

SEC NEWS DIGEST

Issue 2001-19

January 29, 2001

RULES AND RELATED MATTERS

COMMISSION ISSUES RULE PROPOSALS RELATING TO DISCLOSURE OF EQUITY COMPENSATION PLAN INFORMATION

The Commission has issued a release proposing enhanced disclosure requirements regarding the total number of securities authorized for issuance under, and received by or allocated to participants pursuant to, equity compensation plans. The proposed rule would require disclosure of this information in a registrant's proxy statement or annual report on Form 10-K or 10-KSB. The information would be provided without regard to whether the equity compensation plan previously was approved by the registrant's security holders. The proposed rule also would require a brief narrative description of the material terms of any plan that was adopted without security holder approval, or the identification of a filing that contains such a description. The release requests comment regarding the usefulness and appropriateness of the form and content of the disclosure that would be required by the proposed rule. FOR FURTHER INFORMATION CONTACT Raymond A. Be, Office of Rulemaking, Division of Corporation Finance, at (202) 942-2886 (Rels. 33-7944; 34-43892, File No. S7-04-01)

ENFORCEMENT PROCEEDINGS

NEW YORK MUTUAL FUND ADVISER, LANCE BROFMAN, SANCTIONED

New Yorker Lance Brofman and two associated companies, Fundamental Portfolio Advisors (FPA) and Fundamental Service Corporation (FSC) have been fined and barred from the securities industry after a hearing before an administrative law judge. Brofman, FPA, and FSC at one time were involved in managing the Fundamental U.S. Government Strategic Income Fund (the Fund), a government bond mutual fund.

The law judge found that Brofman, FPA, and FSC marketed the Fund as a safe, stable investment but invested heavily in volatile inverse floaters that exposed the Fund to significant losses when interest rates rose in 1994. Additionally, they did not inform the

Board of Directors of the Fund of their soft dollar arrangements, which included payments to a business partner. The law judge concluded that, as charged, Brofman, FPA, and FSC violated several antifraud provisions of the securities laws.

Brofman was fined \$250,000, and FPA and FSC were each fined \$500,000. Additionally, Brofman, FPA, and FSC were ordered to cease and desist from violations of the antifraud provisions, Brofman was barred from the securities industry, and the investment adviser and broker-dealer registrations of FPA and FSC were revoked (Initial Decision No. 180, File No. 3-9461).

ADMINISTRATIVE AND CEASE AND DESIST PROCEEDINGS INSTITUTED, FINDINGS MADE AND REMEDIAL SANCTIONS IMPOSED AGAINST PRUDENTIAL SECURITIES INCORPORATED, STUART BIANCHI, AND JOHN BURCH

On January 29, the Commission issued an Order Instituting Public Administrative and Cease And Desist Proceedings, Making Findings, Imposing Remedial Sanctions and Issuing Cease And Desist Orders against Prudential Securities Incorporated (PSI), Stuart P. Bianchi (Bianchi) and John C. Burch (Burch). These charges stem from the Commission's investigation of the massive Ponzi-scheme perpetrated by John G. Bennett, Jr. (Bennett) and his company, the Foundation for New Era Philanthropy (New Era), which were defendants in the civil injunctive action *SEC v. John G. Bennett, Jr. and The Foundation For New Era Philanthropy*, 95 Civ. 3005 (AB)(E. D. Pa., May 18, 1995). During the relevant time, January 1994 through May 1995, Bianchi, who retired in December 1997, was a registered representative and Burch the branch manager in the Kenosha, Wisconsin office (Kenosha Branch) of PSI, a broker-dealer registered with the Commission. PSI, Bianchi and Burch submitted, and the Commission accepted, Offers of Settlement whereby they consented, without admitting or denying, to the entry of the Order that finds that 1) Bianchi willfully aided and abetted and was a cause of Bennett's and New Era's violations of the antifraud provisions of the Securities Act of 1933 (Securities Act) and the Securities Exchange Act of 1934 (Exchange Act), 2) Bianchi aided and abetted and caused PSI to violate the books and records provisions of the Exchange Act, and 3) Burch and PSI failed to reasonably supervise Bianchi.

The Order finds that Bianchi assisted Bennett and New Era by, among other things, 1) providing Bennett and New Era with letters that falsely confirmed that PSI had established "escrow" or "quasi-escrow" accounts for various non-profit investors, 2) implementing a confirmation system by which non-profit investors were assigned "access codes" and allowed to confirm treasury bill purchases on their behalf by telephoning PSI, and 3) failing to disclose to the non-profit investors that telephoned PSI that the treasury bills were commingled in New Era's account, no "escrow" or "quasi-escrow" accounts existed, and New Era used the treasury bills as collateral to secure a margin loan from PSI. Although aware of various red flags, Burch failed to reasonably supervise Bianchi by not preventing Bianchi's assistance to Bennett and New Era or conducting adequate investigation of Bianchi's activities. PSI's books and records were false and inaccurate as

Bianchi accurately reflected the non-profit investors' beneficial interests in the treasury bills in records maintained in the Kenosha Branch, i.e., the written ledgers of securities transactions that were part of PSI's standard form to open customer accounts (Broker's Blotter) and order tickets, but Bianchi failed to indicate the non-profit investors' beneficial interests in PSI's official books and records which are maintained in PSI's computer system. PSI failed reasonably to supervise Bianchi by not having reasonable procedures to detect if RRs misused Broker's Blotters. The Commission, based upon the findings and the Respondents' consents, suspended 1) Bianchi for one year from associating with any broker or dealer, 2) Burch for two months from associating with any broker or dealer, and 3) Burch for nine months from associating in a supervisory capacity with any broker or dealer. The Commission directed Bianchi to disgorge commissions and interest totaling \$36,293, and directed civil penalties to be paid by PSI in the amount of \$800,000, Bianchi in the amount of \$28,293, and Burch in the amount of \$15,000. The Commission also ordered 1) Bianchi to cease and desist from committing or causing any violations and any future violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, 2) Bianchi to cease and desist from causing any violations and any future violations of Section 17(a) of the Exchange Act and Rule 17a-3 thereunder, and 3) PSI to cease and desist from committing or causing any violations and any future violations of Section 17(a) of the Exchange Act and Rule 17a-3 (Rels 33-7945, 34-43896, File No 3-10413)

COMMISSION CHARGES TWO VENTURE CAPITALISTS, AND THE BROTHER OF ONE OF THEM, WITH INSIDER TRADING

Information Misappropriated at a Confidential Young Presidents' Organization Meeting

The Commission today filed a complaint in the United States District Court for the Northern District of California against Keith Kim and Douglas Park, both officers of Brainrush, Inc., an Oakland-based venture capital firm, and William Park, Douglas Park's brother, for insider trading in Meridian Data, Inc (Meridian) common stock prior to the May 11, 1999 public announcement that Quantum Corporation (Quantum) would acquire Meridian. The Commission seeks injunctive relief, disgorgement of profits totaling at least \$1,453,301, penalties, and prejudgment interest.

According to the complaint, Kim and the former Chief Executive Officer of Meridian were members of the Young Presidents' Organization (YPO), an international organization comprised of company presidents under the age of fifty, whose members meet in small groups, or "forums," to confidentially discuss personal and business matters with their peers. In March 1999, Meridian's then-CEO and other YPO members (including Kim) were scheduled to attend a retreat together in Snowmass, Colorado. While on board a private plane en route to the retreat, the YPO forum's moderator announced that Meridian's CEO could not attend the retreat because he was in merger negotiations with Quantum. According to the complaint, Kim misappropriated this material nonpublic information despite the fact that the core values of the YPO emphasize a relationship of trust and confidentiality. The complaint alleges that Kim thereafter purchased Meridian stock in advance of the public announcement of

Meridian's merger with Quantum and reaped profits of at least \$832,877 as a result of his illegal trading.

The complaint also charges that Kim tipped his friend and business associate, Douglas Park, concerning merger discussions between Meridian and Quantum, and that Douglas Park thereafter traded while in possession of the material nonpublic information that Kim misappropriated through his membership in the YPO. According to the complaint, Douglas Park made profits of at least \$200,885 as a result of his illegal trading. The complaint further alleges that Douglas Park, in turn, tipped his brother William Park, who also purchased Meridian in advance of the merger transaction with Quantum and made profits of at least \$361,000 as a result of his illegal trading. Furthermore, the complaint alleges that Kim caused his brother, his brother-in-law, and a friend and business associate to purchase Meridian in advance of the merger transaction with Quantum, and that these three men collectively made profits of at least \$58,539 as a result of their trading.

In addition, the complaint charges that Kim's purchases of Meridian common stock caused him to acquire more than five percent of the security, but that Kim did not file with the SEC the statement required by Section 13(d) of the Securities Exchange Act of 1934.

Also today, the United States Attorney for the Northern District of California announced the filing of a criminal complaint against Kim for violations of the federal securities laws and Kim's arrest by the FBI.

The Commission acknowledges the assistance of NASD Regulation, Inc. in the investigation of this matter [SEC v Keith J Kim, Douglas K Park, and William I Park, 01 Civ 0451, CRB, ND Cal] (LR-16872)

SELF-REGULATORY ORGANIZATIONS

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the Common Stock, no par value, of Golden Star Resources Ltd effective at the opening of business of January 26, 2001. (Rel 34-43888)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount

of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

- F-1 CHUNGHWA TELECOM CO LTD, 21 3 HSINYI RD SECTION 1,
TAIPE TAIWAN REPUBLIC OF CHINA, TAIPE TAIWAN, F5 00000 (886) 223-4454 -
41,493,776 (\$100,000,000.16) FOREIGN COMMON STOCK. (FILE 333-13074 -
JAN. 17) (BR. 7 - NEW ISSUE)
- F-10 HEMOSOL INC, 2 MERIDIAN ROAD, ETOBIROKE ONTARIO, A6 00000 -
8,050,000
(\$80,500,000) FOREIGN COMMON STOCK. (FILE 333-13076 - JAN. 17) (BR. 1
- NEW ISSUE)
- F-3 TRINITY BIOTECH PLC, IDA BUSINESS PARK, BRAY, CO WICKLOW, IRELAND,
DUBLIN 18 IRELAND, L2 (011) 353-1295 - 1,583,070 (\$4,100,151.30)
FOREIGN COMMON STOCK. (FILE 333-13080 - JAN. 19) (BR. 1)
- F-3 CREATIVE TECHNOLOGY LTD, 31 INTERNATIONAL BUSINESS PARK,
CREATIVE RESOURCE, SINGAPORE 0513 SINGA, U0 60992 (116) 589-5400 -
208,079
(\$2,340,888.75) FOREIGN COMMON STOCK. (FILE 333-13084 - JAN. 22) (BR.
3)
- S-3 AMERICA SERVICE GROUP INC /DE, 105 WESTPARK DR, STE 300, BRENTWOOD,
TN
37027 (615) 373-3100 - 1,457,751 (\$35,396,016) COMMON STOCK. (FILE
333-54090 - JAN. 22) (BR. 1)
- S-3 ALTAIR INTERNATIONAL INC, 1725 SHERIDAN AVE, SUITE 140, CODY, WY
82414
(307) 587-8245 - 11,477,170 (\$23,642,970) FOREIGN COMMON STOCK. (FILE
333-54092 - JAN. 22) (BR. 4)
- S-8 ELAST TECHNOLOGIES INC, 2505 RANCHO BEL AIR, LAS VEGAS, NV 89107
(702) 878-8310 - 100,000 (\$62,500) COMMON STOCK. (FILE 333-54094 -
JAN. 22) (BR. 8)
- S-8 EZCONNECT INC /UT/, 6009 SOUTH REDWOOD ROAD, SALT LAKE CITY, UT
84123
(801) 270-9711 - 176,000 (\$132,000) COMMON STOCK. (FILE 333-54096 -
JAN. 22) (BR. 9)
- S-8 ARCH CHEMICALS INC, 501 MERRITT 7, P O BOX 4500, NORWALK, CT 06856
(203) 750-3729 - 2,000,000 (\$37,125,000) COMMON STOCK. (FILE 333-54098
-
JAN. 22) (BR. 2)

S-8 CENTEX CONSTRUCTION PRODUCTS INC, 2728 N HARWOOD, DALLAS, TX 75201
(214) 981-6548 - 1,000,000 (\$29,312,500) COMMON STOCK. (FILE 333-54102
-
JAN. 22) (BR. 6)

S-3 DECRANE HOLDINGS CO, 277 PARK AVENUE, NEW YORK, NY 10172 (212) 892-
3000
- 100,000 WARRANTS, OPTIONS OR RIGHTS. (FILE 333-54104 - JAN. 22) (BR.
5)

S-8 VALICERT INC, 339 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043 (650) 567-
5400
- 5,881,032 (\$38,943,906.86) COMMON STOCK. (FILE 333-54106 - JAN. 22)
(BR. 3)

S-8 SPRINT CORP, 2330 SHAWNEE MISSION PKWY, WESTWOOD, KS 66205
(913) 624-3000 - 49,600,000 (\$1,356,758,000) COMMON STOCK. (FILE
333-54108 - JAN. 22) (BR. 7)

S-8 IMAGING TECHNOLOGIES CORP/CA, 15175 INNOVATION DRIVE, SAN DIEGO, CA
92128 (619) 613-1300 - 10,064,000 (\$1,258,000) COMMON STOCK. (FILE
333-54114 - JAN. 22) (BR. 3)

SB-2 ACKKEOX CORP, 2835 N MILITARY TRAIL, WEST PALM BEACH, FL 33409
(561) 616-0776 - 2,000,000 (\$10,000,000) COMMON STOCK. (FILE 333-54116
-
JAN. 22) (BR. 9 - NEW ISSUE)

S-8 PLAINS ALL AMERICAN PIPELINE LP, 500 DALLAS, STE 700, HOUSTON, TX
77002
(713) 654-1414 - 50,000 (\$1,000,000) COMMON STOCK. (FILE 333-54118 -
JAN. 22) (BR. 4)

S-1 TORCH OFFSHORE INC, 401 WHITNEY AVENUE, SUITE 400, GRETNA, LA 70056
(504) 367-7030 - \$86,250,000 COMMON STOCK. (FILE 333-54120 - JAN. 22)
(BR. 4 - NEW ISSUE)

S-4 ATRIUM CORP, 1341 WEST MOCKINGBIRD, SUITE 1200W, DALLAS, TX 75247 -
36,500,000 (\$36,500,000) STRAIGHT BONDS. (FILE 333-54122 - JAN. 22)
(BR. 6)

S-8 TUCKER ANTHONY SUTRO, ONE BEACON STREET, 617-227-1050, BOSTON, MA
02108
(617) 725-2000 - 125,000 (\$2,667,968.75) COMMON STOCK. (FILE 333-54128
-
JAN. 22) (BR. 7)

S-3 IXYS CORP /DE/, 3540 BASSETT ST, SANTA CLARA, CA 95054 (408) 954-
0500 -
226,436 (\$4,768,742.16) COMMON STOCK. (FILE 333-54132 - JAN. 22) (BR.
5)

S-3 CAPITAL ONE BANK, 11013 WEST BROAD ST, GLEN ALLEN, VA 23060
(804) 967-1000 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES.
(FILE 333-54134 - JAN. 22) (BR. 8)

S-8 PSINET INC, 44983 KNOLL SQUARE, ASHBURN, VA 20147 (703) 904-4100 -
30,000,000 (\$84,300,000) COMMON STOCK. (FILE 333-54136 - JAN. 22) (BR.
3)

S-3 IMMTECH INTERNATIONAL INC, 150 FAIRWAY DRIVE, SUITE 150, VERNON
HILLS,
IL 60061 (847) 869-0033 - 584,250 (\$6,025,078.10) COMMON STOCK. (FILE
333-54138 - JAN. 22) (BR. 9)

S-8 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139
(607) 576-1999 - 19,263 (\$956,600.58) COMMON STOCK. (FILE 333-54140 -
JAN. 23) (BR. 1)

S-3 CUBIST PHARMACEUTICALS INC, 24 EMILY ST, CAMBRIDGE, MA 02139
(607) 576-1999 - 253,835 (\$6,909,388.70) COMMON STOCK. (FILE 333-54142
-
JAN. 23) (BR. 1)

S-8 AMERICAN CHAMPION ENTERTAINMENT INC, 26203 PRODUCTION AVENUE, SUITE
5,
HAYWARD, CA 94545 (510) 785-8750 - 2,500,000 (\$1,666,250) COMMON STOCK.
(FILE 333-54144 - JAN. 22) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2 Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9 Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W, Washington, D C 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE					X	X				12/31/01	
ABN AMRO MORTGAGE CORP SERIES 1998- 2	DE	X									01/25/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 1	DE	X									01/25/01	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP SERIES 1999- 2	DE	X									01/25/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 4	DE	X									01/25/01	
ABN AMRO MORTGAGE CORP SERIES 1999- 5	DE	X									01/25/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 2	DE	X									01/25/01	
ABN AMRO MORTGAGE CORP SERIES 2000- 3	DE	X									01/25/01	
ABSOLUTE FUTURE COM	NV				X	X					01/22/01	
ACCEL R8 TECHNOLOGY CORP	CO				X						01/18/01	
AIR PRODUCTS & CHEMICALS INC /DE/	DE				X						01/25/01	
AIRGAS INC	DE				X	X					01/25/01	
AMC ENTERTAINMENT INC	DE	X									12/28/00	
AMERICAN COIN MERCHANDISING INC	DE				X	X					12/29/00	
AMERICAN PRECIOUS METALS INC	DE		X								11/30/00	
AMERICAN SOUTHWEST FINANCIAL SECURI TIES CORP	AZ	X									01/25/01	
ANCHOR PACIFIC UNDERWRITERS INC	DE				X	X					01/12/01	
ANTIGUA FUNDING CORP	DE				X						01/10/01	
ANTIGUA FUNDING CORP	DE				X						01/10/01	
AOL TIME WARNER INC			X		X	X					01/11/01	AMEND
AOL TIME WARNER INC					X						01/18/01	
APPLIED THEORY CORP	DE				X	X					01/09/01	
AREA BANC SHARES CORP	KY				X	X					01/22/01	
AUTO BYTEL COM INC	DE				X	X					01/25/01	
AVIS GROUP HOLDINGS INC	DE				X	X					01/26/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					01/25/01	
BARGO ENERGY CO	TX				X	X					01/12/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X									01/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X									01/25/01	
BIOLABS INC	NY				X						01/18/01	AMEND
BROWN TOM INC /DE	DE		X				X				01/12/01	
BSB BANCORP INC	DE				X	X					01/25/01	
CANADIAN GENERAL CAPITAL	DE				X	X					12/29/00	
CATERPILLAR INC	DE				X						01/26/01	
CENTENNIAL TECHNOLOGIES INC	DE				X	X					01/22/01	
CENTURA SOFTWARE CORP	CA		X				X				01/15/01	
CHANGE TECHNOLOGY PARTNERS INC	DE							X			01/23/01	
CHASE INDUSTRIES INC	DE							X			01/26/01	
CHASE MORTGAGE FINANCE CORP	DE				X	X					01/26/01	
CHICAGO MERCANTILE EXCHANGE INC	IL						X				11/13/00	AMEND
CIT EQUIPMENT COLLATERAL 2000-1	NY				X						01/18/01	
CIT EQUIPMENT COLLATERAL 2000-2	NY				X						01/18/01	
CIT GROUP INC	DE				X	X					01/24/01	
CITICORP MORTGAGE SECURITIES INC	DE		X								01/26/01	
COLONELS INTERNATIONAL INC	MI				X						01/26/01	
COMPAQ COMPUTER CORP	DE				X	X					01/26/01	
CONSECO FINANCE CORP	DE						X				01/25/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
CONSECO FINANCE SECURITIZATIONS COR P	DE								X		01/25/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X			X			01/25/01	
CROGHAN BANCSHARES INC	OH				X						01/26/01	
CROWN ANDERSEN INC	DE			X							01/26/01	
CWMBS INC	DE				X		X				01/25/01	
CWMBS INC	DE				X		X				01/26/01	
DAVEY TREE EXPERT CO	OH			X							01/26/01	
DENBURY RESOURCES INC	DE	X									01/18/01	
DIME BANCORP INC	DE				X						01/19/01	
DISCOVERY PARTNERS INTERNATIONAL IN C			X					X			01/12/01	
DOLLAR TREE STORES INC	VA				X		X				01/23/01	
DRUGSTORE COM INC	DE				X		X				01/26/01	
DST SYSTEMS INC	DE				X						01/25/01	
EAGLE SUPPLY GROUP INC	DE				X		X				01/11/01	
EBIZ ENTERPRISES INC	NV				X		X				01/17/01	AMEND
ECHOSTAR DBS CORP	CO				X		X				10/25/00	
ELCOM INTERNATIONAL INC	DE	X									01/10/01	
ELECTRONIC SYSTEMS TECHNOLOGY INC	WA				X						01/15/01	
EMERGENT GROUP INC/NY	NV				X		X				01/25/01	
EPIQ SYSTEMS INC	MO					X					01/24/01	
EPOCH BIOSCIENCES INC	DE	X					X				11/15/00	AMEND
ESTERLINE TECHNOLOGIES CORP	DE				X						01/25/01	
ESYNCH CORP/CA	DE				X						01/24/01	
ESYNCH CORP/CA	DE				X		X				12/06/01	
EXCAL ENTERPRISES INC	DE				X						01/12/01	
FARNSWORTH BANCORP INC	NJ				X		X				01/25/01	
FINANTRA CAPITAL INC	DE				X						01/26/01	
FIRST CAPITAL RESOURCES COM INC	NV		X					X			12/27/00	
FIRST CHESTER COUNTY CORP	PA		X								12/31/00	
FIRST HORIZON ASSET SECURITIES INC	DE				X		X				01/26/01	
FIRST HORIZON ASSET SECURITIES INC	DE				X						01/26/01	
FIRST PLACE FINANCIAL CORP /DE/	DE		X					X			12/31/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									01/25/01	
FLEXTRONICS INTERNATIONAL LTD						X	X				01/26/01	
FLORIDA BUSINESS BANGROUP INC						X					01/25/01	
FRANKLIN RECEIVABLES LLC	DE				X		X				01/25/01	
FUELNATION INC	FL			X							01/19/01	
GEODYNE ENERGY INCOME LIMITED PARTN ERSHIP II-F	OK				X		X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-D	OK				X		X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-E	OK				X		X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP I-F	OK				X		X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II A	OK				X		X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-B	OK				X		X				01/26/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-C	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-D	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-E	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-G	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP II-H	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-A	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-B	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-C	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-D	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-E	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-F	OK					X	X				01/26/01	
GEODYNE ENERGY INCOME LTD PARTNERSH IP III-G	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-3	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-7	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INC LTD PARTNERSHIP P-8	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LP P-5	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LTD PART P-6	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME LTD PTNSHIP P-4	OK					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME P-1 LTD PTNSHIP	TX					X	X				01/26/01	
GEODYNE INSTITUTIONAL PENSION ENERG Y INCOME P-2 LTD PTNSHIP	TX					X	X				01/26/01	
GEORGIA PACIFIC CORP	GA					X	X	X			01/26/01	
GEOWORKS /CA/	DE					X	X				01/16/01	
GPU INC /PA/	PA					X					01/24/01	
GREEN MOUNTAIN POWER CORP	VT	X									01/26/01	
GREENBRIAR CORP	NV					X					01/11/01	
GREENPOINT CREDIT LLC	DE					X					11/30/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GREENPOINT CREDIT LLC	DE					X					12/31/00	
GULFMARK OFFSHORE INC	DE					X					01/26/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
HEALTH & NUTRITION SYSTEMS INTERNAT IONAL INC	FL		X						X		01/12/01	
HERITAGE COMMERCE CORP	CA					X					01/26/01	
ICH CORP /DE/	DE		X						X		01/13/01	
IMH ASSETS CORP IMPAC CMB TRUST SER IES 1999-1	CA	X									01/25/01	
IMPAC SECURED ASSETS CORP	CA								X		01/25/00	
INPRISE CORP	DE					X		X			01/22/01	
INTEGRAMED AMERICA INC	DE								X	X	01/26/01	
INTEGRATED INFORMATION SYSTEMS INC	DE					X					01/18/01	
INTELIDATA TECHNOLOGIES CORP	DE		X						X		01/11/01	
INTERNET ADVISORY CORP	UT					X			X		12/22/00	
JAWZ INC	NV					X		X			08/21/00	
JONES APPAREL GROUP INC	PA									X	01/23/01	AMEND
JUNUM INC	DE					X		X			11/15/00	AMEND
KANSAS CITY SOUTHERN INDUSTRIES INC	DE									X	01/24/01	
KENAN TRANSPORT CO	NC					X		X			01/26/01	
KNOLOGY INC	DE					X		X			01/12/01	
KULICKE & SOFFA INDUSTRIES INC	PA								X		11/24/00	AMEND
LANDAMERICA FINANCIAL GROUP INC	VA					X					01/24/01	
LASON INC	DE					X					01/20/01	
LCA GROUP INC	DE					X		X			01/26/01	
LEHMAN ABS CORP	DE					X		X			01/25/01	
LOOKSMART LTD	DE					X					01/25/01	
MACKENZIE INVESTMENT MANAGEMENT INC	DE					X		X			01/26/01	
MACROMEDIA INC	DE		X					X			01/12/01	
MATHSOFT INC	MA					X		X			01/26/01	
MDU RESOURCES GROUP INC	DE		X								12/31/00	
MED WASTE INC	DE					X		X			01/24/01	
METROPOLITAN EDISON CO	PA							X			01/24/01	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE							X	X		11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE							X	X		11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE							X	X		11/30/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1C	DE							X	X		11/30/00	
MOBILE AMERICA CORP	FL					X					01/12/01	
MONEY STORE COMMERCIAL MORTGAGE INC	NJ					X		X			09/15/00	
MONEY STORE SBA ADJUSTABLE RATE CER TIFICATES 1998-1	NJ					X		X			09/15/00	
MORGAN JP COMMERCIAL MOR FIN CORP M OR PAS THR CE SER 2000-C9	DE	X									01/25/01	
N TANDEM TRUST	CA								X		12/07/00	AMEND
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	X									01/25/01	
NATIONSLINK FUNDING CORP 1999-LTL-1 COMMER LOAN PAS THR CER	DE	X									01/25/01	
NAVTECH INC	DE								X		01/26/01	
NCT FUNDING CO LLC	DE					X					01/18/01	
NEUBERGER BERMAN INC	DE					X		X	X		01/19/01	
NORFOLK SOUTHERN CORP	VA					X		X			01/26/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
OMNI PARK PASS COM INC	NV				X			X			01/26/01	
PENNSYLVANIA ELECTRIC CO	PA					X					01/24/01	
PEOPLES ENERGY CORP	IL	X									01/26/01	
PERVASIVE SOFTWARE INC	DE					X		X			01/18/01	
POINT WEST CAPITAL CORP	DE					X					01/24/01	
POLAND COMMUNICATIONS INC	NY					X					01/16/01	
PPL CORP	PA					X					01/24/01	
PROGRESS FINANCIAL CORP	DE					X					01/24/01	AMEND
PROXIM INC /DE/	DE							X			12/22/99	AMEND
QLOGIC CORP	DE					X		X			01/23/01	
RAILWORKS CORP	DE					X		X			01/26/01	
RARE HOSPITALITY INTERNATIONAL INC	GA					X					08/21/00	
REDBACK NETWORKS INC	DE					X					01/25/01	
REGENERON PHARMACEUTICALS INC	NY					X		X			01/26/01	AMEND
RELIANT ENERGY INC	TX							X		X	01/26/01	
RELIANT ENERGY RESOURCES CORP	DE							X		X	01/26/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X			01/25/01	
RUSSELL-STANLEY HOLDINGS INC	DE					X		X			01/26/01	
SANMINA CORP/DE	DE					X		X			01/26/01	
SENSAR CORP /NV/	NV		X								01/22/01	
SOFTNET SYSTEMS INC	DE					X					01/26/01	
SPIEGEL INC	DE					X					01/26/01	
STARBRIDGE GLOBAL INC								X			11/13/00	AMEND
STARTEC GLOBAL COMMUNICATIONS CORP	DE					X		X			01/23/01	
STEWART & STEVENSON SERVICES INC	TX					X		X			01/26/00	
SUSSEX BANCORP	NJ					X		X			01/19/01	
TELESPECTRUM WORLDWIDE INC	DE					X					01/23/01	
TEXOIL INC /NV/	NV					X		X			01/18/01	
TFC ENTERPRISES INC	DE					X	X	X			01/23/01	
TIME WARNER TELECOM INC	DE					X		X			01/25/01	
TIME WARNER TELECOM INC	DE					X		X			01/25/01	
TONER SYSTEMS INTERNATIONAL INC	NV	X	X			X	X				03/31/01	
TOYOTA AUTO LEASE TRUST 1997-A	CA					X		X			01/25/01	
TOYOTA AUTO LEASE TRUST 1998 C	CA					X		X			01/25/01	
TOYOTA AUTO LEASE TRUST 1998-B	CA					X		X			01/25/01	
TOYOTA MOTOR CREDIT RECEIVABLES CORP	CA					X		X			01/16/01	
TRADAMAX GROUP	NV	X	X	X	X	X			X	X	12/22/01	
TRANSCANADA CAPITAL	DE					X		X			12/29/00	
TROY FINANCIAL CORP	DE		X					X			11/10/00	AMEND
TRUSERV CORP	DE	X									01/26/01	
TV COMMUNICATIONS NETWORK INC	CO	X									03/31/00	
U S PAWN INC	CO					X		X			01/19/01	
UMEMBER COM INC /CO/	CO					X		X			12/29/00	

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	CODE	1	2	3	4	5	6	7	8	9		
UNITED AUTO GROUP INC	DE								X	X	01/26/01	
UNITED INVESTORS REALTY TRUST	TX	X									01/25/01	
UNITED PAN EUROPE COMMUNICATIONS NV						X					01/16/01	
UNITED PARK CITY MINES CO	DE					X					01/17/00	
UNITED SHIPPING & TECHNOLOGY INC	UT					X	X				01/24/01	
UNITEDGLOBALCOM INC	DE					X					01/16/01	
UPC POLSKA INC	DE					X					01/16/01	
US INDUSTRIES INC /DE	DE					X	X				01/26/01	
VIRGINIA CAPITAL BANCSHARES INC	VA					X	X				01/26/01	
WCI STEEL INC	OH					X					12/29/00	
WERNER ENTERPRISES INC	NE					X	X				01/22/01	
WESTERN DIGITAL CORP	DE					X	X				01/25/01	
WEYERHAEUSER CO	WA					X					01/24/01	
WHIRLPOOL CORP /DE/	DE					X	X				01/24/01	
WODFI LLC	FL	X									12/31/00	
WORLD DIAGNOSTICS INC	DE				X			X			06/15/00	AMEND
WORLD OMNI AUTO RECEIVABLES LLC	DE	X									12/31/00	
WORLD WIDE VIDEO	CO					X					01/26/01	
XOLOGIC INC	NY					X	X				01/23/01	
YAHOO INC	DE					X	X				01/19/01	AMEND
YONKERS FINANCIAL CORP	DE					X	X				01/23/01	
ZEMEX CORP	DE					X					01/22/01	
ZIONS BANCORPORATION /UT/	UT					X	X				01/26/01	
3DFX INTERACTIVE INC	CA					X	X				01/26/01	