Type	Term	End Date
1	FORMAL	3 years	11/20/2014

**History:**

Status	Status Date	End Date
Active	11/21/2011	11/20/2014