

# SEC NEWS DIGEST

Issue 99-36

February 24, 1999

---

## RULES AND RELATED MATTERS

---

### PROPOSED RULEMAKING

The Commission issued a release soliciting public comment on a proposed amendment to Rule 3a12-8 under the Securities Exchange Act of 1934. Rule 3a12-8 exempts certain designated foreign government securities for purposes of trading futures contracts on those securities. The amendment would add the debt securities of Sweden to the list of designated foreign government securities that are exempted by Rule 3a12-8. Publication of the proposed rule amendment is expected in the Federal Register during the week of March 1, 1999. (Rel. 34-41090; International Series Rel. 1183; File No. S7-4-99)

---

## ENFORCEMENT PROCEEDINGS

---

### SEC SUES FORMER EMPLOYEES OF SUNRISE MEDICAL INC. FOR ACCOUNTING FRAUD AND SUNRISE MEDICAL INC. FOR FILING FALSE FINANCIAL STATEMENTS

The Commission announced today the filing of three related enforcement actions: The first, a settled injunctive action filed in federal court in Los Angeles, charged the former chief financial officer of a division of Sunrise Medical Inc. (Sunrise Medical) -- a Carlsbad, California manufacturer of medical devices -- with orchestrating a large-scale accounting fraud, which inflated Sunrise Medical's earnings by 16% in 1994 and 40% in 1995, and engaging in insider trading. The second was a settled administrative proceeding against three former employees and a former outside computer consultant who participated in, and helped conceal, the fraud. The third, also a settled administrative proceeding, charged Sunrise Medical with filing materially inaccurate financial statements with the SEC in 1994 and 1995.

In these three enforcement actions, the SEC alleges that Sunrise Medical set annual earnings bonus targets for its operating divisions, including Bio Clinic Corporation (Bio Clinic). Annual awards under the bonus program were made to division management when the division met or exceeded its targets. By 1994, Bio Clinic's earnings were flagging and it began having problems meeting its earnings targets. In 1994 and 1995, Robert S. Barton (Barton), the

chief financial officer of Bio Clinic fraudulently reduced reported expenses by at least \$19.6 million by recording fictitious assets and improperly decreasing liabilities. This enabled Bio Clinic to meet its earnings targets despite the financial problems it was experiencing. Barton was awarded a bonus of over \$25,000 in 1994. He did not receive a bonus in 1995 because the fraud had been detected.

To carry out his scheme, Barton solicited the help of Bio Clinic's controller, Sharon Longview (Longview), and its accounting manager, Christie Rockwood (Rockwood), to make the improper accounting entries. He also prevailed on the manager of Bio Clinic's information systems, Vicki Kranawetter (Kranawetter), and Bio Clinic's outside computer consultant, Luther Dale Robinson (Robinson), to alter accounting software to conceal the entries. Barton also engaged in insider trading by exercising stock options while knowing that Sunrise Medical's public filings were materially false. By exercising his stock options before the public became aware that Sunrise Medical's filings were materially false, Barton avoided losses of \$32,000. The complaint alleges that Barton violated the antifraud provisions of the Securities Act of 1933 (Securities Act) and the antifraud, books and records, and internal accounting controls provisions of the Securities Exchange Act of 1934 (Exchange Act).

Simultaneously with the filing of the complaint against him, without admitting or denying the complaint's allegations, Barton agreed to settle the charges against him by consenting to a final judgment. The final judgment will prohibit Barton from violating or aiding and abetting or causing violations of Section 17(a) of the Securities Act, and Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5 and 13b2-1 thereunder. Based on his demonstrated inability to pay, Barton will not be required to disgorge the amount of his bonuses and losses avoided, nor will civil monetary penalties be assessed against him.

In the first related administrative proceeding, Longview and Rockwood, without admitting or denying the Commission's findings, consented to an order finding that they violated the antifraud provisions of the Securities Act and the antifraud, books and records, and internal accounting control provisions of the Exchange Act. The order directed Longview and Rockwood to cease and desist from committing or causing any violations or future violations of the following provisions: Section 17(a) of the Securities Act, and Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5 and 13b2-1 thereunder. The order also directed Longview to disgorge her annual bonus for 1994.

In the same proceeding and also without admitting or denying the Commission's findings, Kranawetter and Robinson consented to an order finding that they violated the internal accounting control provisions of the Exchange Act and caused violations of the books and records provisions. The order directed Kranawetter and Robinson to cease and desist from committing or causing any violations or

future violations of the following provisions: Section 13(b)(5) of the Exchange Act and Rule 13b2-1 thereunder.

In the second related administrative proceeding, Sunrise Medical, without admitting or denying the Commission's findings, consented to an order finding that the company violated the periodic reporting, books and records, and internal accounting controls provisions of the Exchange Act. The order directed Sunrise Medical to cease and desist from committing or causing any violations or future violations of the following provisions: Sections 13(a), 13(b)(2)(A), and 13(b)(2)(B) of the Exchange Act and Rules 12b-20, 13a-1, and 13a-13 thereunder. [SEC v. Robert S. Barton, No. 99-01881, RCx, C.D. Calif.] (LR-16068, AAE Rel. 1112); Administrative Proceeding in the Matter of Sunrise Medical Inc. - Rel. 34-41096; AAE Rel. 1110; File No. 3-9833; Administrative Proceeding in the Matter of Sharon Longview, Christie Rockwood, Vicki Kranawetter, and Luther Dale Robinson - (Rel. 33-7640; 34-41097; AAE Rel. 1111; File No. 3-9834)

#### **SEC FILES CIVIL ACTION FOR PERMANENT INJUNCTIVE RELIEF AND CIVIL MONETARY PENALTIES AGAINST CHARLES LEDFORD**

The Commission announced that on February 12 it filed a complaint in the Northern District of Florida against Charles D. Ledford (Ledford), the former president, CEO and CFO of ECO2, Inc. (ECO2), seeking a permanent injunction and civil money penalties against Ledford for his repeated violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

According to the complaint, from at least March 1995 through early 1997, Ledford issued a series of false and misleading press releases designed artificially to inflate the price of ECO2's publicly traded stock and attract new investors to ECO2. ECO2 was a development stage company established to provide solid waste tire management services to governmental, commercial and industrial entities through sales of a "tire recovery system" that purportedly utilized a pyrolysis process to recycle scrap tires into oil, carbon black, steel and methane gas by-products. The complaint alleges that Ledford caused the company to issue false and misleading press releases to the public regarding negotiations between ECO2 and various entities for the purchase of ECO2's tire recovery systems, and at least one press release regarding present and future revenues of an ECO2 subsidiary, ECO Jet Systems, Inc. [SEC v. Charles D. Ledford, Civil Action No. 99-CV-27SPM, N.D. Florida] (LR-16067)

---

#### **SELF-REGULATORY ORGANIZATIONS**

---

#### **DELISTING GRANTED**

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Dominick's Supermarkets, Inc., Common Stock, \$0.01 Par Value. (Rel. 34-41085)

---

## SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 SKYEPHARMA PLC, 105 PICADILLY LONDON W1V9FN ENGLAND, LONDON,  
(011) 441-7149 - 5,000,000 (\$7,493,500) FOREIGN COMMON STOCK (FILE  
333-10006 - FEB. 12) (BR. 1)
- S-8 CRYSTAL SYSTEMS SOLUTIONS LTD, 6 MASKIT STREET, HERZLIA 46733, ISRAEL,  
L3 - 1,049,667 (\$6,367,836) FOREIGN COMMON STOCK. (FILE 333-10008 -  
FEB 12) (BR 3)
- F-3 CRYSTALLEX INTERNATIONAL CORP, 700 W PENDER ST, STE 902,  
VANCOUVER BRITISH COLUMBIA, A1 (604) 683-0672 - 42,858,966  
(\$33,483,567 19) FOREIGN COMMON STOCK. (FILE 333-10014 - FEB 12) (BR 4)
- S-8 OLYMPIC CASCADE FINANCIAL CORP, 1001 FOURTH AVENUE, STE 2200, SEATTLE,  
WA 98154 (312) 751-8833 - 1,105,537 (\$6,539,634) COMMON STOCK. (FILE  
333-72477 - FEB 17) (BR 7)
- S-8 LANDAUER INC, TWO SCIENCE RD, GLENWOOD, IL 60425 (708) 755-7000 -  
460,000 (\$13,713,750) COMMON STOCK. (FILE 333-72479 - FEB. 17) (BR. 1)
- S-4 CHANCELLOR MEDIA CORP/, 300 CRESCENT COURT, STE 600, DALLAS, TX 75201  
(972) 869-9020 - 16,179,645 (\$1,797,739) COMMON STOCK. (FILE 333-72481 -  
FEB 17) (BR. 7)
- S-8 VERSAILLES CAPITAL CORP /CO, 1200 17TH STREET, SUITE 1000, DENVER, CO  
80202 (303) 534-1119 - 680,520 (\$6,800) COMMON STOCK. (FILE 333-72483 -  
FEB 17) (BR 9)
- S-8 ULTRA SHIELD PRODUCTS INTERNATIONAL INC, 10096 6TH ST UNIT M-P,  
RANCHO CUCAMONGA, CA 91730 (909) 466-0081 - 1,267,125 (\$114,041)  
COMMON STOCK (FILE 333-72487 - FEB 17) (BR 2)
- S-8 ALLMERICA FINANCIAL CORP, 440 LINCOLN ST, WORCESTER, MA 01653  
(508) 855-1000 - 36,513 (\$1,031,114) COMMON STOCK (FILE 333-72491 -  
FEB 17) (BR. 1)
- S-4 QUINTILES TRANSNATIONAL CORP, 4709 CREEKSTONE DR,  
RIVERBIRCH BLDG STE 200, DURHAM, NC 27703 (919) 998-2000 - 30,717,729  
(\$1,224,408,677.94) COMMON STOCK. (FILE 333-72495 - FEB. 17) (BR 1)
- S-3 AUTOMATIC DATA PROCESSING INC, ONE ADP BOULVARD, ROSELAND, NJ 07068  
(201) 994-5000 - 3,244,885 (\$131,823,453.13) COMMON STOCK (FILE  
333-72497 - FEB. 17) (BR. 3)
- S-4 AMERICA ONLINE INC, 22000 AOL WAY, C/O LENNERT J LEADER CFO, DULLES, VA  
20166 (703) 265-1000 - 48,677,826 (\$6,767,299,502) COMMON STOCK. (FILE  
333-72499 - FEB 17) (BR 3)

- S-8 DATA RACE INC, 12400 NETWORK BLVD, SAN ANTONIO, TX 78249 (210) 263-2000  
- 1,500,000 (\$6,461,853) COMMON STOCK. (FILE 333-72505 - FEB 17) (BR. 3)
- S-4 PEOPLES HOLDING CO, 209 TROY ST, P O BOX 709, TUPELO, MS 38802  
(601) 680-1001 - 347,405 (\$5,167,344) COMMON STOCK. (FILE 333-72507 -  
FEB. 17) (BR. 7)
- S-3 NATIONAL OILWELL INC, 5555 SAN FELIPE, HOUSTON, TX 77056 (713) 960-5100  
- 3,000,000 (\$28,590,000) COMMON STOCK. (FILE 333-72509 - FEB. 17)  
(BR. 6)
- SB-2 GLOBAL MAINTTECH CORP, 7578 MARKET PLACE DRIVE, EDEN PRAIRIE, MN 55344  
(612) 944-0400 - 6,539,511 (\$10,217,986) COMMON STOCK (FILE 333-72513 -  
FEB. 17) (BR 3)
- S-8 SYNTEC INC, 669 RIVER DRIVE, RIVER DRIVE CENTER II, ELMWOOD PARK, NJ  
07407 (201) 703-3400 - 2,370,000 (\$96,425,789.05) COMMON STOCK (FILE  
333-72517 - FEB. 17) (BR. 6)
- S-3 DEVELOPERS DIVERSIFIED REALTY CORP, 34555 CHAGRIN BLVD, MORELAND HILLS,  
OH 44022 (216) 247-4700 - \$750,000,000 STRAIGHT BONDS. (FILE 333-72519 -  
FEB. 17) (BR. 8)
- S-1 STANCORP FINANCIAL GROUP INC, 1100 S W SIXTH AVENUE, PORTLAND, OR 97204  
- \$481,907,500 COMMON STOCK (FILE 333-72521 - FEB. 17) (NEW ISSUE)
- S-3 TRO LEARNING INC, POPLAR CREEK OFFICE PLAZA, 1721 MOON LAKE BOULEVARD,  
HOFFMAN ESTATES, IL 60194 (847) 781-7800 - 1,828,470 (\$17,388,749 70)  
COMMON STOCK (FILE 333-72523 - FEB 17) (BR 5)
- S-8 EXODUS COMMUNICATIONS INC, 2650 SAN TOMAS EXPRESSWAY, SANTA CLARA, CA  
95051 (408) 346-2200 - 2,536,045 (\$187,369,842) COMMON STOCK. (FILE  
333-72525 - FEB. 17) (BR. 7)
- S-8 INPUT SOFTWARE INC, 1710 FORTUNE DR, SAN JOSE, CA 95131 (408) 435-8900  
- 5,000 (\$33,437 50) COMMON STOCK. (FILE 333-72527 - FEB 17) (BR 3)
- S-3 KALEIDOSCOPE MEDIA GROUP INC, 345 PARK AVENUE SOUTH, NEW YORK, NY 10010  
(212) 779-6601 - 12,570,539 (\$4,022,572) COMMON STOCK (FILE 333-72529 -  
FEB 17) (BR. 5)
- S-8 RADIANCE MEDICAL SYSTEMS INC /DE/, 13700 ALTON PARKWAY, STE 160, IRVINE,  
CA 92618 (949) 457-9546 - 1,067,780 (\$3,833,330) COMMON STOCK (FILE  
333-72531 - FEB 17) (BR. 5)
- S-8 FVC COM INC, 3393 OCTAVIUS DR STE 102, SANTA CLARA, CA 95054  
(408) 567-7200 - 750,000 (\$9,609,375) COMMON STOCK (FILE 333-72533 -  
FEB 17) (BR 3)
- S-8 INFOSEEK CORP /DE/, 1399 MOFFET PARK DR, STE 250, SUNNYVALE, CA 94089  
(408) 543-6000 - 1,600,000 (\$86,600,000) COMMON STOCK. (FILE 333-72535 -  
FEB. 17) (BR. 3)
- S-3 HI/FN INC, 2105 HAMILTON AVE, STE 230, SAN JOSE, CA 95125 (408) 558-8066  
- 2,300,000 (\$64,124,000) COMMON STOCK. (FILE 333-72537 - FEB 17)  
(BR. 5)
- S-3 PAYMENTECH INC, 1601 ELM ST, STE 4700, DALLAS, TX 75201 (214) 849-2149  
- 344,794 (\$6,357,139) COMMON STOCK (FILE 333-72539 - FEB. 18) (BR 8)

---

**RECENT 8K FILINGS**

---

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant

- Item 5 Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address <public info @ sec>. In most cases, this information is also available on the Commission's website <www sec gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP	DE					X	X				02/19/99	
ABT BUILDING PRODUCTS CORP	DE	X					X				02/09/99	
ADELPHIA COMMUNICATIONS CORP	DE					X	X				02/23/99	
ADVANCED LIGHTING TECHNOLOGIES INC	OH					X	X				02/16/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV						X				02/16/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-2	NV						X				02/16/99	
ADVANTA AUTOMOBILE RECEIVABLES 1998 -1	DE						X				02/16/99	
ALEXANDRIA REAL ESTATE EQUITIES INC	MD					X	X				02/18/99	
ALL AMERICAN FOOD GROUP INC	NJ	X				X	X				02/22/99	
ALPHA BETA TECHNOLOGY INC	MA					X					01/22/99	
ALPHARMA INC	DE					X	X				02/16/99	
ALTRIS SOFTWARE INC	CA					X	X				02/17/99	
AMERICAN CLASSIC VOYAGES CO	DE					X	X				02/22/99	
AMERICAN EXPRESS CO	NY					X					02/22/99	
AMERICAN SAFETY RAZOR CO	DE					X					02/12/99	
AMERICOM USA INC	DE	X									02/08/99	
AMSOUTH BANCORPORATION	DE					X	X				01/19/99	
APOGEE ENTERPRISES INC	MN					X	X				02/22/99	
APPLEBEES INTERNATIONAL INC	DE					X					02/23/99	
AREA BANCSHARES CORP	KY					X	X				02/18/99	
ARIZONA PUBLIC SERVICE CO	AZ						X				02/18/99	
ASCENT PEDIATRICS INC	DE					X	X				02/16/99	
AU BON PAIN CO INC	DE					X	X				02/22/99	
AVONDALE INDUSTRIES INC	LA					X	X				02/18/99	
AVONDALE INDUSTRIES INC	LA					X	X				02/22/99	
BACK BAY RESTAURANT GROUP INC	DE					X					02/23/99	
BANC ONE ABS CORP	OH					X	X				02/16/99	
BANC ONE AUTO GRANTOR TRUST 1996-A	NY					X	X				02/16/99	
BANC ONE AUTO GRANTOR TRUST 1997-B	NY					X	X				02/22/99	
BANC ONE CREDIT CARD MASTER TRUST	NY					X	X				02/16/99	
BANC ONE HELOC TRUST 1998-1	OH					X	X				01/31/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X				02/22/99	
BANK ONE TEXAS NATIONAL ASSOCIATION						X	X				02/22/99	
BARTON BEERS LTD	MD					X					02/22/99	
BARTON BRANDS LTD /DE/	DE					X					02/22/99	
BARTON BRANDS OF CALIFORNIA INC	CT					X					02/22/99	
BARTON BRANDS OF GEORGIA INC	GA					X					02/22/99	
BARTON DISTILLERS IMPORT CORP	NY					X					02/22/99	
BARTON FINANCIAL CORP	DE					X					02/22/99	
BARTON INC	DE					X					02/22/99	
BATAVIA WINE CELLARS INC	NY					X					02/22/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X				02/18/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE				X	X					02/22/99	
BERGER HOLDINGS LTD	PA		X			X					02/22/99	AMEND
BEST BUY CO INC	MN				X	X					02/22/99	
BINDVIEW DEVELOPMENT CORP	TX		X			X					12/23/98	
BREMER FINANCIAL CORPORATION	MN				X	X					02/16/99	
CALENERGY CO INC	DE				X	X					02/23/99	
CANANDAIGUA BRANDS INC	DE				X						02/22/99	
CANANDAIGUA EUROPE LTD	NY				X						02/22/99	
CANANDAIGUA LTD	NY				X						02/22/99	
CANANDAIGUA WINE CO INC /NY/	NY				X						02/22/99	
CAPITAL SENIOR LIVING CORP	DE				X	X					02/07/99	
CAPITAL SENIOR LIVING CORP	DE				X	X					02/07/99	
CARR GOTTSTEIN FOODS CO	DE				X	X					02/09/99	
CARRIZO OIL & GAS INC	TX		X			X					12/11/98	AMEND
CARSON INC	DE		X								02/21/99	
CELGENE CORP /DE/	DE				X	X					02/23/99	
CENTEX CORP	NV				X	X					02/18/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X					02/16/99	
CHASE CREDIT CARD MASTER TRUST	NY				X	X					02/16/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					02/16/99	
CHASE MANHATTAN BANK /NY/	NY				X	X					02/16/99	
CHASE MANHATTAN BANK USA	DE				X	X					02/16/99	
CHASE MANHATTAN CREDIT CARD MASTER TRUST	DE				X	X					02/16/99	
CHASE MORTGAGE FINANCE CORP	DE				X	X					02/18/99	
CHASE MORTGAGE FINANCE CORP	DE				X	X					02/19/99	AMEND
CHECKERS DRIVE IN RESTAURANTS INC / DE	DE				X	X					01/29/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-1	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-2	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD				X						01/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD				X						01/31/99	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD				X						01/31/99	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD				X						01/31/99	
CIT GROUP INC	DE						X				02/22/99	
CIT GROUP SECURITIZATION CORP II	DE						X				02/22/99	
CKE RESTAURANTS INC	DE				X	X					02/19/99	
CLARITI TELECOMMUNICATIONS INTERNAT IONAL LTD	DE						X				02/22/99	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX				X	X					12/08/97	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX				X	X					06/25/98	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX				X	X					12/09/98	AMEND
CLEAR CHANNEL COMMUNICATIONS INC	TX				X	X					02/23/99	AMEND
COLUMBIA HCA HEALTHCARE CORP/	DE				X	X					02/23/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
COMMODORE SEPARATION TECHNOLOGIES I NC	DE					X	X					02/18/99	
COMPUTER POWER INC	NJ	X										02/11/99	AMEND
COMSAT CORP	DC				X							02/22/99	
CONDOR TECHNOLOGY SOLUTIONS INC	DE	X					X					12/10/98	AMEND
CONSOLIDATED GRAPHICS INC /TX/	TX					X	X					02/19/99	
CORECOMM LTD						X	X					02/17/99	
COVEST BANCSHARES INC	DE					X	X					02/23/99	
CUNNINGHAM GRAPHICS INTERNATIONAL I NC	NJ	X					X					02/17/99	
CWBS INC	DE					X	X					02/19/99	
CWBS INC	DE					X	X					02/23/99	
CWBS INC	DE					X	X					02/23/99	
DERBY CYCLE CORP	DE	X				X	X	X				02/04/99	
DEVON ENERGY CORP /OK/	OK						X					02/22/99	
DIGITAL TRANSMISSION SYSTEMS INC \D E\	DE	X					X					02/05/99	
DIGITAL TRANSMISSION SYSTEMS INC \D E\	DE	X					X					02/05/99	AMEND
DLJ COMMERCIAL MORT CORP COMM MORT PASS THR CER SER 1998-CG1	DE						X					01/11/99	
DLJ COMMERCIAL MORTGAGE CORP	DE					X	X					10/28/98	
DORAL FINANCIAL CORP	PR					X	X					02/22/99	
DYNAMICWEB ENTERPRISES INC	NJ					X	X					05/01/98	AMEND
EASTBROKERS INTERNATIONAL INC	DE				X							02/22/99	
EPL TECHNOLOGIES INC	CO					X	X					01/22/99	
EQUITRAC CORPORATION	FL					X	X					02/17/99	
EQUIVEST FINANCE INC	DE					X	X					02/17/99	
ESPEY MANUFACTURING & ELECTRONICS C ORP	NY					X	X					02/22/99	
EXCEL INDUSTRIES INC	IN					X	X					02/18/99	
EXODUS COMMUNICATIONS INC	DE					X	X					02/22/99	
EXPRESS SCRIPTS INC	DE	X										02/16/99	
FDX CORP	DE					X	X					02/22/99	
FIRST BOSTON MORTGAGE SEC CORP CON MOR PAS THR CER SE 1992-1	DE					X	X					02/25/92	
FIRST BOSTON MORTGAGE SEC CORP CON MOR PAS THR CER SR 1989-5	DE					X	X					01/25/90	
FIRST NATIONAL ENTERTAINMENT CORP	CO	X					X					02/04/99	
FIX CORP INTERNATIONAL INC	DE		X	X			X					02/15/99	
FLORIDA POWER CORP /	FL					X	X					02/18/99	
FLORIDA PROGRESS CORP	FL					X	X					02/18/99	
FORD MOTOR CREDIT CO	DE					X	X					02/23/99	
FVNB CORP	TX					X	X					02/16/99	
GAMETECH INTERNATIONAL INC	DE	X					X					02/08/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X					02/19/99	
GEORGIA POWER CO	GA					X	X					02/17/99	
GIANT GROUP LTD	DE						X					12/11/98	AMEND
GOVERNMENT TRUST PH-1	NY					X						02/16/99	
GREEN TREE FINANCIAL CORP	MN					X						02/11/99	
GREEN TREE FINANCIAL CORP	MN					X	X					02/16/99	
GREEN TREE FINANCIAL CORP	MN					X	X					02/16/99	
GREEN TREE FINANCIAL CORP	MN					X	X					02/16/99	
GREEN TREE FINANCIAL CORP	MN						X					02/19/99	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					02/16/99	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					02/16/99	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					02/16/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				X	X						02/16/99	
HEINZ H J CO	PA								X			02/17/99	
IBM CREDIT RECEIVABLES INC	DE				X	X						02/15/99	
IBM CREDIT RECEIVABLES LEASE ASSET MASTER TRUST	DE				X	X						02/15/99	
ICU MEDICAL INC/DE	DE				X	X						02/23/99	
ILM II SENIOR LIVING INC /VA	VA				X	X						02/07/99	
ILM SENIOR LIVING INC /VA	VA				X	X						02/07/99	
IMC MORTGAGE CO	FL				X		X					02/16/99	
IMH ASSETS CORP	CA								X			02/23/99	
IMPERIAL CREDIT COMMERCIAL MORTGAGE ACCEPTANCE CORP					X	X						02/22/99	
INFINIUM SOFTWARE INC	MA				X	X						02/05/99	
INFONAUTICS INC	PA				X	X						02/11/99	
INFRACORPS INC	VA				X		X					02/16/99	
INFRACORPS INC	VA				X		X					02/16/99	AMEND
INTERACTIVE MAGIC INC /NC/	NC		X									02/12/99	
INTERFOODS OF AMERICA INC	NV		X			X						07/06/98	AMEND
INTERNATIONAL ISOTOPES INC	TX								X			02/19/99	
INTERNATIONAL RECTIFIER CORP /DE/	DE					X						02/18/99	
IPALCO ENTERPRISES INC	IN	X										02/23/99	
JACOBS JAY INC	WA				X	X						02/01/99	
JACOR COMMUNICATIONS INC	DE		X				X					10/23/97	AMEND
JAMES RIVER BANKSHARES INC	VA				X							01/28/99	AMEND
JAYHAWK ACCEPTANCE CORP	TX				X	X						02/16/99	
JEFFERIES GROUP INC	DE					X	X					02/22/99	
JUNIATA VALLEY FINANCIAL CORP	PA		X									02/16/99	
K N ENERGY INC	KS				X	X						02/20/99	
KONOVER PROPERTY TRUST INC	MD				X							02/22/99	
LA TEKO RESOURCES LTD	AL				X							02/19/99	
LAHAINA ACQUISITIONS INC	CO						X					02/03/99	AMEND
LENNAR CORP /NEW/	DE				X	X						02/19/99	
LOWES COMPANIES INC	NC				X	X						02/19/99	
LOWES COMPANIES INC	NC				X	X						02/22/99	
LSI LOGIC CORP	DE				X	X						02/21/99	
MARVEL ENTERPRISES INC	DE				X	X						02/23/99	
MERRILL LYNCH & CO INC	DE				X	X						02/22/99	
MERRILL LYNCH & CO INC	DE				X	X						02/23/99	
MERRILL LYNCH DEP INC PUBLIC STEERS TRUST CERT SER 1998 H-Z3	NY				X	X						02/01/99	
MONARCH IMPORT CO	IL				X							02/22/99	
MORGAN STANLEY CAPITAL I INC	DE				X	X						02/23/99	
MRV COMMUNICATIONS INC	DE				X	X						02/16/99	
NATIONAL DISCOUNT BROKERS GROUP INC	DE				X		X					02/12/99	
NATIONAL ENERGY GROUP INC	DE			X			X					02/08/99	
NATIONSLINK FUNDING CORP	DE				X	X						02/22/99	
NETLIVE COMMUNICATIONS INC	DE						X					12/10/98	AMEND
NEW CENTURY ENERGIES INC	DE				X	X						02/23/99	
NEWPORT NEWS SHIPBUILDING INC	DE				X	X						02/22/99	
NEWS COMMUNICATIONS INC	NV				X							02/22/98	
NOMURA ASSET SECURITIES CORP SERIES 1998-D6	DE				X	X						02/17/99	
NORWEST ASSET SECURITIES CORP	DE				X	X						02/22/99	
NOVASTAR FINANCIAL INC	MD				X	X						02/23/99	
OLIN CORP	VA		X				X					02/08/99	
OMEGA ENVIRONMENTAL INC	DE		X		X	X						02/22/99	
OMI TRUST 1998-D	NC				X	X						01/15/99	AMEND
OMI TRUST 1998-D	NC				X	X						02/15/99	
ONEOK INC /NEW/	OK				X	X						02/23/99	
ORYX TECHNOLOGY CORP	DE				X	X						02/22/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
OSTEOTECH INC	DE				X						02/18/99	
PACIFIC GAS & ELECTRIC CO	CA		X	X	X						02/17/99	
PG&E CORP	CA		X	X	X						02/17/99	
PHH CORP	MD		X								02/22/99	
PHYCOR INC /TN/	TN		X	X							02/23/99	
PLANET HOLLYWOOD INTERNATIONAL INC	DE		X	X							02/23/99	
PLATINUM TECHNOLOGY INTERNATIONAL I NC	DE		X								02/23/99	
PLAY BY PLAY TOYS & NOVELTIES INC	TX		X	X							02/17/99	
PLC SYSTEMS INC			X	X							02/23/99	
PNC MORTGAGE SECURITIES CORP	DE		X	X							02/23/99	
POLYPHENOLICS INC	NY		X								02/22/99	
PRIME GROUP REALTY TRUST	MD	X									02/05/99	
PROVIDENT BANK HOME EQUITY LOAN TRU ST 1997-A	OH		X	X							12/28/98	
PSS WORLD MEDICAL INC	FL		X								02/11/99	
PUBLIC SERVICE CO OF NORTH CAROLINA INC	NC		X	X							02/16/99	
QMS INC	DE					X					02/22/99	
RALLY'S HAMBURGERS INC	DE		X	X							01/29/99	
REDWOOD EMPIRE BANCORP	CA		X								02/24/99	
RENT WAY INC	PA	X			X						02/22/99	AMEND
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE		X	X							02/23/99	
ROBERTS TRADING CORP	DE		X								02/22/99	
ROCKIES FUND INC	NV				X						02/04/99	AMEND
ROYAL OAK MINES INC	WA		X								02/10/99	
ROYAL OAK MINES INC	WA		X								02/10/99	
ROYAL OAK MINES INC	WA		X								02/15/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE		X								02/23/99	
SAFeway INC	DE		X								02/19/99	
SAPIENT CORP	DE				X						08/25/98	AMEND
SCANA CORP	SC		X								02/16/99	
SEAGRAM CO LTD	CA				X						12/10/98	AMEND
SEEQ TECHNOLOGY INC	DE		X	X							02/21/99	
SEILER POLLUTION CONTROL SYSTEMS IN C	DE	X									02/12/99	
SEMPRA ENERGY	CA		X	X							02/20/99	
SIMTEK CORP	CO		X								02/22/99	
SPARTA SURGICAL CORP	DE		X								02/12/99	
SPECTRANETICS CORP	DE		X	X							02/22/99	
STAR BUFFET INC	DE		X								02/19/99	
STARWOOD FINANCIAL TRUST	MD	X									03/18/98	AMEND
STEVENS POINT BEVERAGE CO	WI		X								02/22/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE		X	X							02/22/99	
STRUCTURED ASSET SECURITIES CORP	DE		X	X							02/22/99	
SUNDSTRAND CORP /DE/	DE		X	X							02/23/99	
TAL WIRELESS NETWORKS INC	DE	X			X						01/31/99	
TELXON CORP	DE		X	X							02/23/99	
THAXTON GROUP INC	SC	X			X						02/22/99	AMEND
TRANSAMERICA CORP	DE		X	X							02/17/99	
TRANSAMERICAN ENERGY CORP	DE				X						02/23/99	
U S WEST INC /DE/	DE		X	X							02/23/99	
UACSC 1998-C AUTO TRUST	NY		X	X							02/08/99	
UACSC 1998-D AUTO TRUST	NY		X	X							02/08/99	
UACSC 1999 A AUTO TRUST	DE		X	X							02/10/99	
UNITED HEALTHCARE CORP	MN		X								02/22/99	
UNITED TECHNOLOGIES CORP /DE/	DE		X	X							02/21/99	
VENATOR GROUP INC	NY		X	X							02/23/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
VICTORY TAX EXEMPT REALTY INCOME FU ND LIMITED PARTNERSHIP	DE						X					02/05/99	
VIKING DISTILLERY INC	GA						X					02/22/99	
VITAFORT INTERNATIONAL CORP	DE						X	X				01/22/99	
VORNADO REALTY LP	DE								X			08/12/98	AMEND
VORNADO REALTY TRUST	MD								X			08/12/98	AMEND
WORLD COLOR PRESS INC /DE/	DE						X	X				02/17/99	
WORLDCORP INC	DE			X								02/12/99	