

**UNITED STATES OF AMERICA  
NATIONAL CREDIT UNION ADMINISTRATION  
Alexandria, Virginia**

In the Matter of	)	
	)	
BRANDIE MARIE ROACH	)	Docket No.: 12-0041-R2
	)	

**NOTICE OF PROHIBITION**

WHEREAS on or about July 21, 2010, Brandie Marie Roach ("Roach") pled guilty to Unauthorized Use of Personal Identifying Information of Another Person, PC 530.5 (c)(1), in connection with her employment at Financial Center Credit Union in Stockton, California;

WHEREAS a violation of PC 530.5 (c)(1), is a criminal offense involving dishonesty and breach of trust;

NOW, THEREFORE, YOU ARE HEREBY NOTIFIED THAT:

1. Pursuant to 12 U.S. C. § 1785(d)(1)(A) and 12 U.S.C. § 1829(a)(1)(A), Roach is prohibited from becoming an "institution affiliated party" of any insured depository institution, as defined in 12 U.S.C. § 1786(r); otherwise participating, directly or indirectly, in the conduct of the affairs of any insured depository institution; and owning or controlling, directly or indirectly, any insured depository institution;

2. Pursuant to 12 U.S. C. § 1785(d)(1)(B) and 12 U.S.C. § 1829(a)(1)(B), no insured depository institution may permit Roach to engage in any conduct or continue in any relationship prohibited in paragraph 1 above;

3. Pursuant to 12 U.S.C. § 1785(d)(3) and 12 U.S.C. § 1829(b), whoever knowingly violates paragraph 1 or 2 above is subject to a fine of not more than \$1 million for each day such prohibition is violated, or imprisonment for not more than five (5) years, or both;

4. The "Order of Court/Conditions of Probation" filed July 21, 2010, Case No.: SM274984A, is made a part hereof and is incorporated herein by reference; and

5. This Notice of Prohibition shall be effective and enforceable on the date set forth below.

IT IS SO ORDERED this 3<sup>rd</sup> day of August, 2012.

**NATIONAL CREDIT UNION ADMINISTRATION BOARD**

By: Wendy A. Angus  
For Jane A. Walters  
Regional Director  
NCUA Region II



CLERK MINUTES

SUPERIOR COURT OF CALIFORNIA - COUNTY OF SAN JOAQUIN

PROB. REV. TW/TNW C/S S/A NATH CFO ON V

PEOPLE VS. BRANDIE MARIE ROACH

DOB: 2/12/85

PRCG: MAR

DEPT: 36

CHARGES: 1. PCS30.5(C)(1); 2. PCS30.5(D)MISD;

DATE: 7/21/10 TIME: 13:28

JDG/COMM: RICHARD GUILIANTI

CLERK: L. CLOW D. SPOONHOUR

CASE: SM274984A DA: 0643423 AGCY: SP REPT: LAURIE KNOWLTON

APPEARANCE NOT GUILTY PLEA/DENIAL

MITRF AP PRA AP CUS APPA PARET APCAP APWPA APD INTPR

PLEDA PLDAC PLCEA CDGAB JTDEM SH SH SH

NON-APPEARANCE

CSORB BB FOB BBBW SHWHW WW CSH WW MIWRM WWOH MDSA WWAWO

MIRAS SHVAC SHVAC WTR WTNP WTIM AP MIINT MIHCR OTHER

ARRAIGNMENT / FURTHER ARRAIGNMENT

MIDRP FDTEM RASTI MI PTC FD RAGAS ARC RA ARC RA ARWVD WRW TNOKE TNOTH

IN CUSTODY / O.R. / BAIL CONDITIONS

MICRE TCOR1 TCOR2 TCOR TCOR3 TCOR4 TCOR5 TCOR6 TCOR7 ORSAF TCOR8 TCOR9 ORNFV DVVCO TCLAI TCLAA ORNA TCORF TCOR LM TCSMA ORS2 MIATN TCORQ TCOTH

BAIL INFORMATION

MO BRVOR MIDAO WWREV CSOR CSFRC MISGN CSRM MICOL MIFOR MIBK CSFRA BB SIC BB BBR MINJC CSFRC MISJC

I, have interpreted this form to the above named defendant. I have received a copy, read and understand the above conditions of agreement: DEFENDANT'S SIGNATURE STREET ADDRESS CITY, STATE & ZIP TELEPHONE NO.

THE ANNEXED INSTRUMENT IS A CORRECT COPY OF THE ORIGINAL ON FILE IN MY OFFICE. NOTARIAL SEAL MUST BE IN PLACE. By [Signature] Deputy



FORMAL HEARING

HMRS  Hearing Re: MARDSEN MOTION
IOMIC  Marsden Motion heard IN CAMERA. TRANSCRIPTS ORDERED SEALED.
IOMR  Marsden Motion having been heard is  A. GRANTED  B. DENIED
IOHDA  Motion is held MI  Motion held: 153.  1538.5 PC 995  995 PC
IOSBP  Motion submitted on the pleadings.
IOEW  Motion to exclude witnesses granted with the exception of the Investigating Officer
Motion made by  A. Prosecution  B. Defense
IOXWB  Motion to exclude witnesses granted. Motion made by defense.
IHWI  The following witnesses sworn and testified for the:
 P. People  D. Defendant

AMENDMENTS

AM  Complaint  Information amended
A.  As to Ct.  1.  As to Ct.
B.  To add Ct.  2.  To add Ct.
A.  A felony violation of
B.  A misdemeanor violation of
C.  A misdemeanor violation of pursuant to PC 17
G.  A misdemeanor violation of 23103 per 23103.5
A.  On motion of DDA
B.  Upon stipulation of counsel
C.  Upon court's own motion.
AMCAR  Complaint amended to read
AMCAJ  Complaint  IAJ  Information amended as to Ct.
to add Enhancement # pursuant to
MOVP  Upon motion of B  COURT A  DDA
 Violation of Probation added to wit;
AMBAS  Basis for charge amendment
FDD  Written statement by Deputy District Attorney
 A. Is attached, filed and made part of the docket as to
 B. Is to be attached, filed and made part of the docket as to
 A. Reduction  B. Dismissal
MIADC  Defendant advised of charges as amended
ARWVD  Form of arraignment waived

WAIVER OF RIGHTS / ADVISAL

WRMTC  Defendant advised of, understood and knowingly and voluntarily waived all of the following rights; the privilege against self incrimination, right to a jury trial, right to confront and cross examine witnesses against him. After questioning the Defendant the Court determined that Defendant understood the nature of the charges, the elements of the offense, the pleas available thereto, the possible defenses thereto, the possible range of penalties and other consequences of plea, including the effect of the admission of any prior conviction.
WRSAC  Right to a speedy preliminary hearing within 10 days.
WRSAE  To testify on his own behalf WRSJ  To a court trial
WRSSB  To an attorney at all stages of proceedings
RACOC  To consequences of conviction per PC 1016.5 (Alien)
RA  Advised.  REG. Code Registration
 APC, Parole consequences  DLS, Drivers license suspension
TCENG  that this conviction may be used for enhancement as a prior conviction if arrested for D.U.I. within 10 years.
RAMUR  Defendant advised per to VC 23503 (DUI resulting in death)
RASOF  of and understands if he is charged with the same offense, he could be prosecuted as a felon and subject to punishment in State Prison.
MIST  this is 1.  2.  Strike(s).
RADAA  of appeal rights
MINOF  Firearm prohibition notice form provided to defendant.
MIASK  Court asked Defendant if any promises or recommendations have been made to him by DDA or counsel or anyone else as to what punishment could or might be.

ENTRY OF PLEA

PLWNO  Defendant requests and is granted permission to withdraw plea of not guilty.
PLED  Defendant entered plea of
C.  Guilty to all Cts. D.  Guilty to Ct.
E.  Nolo Contendere to all Cts. F.  Nolo Cont. to Cts.
PL HA  Defendant pleads N  Nolo G  Guilty to Ct.
Court's acceptance of plea held in abeyance.
URNLP  Court finds Defendant understood Nolo plea has same legal effects as guilty plea.
CDGN  Enhancement # pursuant to as alleged in Ct.#
A.  Admitted C.  Stricken D.  Stricken for sentencing purposes only
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A.  Admitted C.  Stricken D.  Stricken for sentencing purposes only
CDENU  All enhancements to Ct.
CDENS  All enhancements and stricken
PLFB  Court finds a factual basis for plea based on
A. (what was rec'd by people) B. (what was rec'd by Defense)

PLCCP  The Defense Attorney concurred with Defendants plea and admission
PLKIV  The Court found the plea knowledgeable, intelligent and voluntary.
MIFLA  Court accepted plea
PLAC  Court accepts plea of G.  Guilty N.  Nolo Contendere
previously held in abeyance as to count
FDPIA  Plea in absentia received and filed.
FDPLF  Defendant's written plea signed, filed & incorporated herein by reference.
MIWRF  Defendant signed plea waiver rights form in file.
MIWWA  See waiver of Attorney in file
FDDEF  Notice of eligibility for Deferred Entry of Judgment Program filed.
PSDEG  As to Ct. Deferred Entry of Judgment granted for minimum of 18 months to maximum of 3 yrs.
MIATT  Deferred Entry of Judgment Agreement attached herein.
MIR  Report of PA  Probation Officer PF received and considered.
WR DWP  Defendant waived probation referral
PRF  And request immediate sentencing
MIPDN  Probation Dept. notified to prepare report pursuant to PC1203.
MIJOK  No legal cause why judgment should not now be pronounced.
WTSTC  Defendant waives time for sentencing 1 and is hereby sentenced.
WRJDS  Formal arraignment for judgment waived.

MISC. HEARINGS / ORDERS

PSS  Criminal proceedings suspended pursuant to
PH.  PC1368 PG.  PC1370 PB.  PC1203.03 PA.  WI3051
MIEXG  Court appoints Dr.
to examine Defendant pursuant to
TCADF  Defendant placed in Dept. of Correction diagnostic facility for a period not to exceed 60 days pursuant to PC1203.03.
PSCP  Criminal proceedings are reinstated subsequent to
WRSRP  Defendant and Counsel stipulate that requirement under WI3051 is waived.
WREVA  Defendant waives evaluation by Doctor pursuant to WI3051.
MISAD  Defendant and Counsel stipulate that Defendant is an addict or in imminent danger of becoming an addict within the meaning of WI3051.
MICRC  Submitted on recommendation of CRC.
CSDRC  Defendant discharged from California Rehabilitation Center.
MIHWV  Hearing on fugitive warrant waived.
MIWEX  Waiver of extradition signed in open court.
MITRN  Transportation confirmed to demanding state. Defendant transported to state of on no further proceedings to be had.
MODAS  Motion to dismiss pursuant to PC. 1203.4 is granted
CDPN B  Conviction or plea of guilty/nolo contendere heretofore entered is set aside; a plea of not guilty is ordered entered and the case is dismissed pursuant to PC 1203.4 D. as to ct.
MOSRG  Motion to seal records granted

DISMISSALS

CDF  Court dismissed upon motion of
A.  Court B.  DDA
 Insufficient evidence
 Interest of Justice in view of plea
 Interest of Justice M
CDD  Case dismissed upon motion of
AA  Court, interest of justice BA  DDA, interest of justice
BB  DDA, insufficient evidence BD  DDA, in view of plea to case.
BE  DDA.

BAIL

BBCBR  Cash Bail (amt., receipt #) is exonerated.
BBBA  Bail Bond No. is exonerated.
MOEB  Motion to vacate forfeiture and exonerate bail bond #
A.  Granted
B.  Denied
C.  Granted on condition bondsman pay reinstatement fee of \$
D.  Granted Reinstatement fee waived
MOV  Motion to vacate forfeiture and reinstale
A.  Cash Bail
B.  Bail Bond No.
 A. Denied
 B. Granted on condition defendant pay reinstatement fee of \$
 C. Granted. Reinstatement fee waived.
BBBC  Bail Bond No. is resumed
BBBA  Bail Bond No. is reinstated
MOBEX  Upon Court's own motion, bond no. is retained and exonerated upon payment of reinstatement fee \$.

See Terms of Probation incorporated herein.
See Felony State Prison Commitment.
C.  Defendant's request for medication
 Defendant's Judgment/Order of Court
CSDP  Defendant is now returning to the custody of the Sheriff of
 Defendant's Bond of \$ is returned. Rejection of the Sheriff's
 Defendant's Bond of \$ is returned for Women