

# SEC NEWS DIGEST

Issue 99-157

August 16, 1999

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## ENFORCEMENT PROCEEDINGS

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### **FREEMAN, LASHBROOK AND SEWELL BARRED**

The Commission announced that Craig Freeman, William Lashbrook (Lashbrook), and Robert Sewell (Sewell) were barred from association with any broker or dealer, by consent, in administrative proceedings pending against them based on their criminal convictions for their roles in a nation-wide Ponzi scheme that raised more than \$20 million from approximately 500 investors. The respondents consented to the entry of the Order without admitting or denying the Commission's findings, except as to jurisdiction and their prior criminal convictions, which were admitted. The Order finds that in June 1996, Craig Freeman was convicted of one count of money laundering, Lashbrook was convicted of one count of wire fraud and one count of money laundering, and Sewell was convicted of one count of mail fraud in the United States District Court for the Eastern District of Missouri. The criminal indictment upon which these criminal convictions were based alleged that beginning on or about June 1, 1994, Craig Freeman, Lashbrook, and Sewell participated with James G. Freeman and others in the marketing and sales of approximately \$24 million in unregistered promissory notes (the Freeman notes). The indictment further alleged that in connection with the offer and sale of the Freeman notes, Craig Freeman, Lashbrook, and Sewell, directly and indirectly, misrepresented and omitted to state material facts regarding, among other things, the nature of the investments, the risks involved, the use of investor proceeds, the commissions to be paid, and the returns on the investment. (Rel. 34-41739; File No. 3-9869)

### **ADMINISTRATIVE PROCEEDINGS ENTERED AGAINST AND SIMULTANEOUSLY SETTLED BY RONALD OBSGARTEN AFTER CONSENTING TO INJUNCTION**

The Commission announced that it instituted and simultaneously settled public administrative proceedings pursuant to Section 15(b) of the Securities Exchange Act of 1934 (Exchange Act) against Ronald Obsgarten (Obsgarten) of Boca Raton, Florida.

The order instituting the proceedings (Order) finds that Obsgarten was never registered with the Commission as a broker-dealer or in any other capacity. The Order further finds that on June 29, 1999,

the United States District Court for the Southern District of Florida entered a permanent injunction against Obsgarten enjoining him from future violations of the antifraud provisions of the Exchange Act and the Securities Act of 1933 (Securities Act). The injunction followed a civil action initiated by a complaint filed by the Commission on September 23, 1998, alleging that between October 1996 and January 1997, Obsgarten and others solicited investors to purchase stock in VII Visionary Investments, Inc., d/b/a Historic Hotel Holdings, Inc. (HHH). The complaint also alleged that Obsgarten and others misrepresented to investors (i) that HHH owned the Historic Harder hotel in Sebring, Florida; (ii) that funds raised from investors would be used to renovate the hotel; (iii) the status of a merger involving HHH, and HHH's corporate name; and (iv) that a medical facility would be able to lease out hotel rooms before the hotel even opened. The Commission settled the case with Obsgarten, after he consented to the entry of a permanent injunction and other relief, without admitting or denying the Commission's allegations.

In the Order, the Commission required that Obsgarten be barred from participating in any offering of a penny stock including i) acting as a promoter, finder, consultant, or other person who engages in actions with a broker, dealer or issuer for purposes of the issuance or trading in any penny stock; or ii) inducing or attempting to induce the purchase or sale of any penny stock. (Rel. 34-41740; File No. 3-9971)

#### **COMMISSION BARS MICHAEL RIBANT**

The Commission instituted a public administrative proceeding against Michael W. Ribant (Ribant) pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934. Simultaneous with the institution of the proceedings, Ribant submitted an Offer of Settlement in which, while neither admitting nor denying the Commission's findings, he consented to the entry of an Order barring him from association with any broker or dealer. The Order was based on the entry of a permanent injunction in the civil action against Ribant in which the Commission alleged that Ribant, doing business as Trinity Capital in San Diego, California, misappropriated approximately \$3.3 million of his customers' funds and securities. (Rel. 34-41741; File No. 3-9972)

#### **SEC CHARGES UNIPRIME CAPITAL ACCEPTANCE, INC. AND ALFRED FLORES WITH MISLEADING INVESTORS ABOUT PURPORTED AIDS TREATMENT**

The Commission announced the filing today of an emergency action against Uniprime Capital Acceptance, Inc. (Uniprime) of Las Vegas, Nevada, and Alfred J. Flores (Flores), the president of a Uniprime subsidiary. The Commission charges Uniprime and Flores with issuing a series of false and misleading press releases since June 16, 1999, that touted a major breakthrough in treating human immunodeficiency virus or HIV. The United States District Court for the Southern District of New York has issued an order that, among other things, temporarily restrains Uniprime and Flores from committing securities

fraud and freezes their assets. A hearing is scheduled for August 23, 1999, relating to the Commission's request to continue that relief through the completion of the action.

According to the Commission's complaint, Uniprime and Flores fraudulently described Flores as an immunologist who developed a treatment named Plasma Plus and successfully tested it on persons with full-blown AIDS at the General Hospital of Madrid in 1990. The press releases were false and misleading because, among other things: Flores was incarcerated in a Colorado prison from 1984 through October 1992; and there is no evidence that any persons with AIDS or HIV ever were treated with Plasma Plus at the General Hospital of Madrid. Prior to June 16, 1999, when Uniprime issued its first release about Plasma Plus, Uniprime was trading at approximately \$0.625 per share on volume of less than 20,000. Following the issuance of the second false and misleading press release on July 19, 1999, Uniprime stock traded as high as \$7.95 per share, and more than 5 million shares changed hands. Because of questions about the accuracy of Uniprime's claims for Plasma Plus, the Commission suspended trading in Uniprime on July 22, 1999. Notwithstanding the trading suspension, Uniprime, using Plasma Plus as an inducement, continued to sell securities to investors in a purported private placement. Since the expiration of the ten-day trading suspension, Uniprime stock has been trading in the over-the-counter market.

The Commission seeks a final judgment: permanently enjoining Uniprime and Flores from committing securities fraud in violation of Section 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5; ordering Uniprime and Flores to disgorge their unjust enrichment; assessing civil penalties against them pursuant to Section 20(d) of the Securities Act and Section 21(d)(3) of the Exchange Act; and permanently enjoining Flores from acting as an officer or director of any public company, among other relief. For more information, see Exchange Act Release No. 41638 dated July 22, 1999. [SEC v. Uniprime Capital Acceptance, Inc. and Alfred J. Flores, 99 Civ. 8885, WK, SDNY] (LR-16252)

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## INVESTMENT COMPANY ACT RELEASES

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### ALLIED CAPITAL CORPORATION

A notice has been issued giving interested persons until September 7, 1999, to request a hearing on an application filed by Allied Capital Corporation for an order under Section 61(a)(3)(B) of the Investment Company Act approving applicant's stock option plan for non-officer directors and the grant of certain stock options under the plan. (Rel. IC-23946 - August 12)

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## HOLDING COMPANY ACT RELEASES

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### EASTERN ENTERPRISES

An order has been issued authorizing Eastern Enterprises (Eastern), a public utility holding company exempt from registration by order under Section 3(a)(1) of the Public Utility Holding Company Act to acquire all of the issued and outstanding common stock of Colonial Gas Company, a Massachusetts gas utility. In addition, Eastern has been granted an exemption under Section 3(a)(1) of the Act exempting it from all provisions of the Act, except Section 9(a)(2). (Rel. 35-27059)

### ALLIANT ENERGY CORPORATION, ET AL.

An order has been issued authorizing Alliant Energy Corporation (Alliant), a registered holding company, its wholly owned subsidiary, Alliant Energy Resources, Inc. (AER) and Heartland Properties, Inc., a wholly owned subsidiary of AER, to invest up to \$50 million from time to time for a period of five years to acquire Low Income Housing Tax Credit properties in the Alliant service territory. (Rel. 35-27060)

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## SELF-REGULATORY ORGANIZATIONS

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### DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Rental Service Corporation, 9% Senior Subordinated Notes, due May 15, 2008. (Rel. 34-41738)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 INTERIM SERVICES INC, 2050 SPECTRUM BLVD, FT LAUDERDALE, FL 33309  
(954) 938-7600 - 2,469,399 (\$34,494,608.80) COMMON STOCK. (FILE 333-84751  
- AUG. 09) (BR 8)

S-8 FOCAL INC, 4 MAGUIRE ROAD, LEXINGTON, MA 02173 (617) 280-7800 - 875,000  
(\$3,281,250) COMMON STOCK (FILE 333-84753 - AUG 09) (BR. 5)

S-4 LIFEPOINT HOSPITALS HOLDINGS INC, 4525 HARDING ROAD, NASHVILLE, TN 37205  
(615) 344-6261 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE  
333-84755 - AUG 09) (BR 9 - NEW ISSUE)

S-1 BOLLE INC, 555 THEODORE FREMD AVE, STE B 302, RYE, NY 10580  
(914) 967-9475 - \$10,170,611 75 COMMON STOCK. (FILE 333-84757 - AUG. 09)  
(BR 5)

S-4 CBS CORP, 51 WEST 52ND STREET, 11 STANWIX STREET, NEW YORK, NY 10019  
(212) 975-4321 - 70,365,195 (\$3,068,269,980) COMMON STOCK. (FILE  
333-84761 - AUG 09) (BR 7)

S-3 AMTEC INC, 599 LEXINGTON AVE, 49TH FL, NEW YORK, NY 10022 (212) 319-9160  
- 2,456,000 (\$3,291,040) COMMON STOCK (FILE 333-84775 - AUG 09) (BR 7)

S-8 CARDIAC PATHWAYS CORP, 955 BENECIA AVE, CARDIAC PATHWAYS CORP,  
SUNNYVALE, CA 94086 (408) 737-0505 - 1,200,000 (\$4,725,000) COMMON STOCK  
(FILE 333-84777 - AUG 09) (BR 5)

S-8 REGAL BELOIT CORP, 200 STATE ST, BELOIT, WI 53511 (608) 364-8800 -  
1,000,000 (\$21,031,005) COMMON STOCK. (FILE 333-84779 - AUG 09) (BR 5)

S-4 NABORS INDUSTRIES INC, 515 W GREEN RD STE 1200, HOUSTON, TX 77067  
(281) 874-0035 - 21,010,486 (\$444,666,974) COMMON STOCK (FILE 333-84781 -  
AUG 09) (BR. 4)

S-8 WEST ESSEX BANCORP INC, 417 BLOOMFIELD AVE, CALDWELL, NJ 07006  
(973) 226-7911 - 258,595 (\$2,475,124) COMMON STOCK (FILE 333-84785 -  
AUG 09) (BR 7)

S-8 BIOMARIN PHARMACEUTICAL INC, 11 PIMENTEL COURT, NOVATO, CA 94949 -  
5,694,259 (\$41,423,985 75) COMMON STOCK (FILE 333-84787 - AUG. 09)  
(BR 1)

S-1 MARKETWATCH COM INC, 825 BATTERY STREET, SAN FRANCISCO, CA 94111  
(415) 733-0500 - 1,589,138 (\$45,941,980) COMMON STOCK. (FILE 333-84789 -  
AUG 09) (BR 3)

S-4 K2 INC, 4900 S EASTERN AVE, SUITE 200, LOS ANGELES, CA 90040  
(323) 724-2800 - 1,519,574 (\$14,435,953) COMMON STOCK (FILE 333-84791 -  
AUG 09) (BR 5)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 130,000 (\$2,803,125) COMMON STOCK (FILE 333-84793 -  
AUG 09) (BR 6)

S-3 HOLOGIC INC, 35 CROSBY DRIVE, BEDFORD, MA 01730 (781) 999-7300 -  
1,857,142 (\$10,214,281) COMMON STOCK (FILE 333-84795 - AUG. 09) (BR 5)

S-8 WORKFLOW MANAGEMENT INC, 240 ROYAL PALM WAY, SUITE C-405, PALM BEACH, FL  
33480 (561) 659-6551 - 500,000 (\$4,940,000) COMMON STOCK (FILE 333-84799  
- AUG 09) (BR 5)

S-4 OPEN MARKET INC, ONE WAYSIDE ROAD, BURLINGTON, MA 01803 (781) 359-3000  
- 7,700,000 (\$1,540) COMMON STOCK. (FILE 333-84801 - AUG. 09) (BR. 3)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 140,000 (\$3,018,750) COMMON STOCK (FILE 333-84803 -  
AUG 09) (BR 6)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 240,000 (\$5,175,000) COMMON STOCK. (FILE 333-84805 -  
AUG 09) (BR 6)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 30,000 (\$646,875) COMMON STOCK (FILE 333-84807 -  
AUG 09) (BR 6)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 610,000 (\$13,153,125) COMMON STOCK. (FILE 333-84811 -  
AUG 09) (BR 6)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 620,000 (\$13,368,750) COMMON STOCK. (FILE 333-84813 -  
AUG 09) (BR 6)

S-8 COOPER TIRE & RUBBER CO, LIMA & WESTERN AVENUES, FINDLAY, OH 45840  
(419) 423-1321 - 8,000,000 (\$172,500,000) COMMON STOCK. (FILE 333-84815 -  
AUG 09) (BR 6)

S-8 GRAND CENTRAL FINANCIAL CORP, C/O CENTRAL FEDERAL SAVINGS AND LOAN,  
ASSOCIATION OF WELLSVILLE 601 MAIN ST, WELLSVILLE, OH 43968 (330) 532-1517  
- 271,441 (\$3,548,122) COMMON STOCK. (FILE 333-84817 - AUG. 09) (BR 7)

S-3 BODDIE NOELL PROPERTIES INC, C/O BODDIE NOELL PROPERTIES INC,  
3850 ONE FIRST UNION CENTER, CHARLOTTE, NC 28202 (704) 944-0100 - 142,791  
(\$1,606,399) COMMON STOCK (FILE 333-84819 - AUG. 09) (BR 8)

S-8 ROBERTS PHARMACEUTICAL CORP, MERIDIAN CENTER II, 4 INDUSTRIAL WAY W,  
EATONTOWN, NJ 07724 (732) 676-1200 - 1,500,000 (\$41,390,625) COMMON STOCK  
(FILE 333-84821 - AUG 09) (BR 1)

S-11 PEOPLES PREFERRED CAPITAL CORP, 5900 WILSHIRE BLVD, LOS ANGELES, CA 90036  
- 1,840,000 (\$46,000,000) PREFERRED STOCK (FILE 333-84823 - AUG 09)  
(BR 8)

S-8 HEALTHEON CORP, 4600 PATRICK HENY DRIVE, SANTA CLARA, CA 95054  
(408) 876-5000 - 16,675,381 (\$127,416,745) COMMON STOCK. (FILE 333-84825 -  
AUG 09) (BR 3)

S-8 SPECTRIAN CORP /CA/, 350 WEST JAVA DRIVE, SUNNYVALE, CA 94089  
(408) 745-5400 - 760,000 (\$8,360,000) COMMON STOCK. (FILE 333-84827 -  
AUG 09) (BR 7)

S-4 HUDSON UNITED BANCORP, 1000 MACARTHUR BLVD, MAHWAH, NJ 07430  
(201) 236-2600 - 11,392,722 (\$350,026,395) COMMON STOCK (FILE 333-84829 -  
AUG 09) (BR 7)

S-3 WIND RIVER SYSTEMS INC, 500 WIND RIVER WAY, ALAMEDA, CA 94501  
(510) 748-4100 - 730,923 (\$10,276,777) COMMON STOCK (FILE 333-84831 -  
AUG 09) (BR 3)

S-4 BR HOLDING INC, 4370 PEACHTREE ROAD NE, ATLANTA, GA 30319 (404) 261-9607  
- 11,327,000 (\$48,945,407) COMMON STOCK. (FILE 333-84833 - AUG. 09)  
(NEW ISSUE)

S-4 ANTEON CORP, 3211 JERMANTOWN RD, SUITE 700, FAIRFAX, VA 22030  
(703) 246-0300 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE  
333-84835 - AUG 09) (NEW ISSUE)

S-8 GENUS INC, 1139 KARLSTAD DR, SUNNYVALE, CA 94089 (408) 747-7120 -  
800,000 (\$2,580,000) COMMON STOCK. (FILE 333-84837 - AUG. 09) (BR. 5)

S-11 INVESTORS REAL ESTATE TRUST, 12 S MAIN, MINOT, ND 58701 (701) 837-4738  
- 1,000,000 (\$8,250,000)  
CONVERTIBLE PREFERRED SHARE OF BENEFICIAL INTEREST. (FILE 333-84839 -  
AUG. 09) (BR 8)

S-3 CORIXA CORP, 1124 COLUMBIA STREET SUIE 200, SEATTLE, WA 98104  
(206) 667-5711 - 170,224 (\$2,372,497) COMMON STOCK. (FILE 333-84841 -  
AUG. 09) (BR 1)

S-4 COMMUNITY FIRST BANKSHARES INC, 520 MAIN AVENUE, FARGO, ND 58124  
(701) 298-5600 - 3,611,111 (\$60,488,043.75) COMMON STOCK. (FILE 333-84843  
- AUG 09) (BR 7)

SB-2 ILINK TELECOM INC, 1177 WEST HASTING SUITE 1910, VANCOUVER,  
BRITISH COLUMBIA V6X, A1 - 58,000 (\$290,000) COMMON STOCK. (FILE  
333-84845 - AUG 10)

S-8 TRIDON ENTERPRISES INC, 345 NORTH MAPLE DRIVE, # 284, BEVERLY HILLS, CA  
90210 (310) 477-9742 - 500,000 (\$30,000) COMMON STOCK (FILE 333-84847 -  
AUG 10) (BR 3)

S-4 AVATEX CORP, 5910 NORTH CENTRAL EXPRESSWAY, SUITE 1780, DALLAS, TX 75206  
(214) 365-7450 - 33,510,987 (\$32,423,672) COMMON STOCK (FILE 333-84849 -  
AUG 10) (BR 8)

S-8 QUANTUM CORP /DE/, 500 MCCARTHY BLVD, MILPITAS, CA 95035 (408) 894-4000  
- 48,907,146 (\$546,815,120) COMMON STOCK. (FILE 333-84851 - AUG 10)  
(BR 3)

S-4 OCWEN FINANCIAL CORP, 1675 PALM BEACH LAKES BLVD STE 1000, THE FORUM,  
WEST PALM BEACH, FL 33401 (561) 681-8000 - 12,371,750 (\$90,392,187)  
COMMON STOCK (FILE 333-84853 - AUG. 10) (BR 7)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

Item 1 Changes in Control of Registrant  
Item 2 Acquisition or Disposition of Assets  
Item 3 Bankruptcy or Receivership  
Item 4 Changes in Registrant's Certifying Accountant  
Item 5 Other Materially Important Events  
Item 6 Resignations of Registrant's Directors  
Item 7 Financial Statements and Exhibits  
Item 8 Change in Fiscal Year  
Item 9 Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C. 20549 or at the following e-mail box address <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP SERIES 1999- RSI	DE		X					X			07/27/99	
ACE SECURITIES CORP HOME EQUITY LOA N TRUST 1999-LB2	DE				X	X					07/29/99	AMEND
ACE SECURITIES CORP HOME EQUITY LOA N TRUST 1999-LB2	DE				X	X					08/06/99	
AFP IMAGING CORP	NY				X						08/11/99	
ALLTEL CORP	DE				X						08/13/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					08/11/99	
ANTHONY CRANE RENTAL HOLDINGS LP	PA		X					X			06/04/99	AMEND
ANTHONY CRANE RENTAL LP	PA		X					X			06/04/99	AMEND
ASA INTERNATIONAL LTD	DE				X	X					08/13/99	
AT HOME CORP	DE							X			05/28/99	AMEND
BANK OF NEW ENGLAND CORP	MA							X			06/30/99	
BEMIS CO INC	MO				X	X					07/23/99	
BENCHMARK ELECTRONICS INC	TX				X	X					08/13/99	
BULL RUN CORP	GA								X		08/13/99	
CAMCO FINANCIAL CORP	DE				X	X					08/06/99	
CARMIKE CINEMAS INC	DE				X	X					08/10/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
CASTLE ENERGY CORP	DE				X						06/01/99	AMEND
CATERPILLAR FINANCIAL SERVICES CORP	DE				X	X					07/23/99	AMEND
CELOX LABORATORIES INC	MN			X		X					08/12/99	
CENTRAXX INC	NV					X					05/18/99	AMEND
CENTURY PENSION INCOME FUND XXIV	CA	X				X					06/01/99	
CISCO SYSTEMS INC	CA				X	X					08/09/99	
CISCO SYSTEMS INC	CA				X	X					08/09/99	AMEND
COUNTRY WIDE TRANSPORT SERVICES INC	DE	X		X	X						08/02/99	
CRIIMI MAE FINANCIAL CORP	MD				X						08/13/99	
CYBERSHOP INTERNATIONAL INC	DE					X					06/07/99	AMEND
DBT ONLINE INC	PA				X						08/12/99	
DEVON ENERGY CORP /OK/	OK				X						08/13/99	
DIALYSIS CORP OF AMERICA	FL		X								08/06/99	
DIRECTCOM INC	DE			X		X					08/12/99	
EKCO GROUP INC /DE/	DE	X				X					08/13/99	
ELCOM INTERNATIONAL INC	DE	X				X					07/31/99	
EXCO RESOURCES INC	TX					X					06/30/99	AMEND
EXPORT FUNDING CORP	DE		X								08/16/99	
FINANCIALWEB COM INC	NV		X			X					08/10/99	
FINGERHUT MASTER TRUST	DE					X					08/13/99	
FINGERHUT RECEIVABLES INC	MN					X					08/13/99	
FIRST ENTERTAINMENT HOLDING CORP	NV							X			08/13/99	
FLEET CREDIT CARD MASTER TRUST II	NY	X									08/16/99	
FOAMEX CAPITAL CORP	DE				X	X					08/05/99	
FOAMEX L P	DE				X	X					08/05/99	
FORMAN PETROLEUM CORP	LA		X			X					08/06/99	
FSI INTERNATIONAL INC	MN	X				X					07/31/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ	X				X					07/29/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ				X	X					07/29/99	
GENERAL CABLE CORP /DE/	DE	X				X					05/28/99	AMEND
GPU INC /PA/	PA				X	X					08/12/99	
GRAND CENTRAL FINANCIAL CORP	DE				X	X					07/28/99	
HANCOCK JOHN REALTY INCOME FUND III LIMITED PARTNERSHIP	MA	X				X					05/24/99	
HAVANA REPUBLIC INC/FL	FL	X	X	X	X	X	X	X	X		08/05/99	
HEICO CORP	FL	X					X				07/30/99	
IA CORP	DE				X	X					07/30/99	
ICHOR CORP	DE	X				X					08/12/99	AMEND
IMPAC COMMERCIAL HOLDINGS INC	MD				X	X					08/05/99	
INTRUST FINANCIAL CORP /	KS		X			X					08/13/99	
IRIDIUM CAPITAL CORP	DE				X	X					08/13/99	
IRIDIUM FACILITIES CORP	DE				X	X					08/13/99	
IRIDIUM IP LLC	DE				X	X					08/13/99	
IRIDIUM LLC	DE				X	X					08/13/99	
IRIDIUM OPERATING LLC	DE				X	X					08/13/99	
IRIDIUM ROAMING LLC	DE				X	X					08/13/99	
IRIDIUM WORLD COMMUNICATIONS LTD					X	X					08/13/99	
JP REALTY INC	MD				X	X					08/13/99	
JWGENESIS FINANCIAL CORP /	FL	X									06/01/99	AMEND
JWGENESIS FINANCIAL CORP /	FL				X	X					07/15/99	
KERAVISION INC /CA/	DE				X	X					08/13/99	
KFX INC	DE				X	X					06/29/99	
LETCHWORTH INDEPENDENT BANCSHARES C ORP	NY					X					06/04/99	AMEND
LOEWEN GROUP INC					X	X					08/13/99	
LYONDELL CHEMICAL CO	DE				X	X					08/16/99	
MAGNETEK INC	DE	X				X					08/02/99	
MARQUEE ENTERTAINMENT INC	NV	X									07/30/99	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE	X				X					07/31/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST										X	07/31/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II			X							X	07/31/99	
MBNA CORP	MD					X					07/31/99	
MD HEALTHSHARES CORP	LA					X					07/21/99	
MEDICORE INC	FL		X								08/06/99	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY					X	X				08/10/99	
MERRILL LYNCH MORT INVST INC MOR LN ASSET BK CERT SE 1999-H1	DE					X	X				07/20/99	
METRIS MASTER TRUST	DE							X			08/13/99	
METRIS RECEIVABLES INC	DE							X			08/13/99	
MORRISON KNUDSEN CORP//	DE					X					08/06/99	
MYERS INDUSTRIES INC	OH		X					X			08/01/99	
NEW WORLD PASTA CO	DE					X					08/13/99	
NEWFIELD EXPLORATION CO /DE/	DE					X	X				08/01/99	
NEWPORT NEWS SHIPBUILDING INC	DE					X					08/11/99	
NICOLLET PROCESS ENGINEERING INC	MN	X						X			07/29/99	
NOVACARE INC	DE							X			06/01/99	AMEND
NOVACARE INC	DE							X			07/01/99	AMEND
ONE WORLD ONLINE COM INC	UT					X					07/29/99	AMEND
ON2COM INC	CO	X	X		X		X				06/15/99	AMEND
PINNACLE SYSTEMS INC	CA		X								08/02/99	
PREFERRED NETWORKS INC	GA							X			05/28/99	AMEND
PUBLIC STORAGE INC /CA	CA					X	X				08/12/99	
QAD INC	DE					X	X				08/12/99	
QMS INC	DE							X			06/07/99	AMEND
QUESTRON TECHNOLOGY INC	DE							X			06/30/99	AMEND
QUIDEL CORP /DE/	DE							X			07/12/99	AMEND
RADISYS CORP	OR		X					X			08/13/99	
RAYCHEM CORP	DE					X	X				08/12/99	
RAZORFISH INC	DE					X	X				08/01/99	
RECEIPTS ON CORPORATE SECURITIES TR UST SER CHR 1998-1	NY	X	X	X	X	X	X	X	X	X	08/01/99	
RECEIPTS ON CORPORATE SECURITIES TR UST SERIES BNSF 1998-1	NY	X	X	X	X	X	X	X	X	X	08/01/99	
RECKSON SERVICES INDUSTRIES INC	DE		X					X			07/29/99	
RIDE INC	WA				X			X			08/13/99	
RIDGEWOOD POWER GROWTH FUND /NJ	DE				X		X				06/28/99	
ROWE COMPANIES	NV		X					X			07/31/99	
ROWE COMPANIES	NV		X					X			07/31/99	
SABRATEK CORP	DE					X					08/13/99	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				08/16/99	
SERVICEMASTER CO	DE					X					08/05/99	
SONO TEK CORP	NY		X								08/03/99	
STARNET FINANCIAL INC	DE					X					06/14/99	
STEWART ENTERPRISES INC	LA					X					08/12/99	
STRUCTURED ASSET SECURITIES CORP	DE					X	X				07/29/99	
SUBURBAN LODGES OF AMERICA INC	GA		X					X			06/01/99	AMEND
SUN HYDRAULICS CORP	FL					X	X				08/11/99	
SUN HYDRAULICS CORP	FL					X	X				08/11/99	AMEND
SYMONS INTERNATIONAL GROUP INC	IN					X					06/30/99	
TATHAM OFFSHORE INC	DE		X					X			08/09/99	
TECHDYNE INC	FL		X								08/06/99	
THEHEALTHCHANNEL COM INC	DE		X								08/12/99	
TOMPKINS TRUSTCO INC	NY					X	X				07/30/99	
TRANSIT GROUP INC	FL	X									07/30/99	
TRANSLATION GROUP LTD	DE		X					X			05/28/99	AMEND
TRANSNATIONAL FINANCIAL CORP	CA		X								06/30/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
TRAVELNOWCOM INC	FL	X	X	X		X					07/23/99	
TRAVELNOWCOM INC	FL				X	X					07/23/99	AMEND
UNILAB CORP /DE/	DE				X	X					07/08/99	
UNITED AUTO GROUP INC	DE	X				X					08/13/99	
UNITED VANGUARD HOMES INC /DE	DE			X		X					07/20/99	AMEND
UNIVERSAL AMERICAN FINANCIAL CORP	NY	X	X			X	X				07/30/99	
VERITAS SOFTWARE CORP /DE/	DE		X			X					08/12/99	AMEND
VIDEOLABS INC	DE		X			X					08/13/99	
WATERFORD GAMING FINANCE CORP	DE	X									06/30/99	
WATERFORD GAMING LLC	DE	X									06/30/99	
WAVETECH INTERNATIONAL INC	NV			X		X					08/12/99	
WEINGARTEN REALTY INVESTORS /TX/	TX		X			X					12/31/98	
WESTFORD ACQUISITION CORP	DE	X	X								07/30/99	
WILLIAMS CONTROLS INC	DE		X			X					09/30/99	
WINSLOEW FURNITURE INC	FL	X									07/30/99	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH				X	X					08/16/99	
WORLDWIDEWEB INSTITUTE COM INC	FL					X					07/08/99	AMEND
XIONICS DOCUMENT TECHNOLOGIES INC	DE		X								08/13/99	
YAMAHA MOTOR RECEIVABLES CORP	DE				X						08/16/99	