# sec news digest

Issue 94-201

October 21, 1994

## ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS AGAINST PHILIP FITZPATRICK, GERRY GINSBERG AND RITA VILLA

The Commission announced the institution of public administrative proceedings as to Philip A. Fitzpatrick, Gerry R. Ginsberg and Rita C. Villa. These proceedings are related to the Form 10-K for the year ending December 31, 1990 filed by First Capital Holdings Corp. Inc., which omitted to state certain material information and which was otherwise false and misleading.

Fitzpatrick and Ginsberg, without admitting or denying the findings therein, have consented to the issuance of an Order Instituting Proceedings Pursuant to Section 21C of the Securities Exchange Act of 1934, Making Findings, and Ordering Respondents to Cease and Desist. In the Order, the Commission found that Fitzpatrick and Ginsberg each caused First Capital Holdings' violations of Sections 13(a) and 13(b)(2)(A) of the Exchange Act and Rules 13a-1 and 12b-20 thereunder, and that Fitzpatrick and Ginsberg each willfully violated Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

As to Villa, the Division of Enforcement is alleging that she caused First Capital Holdings' violations of the periodic reporting requirements of the federal securities laws in connection with the 1990 Form 10-K, and caused First Capital Holdings' books and records to be inaccurate. (Rel. 34-34865; AAE Rel. 617 - Philip A. Fitzpatrick and Gerry R. Ginsberg; Rel. 34-34866; AAE Rel. 618 - Rita C. Villa)

#### BRENT GUNDERSEN IS SENTENCED

On October 4, Brent Gundersen (Gundersen) was sentenced by Chief District Court Judge David K. Winder to two months' incarceration, three years' supervised release, and 100 hours of community service. The incarceration and the supervised release are to be served concurrently with the undischarged term of a sentence imposed in connection with an unrelated Colorado federal conviction. Gundersen resides in Park City, Utah. On June 24, 1994, Gundersen pled guilty to one count of securities fraud which involved the sale of stock by a nominee shareholder without disclosing that Gundersen was the actual owner of the shares. On May 11, 1994, Gundersen had been charged in a 34-count securities fraud indictment which alleged that he had been involved in the undisclosed control and planned market manipulation of the stock of Peacock Investment Company (later Forum Distribution and Marketing Corporation) and had committed fraud in the offer and sale of Peacock/Forum securities during 1989. [U.S. v. Brent Gundersen, USDC UT, Criminal Action No. 94 CR-0076-W] (LR-14300)

## INVESTMENT COMPANY ACT RELEASES

THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting The Equitable Life Assurance Society of the United States and its affiliates, in their respective capacities as investment advisers, principal underwriters and depositors, from the provisions of Section 9(a) of the Act with respect to Equitable's separate accounts organized as unit investment trusts that derive assets from the sale of variable annuity contracts. The exemptions do not apply to Equitable's (and its affiliates') officers, directors and employees who participate directly in the management or administration of the separate accounts or their underlying investment companies, or in the sale of their variable annuity contracts. (Rel. IC-20637 - October 19)

NORWEST BANK MINNESOTA, N.A., ET AL.

A notice has been issued giving interested persons until November 9 to request a hearing on an application filed by the Norwest Bank Minnesota, N.A., et al. for an order under Sections 6(c) and 17(b) of the Investment Company Act of that would exempt applicants from Sections 12(d)(1), 17(a)(1), and 17(a)(2), and under Section 17(d) and Rule 17d-1 thereunder permitting certain transactions. The order would permit certain series of the Norwest Funds to invest portions of their assets in certain portfolios of Core Trust (Delaware). (Rel. IC-20640 -October 19)

# TRANSAMERICA INVESTMENT PORTFOLIOS

A notice has been issued giving interested persons until November 14 to request a hearing on an application filed by Transamerica Investment Portfolios for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20641 - October 20)

#### INSTITUTIONAL GOVERNMENT PORTFOLIO

A notice has been issued giving interested persons until November 14 to request a hearing on an application filed by Institutional Government Portfolio for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-20642 - October 20)

## JEFFERSON-PILOT LIFE INSURANCE COMPANY, ET AL.

An order has been issued under Section 26(b) of the Investment Company Act permitting Jefferson-Pilot Life Insurance Company (Jefferson Pilot) and Jefferson-Pilot Separate Account A (Separate Account) to substitute shares of the Money Market Portfolio of The Variable Insurance Products Fund (VIP Fund) for shares of the Jefferson-Pilot Money Market Fund, Inc. The VIP Money Market Portfolio, together with other VIP Fund portfolios and certain other registered mutual funds, will serve as the eligible funding vehicles for certain variable annuity contracts offered by Jefferson-Pilot through the Separate Account. (Rel. IC-20643 -October 20)

## SELF-REGULATORY ORGANIZATIONS

#### DELISTING GRANTED

1

An order has been issued granting the application of the <u>Boston Stock</u> <u>Exchange</u> to strike from listing and registration Gold Company of America, Depositary Units Representing an Assignment of the Economic Rights Attributable to Limited Partnership Interests. (Rel. 34-34864)

# JOINT INDUSTRY PLANS

NOTICE OF FILING AND IMMEDIATE EFFECTIVENESS OF AMENDMENT TO THE NATIONAL MARKET SYSTEM PLAN OF THE OPTIONS PRICE REPORTING AUTHORITY

The Options Price Reporting Authority (OPRA) has filed with the Commission, pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934, an amendment to the Plan for Reporting of Consolidated Options Last Sale Reports and Quotation Information, establishing a pilot program providing for an alternative means of charging for dial-up market data. Publication of the Release in the <u>Federal Register</u> is expected during the week of October 28. (Rel. 34-34850; International Series Rel. 730)

# NOTICE OF FILING AND IMMEDIATE EFFECTIVENESS OF AMENDMENT TO THE NATIONAL MARKET SYSTEM PLAN OF THE OPTIONS PRICE REPORTING AUTHORITY

The Options Price Reporting Authority (OPRA) has filed with the Commission, pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934, an amendment to the Plan for Reporting of Consolidated Options Last Sale Reports and Quotation Information, extending until January 1, 1996, the pilot program providing for the dissemination of certain implied volatility quotations on selected foreign currency options (FCO's). Publication in the <u>Federal Register</u> is expected during the week of October 28. (Rel. 34-34851; International Series Rel. 731)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 NATIONAL STEEL CORP, 4100 EDISON LAKES PARKWAY, MISHAWAKA, IN 46545 (219) 273-7000 6,900,000 (\$134,118,750) COMMON STOCK. (FILE 33-55989 OCT. 12) (BR. 6)
- S-8 SPELLING ENTERTAINMENT GROUP INC, ONE BLOCKBUSTER PLZ, FT LAUDERDALE, FL 33301 (305) 832-3000 752,899 (\$4,833,560) COMMON STOCK. (FILE 33-55991 OCT. 12) (BR. 12)
- S-3 ASARCO INC, 180 MAIDEN LN, NEW YORK, NY 10038 (212) 510-2000 10,353,363 (\$329,366,360) COMMON STOCK. (FILE 33-55993 OCT. 12) (BR. 6)
- S-3 HILLHAVEN CORP, 1148 BROADWAY PLZ, TACONA, WA 98402 (206) 572-4901 1,262,061 (\$27,899,447) COMMON STOCK. (FILE 33-55995 OCT. 12) (BR. 6)
- S-3 CANANDAIGUA WINE CO INC, 116 BUFFALO ST, CANANDAIGUA, NY 14424 (716) 394-7900 - 3,795,000 (\$129,030,000) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO, CHASE SECURITIES INC, CS FIRST BOSTON, MERRILL LYNCH & CO. (FILE 33-55997 - OCT. 12) (BR. 11)
- S-8 MAYFLOWER GROUP INC /IN/, 9998 N MICHIGAN RD, CARMEL, IN 46032 (317) 875-1000 - 500,000 (\$6,125,000) COMMON STOCK. (FILE 33-55999 -OCT. 12) (BR. 4)
- S-8 MAYFLOWER GROUP INC /IN/, 9998 N MICHIGAN RD, CARMEL, IN 46032 (317) 875-1000 - 60,000 (\$735,000) COMMON STOCK. (FILE 33-56001 - OCT. 12) (BR. 4)

1

- S-8 MAYFLOWER GROUP INC /IN/, 9998 N MICHIGAN RD, CARMEL, IN 46032 (317) 875-1000 - 500,000 (\$6,125,000) COMMON STOCK. (FILE 33-56003 -OCT. 12) (BR. 4)
- S-2 CERTIFIED GROCERS OF CALIFORNIA LTD, 2601 S EASTERN AVE, C/O H E COLLINS VICE PRESIDENT, LOS ANGELES, CA 90040 (213) 723-7476 -5,000,000 (\$5,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-56005 -OCT. 12) (BR. 3)
- S-4 BURLINGTON NORTHERN INC/DE/, 3800 CONTINENTAL PLZ, 777 MAIN ST, FT WORTH, TX 76102 (817) 333-2000 - 58,250,000 (\$2,699,887,500) COMMON STOCK. (FILE 33-56007 - OCT. 12) (BR. 5)
- S-11 TAUBMAN REALTY GROUP LTD PARTNERSHIP, 200 EAST LONG LAKE RD, BLOOMFIELD HILLS, MI 48304 (810) 258-6800 - 100,000,000 (\$100,000,000) FLOATING RATE NOTES. (FILE 33-84932 - OCT. 11) (BR. 5)
- S-8 YOUTH SERVICES INTERNATIONAL INC, 6 PARK CENTER CT, STE 211, OWINGS MILLS, MD 21117 (410) 356-8600 - 500,000 (\$3,845,000) COMMON STOCK. (FILE 33-84934 - OCT. 11) (BR. 6)
- S-1 RAMAPO FINANCIAL CORP, 64 MOUNTAIN VIEW BLVD, WAYNE, NJ 07470 (201) 696-6100 - 2,641,935 (\$5,283,870) COMMON STOCK. UNDERWRITER: LEGG MASON. (FILE 33-84936 - OCT. 11) (BR. 2)
- S-8 YOUTH SERVICES INTERNATIONAL INC, 6 PARK CENTER CT, STE 211, OWINGS MILLS, MD 21117 (410) 356-8600 - 50,000 (\$384,500) COMMON STOCK. (FILE 33-84938 - OCT. 11) (BR. 6)
- S-8 VARSITY SPIRIT CORPORATION, 2525 HORIZON LAKE DR STE 1, MEMPHIS, TN 38133 (901) 387-4300 - 200,000 (\$3,200,000) COMMON STOCK. (FILE 33-84940 -OCT. 11) (BR. 7)
- S-4 TRANS WORLD AIRLINES INC /NEW/, ONE CITY CENTRE, 515 N SIXTH ST, ST LOUIS, MO 63101 (314) 589-3000 - 15,876,243 (\$1,056,744,417) COMMON STOCK. 85,064,000 STRAIGHT BONDS. 4,107,142 WARRANTS, OPTIONS OR RIGHTS. 11,250,000 COMMON STOCK. (FILE 33-84944 -OCT. 11) (BR. 3)
- S-8 CERPLEX GROUP INC, 3332 E LA PALMA AVE, ANAHEIN, CA 92806 (714) 632-2600 - 1,010,314 (\$12,507,687) COMMON STOCK. (FILE 33-84946 - OCT. 11) (BR. 6)
- S-3 MONEY STORE INC /NJ, 2840 MORRIS AVE, UNION, NJ 07083 (908) 686-2000 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 33-84954 OCT. 11) (BR. 11)
- S-3 PEAK TECHNOLOGIES GROUP INC, 600 MADISON AVE 26TH FLOOR, NEW YORK, NY 10022 (212) 832-2833 - 586,093 (\$6,886,592) COMMON STOCK. (FILE 33-84956 -OCT. 11) (BR. 10)
- S-4 DIME BANCORP INC, 589 5TH AVE, NEW YORK, NY 10017 (212) 326-6042 -100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 33-84958 - OCT. 11) (BR. 1)
- S-3 ELECTRO SCIENTIFIC INDUSTRIES INC, 13900 NW SCIENCE PARK DR, PORTLAND, OR 97229 (503) 641-4141 - 1,265,000 (\$17,868,125) COMMON STOCK. UNDERWRITER: NEEDHAM & CO INC. (FILE 33-84962 - OCT. 11) (BR. 3)

- S-8 COTT CORP /CN/, 207 QUEENS QUAY WEST, TORONTO ONTARIO CANADA M5J 1A7, A6 (706) 203-3898 50,000 (\$559,500) COMMON STOCK. (FILE 33-84964 OCT. 11) (BR. 11)
- S-3 THOMSON ADVISORY GROUP LP, ONE STATION PL METRO CENTER 7TH FL S, STAMFORD, CT 06902 (203) 352-4900 - 10,752,500 (\$217,265,015) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: GOLDMAN SACHS & CO, MERRILL LYNCH & CO, PAINEWEBBER INC, PRUDENTIAL SECURITIES INC, SHITH BARNEY INC. (FILE 33-84972 - OCT. 11) (BR. 12)
- S-3 EQUITY RESIDENTIAL PROPERTIES TRUST, TWO N RIVERSIDE PLZ, STE 600, CHICAGO, IL 60606 (312) 474-1300 - 2,700,000 (\$81,506,250) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-84974 - OCT. 11) (BR. 5)
- S-3 HORIZON HEALTHCARE CORP, 6001 INDIAN SCHOOL RD NE STE 530, ALBUQUERQUE, NM 87110 (505) 881-4961 - 5,750,000 (\$163,156,250) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, DONALDSON LUFKIN & JENRETTE SECURITIES C, MERRILL LYNCH & CO, ROBERTSON STEPHENS & CO. (FILE 33-84976 - OCT. 11) (BR. 5)
- SB-2 PRODUCERS ENTERTAINMENT GROUP LTD, 9150 WILSHIRE BLVD, STE 205, BEVERLY HILLS, CA 90212 (310) 285-0400 - 1,150,000 (\$5,750,000) PREFERRED STOCK. 1,150,000 (\$5,750,000) COMMON STOCK. 345,000 (\$1,265,115) PREFERRED STOCK. 500,000 (\$906,250) COMMON STOCK. UNDERWRITER: FIRST COLONIAL SECURITIES GROUP INC, MEYERSON M H & CO INC. (FILE 33-84984 - OCT. 11) (BR. 12)
- S-8 HARMONY HOLDINGS INC, 2921 W ALAMEDA AVE, BURBANK, CA 91505 (818) 846-6700 - 20,000 (\$52,500) COMMON STOCK. (FILE 33-84986 - OCT. 11) (BR. 12)
- S-8 USA WASTE SERVICES INC, 5000 QUORUM DR STE 300, DALLAS, TX 75240 (214) 233-4212 - 1,138,382 (\$10,029,227.50) COMMON STOCK. (FILE 33-84988 -OCT. 11) (BR. 8)
- S-8 USA WASTE SERVICES INC, 5000 QUORUM DR STE 300, DALLAS, TX 75240 (214) 233-4212 - 443,182 (\$7,201,707.50) COMMON STOCK. (FILE 33-84990 -OCT. 11) (BR. 8)
- SB-2 MASTER GLAZIERS KARATE INTERNATIONAL INC, 570 N BROAD ST STE 16, ELIZABETH, NJ 07029 (908) 354-2349 - 1,500,000 (\$4,320,000) COMMON STOCK. (FILE 33-84992 - OCT. 11) (BR. 12)
- S-8 ALTER SALES CO INC, 1125 NW 71ST ST, MIAMI, FL 33150 (305) 836-3333 500,000 (\$200,000) COMMON STOCK. (FILE 33-84994 OCT. 11) (BR. 4)
- S-8 TSX CORP, 5-D BUTTTERFIELD TRAIL, P O BOX 16400, EL PASO, TX 79906 (915) 594-4400 - 90,400 (\$2,429,500) COMMON STOCK. (FILE 33-84996 -OCT. 11) (BR. 7)
- S-8 DEP CORP, 2101 E VIA ARADO, RANCHO DOMINGUEZ, CA 90220 (310) 604-0777 200,000 (\$754,687.50) COMMON STOCK. (FILE 33-85000 OCT. 11) (BR. 10)

- S-8 TSX CORP, 5-D BUTTTERFIELD TRAIL, P O BOX 16400, EL PASO, TX 79906 (915) 594-4400 - 200,822 (\$5,397,091.25) CONMON STOCK. (FILE 33-85002 -OCT. 11) (BR. 7)
- S-8 PHYSICIAN SALES & SERVICE INC /FL/, 7800 BELFORT PKWY, STE 250, JACKSONVILLE, FL 32256 (904) 281-0011 - 250,000 (\$4,218,750) COMMON STOCK. (FILE 33-85004 - OCT. 11) (BR. 10)
- S-8 MARCORP INC, PO BOX 721, SOUTHPORT, CT 06490 (203) 255-3721 300,000 (\$375,000) COMMON STOCK. (FILE 33-85006 OCT. 11) (BR. 4)
- S-8 E Z EM INC, 717 MAIN STREET, WESTBURY, NY 11590 (516) 333-8230 500,000 (\$2,375,000) COMMON STOCK. (FILE 33-85010 OCT. 11) (NEW ISSUE)
- S-8 CHURCHILL DOWNS INC, 700 CENTRAL AVE, LOUISVILLE, KY 40208 (502) 636-4400 - 200,000 (\$8,750,000) COMMON STOCK. (FILE 33-85012 -OCT. 11) (BR. 12)
- S-1 FIRST SUNAMERICA LIFE INSURANCE CO, 1 SUNAMERICA CENTER, CENTURY CITY, LOS ANGELES, CA 90067 (212) 551-5440 - 290,000 (\$290,000) VARIABLE ANNUITY ISSUES. (FILE 33-85016 - OCT. 12) (BR. 20)
- S-3 USA WASTE SERVICES INC, 5000 QUORUM DR STE 300, DALLAS, TX 75240 (214) 233-4212 400,000 (\$5,800,000) COMMON STOCK. (FILE 33-85018 OCT. 12) (BR. 8)
- S-8 SILICON VALLEY GROUP INC, 2240 RINGWOOD AVE, SAN JOSE, CA 95131 (408) 434-0500 - 600,000 (\$8,662,500) COMMON STOCK. (FILE 33-85020 -OCT. 12) (BR. 9)
- S-8 BOYD GAMING CORP, 2950 S INDUSTRIAL RD, LAS VEGAS, NV 89109 (702) 792-7200 - 4,050,000 (\$62,893,322) COMMON STOCK. (FILE 33-85022 -OCT. 12) (BR. 12)
- S-1 WORLD CMNI LEASE SECURITIZATION L P, 6150 ONNI PARK DR, MOBILE, AL 36609 (205) 639-7500 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-85036 OCT. 12) (BR. 12)
- S-8 AMERICAN BODY ARMOR & EQUIPMENT INC, 85 NASSAU PL, YULEE, FL 32097 (904) 261-4035 - 1,000,000 (\$1,750,000) COMMON STOCK. (FILE 33-85038 -OCT. 11) (BR. 8)
- S-4 COVENTRY CORP, 53 CENTURY BLVD STE 250, NASHVILLE, TN 37214
  (615) 391-2440 4,285,714 (\$11,654,344) COMMON STOCK. (FILE 33-85040 OCT. 12) (BR. 6)
- F-6 PHILIPPINE LONG DISTANCE TELEPHONE CO /ADR/, 111 WALL STREET, C/O CITIBANK N A, NEW YORK, NY 10043 - 60,000,000 (\$3,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-85042 - OCT. 12) (BR. 99 - NEW ISSUE)
- S-3 BEAR STEARNS COMPANIES INC, 245 PARK AVE, NEW YORK, NY 10167 (212) 272-2000 - 306,590 (\$4,675,497.50) COMMON STOCK. (FILE 33-56009 -OCT. 13) (BR. 12)

- S-8 ANVESTORS FINANCIAL CORP, 415 SOUTHWEST 8TH AVE, P O BOX 2039, TOPEKA, KS 66601 (913) 233-3600 - 100,000 (\$981,250) CONMON STOCK. (FILE 33-56011 - OCT. 13) (BR. 10)
- S-3 ETOWN CORP, 600 SOUTH AVE, P O BOX 788, WESTFIELD, NJ 07090 (908) 654-1234 - 500,000 (\$13,281,250) COMMON STOCK. (FILE 33-56013 -OCT. 13) (BR. 8)
- N-1A PRICE T ROWE CAPITAL OPPORTUNITY FUND INC, 100 EAST PRATT STREET, BALTIMORE, ND 21201 (410) 625-6877 - INDEFINITE SHARES. (FILE 33-56015 -OCT. 13) (BR. 22)
- S-8 SILICON GRAPHICS INC /CA/, 2011 N SHORELINE BLVD P O BOX 7311, MS 6U-710, MOUNTAIN VIEW, CA 94039 (415) 960-1980 - 4,872,429 (\$121,506,198.19) COMMON STOCK. (FILE 33-56017 - OCT. 13) (BR. 10)
- S-3 HUDSON FOODS INC, 1225 HUDSON RD, ROGERS, AR 72757 (501) 636-1100 5,600,000 (\$97,474,000) COMMON STOCK. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECURITIES C, EDWARDS AG & SONS INC, MERRILL LYNCH & CO. (FILE 33-56019 OCT. 13) (BR. 3)
- S-8 GLOBALINK INC, 9302 LEE HIGHWAY, 12TH FL, FAIRFAX, VA 22031 (703) 273-5600 - 81,500 (\$446,937.50) COMMON STOCK. (FILE 33-84696 -OCT. 03) (BR. 9)
- F-3 GRAND METROPOLITAN CAPITAL CORP INC, 712 FIFTH AVE, STE 4600, NEW YORK, NY 10019 (212) 554-9300 - 900,000,000 (\$900,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-84710 - OCT. 03) (BR. 3)
- S-8 ESSEX PROPERTY TRUST INC, 777 CALIFORNIA AVE, PALO ALTO, CA 94304 (415) 494-3700 - 901,900 (\$15,952,807.20) COMMON STOCK. (FILE 33-84830 -OCT. 05) (BR. 5)
- N-1A EXPLORER INSITUTIONAL TRUST, ONE PARKVIEW PLAZA, OAKVIEW TERRACE, IL 60181 (708) 684-6000 - INDEFINITE SHARES. (FILE 33-84942 - OCT. 11) (BR. 17 - NEW ISSUE)
- F-1 WHARF CAPITAL INTERNATIONAL 1994 LTD, UGLAND HOUSE PO BOX 309, GEORGETOWN GRAND CAYMAN, CAYMAN ISLANDS BWI, D8 (804) 949-8066 -300,000,000 (\$300,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-84952 - OCT. 11) (BR. 6 - NEW ISSUE)
- N-1A ALLIANCE DEVELOPING MARKETS FUND INC, 1345 AVE OF THE AMERICAS, NEW YORK, NY 10105 (212) 969-1000 - INDEFINITE SHARES. (FILE 33-84960 -OCT. 11) (BR. 16 - NEW ISSUE)
- S-11 WESTPORT HOSPITALITY INC, ONE GORHAM ISLAND, WESTPORT, CT 06880 (203) 226-9540 - 8,446,750 (\$177,381,750) COMMON STOCK. UNDERWRITER: KIDDER PEABODY & CO INC. (FILE 33-84968 - OCT. 11) (BR. 6 - NEW ISSUE)
- F-1 HONG KONG CARD FUNDING CORP, PO BOX 309, C/O MAPLES & CALDER, GRAND CAYMAN CAYMAN ISL BWI, D8 (809) 949-8066 - 200,000,000 (\$200,000,000) FLOATING RATE NOTES. (FILE 33-84970 - OCT. 11) (BR. 11 - NEW ISSUE)