

SEC NEWS DIGEST

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COMMISSION ANNOUNCEMENTS

KAREN BURGESS NAMED SENIOR ADVISER FOR OFFICE OF COMPLIANCE INSPECTIONS AND EXAMINATIONS

Securities and Exchange Commission Director of the Office of Compliance Inspections and Examinations, Lori Richards, today named Karen Buck Burgess Senior Adviser to the Director, a newly created senior position in that Office. In her new capacity, Ms. Burgess will provide advice and guidance to the Director, advise examination and inspection staff in headquarters and in the regions and districts, particularly concerning issues that arise under the recently enacted financial services modernization legislation, and spearhead special projects. Ms. Burgess will also have responsibilities for the Office's examination oversight of clearing agencies.

Ms. Burgess has served as Associate General Counsel in the Office of the General Counsel since July 1996. She has been responsible for advising the General Counsel and the Commission on a variety of rulemaking, enforcement, and legislative matters. She played a key role in advising the Commission during negotiations leading to the enactment of recent financial services modernization legislation, the Gramm-Leach-Bliley Act. Prior to this, Ms. Burgess served the Commission in a variety of other capacities, including Deputy Chief Counsel in the Division of Market Regulation and Special Counsel in the Office of the Chairman. She received the Commission's Distinguished Service Award in 1998.

In addition to her work at the Commission, Ms. Burgess has worked in the law offices of Milbank, Tweed, Hadley & McCloy in Tokyo, Japan, and of Baker & McKenzie in Hong Kong. She has also served as an Executive Assistant in the Bangkok, Thailand Office of the United Nations High Commissioner for Refugees. Ms. Burgess received a B.A. from Oberlin College and a J.D. from Antioch School of Law.

Chairman Arthur Levitt said, "During my tenure, there are few people I've worked with more imbued with a sense of the Commission's history, mission, and sense of professionalism than Karen Burgess. Her wealth of knowledge, legal acumen, and sound

policy judgment will serve this agency and America's investors well in her new role. She has been, and will no doubt continue to be, one of the shining stars of the Commission."

General Counsel David M. Becker said, "We will miss Karen. She has been a senior legal advisor to the Commission on virtually all matters on its regulatory and legislative agenda. Though she leaves us for a new challenge at OCIE, this office will bear her imprint for many years to come."

Lori Richards said, "I am delighted that Karen will help us in our oversight of the securities industry. She brings enormous legal talent and experience, which will be particularly valuable in helping us weave through the many issues under the new financial services legislation. She will be a real asset to OCIE." (Press Rel. 2001-15)

SEC REQUIRES DISCLOSURE OF MUTUAL FUND AFTER-TAX RETURNS

The Commission announced today that it had adopted rules requiring mutual funds to disclose standardized after-tax returns. The rules are designed to help investors understand the magnitude of tax costs and compare the impact of taxes on the performance of different funds. The text of the rules is available on the Commission's website at <http://www.sec.gov/rules/finrindx.htm>

In April 2000, the U.S. House of Representatives passed, by a vote of 358-2, the "Mutual Fund Tax Awareness Act of 2000," a bill introduced by Congressman Paul Gillmor that would enhance the information that mutual fund shareholders receive about after-tax returns. Today's Commission action, which shares the goals of Congressman Gillmor's legislation, will provide investors with this improved information.

Taxes are one of the most significant costs of investing in mutual funds. Recent estimates suggest that more than two and one-half percentage points of the average stock fund's total return is lost each year to taxes -- significantly greater than the amount typically lost to fees. Despite the dollars at stake, many investors lack a clear understanding of the impact of taxes on their mutual fund investments, particularly the fact that differences in fund investment strategies can produce markedly different tax consequences.

Paul Roye, Director of the Commission's Division of Investment Management, said, "Taxes can be the most significant cost of investing in a mutual fund. Today's action addresses the gap between the importance of taxes to mutual fund investors and the knowledge that investors have about taxes."

The following provides background information and more details on the Commission's action.

Background Information:

- In 1999, mutual funds distributed approximately \$238 billion in capital gains and \$159 billion in taxable dividends. Recent estimates suggest that more than two and one-half percentage points of the average stock fund's total return is lost each year to taxes.
- The 'tax-bite' varies widely from fund to fund. One recent study reported that the annual impact of taxes on the performance of stock funds varied from zero, for the most tax-efficient funds, to 5.6 percentage points, for the least tax-efficient. The size of the tax-bite depends on several factors, including the level of portfolio trading and the amount of gains realized on trades, as well as the degree to which the manager uses portfolio losses to offset realized gains.
- Many investors lack a clear understanding of the impact of taxes on their mutual fund investments. In one recent survey, 85% of fund investors stated that taxes play an important role in investment decisions, but only 33% felt that they were very knowledgeable about the tax implications of investing, and only 18% were able to identify the maximum rate for long-term capital gains.
- Last year, the U.S. House of Representatives passed, by a vote of 358-2, the "Mutual Fund Tax Awareness Act of 2000," a bill introduced by Congressman Paul Gillmor that would require improved disclosure of mutual fund after-tax returns.

Commission Rules:

Required Disclosure The rules require a fund to include after-tax returns for 1-, 5-, and 10-year periods in its prospectus.

- **Types of Returns** — After-tax returns will be presented in two ways, *after taxes on fund distributions*, and *after taxes on fund distributions and sale of fund shares*.
 - *Return after taxes on distributions* includes the effect of taxable distributions by a fund to its shareholders but not any taxable gain or loss that would be realized by a shareholder on the sale of fund shares, thereby reflecting the tax effects on shareholders of the portfolio manager's purchases and sales of portfolio securities.
 - *Return after taxes on distributions and sale of fund shares* includes the effect of both taxable distributions by a fund to its shareholders and any taxable gain or loss realized by a shareholder on the sale of fund shares, thereby reflecting the tax effects on shareholders of both the portfolio manager's purchases and sales of portfolio securities and a shareholder's individual decision to sell fund shares.

Format of Disclosure. Before- and after-tax returns will be presented in a standardized table to help investors compare the returns of different funds. The table will be accompanied by a short explanation of the returns presented.

Formulas for Computing After-Tax Returns. After-tax returns will be computed using the following assumptions:

Taxes are imposed at the maximum individual federal income tax rate. Computing after-tax returns with maximum tax rates will provide investors with the “worst-case” federal income tax scenario. When coupled with before-tax returns that reflect the imposition of taxes at a 0% rate, this “worst-case” scenario will effectively provide investors with the full range of historical after-tax returns.

- Distributions are taxed at historical tax rates in effect when the distributions were made rather than rates in effect when the calculation is performed. The use of historical tax rates will more accurately reflect a fund’s actual after-tax returns than would the use of rates in effect at the time after-tax returns are computed.

Exemptions from After-Tax Return Disclosure. After-tax returns are not required for

- *Money market funds* because the tax consequences of investing in different money market funds should be similar since their returns are generally in the form of income distributions, which are taxable on a current basis.
- *Funds using prospectuses exclusively for investors in tax-deferred arrangements such as 401(k) plans or variable annuities, or for entities not subject to the individual federal income tax*, because these investors either are not subject to current taxation on fund distributions or are not subject to current taxation at the individual federal income tax rates, and their tax consequences on a sale of fund shares are different from those experienced by individual investors in taxable accounts.

Advertisements. Funds are required to include standardized after-tax returns in any advertisement that

- Includes any after-tax performance information, or
- Includes any other performance information together with claims that the fund is managed to limit or control the effect of taxes.

For further information, contact Susan Nash at (202) 942-0630 or Kimberly Dopkin Rasevic at (202) 942-0721 (Press Rel 2001-16, Rels 33-7941, 34-43857, IC-24832)

RULES AND RELATED MATTERS

PRIVACY ACT SYSTEMS OF RECORDS

On January 19, 2001, the SEC proposed to modify "Applications for Registration or Exemption under the Investment Advisers Act of 1940 and Investment Company Act of 1940," and establish "Investment Adviser Records," a new Privacy Act system of records. The action resulted from the development of a new Internet-based system for the registration of investment advisers called the Investment Adviser Registration Depository (IARD).

The proposed new and altered systems of records will take effect 40 days from the publication of notice in the *Federal Register*, unless the SEC receives comments that would warrant further action. FOR DETAILS, CALL Elizabeth T. Tsai, Privacy Act Staff Attorney, at (202) 942-4326 (PA-31, File No. S7-02-01).

ENFORCEMENT PROCEEDINGS

SEC SETTLES FRAUD CHARGES AGAINST DAVID GRIBBEN, TERRY LEWIS, RONALD MIKULKA, REAGAN RICHMOND, KEVIN WOODBRIDGE AND WOODBRIDGE & ASSOCIATES

On January 18, 2001, the Commission entered settled orders making findings and imposing remedial sanctions against David Trent Gribben, Terry Lee Lewis, Ronald Troy Mikulka, Reagan Burton Richmond, Kevin Lee Woodbridge and Woodbridge & Associates. The Commission previously instituted administrative proceedings against these respondents on December 20, 1996 (Securities Act of 1934 Release No. 34-38079).

In the Orders, the Commission finds that between September 25, 1991 and December 31, 1992, respondents participated in a scheme involving the payment of bribes to brokers in exchange for purchases by their customers of Enrotek stock. The respondents did not disclose these payments to any customers when customers purchased Enrotek stock.

As a result of certain of these and other acts, respondents Gribben, Lewis, Mikulka and Woodbridge were indicted in U.S. v. Nance, and respondent Richmond was indicted in U.S. v. Richmond, which were criminal proceedings in the U.S. District Court for the District of Nevada and the Eastern District of New York, respectively. In U.S. v. Nance, respondent Gribben pled guilty to one count of conspiracy to commit securities fraud, and was sentenced to one year and one month of incarceration; respondent Lewis

pled guilty to one count of conspiracy to commit securities fraud, and was sentenced to one year and one month of incarceration; respondent Mikulka pled guilty to one count of conspiracy to commit securities fraud, and was sentenced to a period of three years of probation; and respondent Woodbridge pled guilty to securities fraud was sentenced to a period of one year and one day of incarceration. In U.S. v. Richmond, respondent Richmond pled guilty to one count of conspiracy to commit securities fraud, and was sentenced to a period of six months of home detention and four years of probation.

In the Orders, the Commission found that respondents Gribben, Lewis, Mikulka, Richmond, Woodbridge and Woodbridge & Associates willfully violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Commission barred Gribben, Lewis, Mikulka, Richmond, Woodbridge and Woodbridge & Associates from participating in any offering of penny stock and also barred Gribben, Lewis, Mikulka, Richmond and Woodbridge from associating with any broker or dealer. Respondents consented to the Orders without admitting or denying the Commission's findings. (Rels. 33-7935, 34-43851; 33-7936, 34-43852; 33-7937, 34-43853; 33-7938, 34-43854; 33-7939, 34-43855; 33-7940, 34-43856; File No. 3-9208)

CIVIL ACTION AGAINST ELLSWORTH MCLAWS AND ALAN CLAGG

On January 10, 2001, the U S District Judge for the Northern District of Texas, entered summary judgments against Ellsworth Wayne McLaws (McLaws) and Alan Clagg (Clagg) and entered final judgments against them.

The complaint alleged that McLaws and Clagg violated the antifraud and registration provisions of the federal securities laws based on their conduct as Regional Managers of Dennel Finance Limited (Dennel), a fraudulent investment scheme developed and operated by Benjamin Franklin Cook. The Dennel scheme raised more than \$45 million from approximately 300 investors throughout the United States.

In granting the Commission's summary judgment against McLaws and Clagg, the Court concluded that the Dennel program operated as a Ponzi scheme involving the offer and sale of nonexistent "prime bank" securities. McLaws and Clagg, the Court declared, violated the federal securities laws by luring investors to the Dennel program through false and misleading information.

The Court concluded

"All of the material representations made to Dennel investors were false. Dennel did not receive any income other than investor funds and, thus, the commissions paid by Dennel to its facilitators represented a percentage of each investment made to Dennel by investors, and all payments made to investors represented Ponzi payments derived from newly invested funds."

The final judgments entered by the Court enjoin McLaws and Clagg from violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(a) of the Securities Exchange Act and Rule 10b-5 thereunder. The Court also ordered McLaws to pay disgorgement \$10,336,994 plus prejudgment interest of \$1,728,572 and Clagg to pay disgorgement of \$1,376,082 plus prejudgment interest of \$247,397. Each Defendant was ordered to pay a civil penalty of \$110,000. [SEC v Benjamin Franklin Cook, et al, #3-99CV05701-R, USDC, NDTX, Dallas Division] (LR-16863)

INVESTMENT COMPANY ACT RELEASES

FIRST DEFINED SECTOR FUND, ET AL.

A notice has been issued giving interested persons until January 9, 2001, to request a hearing on an application filed by First Defined Sector Fund and First Trust Advisors, L.P. (First Trust) (collectively, Applicants). Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder, to the extent necessary to permit shares of any existing or future portfolio of First Defined Sector Fund designed to fund insurance products and shares of any other investment company or series thereof now or in the future registered under the Act that is designed to fund insurance products and for which First Trust Advisors, L.P., or any of its affiliates, may serve as investment adviser, administrator, manager, principal underwriter or sponsor, to be sold to and held by (1) separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies, (2) qualified pension and retirement plans outside of the separate account context, (3) separate accounts that are not registered as investment companies under the Act pursuant to exemptions from registration under Section 3(c) of the Act, and (4) First Trust or any of its affiliates. (Rel IC-24829 – January 17)

MARKET STREET FUND, INC., ET AL.

An order has been issued pursuant to Section 17(b) of the Investment Company Act to Market Street Fund, Inc. (Fund), Market Street Fund (Trust), Provident Mutual Life Insurance Company, Market Street Investment Management Company, and Providentmutual Life and Annuity Company of America exempting certain transactions from the provisions of Section 17(a) of the Act to the extent necessary to permit the reorganization of the Fund, a Maryland corporation, into a Delaware business trust. At the conclusion of the transactions, the assets and liabilities currently held in the Money Market, Equity 500 Index, Growth, Bond, Managed, Aggressive Growth, International, All Pro Large Cap Growth, All Pro Small Cap Growth, All Pro Large Cap Value, and All Pro Small Cap Value Portfolios of the Fund will be held by the corresponding portfolios of the Trust which previously will have had no operations. (Rel IC-24830 – January 17)

BCP III AFFILIATES FUND LIMITED PARTNERSHIP AND BAIRD FINANCIAL CORPORATION

An order has been issued on an application filed by BCP III Affiliates Fund Limited Partnership and Baird Financial Corporation under Sections 6(b) and 6(e) of the Investment Company Act. The order exempts certain limited partnerships or other investment vehicles formed for the benefit of key employees of Baird Financial Corporation and its affiliates from certain provisions of the Act. Each partnership will be an employees' securities company within the meaning of Section 2(a)(13) of the Act (Rel IC-24831 – January 17)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The Pacific Exchange filed a proposed rule change (SR-PCX-00-37) to increase fines for violations of Exchange Rules under the Exchange's Minor Rule Plan. Publication of the notice in the Federal Register is expected during the week of January 22 (Rel 34-43846)

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change (SR-BSE-00-04) submitted by Boston Stock Exchange relating to an amendment to its Post Primary Session. Publication of the order is expected in the Federal Register during the week of January 22 (Rel 34-43848)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

S-3 INTERPUBLIC GROUP OF COMPANIES INC, 1271 AVE OF THE AMERICAS, NEW YORK, NY 10020 (212) 399-8000 - 5,298,795 (\$227,848,185) COMMON STOCK. (FILE 333-53592 - JAN. 12) (BR. 2)

S-8 SULZER MEDICA LTD, ZURCHERSTERSTRASSE 12, 8401 WINTERTHUR SWIT, V8 (713) 561-6300 - 250,000 (\$6,843,750) COMMON STOCK. (FILE 333-53594 - JAN. 12) (BR. 1)

S-8 BIOGEN INC, 14 CAMBRIDGE CTR, CAMBRIDGE, MA 02142 (617) 679-2000 - 3,300,000 (\$169,323,000) COMMON STOCK. (FILE 333-53598 - JAN. 12) (BR. 1)

S-8 HEALTH MANAGEMENT ASSOCIATES INC, 5811 PELICAN BAY BLVD, SUITE 500, NAPLES, FL 33963 (941) 598-3131 - 18,000,000 (\$317,250,000) COMMON STOCK. (FILE 333-53602 - JAN. 12) (BR. 1)

S-3 AMERICAN ITALIAN PASTA CO, 4100 N MULBERRY DRIVE SUITE 200, KANSAS CITY, MO 64116 (816) 502-6000 - 686,666 (\$19,462,690) COMMON STOCK. (FILE 333-53604 - JAN. 12) (BR. 4)

S-8 SECTOR COMMUNICATIONS INC, 1801 CENTURY PARK EAST, 23RD FLOOR, LOS ANGELES, CA 90067 (703) 761-1500 - 600,000 (\$66,000) COMMON STOCK. (FILE 333-53606 - JAN. 12) (BR. 3)

S-8 SOUTHWEST AIRLINES CO, 2702 LOVE FIELD DR, P O BOX 36611, DALLAS, TX 75235 (214) 792-4000 - 6,000,000 (\$196,980,000) COMMON STOCK. (FILE 333-53610 - JAN. 12) (BR. 5)

S-8 SOUTHWEST AIRLINES CO, 2702 LOVE FIELD DR, P O BOX 36611, DALLAS, TX 75235 (214) 792-4000 - 200,000 (\$6,566,000) COMMON STOCK. (FILE 333-53616 - JAN. 12) (BR. 5)

S-3 AEROFLEX INC, 35 S SERVICE RD, PLAINVIEW, NY 11803 (516) 694-6700 - 550,000 (\$11,756,250) COMMON STOCK. (FILE 333-53618 - JAN. 12) (BR. 5)

S-8 FAIRCHILD SEMICONDUCTOR INTERNATIONAL INC, 82 RUNNING HILL RD, SOUTH PORTLAND, ME 04106 (207) 775-8100 - 5,000,000 (\$72,050,000) COMMON STOCK. (FILE 333-53620 - JAN. 12) (BR. 5)

S-8 AEROFLEX INC, 35 S SERVICE RD, PLAINVIEW, NY 11803 (516) 694-6700 - 4,000,000 (\$85,500,000) COMMON STOCK. (FILE 333-53622 - JAN. 12) (BR. 5)

S-3 CITY NATIONAL CORP, 400 N ROXBURY DR, BEVERLY HILLS, CA 90210 (310) 888-6000 - 65,429 (\$2,398,627) COMMON STOCK. (FILE 333-53624 - JAN. 12) (BR. 7)

S-8 AEROFLEX INC, 35 S SERVICE RD, PLAINVIEW, NY 11803 (516) 694-6700 - 3,875,000 (\$82,828,125) COMMON STOCK. (FILE 333-53626 - JAN. 12) (BR. 5)

S-8 TOREADOR RESOURCES CORP, 4809 COLE AVENUE SUITE 108, DALLAS, TX 75205

(214) 559-3933 - 143,040 (\$446,285) COMMON STOCK. (FILE 333-53632 - JAN. 12) (BR. 4)

S-8 UROLOGIX INC, 14405 21ST AVE N, MINNEAPOLIS, MN 55447 (612) 475-1400
-
500,000 (\$7,375,000) COMMON STOCK. (FILE 333-53634 - JAN. 12) (BR. 5)

S-8 CDX COM INC, ONE RICHMOND SQUARE, NO 27, PROVIDENCE, RI 02906
(401) 274-1444 - 7,111,906 (\$568,953) COMMON STOCK. (FILE 333-53640 - JAN. 12) (BR. 5)

S-8 JDS UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134
(408) 434-1800 - 5,000,000 (\$216,406,250) COMMON STOCK. (FILE 333-
53642 -
JAN. 12) (BR. 5)

S-1 SPECTRUM BANCORPORATION INC, 10834 OLD MILL ROAD, SUITE ONE, OMAHA,
NE
68154 (402) 333-8330 - 2,300,000 (\$23,000,000) PREFERRED STOCK. (FILE
333-53644 - JAN. 12) (BR. 9)

S-8 TVIA INC, 4001 BURTON DRIVE, SANTA CLARA, CA 95054 (408) 982-8588 -
2,579,876 (\$7,900,870) COMMON STOCK. (FILE 333-53648 - JAN. 12) (BR.
5)

S-8 VINTAGE PETROLEUM INC, 110 W SEVENTH ST, TULSA, OK 74119 (918) 592-
0101
- 5,268,044 (\$80,021,588) COMMON STOCK. (FILE 333-53652 - JAN. 12)
(BR. 4)

S-3 CROSS MEDIA MARKETING CORP, 410 PARK AVE, STE 830, NEW YORK, NY
10022
(212) 754-9901 - 9,239,692 (\$15,014,499.50) COMMON STOCK. (FILE 333-
53654
- JAN. 12) (BR. 9)

SB-2 WORLD DIAGNOSTICS INC, 15271 N W 60TH AVE, STE 201, MIAMI LAKES, FL
33014 (305) 827-3304 - 7,706,760 (\$9,004,352) COMMON STOCK. 4,500,000
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-53658 - JAN. 12) (BR. 9)

S-3 ANALOG DEVICES INC, ONE TECHNOLOGY WAY, NORWOOD, MA 02062 (718) 329-
4700
- 63,750 (\$2,888,671.88) COMMON STOCK. (FILE 333-53660 - JAN. 12) (BR.
5)

S-3 FLEET HOME EQUITY LOAN CORP, 100 FEDERAL STREET, BOSTON, MA 02110
(617) 434-2200 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.
(FILE 333-53662 - JAN. 12) (NEW ISSUE)

S-8 BANK OF AMERICA CORP /DE/, NATIONSBANK CORPORATE CENTER, 100 N TRYON
ST,
CHARLOTTE, NC 28255 (704) 386-5000 - 30,000,000 (\$1,418,400,000)
COMMON STOCK. (FILE 333-53664 - JAN. 12) (BR. 7)

S-4 PEOPLES COMMUNITY BANCORP INC /DE/, 11 S BROADWAY, LEBANON, OH 45036
(513) 932-3876 - 818,636 (\$7,140,717) COMMON STOCK. (FILE 333-53666 -
JAN. 12) (BR. 7)

- S-8 ITURF INC, ONE BATTERY PARK PLZ, NEW YORK, NY 10004 (212) 742-1640 - 6,374,916 (\$18,426,693) COMMON STOCK. (FILE 333-53670 - JAN. 12) (BR. 2)
- S-3 SPARTAN STORES INC, 850 76TH ST SW, P O BOX 8700, GRAND RAPIDS, MI 49518 (616) 878-2000 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-53672 - JAN. 12) (BR. 4)
- S-8 SERVICE BANCORP INC, 81 MAIN STREET, MEDWAY, MA 02053 - 84,741 (\$862,542) COMMON STOCK. (FILE 333-53680 - JAN. 12) (BR. 7)
- S-8 CRAIG CORP, 550 S HOPE STREET, SUITE 1825, LOS ANGELES, CA 90071 (213) 239-0555 - 1,050,000 (\$2,800,000) COMMON STOCK. (FILE 333-53682 - JAN. 12) (BR. 8)
- S-8 CITADEL HOLDING CORP, 550 SOUTH HOPE ST STE 1825, SUITE 500, LOS ANGELES, CA 90071 (818) 549-3130 - 666,000 (\$1,631,700) COMMON STOCK. (FILE 333-53684 - JAN. 12) (BR. 8)
- S-8 NOVATEL WIRELESS INC, 9360 TOWNE CENTRE DR, SUITE 110, SAN DIEGO, CA 92121 (858) 320-8800 - 16,847,728 (\$122,081,090) COMMON STOCK. (FILE 333-53692 - JAN. 12) (BR. 3)
- S-8 FIRST VIRGINIA BANKS INC, ONE FIRST VIRGINIA PLZ, 6400 ARLINGTON BLVD RM 300, FALLS CHURCH, VA 22042 (703) 241-3656 - 2,500,000 (\$114,062,500) COMMON STOCK. (FILE 333-53698 - JAN. 12) (BR. 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABBOTT LABORATORIES	IL					X	X				01/16/01	
ACKERLEY GROUP INC	DE					X	X				01/11/01	
ACTRADE FINANCIAL TECHNOLOGIES LTD	DE					X					01/03/01	
ADAIR INTERNATIONAL OIL & GAS INC	TX								X		01/12/01	
ADELPHIA COMMUNICATIONS CORP	DE					X	X				01/18/01	
ADEN ENTERPRISES INC	CA					X					11/01/00	AMEND
ADVANCED NEUROMODULATION SYSTEMS IN C	TX	X									01/17/01	
AIMCO PROPERTIES LP	DE							X			09/20/00	AMEND
AIRNET COMMUNICATIONS CORP						X	X				01/09/01	
AIRNET COMMUNICATIONS CORP									X		01/17/01	
AIRTRAX INC	NJ	X									11/05/99	AMEND
ALD SERVICES INC	NV				X		X				01/16/01	
ALL STAR GAS CORP	MO					X	X				01/17/01	
ALLEGHENY ENERGY INC	MD								X		01/08/01	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE							X	X		01/18/01	
ALLIANCE CAPITAL MANAGEMENT L P	DE								X	X	01/18/01	
ALLSTATE FINANCIAL CORP /DE/	DE		X								11/30/00	AMEND
AMERICAN AIRLINES INC	DE						X				01/17/01	
AMERICAN ARCHITECTURAL PRODUCTS COR P	DE					X					01/17/01	
AMERICAN CHAMPION ENTERTAINMENT INC	DE	X									01/03/01	
AMERICAN TOWER CORP /MA/	DE					X	X				01/17/01	
AMERICAN TOWER CORP /MA/	DE					X	X				01/18/01	
AMERICAN WOODMARK CORP	VA					X	X				01/31/01	
AMERISTAR CASINOS INC	NV					X	X				01/17/01	
AMORTIZING RESI COLLATERAL TR MOR P AS THRU CERT SER 2000-BC3	DE								X		12/26/00	
AMR CORP	DE					X					01/17/01	
ANDRX CORP /DE/	DE					X	X				01/09/01	
APARTMENT INVESTMENT & MANAGEMENT C O	MD								X		09/20/00	AMEND
APPLEBEES INTERNATIONAL INC	DE					X	X				01/17/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				01/16/01	
ARCADIA RECEIVABLES FINANCE CORP	DE					X	X				01/16/01	
ASSOCIATES CREDIT CARD MASTER NOTE TRUST						X	X				01/17/01	
ASSOCIATES CREDIT CARD RECEIVABLES CORP	DE					X	X				01/17/01	
AT&T LATIN AMERICA CORP	DE							X	X		01/17/01	
AVNET INC	NY							X	X		01/17/01	
AVOCENT CORP	DE		X					X			11/03/00	
AVT CORP	WA		X					X			01/03/01	
AZUL HOLDINGS INC	DE				X						01/10/01	
BANK ONE CORP	DE					X	X				01/17/01	
BAROQUE CORP	NV	X	X			X	X	X			03/22/00	
BECTON DICKINSON & CO	NJ					X					01/17/01	
BETHLEHEM STEEL CORP /DE/	DE					X	X				01/12/01	
BIOSHIELD TECHNOLOGIES INC	GA				X		X				01/10/01	
BLOUNT INTERNATIONAL INC	DE					X	X				01/17/01	
BROADCOM CORP	CA		X					X			01/03/01	
BROWN & BROWN INC	FL			X							01/03/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
BRT REALTY TRUST	MA				X	X					01/11/01	
BRYN MAWR BANK CORP	PA				X						01/11/01	
CAITHNESS COSO FUNDING CORP	DE	X									01/17/01	
CASINO DATA SYSTEMS	NV				X	X					01/17/01	
CATERPILLAR INC	DE				X						01/18/01	
CDKNET COM INC	DE				X	X					12/15/00	
CENDANT CORP	DE				X	X					01/18/01	
CHESAPEAKE ENERGY CORP	OK				X	X					01/16/01	
CHICAGO BRIDGE & IRON CO N V					X	X					12/01/00	
CHL MORTGAGE PASS THROUGH 2000-1	DE				X	X					11/25/00	
CHL MORTGAGE PASS THROUGH 2000-1	DE				X	X					12/25/00	
CHORDIANT SOFTWARE INC					X	X					01/08/01	
CIENA CORP	DE				X						12/18/00	
CINEMASTAR LUXURY THEATERS INC	CA		X			X					01/04/01	
CITIBANK SOUTH DAKOTA N A	DE	X									12/26/00	
CITIGROUP INC	DE				X						01/16/01	
CITY NATIONAL CORP	DE				X	X					01/17/01	
CLIMACHEM INC	OK		X								10/31/00	AMEND
COACHMEN INDUSTRIES INC	IN				X	X					01/12/01	
COASTAL CARIBBEAN OILS & MINERALS L TD	DO				X	X					01/16/01	
COLONIAL BANCGROUP INC	DE				X						01/17/01	
COMMERCIAL MORTGAGE PASS-THROUGH CE RTIFICATES SERIES 2000-C2	MO				X	X					01/12/01	
COMMONWEALTH BANCORP INC	PA				X	X					01/16/01	
CONSUMERS BANCORP INC /OH/	OH				X						01/16/01	
CONTINENTAL AIRLINES INC /DE/	DE					X	X				01/18/01	
COSO ENERGY DEVELOPERS	CA	X									01/17/01	
COSO FINANCE PARTNERS	CA	X									01/17/01	
COSC POWER DEVELOPERS	CA	X									01/17/01	
COVER ALL TECHNOLOGIES INC	DE				X	X					01/17/01	
CROWN CENTRAL PETROLEUM CORP /MD/	MD				X	X					01/18/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-1	DE				X	X					11/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-1	DE				X	X					12/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-2	DE				X	X					11/25/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-2	DE				X	X					12/25/00	
CWMBS CHL MORTGAGE PASS THROUGH TRU ST 2000-3	DE				X	X					11/25/00	
CWMBS CHL MORTGAGE PASS THROUGH TRU ST 2000-3	DE				X	X					12/25/00	
CWMBS INC	DE				X	X					11/30/00	
CWMBS INC	DE				X	X					11/30/00	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2000-2	DE				X	X					11/25/00	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2000-2	DE				X	X					12/25/00	
CWMBS INC RESIDENTIAL ASSET SC TR 2 000-A6	DE				X	X					11/25/00	
CWMBS INC RESIDENTIAL ASSET SC TR 2 000-A6	DE				X	X					12/25/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A4	DE					X	X				11/25/00	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A4	DE					X	X				12/25/00	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A5	DE					X	X				11/25/00	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 2000-A5	DE					X	X				12/25/00	
CWMBS RESIDENTIAL ASSET SECURITIZAT ION TRUST 2000-A1	DE					X	X				11/25/00	
CWMBS RESIDENTIAL ASSET SECURITIZAT ION TRUST 2000-A1	DE					X	X				12/25/00	
CYTOMEDIX INC	DE	X					X				01/02/01	
DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A	DE					X	X				12/20/00	
DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A	DE					X	X				12/20/00	
DEALER AUTO RECEIVABLES CORP	DE					X	X				01/16/01	
DELPHI AUTOMOTIVE SYSTEMS CORP	DE					X					01/17/01	
DIGITAL COURIER TECHNOLOGIES INC	DE							X			01/18/01	
DISCOVER CARD MASTER TRUST I	DE					X	X				01/16/01	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X	X				01/04/01	
DORAL FINANCIAL CORP	PR					X	X				01/17/01	
DOVER CORP	DE						X	X			01/22/01	
DREAMLIFE INC	DE					X	X				11/28/00	
E SPIRE COMMUNICATIONS INC	DE						X	X			01/03/01	
EDG CAPITAL INC	NY					X	X				01/05/01	
EDISON INTERNATIONAL	CA					X					01/18/01	
EFFICIENT NETWORKS INC	DE					X	X				01/18/01	
ELCOR CORP	DE					X	X				01/18/01	
ENTERCOM COMMUNICATIONS CORP	PA							X			01/17/01	
EVANS BANCORP INC	NY					X					01/01/01	
EYECITY COM INC	DE					X	X				01/12/01	
EZCONNECT INC /UT/	NV							X			01/17/01	
FANTASTICON INC	NV	X	X			X	X				10/12/00	AMEND
FARREL CORP	DE					X	X				01/17/01	
FEDERAL MOGUL CORP	MI					X					01/18/01	
FIRST CHARTER CORP /NC/	NC						X	X			01/18/01	
FIRST SOUTHERN BANCSHARES INC/DE	DE						X				01/12/01	
FIRST UNION CORP	NC					X	X	X			01/18/01	
FIRST UNION MASTER CREDIT CARD TRUS T						X	X				01/16/01	
FLEETBOSTON FINANCIAL CORP	RI					X	X				12/31/00	
FOCUS ENHANCEMENTS INC	DE					X	X				01/16/01	
FORD MOTOR CO	DE					X	X				01/18/01	
FREEREALTIME COM INC	CO					X					01/08/01	
FRESH BREATH INDUSTRIES INC	NV		X								12/31/99	
GENERAL MOTORS ACCEPTANCE CORP	DE					X					01/17/01	
GENERAL MOTORS CORP	DE					X					10/03/00	
GENETRONICS BIOMEDICAL LTD						X	X				01/17/01	
GETTY PETROLEUM MARKETING INC /MD/	MD				X		X				01/03/01	AMEND
GLACIER BANCORP INC	DE					X	X				01/18/01	
GLOBAL MARINE INC	DE						X	X			01/18/01	
GLOBAL TELESYSTEMS INC	DE					X	X				01/15/01	

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		1	2	3	4	5	6	7	8	9		
GODDARD INDUSTRIES INC	MA	X									11/01/00	AMEND
GOOD TIMES RESTAURANTS INC	NV	X	X								01/17/01	
GREAT AMERICAN BANCORP INC	DE				X	X					01/12/01	
GREATBIO TECHNOLOGIES INC	NV		X								01/15/01	
GREATER COMMUNITY BANCORP	NJ	X									01/18/01	
GREENSTONE ROBERTS ADVERTISING INC	NY						X				01/17/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	X					12/18/00	
GREENWICH CAPITAL ACCEPTANCE INC	DE				X	X					12/18/00	
GUILFORD PHARMACEUTICALS INC	DE				X	X					01/12/01	
HARLEYSVILLE NATIONAL CORP	PA				X						12/31/00	
HARRAHS ENTERTAINMENT INC	DE				X	X					01/19/01	
HAWAIIAN ELECTRIC CO INC	HI				X						01/18/01	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI				X						01/18/01	
HELLER FINANCIAL INC	DE				X	X					01/17/01	
HELMERICH & PAYNE INC	DE								X		01/18/01	
HENLEY HEALTHCARE INC	TX				X	X					10/17/01	
HENRY JACK & ASSOCIATES INC	DE	X									01/18/01	
HERTZ CORP	DE				X	X					01/17/01	
HEXCEL CORP /DE/	DE				X	X					01/18/01	
HIGH PLAINS CORP	KS				X						01/18/01	
HOUSEHOLD INTERNATIONAL INC	DE				X	X					12/31/00	
HUFFY CORP	OH				X	X					01/17/01	
HUFFY CORP	OH					X		X			01/18/01	
HUNTINGTON BANCSHARES INC/MD	MD				X	X					01/18/01	
HYSEQ INC	NV				X	X					01/16/01	
INAMED CORP	DE				X	X					01/16/01	
INFINITE GROUP INC	DE				X						12/29/00	
INFOAMERICA INC	CO		X				X				01/02/01	
INSILCO HOLDING CO	DE				X	X					01/17/01	
INSILCO TECHNOLOGIES INC	DE				X	X					01/17/01	
INSITUFORM TECHNOLOGIES INC	DE				X						01/13/01	
INTACTA TECHNOLOGIES INC	NV	X									01/16/01	
INTEL CORP	DE				X	X					01/16/01	
INTELECT COMMUNICATIONS INC	DE				X	X					01/02/01	
INTERMUNE PHARMACEUTICALS INC	CA				X	X					01/19/01	
INTERNATIONAL BUSINESS MACHINES CORP	NY				X						01/17/01	
INTERNATIONAL FLAVORS & FRAGRANCES INC	NY		X				X				01/17/01	AMEND
INTERWORLD CORP	DE				X						01/17/01	
ISRAMCO INC	DE				X						01/18/01	
JACKPOT ENTERPRISES INC	NV				X	X					01/04/01	
JAWZ INC	NV	X				X					04/20/00	AMEND
KANEB PIPE LINE PARTNERS L P	DE	X				X					12/31/01	
KANEB SERVICES INC	DE	X				X					12/31/01	
KB HOME	DE							X			01/17/01	
KERR MCGEE CORP	DE				X	X					01/16/01	
LANVISION SYSTEMS INC	DE				X						01/18/01	
LBP INC	DE				X	X					01/11/01	
LCC INTERNATIONAL INC	DE				X	X					01/16/01	
LEADINGSIDE INC	DE				X	X					12/29/00	
LEE ENTERPRISES INC	DE				X						01/19/01	
LIL MARC INC	NV			X							01/12/01	
LYNCH INTERACTIVE CORP	DE				X	X					01/16/01	

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		1	2	3	4	5	6	7	8	9		
MAIL COM INC	DE				X	X					01/16/01	
MANUGISTICS GROUP INC	DE				X						01/18/01	
MAXUS REALTY TRUST INC	MO				X						01/11/01	
MCCORMICK & CO INC	MD				X	X					01/18/01	
MCLEODUSA INC	DE				X	X					01/16/01	
MCNAUGHTON APPAREL GROUP INC	DE								X		01/17/01	
MEDIACOM CAPITAL CORP	NY				X	X					01/16/01	
MEDIACOM COMMUNICATIONS CORP	DE				X	X					01/16/01	
MEDIACOM LLC	NY				X	X					01/16/01	
MEDIX RESOURCES INC	CO				X						03/31/01	
MELLON FINANCIAL CORP	PA				X	X					01/16/01	
MERISTAR HOSPITALITY CORP	MD						X	X			01/17/01	
MERRILL LYNCH & CO INC	DE	X									01/18/01	
METRIS MASTER TRUST	DE						X				01/18/01	
METRIS RECEIVABLES INC	DE						X				01/18/01	
MICROCHIP TECHNOLOGY INC	DE		X				X				01/16/01	
MID-STATE BANCSHARES	CA					X	X				01/17/01	
MINNESOTA CORN PROCESSORS LLC	CO						X	X			01/03/01	
MINNESOTA MINING & MANUFACTURING CO	DE						X	X			01/17/01	
MODEM MEDIA INC	DE					X	X				01/16/01	
MOTORCAR PARTS & ACCESSORIES INC	NY					X	X				01/17/01	
NATIONWIDE CREDIT INC						X	X				01/16/01	
NESTOR INC	DE					X					03/31/01	
NETIQ CORP						X	X				01/13/01	
NETPLIANCE INC	DE					X	X				01/17/01	
NIAGARA BANCORP INC	DE		X				X				11/03/00	
NIAGARA MOHAWK HOLDINGS INC/NY	NY					X	X				12/13/00	
NIAGARA MOHAWK HOLDINGS INC/NY	NY					X	X				01/17/01	
NIAGARA MOHAWK POWER CORP /NY/	NY					X	X				01/17/01	
NS GROUP INC	KY								X		01/18/01	
OLD KENT FINANCIAL CORP /MI/	MI					X	X				01/18/01	
ON2COM INC	CO		X								11/03/00	AMEND
ON2COM INC	CO					X	X				01/17/01	
OPAL TECHNOLOGIES INC	NV				X		X				12/06/00	AMEND
ORTHODONTIC CENTERS OF AMERICA INC /DE/	DE								X		01/18/01	
OSTEOTECH INC	DE					X					01/17/01	
O2WIRELESS SOLUTIONS INC	GA		X					X			01/02/01	
PACIFIC AEROSPACE & ELECTRONICS INC	WA		X					X			05/01/00	AMEND
PACIFIC GAS & ELECTRIC CO	CA					X					01/10/01	AMEND
PACIFIC GAS & ELECTRIC CO	CA					X					01/17/01	
PARADISE MUSIC & ENTERTAINMENT INC	DE					X	X				12/15/00	AMEND
PEACOCK FINANCIAL CORP	CO		X								01/08/01	
PENFORD CORP	WA					X	X				01/17/01	
PENNSYLVANIA FINANCIAL SERVICES CORP	PA							X			01/18/01	
PEOPLES BANCTRUST CO INC	AL					X	X				01/17/01	
PEOPLES ENERGY CORP	IL		X								01/08/01	
PEOPLES SIDNEY FINANCIAL CORP	DE							X	X		01/17/01	
PERMA FIX ENVIRONMENTAL SERVICES IN C	DE								X		12/22/00	
PG&E CORP	CA					X					01/10/01	AMEND
PG&E CORP	CA					X					01/17/01	
PJ AMERICA INC	DE					X					01/17/01	
PLAYANDWIN INC	NV					X					09/20/00	AMEND

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	CODE	1	2	3	4	5	6	7	8	9			
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CM1	MO					X	X					01/10/01	
PNC MORT SEC CORP COM MORT PASS THR CERT SER 2000-C1	MO					X	X					01/16/01	
POOLED AUTO SECURITIES SHELF LLC	DE					X	X					01/17/01	
PREMCO REFINING GROUP INC	DE					X	X					01/17/01	
PREMCO USA INC	DE					X	X					01/17/01	
PRIDE COMPANIES LP	DE	X										01/17/01	
PRIMUS TELECOMMUNICATIONS GROUP INC	DE					X	X					01/17/01	
PROVINCE HEALTHCARE CO	DE					X	X					12/22/00	
PSC INC	NY					X						12/29/00	
PUBLIC SERVICE CO OF NEW MEXICO	NM								X			01/18/01	
PUBLIC STORAGE INC /CA	CA					X	X					01/16/01	
PYR ENERGY CORP	DE					X	X					01/16/01	
RAPID RETRIEVAL SYSTEMS INC	NV				X							01/04/01	AMEND
REDBACK NETWORKS INC	DE					X	X					01/18/01	
REGIONS FINANCIAL CORP	DE					X	X					01/18/01	
RENT WAY INC	PA					X						01/17/01	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A2	DE					X	X					11/25/00	
RESIDENTIAL ASSET SECURITIZATION TR UST 2000-A2	DE					X	X					12/25/00	
RIVIERA HOLDINGS CORP	NV					X	X					01/18/01	
RLI CORP	IL					X	X					01/10/01	
ROBINSON NUGENT INC	IN					X	X					01/12/01	
ROUSE COMPANY	MD	X					X					01/02/01	
RYDER VEHICLE LEASE TRUST 1999-A	DE					X	X					01/16/01	
SAFESCIENCE INC	NV					X	X					01/16/01	
SALOMON SMITH BARNEY HOLDINGS INC	DE					X						01/16/01	
SCIENCE APPLICATIONS INTERNATIONAL CORP	DE					X						01/18/01	
SEACOR SMIT INC	DE					X	X					01/17/01	
SEAGRAM CO LTD	CA					X	X					01/18/01	
SEARS ROEBUCK & CO	NY					X	X					01/18/01	
SECURITY CAPITAL GROUP INC/ SHENANDOAH TELECOMMUNICATIONS CO/VA /	MD					X	X					01/16/01	
SHENANDOAH TELECOMMUNICATIONS CO/VA /	VA	X										01/11/01	
SHURGARD STORAGE CENTERS INC	WA	X										12/29/00	
SILK BOTANICALS COM INC	FL					X						01/16/01	
SIMPSON MANUFACTURING CO INC /CA/ SJNB FINANCIAL CORP	DE					X						12/31/00	
SMURFIT STONE CONTAINER CORP	CA								X			01/17/01	
SMURFIT STONE CONTAINER CORP	DE					X	X					01/17/01	
SONIC AUTOMOTIVE INC	DE					X	X					01/18/01	
SONICBLUE INC	DE	X					X					01/03/01	
SOUTH ALABAMA BANCORPORATION INC /D E/ SOUTHERN CALIFORNIA EDISON CO	AL					X	X					01/17/01	
SPARTAN STORES INC	CA					X						01/18/01	
SPARTAN STORES INC	MI					X	X					01/18/01	
SPIEGEL CREDIT CORP III	DE	X					X					01/18/01	AMEND
STARNET PACIFIC CO LTD	CA					X		X				01/09/01	
STATE STREET CORP	MA	X										01/17/01	
STONE CONTAINER CORP	DE					X	X					01/17/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X						01/03/01	

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	CODE	1	2	3	4	5	6	7	8	9			
SUNDOG TECHNOLOGIES INC	DE					X	X					01/15/01	
SUPPORT COM INC	DE					X	X					01/16/01	
S1 CORP /DE/	DE					X	X					01/18/01	
TANDY BRANDS ACCESSORIES INC	DE					X	X					01/18/01	
TELECOP PCS INC /VA/						X	X					01/05/01	
TIVO INC	DE					X	X					01/09/01	
TODD SHIPYARDS CORP	WA			X								01/17/01	
TRANS WORLD AIRLINES INC /NEW/	DE			X		X	X					01/10/01	
TRIAD HOSPITALS HOLDINGS INC	DE					X	X					01/16/01	
TRIAD HOSPITALS INC	DE					X	X					01/16/01	
TRITEL INC	DE					X	X					01/05/01	
TRITEL PCS INC	DE					X	X					01/05/01	
TRUSTCO BANK CORP N Y	NY					X						01/17/01	
TRW INC	OH					X	X					01/18/01	
TUT SYSTEMS INC	DE			X				X				01/18/01	
U S DIAGNOSTIC INC	DE					X	X					01/16/01	
U S LIQUIDS INC	DE					X	X					01/16/01	
UAL CORP /DE/	DE					X	X					01/18/01	
UNION CARBIDE CORP /NEW/	NY					X	X					01/18/01	
UNION PACIFIC CORP	UT			X								01/18/01	
UNION PACIFIC RAILROAD CO/DE	DE			X								01/18/01	
UNION PLANTERS CORP	TN					X	X					01/18/01	
UNITED AIR LINES INC	DE					X	X					01/18/01	
UNITED HERITAGE CORP	UT								X			01/16/01	
UNITED STATES LIME & MINERALS INC	TX					X	X					12/27/00	
UNIVERSAL BANK NA	DE			X								12/31/00	
US AIRWAYS GROUP INC	DE					X	X					01/17/01	
US AIRWAYS INC	DE					X	X					01/17/01	
USA NETWORKS INC	DE							X	X			01/10/01	
USAA FEDERAL SAVINGS BANK				X								12/31/00	
USINTERNETWORKING INC	DE					X	X					01/16/01	
VECTOR HOLDINGS CORP	NV			X	X	X	X	X	X	X		01/18/01	
VERITAS DGC INC	DE					X	X					01/15/01	
VERTICALNET INC	PA					X	X					12/19/00	
VESTIN GROUP INC	DE			X				X				01/02/01	
VIATEL INC	DE					X						01/17/01	
WACHOVIA CORP/ NC	NC					X	X					01/17/01	
WASHINGTON MUTUAL INC	WA			X								12/31/00	
WASHINGTON MUTUAL INC	WA							X				01/05/01	
WELLNESSAMERICA ONLINE INC	NV							X				10/17/00	AMEND
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/08/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X	X					01/17/01	
WESTBURY METALS GROUP INC	NY					X	X					01/18/01	
WICHITA DEVELOPMENT CORP	NV					X		X				01/18/01	
WILLIAMS COMMUNICATIONS GROUP INC	DE					X						01/08/01	
WINCO PETROLEUM CORP	CO			X		X	X					08/18/00	AMEND
WOLVERINE WORLD WIDE INC /DE/	DE							X	X			01/18/01	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH							X				01/16/01	
WORLDWIDE EQUIPMENT CORP						X	X					01/16/01	
XIRCOM INC	CA					X	X					01/15/01	
XO COMMUNICATIONS INC	DE			X				X				06/16/00	AMEND
YAHOO INC	DE					X	X					01/10/01	

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	CODE	1	2	3	4	5	6	7	8	9		
YORK WATER CO	PA	X									01/10/01	
ZAP COM CORP						X					01/05/01	