

SEC NEWS DIGEST

Issue 2000-66

April 7, 2000

COMMISSION ANNOUNCEMENTS

ANNETTE NAZARETH TO TESTIFY

Annette L. Nazareth, Director of the Commission's Division of Market Regulation, will testify before the House Committee on Banking and Financial Services on Tuesday, April 11, concerning The Netting of Financial Contracts, Hedge Fund Disclosure, and Over-The-Counter Derivatives Transactions. The hearing where this testimony will be presented will be held in Room 2128 of the Rayburn House Office Building and will begin at 10:00 am.

ENFORCEMENT PROCEEDINGS

SEC SETTLES YIELD BURNING CASE AGAINST DAIN RAUSCHER, INC.

On April 6, the Commission announced that Dain Rauscher Incorporated (Dain Rauscher) has agreed to pay nearly \$13 million in disgorgement, a civil penalty of \$100,000, and to consent to the entry of a cease and desist order to settle charges arising out of the Commission's investigation into the practice in municipal bond transactions known as "yield burning." In addition, James R. Feltham (Feltham) has consented to the entry of cease and desist order to settle charges arising out of the same investigation.

The Commission found that Dain Rauscher breached its fiduciary duties to its financial advisory client, the State of Arizona Department of Administration (DOA), in connection with DOA's issuance of the \$129,640,000 of Series 1992B Refunding Certificates of Participation (1992B COPS). As part of the 1992B COPS offering, Rauscher charged DOA an excessive undisclosed markup on the sale of certain United States Treasury securities to the DOA. Rauscher breached its fiduciary duties to DOA by failing to inform its client, among other things, that it was taking a \$707,037 profit on the sale of the escrow securities. In addition, Rauscher issued a tax certification, which Feltham signed, in connection with the sale of the escrow securities which falsely stated that Rauscher's sale prices for the escrow securities equaled their "fair market value" and that Rauscher's sale of the securities was an "arm's length transaction without regard to

any amount paid to reduce the yield on the securities.” In an earlier action, the Commission had filed suit against Rauscher, Dain Rauscher and Feltham in Federal Court in Arizona. See SEC v. Rauscher Pierce Refsnes, Inc., Dain Rauscher Incorporated and James Feltham, CIV98-0027 PHX ROS (D. Ariz.) In connection with the settlement, the Commission will request that the court dismiss the court proceedings against Dain Rauscher and Feltham

In addition to the 1992B COPS transaction, the Commission found that Dain Rauscher sold portfolios of U.S Treasury securities for defeasance escrows at excessive, undisclosed markups to a number of other municipal bond issuers in connection with advance refundings. At the time, as compared with the markups Dain Rauscher charged in these transactions, dealers generally charged materially lower markups on escrow securities when the prices were determined through competition or bona fide arm’s length negotiation.

Under the SEC settlement, Dain Rauscher will pay approximately \$13 million to the United States Treasury and to certain issuers of municipal bonds to settle claims related to “yield burning” in municipal bond transactions. This payment includes disgorgement and prejudgment interest of \$647,487 and a civil penalty of \$100,000 in connection with the 1992B COPS transaction involved the Arizona Litigation; \$10,586,081.59 to the United States Treasury, related to sales of defeasance escrow securities in connection with advance refunding transactions in positive arbitrage (other than the 1992B COPS transaction), and \$1,607,623.38 to certain municipal issuers in connection with advance refundings in negative arbitrage

Without admitting or denying the findings in the Commission’s order, Dain Rauscher agreed to be censured, cease and desist from committing or causing any violations and any future violations of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and Section 206 of the Advisers Act, and to make the payments described above. Without admitting or denying the findings in the Commission’s order, Feltham agreed to cease and desist from committing or causing any violations and any future violations of Sections 17(a)(2) and (3) of the Securities Act and Sections 206(2) and (3) of the Advisers Act

In a related civil matter, the United States Attorney for the Southern District of New York today announced that the United States had intervened in, and settled, a lawsuit alleging “yield burning” filed against Dain Rauscher and its predecessor, Rauscher Pierce Refsnes, Inc (Rauscher) under the federal False Claims Act. The SEC’s settlement with Dain Rauscher was one of 10 actions that the Commission brought today against a total of 10 entities and one individual for fraud and other securities violations in connection with a series of refunding bond offerings. [SEC v. Rauscher Pierce Refsnes, Inc., Dain Rauscher Incorporated and James Feltham, CIV98-0027 PHX ROS, D Ariz] (LR-16505)

REGISTERED REPRESENTATIVE BARRED FOR MISAPPROPRIATING FUNDS FROM ELDERLY CUSTOMERS

The Commission announced that on April 6 it instituted and settled administrative proceedings, pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934, against Shan Michael Hefley based on the entry of a permanent injunction and his willful violations of the federal securities laws. The Commission barred Hefley from association with any broker or dealer.

The Commission found that on March 23, 2000, Hefley was permanently enjoined from violations of Sections 17(a) of the Securities Act of 1933 and Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder, by the United States District Court for the Northern District of Texas (Wichita Falls Division) [SEC v Shan Michael Hefley, Civil Action No 7.00CV-048-R] The Commission's complaint in SEC v Hefley alleged that Hefley engaged in a scheme to misappropriate \$405,932 of elderly customers' funds. The complaint alleged that Hefley diverted funds from customers' money market, mutual fund, or life insurance annuity accounts to accounts under his control, and then used the misappropriated customers' funds for his own personal expenses.

Simultaneous, with the filing of the complaint, Hefley consented, on a neither admit or deny basis, to the entry of a Final Judgment permanently enjoining him from future violations of the antifraud provisions of the federal securities laws and requiring him to disgorge \$160,516, the balance of non-reimbursed funds misappropriated by Hefley. The agreed Final Judgment also orders Hefley to pay prejudgment interest of \$55,679 and a civil penalty of \$55,000 (LR-16479; 3/21/00) (Rel 34-42625, File No. 3-10172)

SEC V. SIMON ROSENFELD, TERRY KOCHANOWSKI, AND JOHN YAKIMCZYK

The Commission announced today that on March 30, 2000, the Honorable William H Pauley III, United States District Judge for the Southern District of New York, entered a Final Judgment of Permanent Injunction and Other Equitable Relief By Consent Against John F Yakimczyk (Yakimczyk)

In the underlying action, which was filed on March 4, 1997, the Commission alleged that Yakimczyk and the other two defendants, Simon M. Rosenfeld (Rosenfeld) and Terry D Kochanowski (Kochanowski), participated in a "pump and dump" scheme to inflate the value of the common stock of Synpro Environmental Services, Inc. (f/k/a Sherwood Corporation) (Synpro), and then sell their personal stock holdings to investors at artificially high prices. In addition to making material misrepresentations about Synpro and its purported assets, Rosenfeld, Synpro's former president, and Kochanowski, Synpro's former vice president, created a market for, and facilitated the sale of, unregistered Synpro stock, by recruiting Yakimczyk, a registered representative, to sell Synpro stock to his retail customers in exchange for undisclosed kickbacks. Specifically, Rosenfeld and Kochanowski paid, or agreed to pay as compensation to Yakimczyk, 20% of the number of Synpro shares he solicited his customers to purchase. Between June 1993 and November 1994, Yakimczyk solicited at least 50 retail clients to

purchase Synpro common stock in the market and received at least 240,000 shares of Synpro common stock as compensation from Rosenfeld and Kochanowski. Yakimczyk never disclosed the kickback arrangement to his customers, and his conduct violated the antifraud provisions

Yakimczyk consented to the final judgment without admitting or denying the Commission's allegations in its complaint

The consent judgment permanently enjoins Yakimczyk from violating Section 17(a) of the Securities Act, Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder. The judgment also orders Yakimczyk to disgorge \$139,562.80, the illicit profits he received from the fraudulent scheme, but waives payment of all but \$15,000 of the disgorgement and prejudgment interest, and does not impose civil penalties, based on Yakimczyk's demonstrated inability to pay.

The Commission previously reached a settlement with defendant Kochanowski, but litigation is still pending against defendant Rosenfeld [SEC v. Simon M. Rosenfeld, Terry D. Kochanowski, and John F. Yakimczyk, 97 CIV. 1467, SDNY, WHP] (LR-16504)

SEC ALLEGES FRAUD ON INVESTORS THROUGH INSTALLMENT SALES CONTRACT INVESTMENT PROGRAM

The Commission announced today that it filed a civil injunctive action in federal district court in Syracuse, New York charging William J. Olivieri (Olivieri), Neptune Soft Water, Inc. (Neptune), and A Very Nice Car Co., Inc. (Nice Car) with perpetrating a securities fraud "Ponzi" scheme. The complaint alleges that the defendants fraudulently raised more than \$19 million from over fifty investors by offering and selling unregistered securities in the form of assignments to retail installment contracts.

Named in the complaint are:

William J. Olivieri, age 58, resides in Syracuse, New York. Olivieri is the president and sole owner of Neptune. Olivieri is the vice president and fifty percent owner of Nice Car.

Neptune Soft Water, Inc. is a New York corporation with its principal place of business in Syracuse, New York. Founded in 1965, Neptune is a retailer of water softening and purification systems.

A Very Nice Car Co., Inc. is a New York corporation with its principal place of business in Syracuse, New York. Founded in 1994, Nice Car is a used car dealership.

According to the complaint:

Between 1990 and 1996, the Defendants knowingly or recklessly made numerous material misrepresentations and omissions in offering and selling Neptune and Nice Car installment contracts to the investing public through Neptune Funding, a purported division of Neptune (Neptune Funding Program). The defendants represented that investors in the Neptune Funding Program would receive the right to the periodic payments made by customers on performing installment contracts. The defendants further represented to investors that Neptune and Nice Car would collect the customer payments on the assigned installment contracts and remit such payments to the investors. In fact, the defendants never intended to set aside the customer payments on specific installment contracts for specific investors. Instead, they pooled the customer payments together with the funds received from investments in the Neptune Funding Program, used the commingled funds to pay investors, and diverted some of those funds to pay personal expenses of Olivieri. Moreover, between 1993 and 1996, defendants sold certain investors installment contracts that did not exist or that already had been sold to other investors or pledged to financial institutions. Also, between 1991 and 1996, defendants gave investors false assurances of investment safety by promising to substitute performing installment contracts if any assigned contracts went into default – without any intention of ever making good on that promise. Defendants did not substitute performing contracts when underlying installment contracts went into default, as many did. Ultimately, defendants lacked enough installment contract receivables to satisfy ongoing obligations to investors, and had to draw increasingly from proceeds raised from new investments to satisfy periodic obligations to existing investors.

As a result of the foregoing, the Commission alleges that the defendants violated Section 17(a) of the Securities Act of 1933 (Securities Act), Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and that Olivieri and Neptune also violated Sections 5(a) and 5(c) of the Securities Act. For relief, the Commission seeks (1) permanent injunctions against future violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 as to all defendants, (2) permanent injunctions against future violations of Sections 5(a) and 5(c) of the Securities Act as to Olivieri and Neptune; (3) disgorgement of all ill-gotten gains plus prejudgment interest in the case of all defendants, (4) an accounting in the case of all defendants; and (5) civil penalties pursuant to Section 20(d) of the Securities Act and Section 21(d)(3) of the Exchange Act in the case of all defendants. [SEC v William J Olivieri, Neptune Soft Water, Inc, and A Very Nice Car Co., Inc, 00CV532, NAM, BJD, NDNYS] (LR-16503)

SEC FILES FRAUD CASE INVOLVING ENTERPRISES SOLUTIONS, INC. AND FREEZES \$2.3 MILLION IN PROCEEDS FROM RELATED STOCK SALES

On April 6, the Commission filed a securities fraud action against Enterprises Solutions, Inc (ESI), Herbert S. Cannon, and Dr. John A. Solomon in the United States District Court for the Southern District of New York. The Commission also obtained an immediate asset freeze preventing the removal of more than \$2.3 million in assets from the securities accounts of two Gibraltar companies, Rowen House Limited and Montville

Limited, which are named in the complaint as relief defendants. According to the complaint, ESI is a Nevada corporation claiming to have its principal place of business at defendant Solomon's home in Canton, Massachusetts, and defendant Cannon resides in Boca Raton, Florida. ESI claims to be in the business of developing products and encryption technology for Internet security, and has reported \$160,087 in total assets as of September 30, 1999 and \$328 in total revenues since January 1, 1998.

The Commission's complaint alleges that ESI was established, and has been secretly controlled, by defendant Cannon, a Florida stock promoter who has been convicted of felony fraud violations on two separate occasions, enjoined by federal courts in two prior securities fraud cases brought by the Commission, and barred by the Commission from the securities industry. The complaint further alleges, among other things, that ESI, Cannon, and Solomon have fraudulently concealed from the investing public Cannon's control of ESI, and that they have knowingly or recklessly made false and misleading claims about ESI's products and customers. During the relevant period, according to the complaint, defendant Cannon controlled the securities accounts of several offshore entities, including relief defendants Rowen House and Montville, through which he sold hundreds of thousands of shares of ESI stock into the market, some during a recent surge in the price and trading volume of the stock. After the price of ESI's stock rose from \$3 per share in January 2000 to more than \$20 per share on March 29, 2000, the Commission issued an order on March 30, 2000, temporarily suspending trading in ESI's stock until April 12, 2000. See Release No. 34-42593.

The Commission's complaint charges the defendants with securities fraud in violation of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and seeks injunctions, disgorgement, and civil penalties, along with a constructive trust upon any and all proceeds from ESI stock sales being held in the brokerage accounts of the relief defendants. [SEC v. Enterprises Solutions, Inc., et al., Case No. 00 Civ. 2685, Cedarbaum, J., USDC, SDNY] (LR-16506)

INVESTMENT COMPANY ACT RELEASES

THE MANUFACTURERS LIFE INSURANCE COMPANY OF NORTH AMERICA, ET AL.

A notice has been issued giving interested persons until April 27 to request a hearing on an application filed by The Manufacturers Life Insurance Company of North America (Manulife North America), The Manufacturers Life Insurance Company of New York (Manulife New York), The Manufacturers Life Insurance Company of North America Separate Account A, The Manufacturers Life Insurance Company of New York Separate Account A, Manufacturers Securities Services, LLC, and any other separate accounts of Manulife North America or Manulife New York or separate accounts that they may establish in the future to support certain deferred variable annuity contracts. Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act, and Rule 22c-

I thereunder, to permit the recapture of credits applied to purchase payments made under certain deferred variable annuity contracts. In addition, Applicants request that the order extend to any other National Association of Securities Dealers, Inc. member broker-dealer controlling, controlled by, or under common control with Manulife North America or Manulife New York that serves as a distributor or principal underwriter for the contracts or any future contracts. (Rel. IC-24378 – April 5)

SELF-REGULATORY ORGANIZATIONS

WITHDRAWALS GRANTED

An order has been issued granting the application of NYFIX, Inc to withdraw its Common Stock, par value \$ 001 per share, from listing and registration on the American Stock Exchange (Rel 34-42623)

An order has been issued granting the application of Unique Mobility, Inc to withdraw its Common Stock, \$ 01 par value, from listing and registration on the Boston Stock Exchange. (Rel. 34-42624)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered, Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>.

S-8 WAVECOM SA, 39 RUE DU GOUVERNEUR GENERAL EBONE, ISSY LEX MOULINEAUX, FRANCE, 92130 (011) 331-4629 - 1,564,200 (\$59,634,084) FOREIGN COMMON STOCK. (FILE 333-11728 - MAR. 28) (BR. 7)

F-1 VOTORANTIM PULP & PAPER INC, ALAMEDA SANTOS 1357-8 ANDAR, 01419-908 SAO PAULO SP, BRAZIL 55-11-269-4000, D5 00000 - 4,620,000,000 (\$151,536,000) FOREIGN COMMON STOCK. (FILE 333-11734 - MAR. 28) (BR. 4 - NEW ISSUE)

S-1 HANDSPRING INC, 189 BERNARDO AVNEUE, SUITE 300, MOUNTAIN VIEW, CA
94043
(650) 230-5000 - \$300,000,000 COMMON STOCK. (FILE 333-33666 - MAR. 31)
(BR. 3)

S-1 ENTEGRIS INC, 3500 LYMAN BOULEVARD, CHASKA, MN 55318 (612) 556-3131
-
\$239,200,000 COMMON STOCK. (FILE 333-33668 - MAR. 31) (BR. 6 - NEW
ISSUE)

S-8 OMNIS TECHNOLOGY CORP, 981 INDUSTRIAL WAY, BUILDING B, SAN CARLOS,
CA
94070 ((65) 0)6-32-7 - 1,650,000 (\$24,750,000) COMMON STOCK. (FILE
333-33672 - MAR. 31) (BR. 3)

S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST,
TEWKSBURY, MA 01876 (978) 640-6789 - 1,000,000 (\$20,906,250) COMMON
STOCK.
(FILE 333-33674 - MAR. 31) (BR. 5)

S-8 ENERGIZER HOLDINGS INC, CHECKERBOARD SQUARE, ST LOUIS, MO 63164
(314) 982-2413 - 5,000,000 (\$118,593,750) COMMON STOCK. (FILE 333-
33676 -
MAR. 31) (BR. 5)

S-8 VECTREN CORP, 20 NW FOURTH ST, EVANSVILLE, IN 47741 (317) 926-3351 -
1,000,000 (\$19,500,000) COMMON STOCK. (FILE 333-33684 - MAR. 31) (BR.
2)

S-8 HEALTHSOUTH CORP, ONE HEALTHSOUTH PKWY, STE 224W, BIRMINGHAM, AL
35243
(205) 967-7116 - 7,265,955 (\$43,130,709) COMMON STOCK. (FILE 333-33686
-
MAR. 31) (BR. 1)

S-8 CHEMFAB CORP, 701 DANIEL WEBSTER HWY, P O BOX 1137, MERRIMACK, NH
03054
(603) 424-9000 - 500,000 (\$7,125,000) COMMON STOCK. (FILE 333-33688 -
MAR. 31) (BR. 2)

S-8 ENERGIZER HOLDINGS INC, CHECKERBOARD SQUARE, ST LOUIS, MO 63164
(314) 982-2413 - 500,000 (\$11,859,375) COMMON STOCK. (FILE 333-33690 -
MAR. 31) (BR. 5)

S-8 INTERNATIONAL BUSINESS MACHINES CORP, 1 NEW ORCHARD ROAD, ARMONK, NY
10504 (914) 499-1900 - 600,000,000 (\$600,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-33692 - MAR. 31)
(BR. 3)

S-8 ASK JEEVES INC, 5858 HORTON ST, SUITE 350, EMERYVILLE, CA 94608
(925) 603-9071 - 5,933,666 (\$248,861,238) COMMON STOCK. (FILE 333-
33694 -
MAR. 31) (BR. 8)

S-8 DIAL CORP /NEW/, 15501 NORTH DIAL BOULEVARD, SCOTTSDALE, AZ 85260
(480) 754-3425 - 150,000 (\$1,935,945) COMMON STOCK. (FILE 333-33696 -
MAR. 31) (BR. 4)

S-8 DALEEN TECHNOLOGIES INC, 902 CLINT MOORE RD, STE 230, BOCA RATON, FL 33487 (561) 999-8000 - 4,936,131 (\$104,744,700) COMMON STOCK. (FILE 333-33698 - MAR. 31) (BR. 3)

S-8 AMERICAN MANAGEMENT SYSTEMS INC, 4050 LEGATO RD, FAIRFAX, VA 22033 (703) 267-8000 - 20,000 (\$841,200) COMMON STOCK. (FILE 333-33700 - MAR. 31) (BR. 3)

S-8 TEMPLE INLAND INC, 303 S TEMPLE DR, PO DRAWER N, DIBOLL, TX 75941 (409) 829-5511 - 10,000 (\$469,375) COMMON STOCK. (FILE 333-33702 - MAR. 31) (BR. 4)

S-8 WORLD AIRWAYS INC /DE/, 13873 PARK CENTER STE 490, C/O WASHINGTON DULLES INTL AIRPPORT, HERNDON, VA 20171 (703) 834-9200 - 5,000,000 (\$5,968,750) COMMON STOCK. (FILE 333-33704 - MAR. 31) (BR. 5)

S-8 WORLD AIRWAYS INC /DE/, 13873 PARK CENTER STE 490, C/O WASHINGTON DULLES INTL AIRPPORT, HERNDON, VA 20171 (703) 834-9200 - 900,000 (\$900,000) COMMON STOCK. (FILE 333-33706 - MAR. 31) (BR. 5)

S-3 ZIXIT CORP, ONE GALLERIA TOWER, 13355 NOEL RD STE 1555, DALLAS, TX 75240 (972) 702-7055 - 25,000 (\$1,843,750) COMMON STOCK. (FILE 333-33708 - MAR. 31) (BR. 3)

S-3 TITAN PHARMACEUTICALS INC, 400 OYSTER POINT BLVD, SUITE 505, SAN FRANCISCO, CA 94080 (415) 244-4990 - 1,312,375 (\$50,933,274) COMMON STOCK. (FILE 333-33710 - MAR. 31) (BR. 1)

S-8 ORRSTOWN FINANCIAL SERVICES INC, 77 E KING STREET, P O BOX 250, SHIPPENSBURG, PA 17257 (717) 532-6114 - 30,000 (\$1,200,000) COMMON STOCK. (FILE 333-33712 - MAR. 31) (BR. 7)

S-8 ORRSTOWN FINANCIAL SERVICES INC, 77 E KING STREET, P O BOX 250, SHIPPENSBURG, PA 17257 (717) 532-6114 - 200,000 (\$8,000,000) COMMON STOCK. (FILE 333-33714 - MAR. 31) (BR. 7)

S-1 WEBEX INC, 110 ROSE ORCHARD WAY, SAN JOSE, CA 95134 - \$86,250,000 COMMON STOCK. (FILE 333-33716 - MAR. 31) (BR. 8 - NEW ISSUE)

S-3 THCG INC, 650 MADISON AVENUE 21ST FLOOR, NEW YORK, NY 10022 (212) 223-0440 - 907,556 (\$14,575,349) COMMON STOCK. (FILE 333-33718 - MAR. 31) (BR. 18)

S-8 ACTUATE CORP, 701 GATEWAY BLVD, SOUTH SAN FRANCISCO, CA 94080 (650) 425-2300 - 4,487,535 (\$270,794,690.10) COMMON STOCK. (FILE 333-33720 - MAR. 31) (BR. 3)

S-8 INTEST CORP, 2 PIN OAK LANE, CHERRY HILL, NJ 08003 (609) 424-6886 - 175,686 (\$512,061) COMMON STOCK. (FILE 333-33722 - MAR. 31) (BR. 5)

S-8 OLD KENT FINANCIAL CORP /MI/, ONE VANDENBERG CTR, 111 LYON ST NW,
GRAND RAPIDS, MI 49503 (616) 771-5000 - 148,809 (\$4,622,379.50)
COMMON STOCK. (FILE 333-33724 - MAR. 31) (BR. 7)

S-3 KINDER MORGAN ENERGY PARTNERS L P, 1301 MCKINNEY ST, STE 3400,
HOUSTON,
TX 77010 (713) 844-9500 - 574,172 (\$22,679,794) COMMON STOCK. (FILE
333-33726 - MAR. 31) (BR. 4)

S-8 TRANSACTION SYSTEMS ARCHITECTS INC, 224 SOUTH 108TH AVE, OMAHA, NE
68154
(402) 390-7600 - 1,000,000 (\$26,906,300) COMMON STOCK. (FILE 333-33728
-
MAR. 31) (BR. 3)

S-3 METROCORP BANCSHARES INC, 9600 BELLAIRE BLVD SUITE 152, HOUSTON, TX
77036 (713) 776-3876 - 700,000 (\$5,381,250) COMMON STOCK. (FILE 333-
33730
- MAR. 31) (BR. 7)

S-1 KOSAN BIOSCIENCES INC, 3832 BAY CENTER PLACE, HAYWARD, CA 94545
(510) 732-8400 - \$80,000,000 COMMON STOCK. (FILE 333-33732 - MAR. 31)
(BR. 1 - NEW ISSUE)

S-3 CALPINE CAPITAL TRUST II, 50 WEST SAN FERNANDO STREET, SAN JOSE, CA
95113 (408) 995-5115 - 7,200,000 (\$360,000,000) PREFERRED STOCK. (FILE
333-33736 - MAR. 31) (BR. 2 - NEW ISSUE)

S-3 NEOMEDIA TECHNOLOGIES INC, 2201 SECOND ST STE 600, STE 600, FORT
MYERS,
FL 33901 (630) 355-4404 - 2,825,762 (\$24,725,417) COMMON STOCK. (FILE
333-33738 - MAR. 31) (BR. 3)

S-3 TARRAGON REALTY INVESTORS INC, 3100 MONTICELLO AVE, STE 200, DALLAS,
TX
75205 (214) 599-2200 - 36,250 (\$372,650) COMMON STOCK. (FILE 333-33740
-
MAR. 31) (BR. 8)

S-1 HEMASURE INC, 140 LOCKE DR, MARLBOROUGH, MA 01752 (508) 485-6850 -
2,551,320 (\$27,267,233) COMMON STOCK. (FILE 333-33742 - MAR. 31) (BR.
5)

S-1 GERIMED OF AMERICA INC, 333 W HAMPDEN AVENUE, STE 200, ENGLEWOOD, CO
80110 (303) 781-6430 - 7,759,335 (\$19,398,337) COMMON STOCK. (FILE
333-33744 - MAR. 31) (BR. 1)

S-3 POSSIS MEDICAL INC, 9055 EVERGREEN BLVD N W, MINNEAPOLIS, MN 55433
(612) 780-4555 - 1,912,859 (\$19,726,358.43) COMMON STOCK. (FILE 333-
33746
- MAR. 31) (BR. 5)

S-3 OUTBACK STEAKHOUSE INC, 550 N REO ST STE 200, TAMPA, FL 33609
(813) 282-1225 - 564,000 (\$17,184,516) COMMON STOCK. (FILE 333-33748 -
MAR. 31) (BR. 5)

S-3 EARTHSHELL CORP, 111 S CALVERT ST, STE 1950, SANTABALTIMORE, ME
21202
(410) 949-1300 - \$23,593,750 COMMON STOCK. (FILE 333-33752 - MAR. 31)
(BR. 4)

S-8 FAIRFIELD COMMUNITIES INC, 11001 EXECUTIVE CENTER DRIVE, LITTLE
ROCK, AR
72211 (407) 370-5200 - 500,000 (\$4,250,000) COMMON STOCK. (FILE 333-
33754
- MAR. 31) (BR. 8)

S-8 PEGASUS COMMUNICATIONS CORP, C/O PEGASUS COMMUNICATIONS MANAGEMENT,
225 CITY LINE AVENUE SUITE 200, BALA CYNWYD, PA 19087 (610) 341-1801 -
2,433,614 (\$318,044,146.43) COMMON STOCK. (FILE 333-33756 - MAR. 31)
(BR. 7)

S-8 SUPERIOR ENERGY SERVICES INC, 1105 PETERS ROAD, HARVEY, LA 70058
(504) 362-4321 - 5,954,327 (\$40,225,372) COMMON STOCK. (FILE 333-33758
-
MAR. 31) (BR. 4)

S-8 PHARMACEUTICAL PRODUCT DEVELOPMENT INC, 3151 17TH ST EXTENSION,
WILMINGTON, NC 28401 (910) 251-0081 - 321,024 (\$5,094,650.88) COMMON
STOCK.
(FILE 333-33760 - MAR. 31) (BR. 1)

S-8 LONE STAR STEAKHOUSE & SALOON INC, 224 E DOUGLAS STE 700, WICHITA,
KS
67202 (316) 264-8899 - 100,000 (\$887,500) COMMON STOCK. \$2,500,000
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-33762 - MAR. 31)
(BR. 5)

S-4 PRECISION CASTPARTS CORP, 4650 SW MACADAM AVE, STE 440, PORTLAND, OR
97201 (503) 417-4800 - 200,000,000,000 (\$200,000,000) STRAIGHT BONDS.
(FILE 333-33764 - MAR. 31) (BR. 6)

S-8 VPN COMMUNICATIONS CORP, 3200 BRISTOL STREET SUITE 725, NONE,
COSTA MESA, CA 92626 (714) 540-4444 - 2,000,000 (\$120,000) COMMON
STOCK.
(FILE 333-33766 - MAR. 31) (BR. 8)

S-3 MILLENNIUM PHARMACEUTICALS INC, 75 SIDNEY STREET, CAMBRIDGE, MA
02139
(617) 679-7000 - 81,800 (\$11,978,792) COMMON STOCK. (FILE 333-33770 -
MAR. 31) (BR. 1)

S-8 INTERACTIVE INTELLIGENCE INC, 8909 PURDUE ROAD, INDIANAPOLIS, IN
46268
(317) 872-3000 - 500,000 (\$23,125,000) COMMON STOCK. (FILE 333-33772 -
MAR. 31) (BR. 3)

S-3 ADVANCED VIRAL RESEARCH CORP, 1250 E HALLANDALE BEACH BLVD, STE 501,
HALLANDALE, FL 33009 (954) 458-7636 - 200,000,000 (\$143,000,000)
COMMON STOCK. (FILE 333-33774 - MAR. 31) (BR. 1)

S-3 FAIRFIELD COMMUNITIES INC, 11001 EXECUTIVE CENTER DRIVE, LITTLE
ROCK, AR
72211 (407) 370-5200 - 25,000 (\$212,500) COMMON STOCK. (FILE 333-33776
-
MAR. 31) (BR. 8)

S-8 ROBOCOM SYSTEMS INTERNATIONAL INC, 511 OCEAN AVE, MASSAPEQUA, NY
11758
(516) 795-5100 - 650,000 (\$3,168,750) COMMON STOCK. (FILE 333-33780 -
MAR. 31) (BR. 9)

S-1 STOCKPOINT INC, 2600 CROSSPARK ROAD, CORALVILLE, IA 52241 (319) 626-
5000
- \$45,000,000 COMMON STOCK. (FILE 333-33784 - MAR. 31) (NEW ISSUE)

S-3 PHARMACYCLICS INC, 995 EAST ARQUES AVE, SUNNYVALE, CA 94086
(408) 774-0330 - 820,000 (\$37,335,625) COMMON STOCK. (FILE 333-33786 -
MAR. 31) (BR. 1)

S-3 PUBLICARD INC, 620 FIFTH AVENUE ROCKEFELLER CENTER, FIFTH FLOOR,
NEW YORK, NY 10020 (203) -36-8-68 - 118,369 (\$1,002,585) COMMON STOCK.
(FILE 333-33788 - MAR. 31) (BR. 3)

S-8 AVISTA CORP, 1411 E MISSION AVE, SPOKANE, WA 99202 (509) 489-0500 -
1,500,000 (\$53,820,000) COMMON STOCK. (FILE 333-33790 - MAR. 31) (BR.
2)

S-3 CYTRX CORP, 154 TECHNOLOGY PKWY, TECHNOLOGY PARK/ATLANTA, NORCROSS,
GA
30092 (404) 368-9500 - 2,606,092 (\$7,166,753) COMMON STOCK. (FILE
333-33792 - MAR. 31) (BR. 1)

S-3 VIGNETTE CORP, 901 SOUTH MOPAC EXPRESSWAY, SUITE 300, AUSTIN, TX
78746
(512) 306-4300 - 2,657,067 (\$524,106,466) COMMON STOCK. (FILE 333-
33794 -
MAR. 31) (BR. 3)

S-3 PARADISE MUSIC & ENTERTAINMENT INC, 53 W 23RD ST, NEW YORK, NY 10010
(212) 590-2100 - 3,068,422 (\$7,575,320) COMMON STOCK. (FILE 333-33798
-
MAR. 31) (BR. 9)

S-8 WELLS FARGO & CO/MN, 420 MONTGOMERY STREET, SIXTH & MARQUETTE,
SAN FRANCISCO, CA 94163 (612) 667-1234 - 600,000 (\$23,718,000)
COMMON STOCK. (FILE 333-33800 - MAR. 31) (BR. 7)

S-3 UNITED COMMUNITY BANKS INC, P O BOX 398, 59 HIGHWAY 515,
BLAIRSVILLE, GA
30512 (581) 807-3041 - 450,000 (\$17,100,000) COMMON STOCK. (FILE
333-33802 - MAR. 31) (BR. 7)

S-3 PLAINS RESOURCES INC, 500 DALLAS, STE 700, HOUSTON, TX 77002
(713) 654-1414 - 5,469,776 (\$71,107,088) COMMON STOCK. (FILE 333-33804
-
MAR. 31) (BR. 4)

S-8 PROVIDIAN FINANCIAL CORP, 201 MISSION ST 28TH FLOOR, SAN FRANCISCO, CA
94105 (415) 543-0404 - 2,000,000 (\$163,000,000) COMMON STOCK. (FILE 333-33806 - MAR. 31) (BR. 7)

S-8 CREATIVE HOST SERVICES INC, 6335 FERRIS SQUARE, STES G-H, SAN DIEGO, CA
92126 (619) 587-7300 - 280,000 (\$1,362,475) COMMON STOCK. (FILE 333-33808 - MAR. 31) (BR. 9)

S-3 TELULAR CORP, 647 N LAKEVIEW PKWAY, 920 DEERFIELD PKWY, VERNON HILLS, IL
60061 (847) 465-4500 - 851,634 (\$12,885,222) COMMON STOCK. (FILE 333-33810 - MAR. 31) (BR. 3)

S-1 FREEI NETWORKS INC, 909 S 336TH STREET 110, 888-841-9828, FEDERAL WAY, WA
98003 - \$172,500,000 COMMON STOCK. (FILE 333-33812 - MAR. 31)

S-3 MARSHALL & ILSLEY CORP/WI/, 770 N WATER ST, MILWAUKEE, WI 53202
(414) 765-7801 - 1,500,000,000 (\$1,500,000,000) STRAIGHT BONDS. (FILE 333-33814 - MAR. 31) (BR. 7)

S-8 GEORGIA PACIFIC CORP, 133 PEACHTREE ST NE, 41ST FL, ATLANTA, GA 30303
(404) 521-4000 - 92,960 (\$3,878,393.50) COMMON STOCK. (FILE 333-33816 - MAR. 31) (BR. 6)

S-1 SERVICEWARE COM INC, 333 ALLEGHENY AVE, OAKMONT, PA 15139 (412) 826-1158
- \$86,250,000 COMMON STOCK. (FILE 333-33818 - MAR. 31)

S-8 HOMESERVICES COM INC, 6800 FRANCE AVENUE SOUTH, SUITE 600, EDINA, MN 55435 (612) 928-5900 - 500,000 (\$4,750,000) COMMON STOCK. (FILE 333-33820 - MAR. 31) (BR. 8)

S-3 ANDRX CORP, 4001 SW 47 AVE STE 201, FORT LAUDERDALE, FL 33314 (305) 584-0300 - 4,226,250 (\$460,661,250) COMMON STOCK. (FILE 333-33822 - MAR. 31) (BR. 1)

S-4 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR., MINNETONKA, MN 55343
(612) 938-8080 - 79,386,397 (\$1,438,878,446) COMMON STOCK. (FILE 333-33824 - MAR. 31) (BR. 7)

S-3 NIAGARA MOHAWK POWER CORP /NY/, 300 ERIE BLVD W, SYRACUSE, NY 13202 (315) 474-1511 - 432,000,000 (\$432,000,000) STRAIGHT BONDS. (FILE 333-33826 - MAR. 31) (BR. 2)

S-8 HAIN FOOD GROUP INC, 50 CHARLES LINDBERGH BLVD, UNIONDALE, NY 11553 (516) 237-6200 - 5,000,000 (\$130,000,000) COMMON STOCK. (FILE 333-33828 - MAR. 31) (BR. 4)

S-4 HAIN FOOD GROUP INC, 50 CHARLES LINDBERGH BLVD, UNIONDALE, NY 11553
(516) 237-6200 - 12,272,914 (\$319,095,764) COMMON STOCK. (FILE 333-
33830 -
MAR. 31) (BR. 4)

S-8 ADAPTIVE BROADBAND CORP, 1143 BORREGAS AVE, SUNNYVALE, CA 94089
(408) 732-4000 - 200,000 (\$10,282,000) COMMON STOCK. (FILE 333-33832 -
MAR. 31) (BR. 7)

S-8 BE FREE INC, 154 CRANE MEADOW RD SUITE 100, MARLBOROUGH, MA 01752
(508) 357-8888 - 566,592 (\$4,408,086) COMMON STOCK. (FILE 333-33834 -
MAR. 31) (BR. 3)

S-3 PARLEX CORP, 145 MILK ST, METHUEN, MA 01844 (508) 685-4341 -
1,610,000
(\$47,495,000) COMMON STOCK. (FILE 333-33836 - MAR. 31) (BR. 5)

S-3 CYTOCLONAL PHARMACEUTICS INC /DE, 9000 HARRY HINES BLVD, STE 330,
DALLAS, TX 75235 (214) 353-2922 - 1,728,135 (\$15,357,244) COMMON STOCK.
(FILE 333-33838 - MAR. 31) (BR. 1)

S-1 CONNECTED CORP, 24 PRIME PARKWAY, NATICK, MA 01760 (508) 652-7300 -
\$57,500,000 COMMON STOCK. (FILE 333-33840 - MAR. 31)

S-3 HEALTHAXIS INC, 2500 DEKALB PIKE, NORRISTOWN, PA 19401 (610) 279-
2500 -
2,449,527 (\$40,859,071) COMMON STOCK. (FILE 333-33842 - MAR. 31) (BR.
1)

S-4 FIRST STERLING BANKS INC, 1200 BARRETT PARKWAY, KENNESAW, GA 30144
(770) 499-2265 - 8,917,290 (\$46,470,753) COMMON STOCK. (FILE 333-33844
-
MAR. 31) (BR. 7)

S-3 VALERO ENERGY CORP/TX, ONE VALERO PLACE, SAN ANTONIO, TX 78212
(210) 370-2000 (FILE 333-33846 - MAR. 31) (BR. 4)

S-3 TRISM INC /DE/, 4174 JILES ROAD, P O BOX 9000, KENNESAW, GA 30144
(770) 795-4600 - 564,492 (\$2,081,564) COMMON STOCK. 8,913,024
(\$8,913,024) STRAIGHT BONDS. (FILE 333-33848 - MAR. 31) (BR. 5)

SB-2 INDEXONLY TECHNOLOGIES INC, 3823 HENNING DRIVE SUITE 217,
BURNABY BRITISH COLUMBIA, CANADA V5C 6P3, A1 (604) 419-4401 - 5,282,000
(\$10,564,000) COMMON STOCK. (FILE 333-33858 - APR. 03) (BR. 9)

S-8 AMERISTAR CASINOS INC, 3773 HOWARD HUGHES PKWY, SUITE 490 SOUTH,
LAS VEGAS, NV 89109 (702) -56-7-70 - 2,600,000 (\$9,802,000) COMMON
STOCK.
(FILE 333-33860 - APR. 03) (BR. 5)

S-8 CMGI INC, 100 BRICKSTONE SQUARE, STE B110, ANDOVER, MA 01810
(978) 684-3600 - 1,829,751 (\$15,370,518.77) COMMON STOCK. (FILE 333-
33864
- APR. 03) (BR. 2)

S-3 XCEED INC, 488 MADISON AVENUE, 3TH FLOOR, NEW YORK, NY 10022
 (212) 753-5511 - 840,548 (\$22,221,988) COMMON STOCK. (FILE 333-33866 -
 APR. 03) (BR. 3)

S-1 TELIK INC, 750 GATEWAY BOULEVARD, SOUTH SAN FRANCISCO, CA 94080
 (650) 244-9303 - \$75,000,000 COMMON STOCK. (FILE 333-33868 - APR. 03)
 (NEW ISSUE)

S-1 DIVEO BROADBAND NETWORKS INC, 3201 NEW MEXICO AVE., N.W., STE. 320,
 WASHINGTON, DC 20016 (202) 274-0040 - \$150,000,000 COMMON STOCK. (FILE
 333-33870 - APR. 03) (NEW ISSUE)

S-3 CELL THERAPEUTICS INC, 201 ELLIOTT AVE W, STE 400, SEATTLE, WA 98119
 (206) 270-7100 - 3,503,334 (\$73,570,014) COMMON STOCK. (FILE 333-33872

APR. 03) (BR. 1)

S-8 NET GENESIS CORP, 150 CAMBRIDGE PARK DRIVE, CAMBRIDGE, MA 02140
 (617) 665-9200 - 5,645,081 (\$127,019,747) COMMON STOCK. (FILE 333-
 33874 -
 APR. 03) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7 Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AAMES FINANCIAL CORP/DE	DE					X	X					04/04/00	
ABRAXAS PETROLEUM CORP	NV	X								X		04/06/00	
ACADIA REALTY TRUST	MD					X		X				04/06/00	
ACE LTD						X						04/03/00	
ACT MANUFACTURING INC	MA					X		X				04/06/00	
ADVANCED MICRO DEVICES INC	DE					X		X				03/30/00	
ADVANTA CORP	DE									X		04/06/00	
AETNA INC	CT					X						04/06/00	
ALLIED WASTE INDUSTRIES INC	DE					X						04/06/00	
AMERICAN ACCESS TECHNOLOGIES INC	FL	X								X		04/04/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
ANADARKO PETROLEUM CORP	DE					X					04/02/00	
ARINCO COMPUTER SYSTEMS INC	NM	X									03/31/00	
ASANTE TECHNOLOGIES INC	DE				X		X				03/20/00	
ATLANTIC PREFERRED CAPITAL CORP	MA				X		X				04/03/00	
AUTOTRADECENTER COM INC	AZ				X		X				03/23/00	
AVATEX CORP	DE				X		X				04/05/00	
BALDWIN PIANO & ORGAN CO /DE/	DE				X		X				03/24/00	
BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000 1	DE				X		X				04/06/00	AMEND
BANK PLUS CORP	DE				X						03/31/00	
BELL ATLANTIC CORP	DE				X		X				04/03/00	
BEST BUY CO INC	MN				X		X				03/29/00	
BINDVIEW DEVELOPMENT CORP	TX				X		X				04/03/00	
BIO MEDICAL AUTOMATION INC	CO	X			X	X	X				03/25/00	
BIOCONTROL TECHNOLOGY INC	PA				X						04/05/00	
BIOPOOL INTERNATIONAL INC	DE				X						04/03/00	
BONDED MOTORS INC	CA						X				03/31/00	
BRITESMILE INC	UT				X		X				03/24/00	
BROADBAND WIRELESS INTERNATIONAL CO RP	NV		X		X		X				03/03/00	
BROADVIEW MEDIA INC	MN						X				11/24/99	AMEND
CALLON PETROLEUM CO	DE				X		X				03/30/00	
CAMERON ASHLEY BUILDING PRODUCTS IN C	GA				X		X				04/03/00	
CE SOFTWARE HOLDINGS INC	DE			X							04/05/00	
CELERITY SYSTEMS INC	DE				X		X				04/03/00	
CENDANT CORP	DE				X						04/06/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X		X				03/17/00	
CHASE COMMERCIAL MORTGAGE SECURITIE S CORP	NY				X		X				03/20/00	
CHASE FUNDING INC	NY				X		X				03/27/00	
CHASE MORTGAGE FINANCE CORP	DE				X		X				03/27/00	
CHORUS COMMUNICATIONS GROUP LTD	WI		X								03/22/00	
COHO ENERGY INC	TX	X	X				X				03/20/00	
COMMUNITY BANCORP INC	DE				X		X				04/04/00	
COMMUNITY BANCSHARES INC /DE/	DE				X		X				03/23/00	
COMMUNITY FINANCIAL CORP /IL/	IL						X				04/04/00	
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE				X		X				04/04/00	
COMPUTERIZED THERMAL IMAGING INC	NV				X						03/31/00	
COMPUTONE CORPORATION	DE				X		X				03/30/00	
CONSECO FINANCE SECURITIZATIONS COR P	DE						X				04/05/00	
CONSOLIDATED CAPITAL OF NORTH AMERI CA INC	CO				X						04/03/00	
CONSOLIDATED EDISON CO OF NEW YORK INC	NY				X		X				03/29/00	
CONSOLIDATED EDISON INC	NY				X		X				03/29/00	
CUBIST PHARMACEUTICALS INC	DE				X		X				04/06/00	
CYMER INC	NV				X		X				03/31/00	
DALLAS SEMICONDUCTOR CORP	DE				X		X				03/22/00	AMEND
DECISIONONE CORP /DE				X			X				03/21/00	
DECISIONONE HOLDINGS CORP	DE			X			X				03/21/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
DENTSPLY INTERNATIONAL INC /DE/	DE	X									02/16/00	AMEND
DIME COMMUNITY BANCSHARES INC	DE				X	X					04/06/00	
DISCOVER CARD MASTER TRUST I	DE				X	X					03/31/00	
DISCOVER CARD MASTER TRUST I	DE				X	X					04/04/00	
DRUGMAX COM INC	NV	X					X				04/06/00	
DUALSTAR TECHNOLOGIES CORP	DE				X						03/29/00	
EFAX COM INC	DE				X	X					04/05/00	
ELECTRONICS BOUTIQUE HOLDINGS CORP	DE				X	X					03/31/00	
ENVIRONMENTAL ELEMENTS CORP	DE				X	X					04/05/00	
EVENTURES GROUP INC	DE						X				04/05/00	
EXODUS COMMUNICATIONS INC	DE				X	X					03/22/00	
FINANCIAL ASSET SECURITIES CORP	DE				X	X					10/31/99	
FINANCIAL ASSET SECURITIES CORP	DE				X	X					11/30/99	
FINANCIAL ASSET SECURITIES CORP	DE				X	X					12/31/99	
FIRST AMERICAN HEALTH CONCEPTS INC	AZ				X						04/06/00	
FIRSTFED FINANCIAL CORP	DE	X									04/05/00	
FIVE STAR RESOURCES INC	KS	X	X					X			03/20/00	
FOAMEX CAPITAL CORP	DE				X	X					04/05/00	
FOAMEX INTERNATIONAL INC	DE				X	X					04/05/00	
FOAMEX L P	DE				X	X					04/05/00	
FOOTHILL INDEPENDENT BANCORP	CA				X	X					03/30/00	
FREEMARKETS INC			X					X			03/24/00	
FTI CONSULTING INC	MD	X						X			02/04/00	AMEND
FUNCO INC	MN				X	X					03/31/00	
FUND AMERICA INVESTORS CORP II	DE	X									04/01/00	
GERALD STEVENS INC/	DE				X	X					03/29/00	
GETTY PETROLEUM MARKETING INC /MD/	MD				X	X					04/05/00	
GLOBALNETCARE INC	FL			X							02/22/00	AMEND
GLOBALNETCARE INC	FL			X							03/30/00	
GRAPHON CORP/DE	DE				X						03/22/00	
GREATER BAY BANCORP	CA				X	X					04/06/00	
GULFWEST OIL CO	TX	X									04/05/00	
HARBINGER CORP	GA				X	X					04/05/00	
HEALTHCARE SOFTWARE INC	NV				X						03/31/00	
HELLER FUNDING CORP	DE				X	X					03/27/00	
HERITAGE OAKS BANCORP	CA				X						03/21/00	
HEXCEL CORP /DE/	DE				X	X					04/03/00	
HOME PROPERTIES OF NEW YORK INC	MD	X			X	X					11/05/99	
HORIZON PHARMACIES INC	DE				X	X					03/14/00	
ILLINOIS POWER CO	IL			X		X					04/06/00	AMEND
INTERNET HOLDINGS INC	UT				X						03/31/00	
INTERNET LAW LIBRARY INC	DE			X		X					02/28/00	AMEND
INTERNET PICTURES CORP	DE	X			X	X					03/31/00	
INTEST CORP	DE				X	X					03/09/00	
IROQUOIS BANCORP INC	NY				X	X					03/26/00	
JFAX COM INC	DE				X	X					04/05/00	
KENILWORTH SYSTEMS CORP	NY	X	X								03/30/00	
KEYCORP STUDENT LOAN TRUST 1999 A					X	X					03/27/00	
LABORATORY CORP OF AMERICA HOLDINGS	DE				X	X					04/04/00	
LACLEDE STEEL CO /DE/	DE				X						03/31/00	
LOUISIANA PACIFIC CORP	DE				X						04/06/00	
LSB INDUSTRIES INC	DE	X					X				03/09/99	
LSB INDUSTRIES INC	DE	X					X				03/09/00	AMEND
LUCAS EDUCATIONAL SYSTEMS INC	DE	X					X				04/03/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
MARKETING SERVICES GROUP INC	NV	X									03/23/00	
MATTEL INC /DE/	DE				X	X					04/06/00	
MAXXIM MEDICAL INC	TX			X		X					04/05/00	
MEDIX RESOURCES INC	CO				X						03/02/00	
MEDIX RESOURCES INC	CO				X						03/02/00	
MEDIX RESOURCES INC	CO				X						03/06/00	
MEDIX RESOURCES INC	CO				X						03/08/00	
MEDIX RESOURCES INC	CO				X						03/15/00	
MEDIX RESOURCES INC	CO				X						03/16/00	
MEDIX RESOURCES INC	CO				X						03/20/00	
MEDIX RESOURCES INC	CO				X						03/28/00	
MENTOR ON CALL INC	NV	X									04/30/00	
MERLIN SOFTWARE TECHNOLOGIES INTERN ATIONAL INC	NV			X							03/20/00	AMEND
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE				X	X					04/05/00	
METACREATIONS CORP	DE				X						04/03/00	
METROPOLITAN HEALTH NETWORKS INC	FL				X						01/01/00	
MICRON TECHNOLOGY INC	DE				X						03/29/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1A	DE				X	X					02/25/00	
MLMI RESECURITIZATION PASS THROUGH CERT SERIES 2000-1B	DE				X	X					02/25/00	
MORGAN J P & CO INC	DE				X	X					03/30/00	
MULTEX COM INC	DE		X				X				03/20/00	
NATIONAL MICRONETICS INC	DE		X								03/28/00	
NBI INC	DE				X						03/15/00	
NEUROCRINE BIOSCIENCES INC	DE				X	X					04/05/00	
NITTANY FINANCIAL CORP	PA				X						03/31/00	
NORTHEAST UTILITIES SYSTEM	MA				X	X					03/29/00	
OEA INC /DE/	DE				X	X					04/06/00	
OLD KENT FINANCIAL CORP /MI/	MI				X	X					04/03/00	
OMEGA HEALTHCARE INVESTORS INC	MD				X						03/14/00	
ONEOK INC /NEW/	OK				X						04/06/00	
ORION FINANCIAL LTD	CO			X							02/16/00	
OXIGENE INC	DE				X	X					04/05/00	
PAINWEBBER R&D PARTNERS II LP	DE				X						04/04/00	
PARKWAY PROPERTIES INC	MD				X						04/06/00	
PENDA CORP	FL				X	X					04/06/00	
PEREGRINE SYSTEMS INC	DE		X				X				03/30/00	
PERFECTION PLUS INC	NV	X			X	X	X	X			04/06/00	
PETROQUEST ENERGY INC	DE				X						08/05/99	AMEND
PITTSBURGH FINANCIAL CORP	PA				X	X					04/03/00	
PLUME CREEK INC	NV				X						03/23/00	
POLYVISION CORP	NY						X				01/21/00	AMEND
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE		X				X				03/30/00	
RAINFOREST CAFE INC	MN				X	X					04/05/00	
REINSURANCE GROUP OF AMERICA INC	MO			X	X	X					03/30/00	
RESIDENTIAL ACCREDIT LOANS INC	DE				X	X					03/28/00	
RESIDENTIAL ASSET FUNDING CORP	NC				X	X					03/22/00	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE				X	X					03/28/00	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					03/28/00	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X		X			03/28/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X		X			03/28/00	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X					04/03/00	
ROLLERBALL INTERNATIONAL INC	DE					X					03/27/00	
RTI INTERNATIONAL METALS INC	OH					X					04/06/00	
SACIO INC	DE	X	X			X	X				03/23/00	
SAF T LOK INC	FL					X		X			03/28/00	
SAFETY KLEEN CORP/	DE					X					04/06/00	
SCI SYSTEMS INC	DE					X		X			03/15/00	
SCIQUEST COM INC			X					X			03/22/00	
SCOOP INC/DE	DE	X	X	X	X			X			11/06/99	
SEAGATE TECHNOLOGY INC	DE					X		X			03/29/00	
SECOND CMA INC	CO	X	X			X		X			04/06/00	
SERVICE MERCHANDISE CO INC	TN					X					01/31/00	
SILVERZIPPER COM INC	NV		X			X		X			03/21/00	
SMARTIRE SYSTEMS INC						X		X			03/30/00	
SMARTIRE SYSTEMS INC						X		X			03/30/00	
SODEXHO MARRIOTT SERVICES INC	DE					X		X			04/06/00	
SONUS COMMUNICATION HOLDINGS INC	DE		X					X			05/29/00	
SOUTHLAND FINANCIAL INC		X				X	X	X			04/03/00	
SUPERIOR ENERGY SERVICES INC	DE					X		X			04/04/00	
SURGILIGHT INC	DE					X					01/01/00	
TARGET RECEIVABLES CORP	MN					X					04/05/00	
TERA COMPUTER CO \WA\	WA					X					04/03/00	
THCG INC	UT					X		X			04/06/00	
TOYS R US INC	DE					X		X			03/20/00	
TRANSMEDIA ASIA PACIFIC INC	DE		X					X			06/15/99	AMEND
TRAVELOCITY COM INC	DE							X			03/07/00	AMEND
U S WEST INC /DE/	DE					X		X			04/05/00	
VALUE CITY DEPARTMENT STORES INC /O H	OH					X		X			03/17/00	
VEGA ATLANTIC CORP/CO	CO					X					04/06/00	
VERITAS SOFTWARE CORP /DE/	DE					X		X			03/29/00	
VERTICALNET INC	PA							X			03/23/00	
VETERINARY CENTERS OF AMERICA INC	DE					X		X			03/30/00	
VIRTUAL COMMUNITIES INC/DE/	DE					X					04/03/00	
VIRTUALSELLERS COM INC						X					03/15/00	
VTEL CORP	DE					X		X			03/30/00	
WEBMEDICAL SERVICES COM INC	NV					X					03/31/00	
WESTPOINT STEVENS INC	DE					X		X			04/03/00	
WHITE MOUNTAINS INSURANCE GROUP LTD	DE					X		X			12/30/99	
WORONOCO BANCORP INC	DE					X		X			04/06/00	
XCEED INC	DE					X		X			04/04/00	
XCEL MANAGEMENT INC/UT	UT					X					04/03/00	
YAHOO INC	DE					X		X			04/05/00	
YP NET INC	NV					X					03/31/00	
ZEE INC	WY		X					X			04/05/00	