

# SEC NEWS DIGEST

Issue 99-212

November 3, 1999

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## COMMISSION ANNOUNCEMENTS

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### PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS -- NOVEMBER 1999

The following is a schedule for November 1999 of the public appearances of SEC officials, including the Chairman, Commissioners and senior staff members. For additional information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Thursday, November 4  
Who: Chairman Arthur Levitt; Commissioner Paul Carey  
What: SIA Annual Meeting  
Where: Boca Raton, FL  
Contact: Margaret Draper, (561) 447-5519

When: Thursday, November 4 - Saturday, November 6  
Who: Commissioner Isaac Hunt; Richard Walker, Director of the Division of Enforcement; Brian Lane, Director of the Division of Corporation Finance; Harvey Goldschmid, General Counsel; Lynn Turner, Chief Accountant  
What: PLI's Annual Institute on Securities Regulation  
Where: New York, NY  
Contact: Jason Deiso, (212) 824-5700

When: Friday, November 5  
Who: Commission Isaac Hunt; Lynn Turner, Chief Accountant  
What: Annual Conference on Financial Reporting  
Where: San Francisco, CA  
Contact: Darrah Feldman, (202) 942-0020

When: Monday, November 8  
Who: Paul Roye, Director of the Division of Investment Management  
What: Personal Finance Conference  
Where: Las Vegas, Nevada  
Contact: Carolyn Guniss, (573) 882-8985

When: Wednesday, November 10  
 Who: Commissioner Isaac Hunt  
 What: Small Business Town Hall Meeting  
 Where: Anchorage, Alaska  
 Contact: Barbara Jacobs, (202) 942-2950

When: Thursday, November 11  
 Who: Paul Roye, Director of the Division of Investment Management  
 What: ALI-ABA Insurance Products Conference  
 Where: Washington, DC  
 Contact: Alexander Hart, (215) 243-1635

When: Friday, November 12  
 Who: Harvey Goldschmid, General Counsel  
 What: ABA Anti-Trust Conference  
 Where: Washington, DC  
 Contact: Vernida Winston, (312) 988-5609

When: Monday, November 15  
 Who: Chairman Arthur Levitt  
 What: NY Law Journal / NY Bar Association Breakfast  
 Where: New York, NY  
 Contact: Jill Goodkind, (212) 843-8017

When: Tuesday, November 16  
 Who: Lynn Turner, Chief Accountant  
 What: FEI's Current Financial Reporting Issues Conference  
 Where: New York, NY  
 Contact: Chris Allen, (973) 898-4658

When: Wednesday, November 17  
 Who: Richard Walker, Director of the Division of Enforcement  
 What: SIA Compliance and Legal Division Fall Compliance Seminar  
 Where: New York, NY  
 Contact: Daniel Goldstein, (518) 785-0721

When: Wednesday, November 17  
 Who: Annette Nazareth, Director of the Division of Market Regulation  
 What: AICPA/SIA Conference  
 Where: New York, NY  
 Contact: Andrea Carella, (201) 938-3571

When: Wednesday, November 17  
 Who: John Gannon, Acting Director of the Office of Investor Education and Assistance  
 What: Know Fraud Coalition News Conference  
 Where: Washington, DC  
 Contact: John Nester, (202) 942-7083

When: Friday, November 19  
Who: Commissioner Paul Carey  
What: National Council on Economic Education  
Where: New York, NY  
Contact: Valerie Irwin, (212) 730-7007

When: Saturday, November 20  
Who: Chairman Arthur Levitt  
What: Investors' Town Meeting  
Where: Albuquerque, NM  
Contact: John Nester, (202) 942-7083

When: Monday, November 29 - Tuesday, November 30  
Who: Brian Lane, Director of the Division of Corporation Finance  
What: PLI's Cross Border Offerings  
Where: London, England  
Contact: Jason Deiso, (212) 824-5700

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#### **ENFORCEMENT PROCEEDINGS**

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#### **PROCEEDINGS AGAINST TERRANCE YOSHIKAWA AND KO SECURITIES, INC. REMANDED**

The Commission has remanded to the NASD disciplinary proceedings against Ko Securities, Inc., an NASD member, and its president Terrance Yoshikawa. On December 11, 1997, the Commission sustained NASD disciplinary action against Ko and Yoshikawa based on their actions in effecting a series of five groups of transactions in the stock of DDI Pharmaceuticals, Inc. The Commission found that these trades constituted what is commonly known as "parking" and violated the NASD's rule requiring compliance with just and equitable principles of trade. On August 20, 1999, the United States Court of Appeals for the Ninth Circuit reversed the Commission's findings of violation regarding four of the five sets of transactions, and remanded for further consideration with respect to the remaining set of transactions. (Rel. 34-42088; File No. 3-9254)

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#### **INVESTMENT COMPANY ACT RELEASES**

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#### **STEPHENS GROUP, INC., ET AL.**

Stephens Group, Inc., Stephens Inc., and Jackson T. Stephens have been granted a permanent conditional order from Section 9(a) of the Investment Company Act with respect to a securities-related injunction entered in 1978. (Rel. IC-24119 - November 2)

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#### **SELF-REGULATORY ORGANIZATIONS**

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## APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the Boston Stock Exchange (SR-BSE-99-11) implementing a Post Primary Session. Publication of the order in the Federal Register is expected during the week of November 1. (Rel. 34-42074)

The Commission approved a proposed rule change and granted accelerated approval to Amendment No. 2 to the proposed rule change submitted by the National Association of Securities Dealers relating to the National Adjudicatory Council's call for review of membership decisions. Publication of the order in the Federal Register is expected during the week of November 8. (Rel. 34-42091)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-NYSE-99-43) filed by the New York Stock Exchange to extend through January 3, 2000, the existing Pilot Fee Structure governing the reimbursement of NYSE member organizations for costs incurred in the transmission of proxy and other shareholder communication materials to shareholders owning securities in street name has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of November 8. (Rel. 34-42086)

A proposed rule change filed by the Chicago Stock Exchange amending its membership dues and fees schedule for transactions occurring during the E-Session through December 31, 1999 (SR-CHX-99-23) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 8. (Rel. 34-42089)

## ACCELERATED APPROVAL OF AMENDMENT TO PROPOSED RULE CHANGE

The Commission granted accelerated approval to an amendment to a proposed rule change (SR-NYSE-99-29) filed by the New York Stock Exchange extending a pilot program relating to continued listing standards through December 1, 1999. Publication of the proposal is expected in the Federal Register during the week of November 8. (Rel. 34-42087)

## PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change (SR-MSRB-99-8) relating to reports of sales and purchases, pursuant to Rule G-14. Publication of the notice in the Federal Register is expected during the week of November 8. (Rel. 34-42090)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 EBOOKERS COM PLC /ADR/, 60 WALL STREET, 212-648-3250, NEW YORK, NY 10260  
- 30,000,000 (\$1,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-11054 - OCT. 22) (BR. 99 - NEW ISSUE)
- F-10 ALLIANCE ATLANTIS COMMUNICATIONS INC, 920 YONGE ST, STE 400,  
TORONTO ONTARIO, A6 (416) 967-1174 - 200,000,000 (\$200,000,000)  
STRAIGHT BONDS. (FILE 333-11056 - OCT. 25) (BR. 5 - NEW ISSUE)
- F-1 KOREA THRUNET CO LTD, 1338-5 SEOCHO 2DONG SEOCHO KU, 82-2-3488-8114,  
SEOUL KOREA 137-072, M4 00000 - \$157,780,000 FOREIGN COMMON STOCK. (FILE  
333-11058 - OCT. 25) (BR. 8 - NEW ISSUE)
- S-8 ASM INTERNATIONAL N V, JAN STEENLAAN 9, 3723 BS BILTOVEN,  
THE NETHERLANDS, P7 (602) 243-4221 - 1,500,000 (\$10,804,768.50)  
FOREIGN COMMON STOCK. (FILE 333-11060 - OCT. 25) (BR. 5)
- S-8 WOMEN COM NETWORKS INC, 1820 GATEWAY DRIVE, STE 100, SAN MATEO, CA 94404  
(650) 378-6500 - 8,816,385 (\$74,007,291) COMMON STOCK. (FILE 333-89753 -  
OCT. 27) (BR. 5)
- S-3 REGIONS FINANCIAL CORP, PO BOX 10247, BIRMINGHAM, AL 35202  
(205) 944-1300 - 61,000 (\$1,665,910) COMMON STOCK. (FILE 333-89759 -  
OCT. 27) (BR. 7)
- S-3 INVESTORS REAL ESTATE TRUST, 12 S MAIN, MINOT, ND 58701 (701) 837-4738  
- 1,200,000 (\$9,600,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE  
333-89761 - OCT. 27) (BR. 8)
- S-3 GOLDEN STAR RESOURCES LTD, 1660 LINCOLN STREET, SUITE 3000, DENVER, CO  
80264 (303) 830-9000 - 2,261,650 (\$2,329,499.50) COMMON STOCK. (FILE  
333-89767 - OCT. 27) (BR. 4)
- S-8 SPINNAKER EXPLORATION CO, 1200 SMITH STREET SUITE 800, HOUSTON, TX 77002  
(713) 759-1770 - 2,673,242 (\$25,612,946) COMMON STOCK. (FILE 333-89779 -  
OCT. 27) (BR. 4)
- S-8 CONNECT INC, 515 ELLIS STREET, MOUNTAIN VIEW, CA 94043 (650) 254-4000 -  
550,000 (\$1,462,525) COMMON STOCK. (FILE 333-89791 - OCT. 27) (BR. 3)

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RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE				X	X					10/25/99	
ADEPT TECHNOLOGY INC	CA				X						10/27/99	
ADVANCED TECHNICAL PRODUCTS INC	DE					X					10/21/99	
ADVANCED TISSUE SCIENCES INC	DE					X					05/10/99	AMEND
ADVANTA MORTGAGE LOAN TRUST 1998-4A	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					09/30/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-2	NY				X	X					09/30/99	
ADVANTA MORTGAGE LOAN TRUST 1999-2	NY				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-3	NV				X	X					10/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999 B	NV				X	X					10/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999-A	NY				X	X					10/31/99	
AIRGAS INC	DE				X	X					10/28/99	
ALBANY MOLECULAR RESEARCH INC	NY	X				X					10/19/99	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE				X	X					10/29/99	
ALLMERICA FINANCIAL CORP	DE				X	X					11/01/99	
ALLSTATE CORP	DE				X						11/01/99	
AMERICAN BANKNOTE CORP	DE				X						11/02/99	
AMERICAN CLASSIC VOYAGES CO	DE				X	X					11/02/99	
AMERICAN GENERAL FINANCE CORP	IN				X	X					11/01/99	
AMERICAN HERITAGE LIFE INVESTMENT CORP	FL				X	X					10/25/99	
AMERICAN ITALIAN PASTA CO	DE				X	X					11/01/99	AMEND
AMERISERVE FOOD DISTRIBUTION INC /DE E/	DE				X	X					11/02/99	
AMF BOWLING WORLDWIDE INC	DE				X	X					11/01/99	
ASCENT ENTERTAINMENT GROUP INC	DE				X	X					10/14/99	
BAMBOO COM INC	DE				X						10/25/99	
BATTLE MOUNTAIN GOLD CO	NV				X	X					10/26/99	
BEAR STEARNS ASSET BACKED SECURITIES INC	DE					X					04/25/99	
BEAR STEARNS ASSET BACKED SECURITIES INC	DE					X					05/25/99	
BEAR STEARNS ASSET BACKED SECURITIES INC	DE					X					06/25/99	
BEAR STEARNS ASSET BACKED SECURITIES INC	DE					X					07/25/99	

NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE									X		08/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE									X		09/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE									X		10/25/99	
BENTHOS INC	MA									X		08/19/99	AMEND
BERKSHIRE HATHAWAY INC	DE					X	X					10/24/99	
BOMBARDIER CREDIT RECEIVABLES CORP	DE									X		09/30/99	
BRT REALTY TRUST	MA		X							X		10/15/99	
BUTLER NATIONAL CORP	DE					X						11/01/99	
CADMUS COMMUNICATIONS CORP/NEW	VA						X	X				09/30/99	
CALIBER LEARNING NETWORK INC	MD						X	X				10/26/99	
CALIFORNIA PROPERTIES FUND	CA					X						09/01/99	AMEND
CANADA SOUTHERN PETROLEUM LTD	CT						X					10/19/99	
CANADIAN OCCIDENTAL PETROLEUM LTD	AO						X					10/26/99	
CAPITAL MEDIA GROUP LTD	NV						X	X				10/22/99	
CBS CORP	PA						X	X				11/01/99	
CELERITY SYSTEMS INC	DE						X	X				10/21/99	AMEND
CENTENNIAL CELLULAR CORP	DE	X										11/01/99	
CENTRAL ILLINOIS LIGHT CO	IL	X										10/18/99	
CENTURYTEL INC	LA						X					10/26/99	
CHASE MANHATTAN CORP /DE/	DE						X					11/01/99	
CHESAPEAKE ENERGY CORP	OK						X	X				10/28/99	
CIGNA CORP	DE						X	X				11/01/99	
CILCORP INC	IL	X										10/18/99	
CLUBCORP INC	NV						X	X				10/31/99	
CMGI INC	DE							X				08/18/99	AMEND
CNS BANCORP INC	DE						X	X				10/27/99	
COCA COLA ENTERPRISES INC	DE						X	X				09/16/99	
COLUMBIA LABORATORIES INC	DE						X	X				11/02/99	
COMMAND SECURITY CORP	NY							X				11/01/99	
COMMERCIAL FEDERAL CORP	NE						X	X				10/29/99	
COMMUNITY BANCORP INC	DE						X					10/29/99	
CONTIMORTGAGE HOME EQUITY TRUST 199 9-1	NY						X	X				10/25/99	
CONTIMORTGAGE HOME EQUITY TRUST 199 9-2	NY						X	X				10/25/99	
CONTIMORTGAGE HOME EQUITY TRUST 199 9-3	NY						X	X				10/25/99	
CONVERGENCE COMMUNICATIONS INC	NV						X	X				11/02/99	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE						X	X				10/28/99	
CRITICAL PATH INC	CA						X	X				10/20/99	
CUMULUS MEDIA INC	IL						X	X				09/23/99	
DEARBORN BANCORP INC /MI/	MI					X		X				10/29/99	
DIATIDE INC	DE	X										10/25/99	
DSL NET INC	DE						X	X				11/01/99	
DURAMED PHARMACEUTICALS INC	DE						X	X				10/22/99	
E SPIRE COMMUNICATIONS INC	DE						X					11/01/99	
EFFECTIVE MANAGEMENT SYSTEMS INC	WI	X						X				10/15/99	
F&M BANCORP	MD						X	X				07/15/99	AMEND
FAIR ISAAC & COMPANY INC	DE						X					10/28/99	
FATBRAIN COM INC	DE						X	X				10/17/99	
FLEET BOSTON CORP	RI						X	X				10/20/99	
FORTUNE NATURAL RESOURCES CORP	DE						X	X				11/02/99	
FOUR MEDIA CO	DE						X	X				10/29/99	
FRESENIUS MEDICAL CARE HOLDINGS INC /NY/	NY						X	X				11/02/99	
HALLIBURTON CO	DE						X	X				10/27/99	
HALLIBURTON CO	DE						X	X				10/28/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HAMPSHIRE GROUP LTD	DE				X						10/14/99	AMEND
HARCOURT GENERAL INC	DE				X	X					10/22/99	
HARKEN ENERGY CORP	DE					X					08/19/99	AMEND
HARLEYSVILLE GROUP INC	DE				X						10/28/99	
HARMONIC INC	DE				X	X					10/27/99	
HOTJOBS COM LTD	DE				X	X					10/21/99	
HUMPHREY HOSPITALITY TRUST INC	VA	X	X				X				11/02/99	
ICG COMMUNICATIONS INC /DE/	DE				X	X					10/28/99	
ICG FUNDING LLC	DE				X	X					10/28/99	
ICG HOLDINGS CANADA CO /CO/	CO				X	X					10/28/99	
ICG HOLDINGS INC	CO				X	X					10/28/99	
IMAGYN MEDICAL TECHNOLOGIES INC	DE				X	X					11/01/99	
IMMUNE RESPONSE INC	CO				X	X					11/02/99	
INFORETECH INC	NV				X	X					10/21/99	
INLAND REAL ESTATE CORP	MD	X				X					10/18/99	
INTEGRATED ELECTRICAL SERVICES INC	DE	X				X					11/02/99	
INTEGRATED SYSTEMS INC	CA	X				X					07/21/99	AMEND
INTELIDATA TECHNOLOGIES CORP	DE				X	X					11/01/99	
INTELLIGENT LIFE CORP	FL					X					08/20/99	AMEND
INTERACTIVE PICTURES CORP					X	X					10/25/99	
INTERNATIONAL THOROUGHBRED BREEDERS INC	DE				X						10/29/99	
ISOCOR	CA					X					10/20/99	AMEND
ISRAMCO INC	DE				X						11/01/99	
ISRAMCO INC	DE				X						11/02/99	
IXC COMMUNICATIONS INC	DE				X	X					10/29/99	
JACKSONVILLE BANCORP INC	TX				X	X					11/01/99	
JCP RECEIVABLES INC	DE				X	X					10/18/99	
LABTEC INC /MA	MA					X					08/20/99	AMEND
LABTEC INC /MA	MA				X						11/01/99	
LANDS END INC	DE				X						01/28/00	
LAZARE KAPLAN INTERNATIONAL INC	DE				X						10/18/99	
LIBBEY INC	DE				X						10/25/99	
LJL BIOSYSTEMS INC	DE				X	X					11/01/99	
LOCKHEED MARTIN CORP	MD				X	X					10/29/99	AMEND
LOEWEN GROUP INC					X	X					11/01/99	
LTC HEALTHCARE INC	NV	X				X					10/18/99	
MACDERMID INC	CT				X						09/27/99	AMEND
MANNATECH INC	TX				X	X					10/20/99	
MCDONALDS CORP	DE					X					10/21/99	
MEAD CORP	OH				X	X					11/02/99	
MEDICAL DEVICE ALLIANCE INC	NV				X						10/26/99	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE				X	X					11/02/99	
MICHAEL PETROLEUM CORP	TX				X						10/31/99	
MIDDLE BAY OIL CO INC	AL				X	X					10/19/99	
MMI COMPANIES INC	DE	X									11/01/99	
MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP	DE	X									10/15/99	
MUNICIPAL MORTGAGE & EQUITY LLC	DE	X									12/31/99	
NEBCO EVANS HOLDING CO	DE				X	X					11/02/99	
NETWORK SIX INC	RI	X									10/29/99	
NEWGEN RESULTS CORP	CA				X	X					10/22/99	
NEWGOLD INC	DE				X						11/01/99	
NL INDUSTRIES INC	NJ				X	X					11/02/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999 1 TRUST	NY				X	X					11/01/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-16 TRUST	DE				X	X					11/01/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SERS 1999-18	DE					X	X					11/01/99	
NOVACARE INC	DE		X				X					10/19/99	
OPEN MARKET INC	DE		X				X					10/15/99	
ORBITAL SCIENCES CORP /DE/	DE				X	X						10/29/99	
PENNEY J C CO INC	DE				X	X						10/18/99	
PENNSYLVANIA ENTERPRISES INC	PA				X	X						10/29/99	
PEOPLES BANCORP INC	OH	X										11/02/99	
PETHEALTH SYSTEMS INC	CO	X										09/23/99	
PHELPS DODGE CORP	NY				X	X						10/12/99	
PHOTOGEN TECHNOLOGIES INC	NV				X	X						11/02/99	
PIMCO ADVISORS HOLDINGS LP	DE				X	X						10/31/99	
PMI GROUP INC	DE				X	X						11/01/99	
POLYMER SOLUTIONS INC	NV		X			X						10/18/99	
POLYVISION CORP	NY					X						08/19/99	AMEND
PRIME CAPITAL CORP	DE	X										11/01/99	
PRIVATE BUSINESS INC	TN					X						11/01/99	
PROGRESS FINANCIAL CORP	DE	X										10/20/99	
PROGRESS FINANCIAL CORP	DE	X										10/27/99	
PROTECTION ONE ALARM MONITORING INC	DE				X	X						11/01/99	
PROTECTION ONE INC	DE				X	X						11/01/99	
QUEPASA COM INC	NV				X	X						11/01/99	
QUEST DIAGNOSTICS INC	DE					X						08/16/99	AMEND
RALCORP HOLDINGS INC /MO	MO				X	X						11/02/99	
RIGL CORP	NV	X										11/02/99	
RITE AID CORP	DE				X	X						10/27/99	
SALIENT CYBERTECH INC	DE				X							10/29/99	
SAXON ASSET SECURITIES TR 1999 2 MO RT LN AS BK CER SE 1999 2	VA				X	X						10/25/99	
SAXON ASSET SECURITIES TR 1999-3 MT LN AST BK CER SER 1999-3	VA				X	X						10/25/99	
SBA COMMUNICATIONS CORP	FL				X	X						11/01/99	
SCOTTISH ANNUITY & LIFE HOLDINGS LT D	E9	X				X						10/15/99	
SECURITY FIRST TECHNOLOGIES CORP	DE			X		X						10/25/99	
SEDONA CORP	PA			X								10/28/99	AMEND
SHEFFIELD PHARMACEUTICALS INC	DE			X	X							10/18/99	
SIMTEK CORP	CO			X								11/01/99	
SOFTWARE COM INC			X			X						10/20/99	
SOUTH JERSEY FINANCIAL CORP INC	DE				X	X						10/28/99	
SOUTHERN MINERAL CORP	NV		X		X	X						10/29/99	
SOUTHERN NATURAL GAS CO	DE	X										11/01/99	
SS&C TECHNOLOGIES INC	DE					X						03/11/99	AMEND
STARNET COMMUNICATIONS INTERNATIONAL L INC/ FA	DE			X								10/25/99	
STATEWIDE FINANCIAL CORP	NJ				X							12/31/99	
SVI HOLDINGS INC	NV				X	X						11/01/99	
SYMONS INTERNATIONAL GROUP INC	IN		X		X							11/01/99	AMEND
SYNERGY TECHNOLOGIES CORP	CO			X								11/01/99	
TANDY BRANDS ACCESSORIES INC	DE			X	X							10/19/99	
TEMPLATE SOFTWARE INC	VA				X	X						10/17/99	
TMP WORLDWIDE INC	DE	X				X						10/21/99	
TRANSAMERICAN ENERGY CORP	DE				X	X						10/29/99	
TRANSAMERICAN REFINING CORP	TX				X	X						10/29/99	
TREMONT CORPORATION	DE				X	X						10/28/99	
TRIANGLE PHARMACEUTICALS INC	DE					X						06/02/99	AMEND
TRIMFAST GROUP INC	NV				X							10/28/99	
TRIPACIFIC DEVELOPMENT CORP	NV		X			X						10/21/99	
U S WEST INC /DE/	DE				X	X						11/02/99	
UNITED HERITAGE CORP	UT				X							11/01/99	
US FOODSERVICE/MD/	DE				X	X						10/29/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
USA TECHNOLOGIES INC	PA						X					10/28/99	
USCI INC	DE						X					10/29/99	
USCI INC	DE						X					10/29/99	AMEND
VIRTUAL TECHNOLOGY CORP					X				X			10/26/99	
WATCHGUARD TECHNOLOGIES INC	DE		X						X			10/19/99	
WESTAMERICA BANCORPORATION	CA	X										11/01/99	
WHITE MOUNTAINS INSURANCE GROUP LTD	DE						X		X			10/27/99	
WINTRUST FINANCIAL CORP	IL		X						X			10/26/99	