# sec news digest

Issue 94-211

November 4, 1994

COMMISSION ANNOUNCEMENTS

#### COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the sunshine Act. In general, the Commission expects to follow a schedule of holding open meetings on Wednesday mornings. Otherwise, meetings will be scheduled according to the requirements of agenda items under consideration.

Visitors are welcome at all open meetings, insofar as space is available.

Meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Persons wishing to photograph or videotape Commission meeting must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who required auxiliary aids such as a sign-language interpreter or material on tape to attend a public meeting should contact Nancy Wolynetz, Office of Administrative and Personnel Management, to make arrangements. Ms. Wolynetz can be reached at (202) 942-4091 or at a TTY number (202) 942-4075. Staff members at the Commission are encouraged to contact Ms. Wolynetz if they receive inquiries on availability of auxiliary aids.

# OPEN MEETING - THURSDAY, NOVEMBER 10, 1994 - 10:00 A.M.

The subject matter of the open meeting scheduled for Thursday, November 10, 1994, at 10:00 a.m., will be:

Consideration of proposed Rule 15c2-13 and proposed amendments to Rule 10b-10 under the Securities Exchange Act of 1934, which would require brokers and dealers to provide customers immediate written notification of certain additional information relevant to their securities transactions. For Further information, please contact C. Dirk Peterson at (202) 942-0073.

Consideration of whether to adopt amendments to Rule 15c2-12 under the Securities Exchange Act of 1934 which require a broker, dealer, or municipal securities dealer underwriting municipal securities to reasonably determine that an issuer of municipal securities or an obligated person has agreed to make available annual financial information and event notices; and which impose requirements on brokers, dealers, and municipal securities dealers recommending the purchase or sale of municipal securities covered by the rule. For further information, please contact Janet W. Russell-Hunter at (202) 9442-0073; or Amy Meltzer Starr at (202) 942-1875.

# CLOSED\_MEETING - WEDNESDAY, NOVEMBER 9, 1994 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Wednesday 9, 1994, at 10:00 a.m., will be: Settlement of administrative proceedings of an enforcement nature; Institution of injunctive actions; Institution of administrative proceedings of an enforcement nature; Settlement of injunctive action; Formal order of investigation; and Opinion.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary (202) 942-7070.

# ENFORCEMENT PROCEEDINGS

# RONALD STEPHEN COMBS BARRED

The Commission announced that administrative proceedings were instituted against Ronald Stephen Combs and Ronald Combs doing business as Portfolio Asset Management (Combs) of El Paso, Texas. Simultaneously, Combs submitted an Offer of Settlement consenting to the entry of an Order, which contains findings that he was previously permanently enjoined by the U.S. District Court for the Western District of Texas from violations of the anti-fraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934, and from violations of certain provisions of the Investment Advisers Act. Combs is barred from association with any broker, dealer, investment company, investment adviser or municipal securities dealer. (Rel. 34-34910)

ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST COLLINS INDUSTRIES, INC., DONALD COLLINS, DAVID KAMMERER, ZACHARY WHEELER AND JERALD DOERKSEN

On November 3, the Commission instituted administrative proceedings pursuant to Section 21C of the Securities Exchange Act of 1934 and Section 8A of the Securities Act of 1933 against Collins Industries, Inc., Donald Lynn Collins (Collins, Jr.), David Kammerer, Zachary Wheeler and Jerald Doerksen. Without admitting or denying the Commission's findings, Collins and the individual Respondents, current or former Collins officers or employees, submitted offers of settlement agreeing to the issuance of an Order directing them to cease and desist from violating or causing violations of Sections 10(b) and 13(a)(2) of the Exchange Act and Rules 10b-5, 13a-1 and 13b2-1. Collins also agreed to cease and desist from violating or causing a violation of Section 17(a) of the Securities Act, Sections 13(b)(2)(a) and 13(b)(2)(b)(ii) of the Exchange Act and Rules 12b-20 and 13a-13. Collins, Jr. also agreed to cease and desist from violating or causing a violation 13(b)(2)(B)(ii) of the Exchange Act and Rules 12b-20, 13a-13, 13b2-1 and 13b2-2. The Order states that the violations found therein principally involved recording sales prematurely and understating cost of sales. (Rel. 34-34934)

# DEMETRIOS SHIVA PERMANENTLY ENJOINED

The Commission announced that on October 21 Judge Falcon B. Hawkins of the United States District Court in Charleston, South Carolina entered a permanent injunction against defendant Demetrios Julius Shiva (Shiva) of Charleston, South Carolina.

Shiva is a registered representative who was about to engage in violations of the anti-fraud provisions in connection with the offer and sale of counterfeit Japanese yen bond certificates. The court made specific findings as to defendant Shiva, including that the certificates at issue are counterfeit. Defendant Shiva was permanently enjoined from violations of the anti-fraud provisions in his efforts to deposit the certificates with a brokerage house for eventual sale to the public. [SEC v. Premier Capital Corp, et al., Civil Action No. 2 94-2374 1, D. S.C] (LR-14318)

# PERMANENT INJUNCTION ENTERED AGAINST VINTAGE GROUP, INC. AND JAMES MERRIAM

On October 20, 1994, the United States District Court for the Northern District of California entered a Final Judgment of Permanent Injunction against defendants Vintage Group, Inc. (Vintage) and James A. Merriam (Merriam). The final judgment permanently restrains and enjoins Vintage from future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Section 10(b) and 13(a) of the Securities Exchange Act, of 1934, Rules 10b-5, 12b-20, 13a-1 and 13a-13 promulgated thereunder, and Section 31(a) of the Investment Company Act and Rule 31a-1 promulgated thereunder. The Final Judgment also enjoins Merriam from future violations of Sections 5(a), 5(c) and 17(a)of the Securities Act, Section 10(b) and 13(a) of the Exchange Act and Rules 10b-5, 12b-20, 13a-1 and 13a-13 promulgated thereunder, and Section 36(a) of the Investment Company Act. In addition, the Court barred Merriam from serving or acting as an officer or director of any issuer either having a class of securities registered pursuant to Section 12 of the Exchange Act, or that is required to file reports pursuant to Section 15(d) of the Exchange Act, or any registered investment company. The Court will determine, at a subsequent hearing, the amounts, if any, of disgorgement and/or civil penalties.

The complaint, filed on March 7, alleged that Vintage and James A. Merriam sold unregistered securities and committed fraud in the offering, sale and purchase of securities. It further alleged that Vintage violated the periodic reporting requirements of the Exchange Act and the accounts and records provisions of the Investment Company Act. The complaint further charged James Merriam with a violation of the breach of fiduciary duty and a violation of the periodic reporting provisions.

The complaint alleged that Vintage and James A. Merriam fraudulently offered and sold Vintage securities to the public. In connection with the scheme, Vintage, whose general purpose was to invest in new and developing companies offering long-term growth potential, issued financial statements that substantially overstated the fair value of Vintage's securities portfolio. These false and misleading financial statements were included in the reports on Forms 10-Q and Forms 10-K that Vintage filed with the Commission. [SEC v. Vintage Group, Inc., James A. Merriam and Dori Merriam, Civil Action No. C-94-0772 WHO, N.D. Cal.] (LR-14319)

# DEFAULT JUDGMENT ENTERED AND PERMANENT INJUNCTION ISSUED AGAINST SAMUEL ABRAHAM

The Commission announced that on October 25, 1994 a default judgment and a permanent injunction were entered against Samuel J. Abraham (Abraham) enjoining Abraham from

further violations of Section 17(a) of the Securities Act of 1934, and Rule 10b-5 thereunder. The judgment orders Abraham to pay the sum of \$211,108.25, representing \$95,685 in disgorgement of Abraham's ill-gotten gains; (2) \$19,738.25 in (1) prejudgment interest on such disgorgement; and (3) \$95,685 as a civil penalty pursuant to the Securities Enforcement Remedies and Penny Stock Reform Act of 1990. The Commission's complaint alleged that Abraham and other defendants obtained investors' funds by representing that investors would receive extraordinary profits from a roll trade program purportedly involving the purchase and sale of standby letters of credit, promissory bank notes, and promissory bank guarantees. According to the complaint, Abraham instead used investors' funds to pay his personal and business [SEC v. Motzfeldt Funding Corporation, Birgit Mechlenburg a/k/a Gitte expenses. Mechlenburg, and Samuel Abraham, 93 Civil Action No. 3942, JES, U.S.D.C., S.D.N.Y] (LR-14320)

#### COMPLAINT FILED AGAINST JOSEPH HEBB

On November 3, the Commission filed a complaint seeking injunctive relief and a civil penalty in the United States District Court for the District of Columbia against Joseph S. Hebb, a former officer and director of Collins Industries, Inc. (Issuer). The Commission alleged that, during fiscal year 1992, Hebb violated antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934 and reporting, recordkeeping and internal controls provisions of the Exchange Act. Without admitting or denying the allegations of the complaint, Hebb consented to the entry of a final judgment that enjoins him from violating Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act, and Exchange Act Rules 10b-5, 13b2-1 and 13b2-2 and, as a controlling person under Section 20 of the Exchange Act, from violating Sections 13(a)(2), 13(b)(2)(A) and 13(b)(2)(B)(ii) of the Exchange Act and Exchange Act Rules 12b-20, 13a-1 and 13a-13 and orders him to pay a civil money penalty of \$25,000. The Commission alleged that, to overstate the Issuer's earnings and to conceal the overstatement from the Issuer's independent auditor, Hebb deleted accounting records, fabricated and falsified accounting records and made false and misleading representations to the auditor. [SEC v. Hebb, Civil Action No. 94-2375, EGS, D.D.C.] (LR-14321)

#### INVESTMENT COMPANY ACT

### MONEY MARKET PORTFOLIO

An order has been issued under Section 8(f) of the Investment Company Act declaring that the Money Market Portfolio has ceased to be an investment company. (Rel. IC-20679 - November 2)

## JUPITER NATIONAL

A notice has been issued giving interested persons until November 28, 1994 to request a hearing with respect to a proposed order under Section 8(f) of the Investment Company Act declaring that Jupiter National, Inc. (Jupiter) has ceased to be an investment company. The proposed order, to be issued by the Commission on its own motion, would declare that Jupiter ceased to be an investment company when it elected on February 6, 1987 to be regulated as a business development company pursuant to Section 54(a) of the Act. (Rel. IC-20680 - November 2)

## THE WOODWARD FUNDS

A notice has been issued giving interested persons until November 28 to request a hearing on an application filed by The Woodward Funds for an order that would grant an exemption under Section 6(c) of the Investment Company Act from Sections 13(a)(2), 13(a)(3), 17(a)(1), 18(f)(1), 22(f), and 22(g) of the Act and Rule 2a-7 thereunder and under Section 17(d) of the Act and Rule 17d-1 thereunder to permit certain joint transactions. Applicant requests an order to permit it to offer new account allocation options under its deferred compensation plan for its trustees. (Rel. IC-20681)

# FIRST ING LIFE INSURANCE COMPANY OF NEW YORK

An order has been issued on an application filed by First ING Life Insurance Company of New York (First ING Life), First ING of New York Separate Account A1 (the Separate Account), certain future separate accounts that may be created by First ING Life, and SLD Equities, Inc. that permits Applicants to deduct a mortality and expense risk charge from the assets of the Separate Account and the future separate accounts. (Rel. IC-20682 - November 2)

#### CROWN AMERICA SERIES FUND

An order has been issued pursuant to Section 8(f) of the Investment Company Act declaring that Crown American Series Fund, Inc. has ceased to be an investment company as defined by the Investment Company Act. (Rel. IC-20683 - November 2)

#### HOLDING COMPANY ACT RELEASES

#### NEW ENGLAND ELECTRIC SYSTEM

An order has been issued authorizing New England Electric System, a registered holding company, to issue and sell up to an aggregate principal amount of \$100 million of short-term promissory notes through October 31, 1996. (Rel. 35-26154)

# CENTRAL AND SOUTH WEST CORPORATION

An order has been issued authorizing Central and South West Corporation (CSW), a registered holding company, and its nonutility subsidiary company, CSW Energy, Inc. (Energy), to enter into a joint venture (Joint Venture) with Pentech Energy, Inc., a Delaware corporation, for the development of generating facilities, including independent power projects within CSW's service territory, qualifying facilities under the Public Utility Regulatory Policies Act of 1978, and exempt wholesale generators (EWG) under Section 32(e) of the Act. Joint Venture has specific plans to develop an energy facility in Ohio, that would be an eligible facility under Section 32, through a limited partnership (Project Partnership) that will be an EWG. CSW and CSW Energy are authorized to make investments of up to \$15 million in the Joint Venture. CSW and CSW Energy are also authorized to acquire an interest in, and make advances Project Partnership. All investments, whether in the form of equity to, contributions, loans, development payments, or otherwise, will be included in the aggregate investment authority of \$15 million in the Joint Venture. CSW and CSW Energy are also authorized to acquire an interest in, and make advances to, Project Partnership. All investments, whether in the form of equity contributions, loans, development payments, or otherwise, will be included in the aggregate investment authority of \$15 million. (Rel. 35-26155)

## SELF-REGULATORY ORGANIZATIONS

#### APPROVAL OF PROPOSED RULE CHANGE

The Securities and Exchange Commission has approved the proposed rule change (SR-NASD-94-48) filed by the <u>National Association of Securities Dealers</u> that amends certain current terms and the adoption of new terms that apply to the NASDAQ System. Where applicable, the amendment will result in the insertion of the new and amended terms throughout the NASD Manual. (Rel. 34-34928)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-8 LOUISIANA LAND & EXPLORATION CO, 909 POYDRAS ST, NEW ORLEANS, LA 70112 (504) 566-6500 - 250,000 (\$11,062,500) COMMON STOCK. (FILE 33-56209 -OCT. 28) (BR. 4)
- S-8 LOUISIANA LAND & EXPLORATION CO, 909 POYDRAS ST, NEW ORLEANS, LA 70112 (504) 566-6500 - 1,300,000 (\$57,525,000) COMMON STOCK. (FILE 33-56211 -OCT. 28) (BR. 4)
- S-3 GENERAL DATACOMM INDUSTRIES INC, ROUTE 63, MIDDLEBURY, CT 06762 (203) 574-1118 2,070,000 (\$62,358,750) COMMON STOCK. UNDERWRITER: SALOMON BROTHERS INC, SOUNDVIEW FINANCIAL GROUP INC. (FILE 33-56213 OCT. 28)<sup>-</sup> (BR. 7)
- S-8 SEAGATE TECHNOLOGY INC, 920 DISC DR, SCOTTS VALLEY, CA 95066 (408) 438-6550 - 2,000,000 (\$47,625,000) COMMON STOCK. (FILE 33-56215 -OCT. 28) (BR. 9)
- N-1A PRAIRIE FUNDS, THREE FIRST NATIONAL PLAZZA, CHICAGO, IL 60670 (312) 732-4231 - INDEFINITE SHARES. (FILE 33-56217 - OCT. 28)
- S-8 NEW YORK TIMES CO, 229 W 43RD ST, NEW YORK, NY 10036 (212) 556-1234 6,000,000 (\$111,900,000) COMMON STOCK. (FILE 33-56219 OCT. 28) (BR. 11)
- S-8 FOREST LABORATORIES INC, 150 E 58TH ST, NEW YORK, NY 10155 (212) 421-7850 - 2,500,000 (\$118,125,000) COMMON STOCK. (FILE 33-56221 -OCT. 28) (BR. 4)
- S-3 ARCHER DANIELS MIDLAND CO, 4666 FARIES PKWY, DECATUR, IL 62526 (217) 424-5200 - 53,000 (\$1,500,562.50) COMMON STOCK. (FILE 33-56223 -OCT. 28) (BR. 4)

- S-3 UNION CAMP CORP, 1600 VALLEY RD, WAYNE, NJ 07470 (201) 628-2000 -150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-56225 - OCT. 28) (BR. 8)
- S-8 NEW ENGLAND BUSINESS SERVICE INC, 500 MAIN ST, GROTON, MA 01471 (508) 448-6111 - 1,500,000 (\$28,125,000) COMMON STOCK. (FILE 33-56227 -OCT. 28) (BR. 12)
- S-6 FIRST TRUST SPECIAL SITUATIONS TRUST SERIES 109,
  C/O NIKE SECURITIES L P, 1001 WARRENVILLE ROAD, LISLE, IL 60532
  (708) 241-4141 INDEFINITE SHARES. UNDERWRITER:
  MCDONALD & CO SECURITIES INCDEPOSITOR: NIKE SECURITTIES LP. (FILE 33-56229 OCT. 28) (BR. 18 NEW ISSUE)
- S-8 ROUSE COMPANY, 10275 LITTLE PATUXENT PKWY, COLUMBIA, MD 21044 (410) 992-6000 - 2,250,000 (\$42,046,875) COMMON STOCK. (FILE 33-56231 -OCT. 28) (BR. 6)
- S-8 ROUSE COMPANY, 10275 LITTLE PATUXENT PKWY, COLUMBIA, MD 21044 (410) 992-6000 - 1,500,000 (\$28,031,250) COMMON STOCK. (FILE 33-56233 -OCT. 28) (BR. 6)
- S-8 ROUSE COMPANY, 10275 LITTLE PATUXENT PKWY, COLUMBIA, MD 21044 (410) 992-6000 - 750,000 (\$14,015,625) COMMON STOCK. (FILE 33-56235 -OCT. 28) (BR. 6)
- S-6 KEMPER EQUITY PORT TR TOTAL RET PORT SER 10 1994 HOLIDAY TR, 77 W WACKER DRIVE 29TH FLOOR, CO/KEMPER UNIT INVESTMENT TRUSTS, CHICAGO, IL 60606 (312) 574-6705 - INDEFINITE SHARES. DEPOSITOR: KEMPER UNIT INVESTMENT TRUSTS. (FILE 33-56237 - OCT. 28) (BR. 16 - NEW ISSUE)
- N-1A DEAN WITTER GLOBAL ASSET ALLOCATION FUND, TWO WORLD TRADE CENTER, NEW YORK, NY 10048 (212) 392-1520 - INDEFINITE SHARES. (FILE 33-56239 -OCT. 28)
- S-3 FLEMING COMPANIES INC /OK/, 6301 WATERFORD BLVD, P O BOX 26647, OKLAHOMA CITY, OK 73126 (405) 840-7200 - 600,000 (\$13,987,500) COMMON STOCK. (FILE 33-56241 - OCT. 28) (BR. 3)
- S-4 FIRST TENNESSEE NATIONAL CORP, 165 MADISON AVE, MEMPHIS, TN 38103 (901) 523-4027 - 1,235,657 (\$9,427,976) COMMON STOCK. (FILE 33-56243 -OCT. 28) (BR. 1)
- S-3 INTERNATIONAL RECTIFIER CORP /DE/, 233 KANSAS ST, EL SEGUNDO, CA 90245 (310) 322-3331 5,175,000 (\$119,348,438) COMMON STOCK. (FILE 33-56245 OCT. 28) (BR. 3)
- SB-2 HARVARD FUTURES FUND LLC, 304 E 65TH ST, STE 29C, NEW YORK, NY 10021 (212) 249-7594 - 25,000 (\$25,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-85342-NY - OCT. 19) (BR. 11 - NEW ISSUE)
- SB-2 TRANS WORLD GAMING CORP, 515 MADISON AVENUE, NEW YORK, NY 10022 (212) 826-3355 1,511,429 (\$10,580,003) COMMON STOCK. 1,511,429 (\$151,142.90) WARRANTS, OPTIONS OR RIGHTS. 2,426,001 (\$21,214,037.50) COMMON STOCK. UNDERWRITER: RICKEL & ASSOCIATES INC, TEXAS CAPITAL SECURITIES INC. (FILE 33-85446-A OCT. 20) (BR. 12 NEW ISSUE)
- SB-2 DMS INDUSTRIES INC, 20 ECHO BAY PLACE, NEW ROCHELLE, NY 10805 (914) 654-8900 - 2,681,949 (\$4,907,966.67) COMMON STOCK. (FILE 33-85570-NY - OCT. 20) (BR. 7 - NEW ISSUE)

- S-11 BRESLIN REALTY CORP, 500 OLD COUNTRY RD, GARDEN CITY, NY 11530 (516) 741-7400 8,740,000 (\$218,500,000) COMMON STOCK. UNDERWRITER: MERRILL LYNCH & CO, PAINEWEBBER INC, PRUDENTIAL SECURITIES INC, SMITH BARNEY IN. (FILE 33-85598 OCT. 26) (BR. 5 NEW ISSUE)
- S-1 SECURITY DYNAMICS TECHNOLOGIES INC /DE/, ONE ALEWIFE CENTER, CAMBRIDGE, MA 02140 (617) 547-7820 - 2,530,000 (\$35,420,000) COMMON STOCK. UNDERWRITER: ALEX BROWN & SONS INC, ROBERTSON STEPHENS & CO. (FILE 33-85606 - OCT. 26) (BR. 10 - NEW ISSUE)
- S-1 A I CREDIT CORP, 160 WATER ST, NEW YORK, NY 10038 (212) 428-5400 1,000,000 (\$1,000,000) FLOATING RATE NOTES. UNDERWRITER:
  GOLDMAN SACHS & CO. (FILE 33-85608 OCT. 26) (BR. 12 NEW ISSUE)
- SB-2 NETWORK CONNECTION INC, 1324 UNION HILL RD, ALPHARETTA, GA 30201 (404) 751-0889 1,380,000 (\$5,520,000) COMMON STOCK. 60,000 (\$6) WARRANTS, OPTIONS OR RIGHTS. 120,000 (\$810,000) COMMON STOCK. (FILE 33-85654 OCT. 27) (BR. 10 NEW ISSUE)
- S-1 PRICELLULAR CORP, 45 ROCKEFELLER CTR, NEW YORK, NY 10020 (212) 459-0800
   4,600,000 (\$50,600,000) COMMON STOCK. UNDERWRITER:
  DONALDSON LUFKIN & JENRETTE SECURITIES C, PAINEWEBBER INC,
  WASSERSTEIN PERELL SECURITIES INC. (FILE 33-85678 OCT. 27) (BR. 7
   NEW ISSUE)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER		8K ITEM NO. 12345678	DATE COMMENT
ACCLAIM ENTERTAINMENT INC	DE	x x	10/ <b>19</b> /94
AIRTOUCH COMMUNICATIONS ALAMO GROUP INC	CA DE	X X X	10/20/94 10/31/94

**8K FILINGS CONT.** 

STATE 8K ITEM NO. NAME OF ISSUER CODE 12345678 DATE COMMENT ----10/17/94 ALPINE CAPITAL FUNDING INC CO X Х AMERICAN EXP REC FIN COR AME EXP MAS TR DE X X 10/21/94 AMERICAN EXP REC FIN COR AME EXP MAS TR DE Х X 10/21/94 AMERICAN EXP REC FIN COR AME EXP MAS TR DE х х 10/21/94 AMERICAN EXP REC FIN CORP AME EXP MAS TR DE X X 10/21/94 X X AMERICAN EXP REC FIN CORP AME EXP MAS TR DF 10/21/94 AMERICAN EXP REC FIN CORP AME EXP MAS TR X X 12/21/94 DF AMERICAN HOMEPATIENT INC DE X X Х 08/01/94 х **O**R AMERICOLD CORP /OR/ 11/02/94 DE X 08/12/94 ANCHOR BANCORP INC ARCH COMMUNICATIONS GROUP INC DF X 10/17/94 10/31/94 ARTRA GROUP INC Þ٨ X X х 10/28/94AMEND ATLAS CORP DF х ATLAS CORP DE 10/31/94 BEST BUY CAPITAL LP DF х 11/02/94 11/02/94 х BEST BUY CO INC. MN Х BEVERLY ENTERPRISES INC /DE/ DF Х 11/02/94 BRIGHTON INFORMATION SYSTEMS CORP х 09/30/94 DF X BUTTREY FOOD & DRUG STORES CO DF X 08/15/94AMEND х DE X 10/28/94 CABLEMAXX INC CALIFORNIA MICRO DEVICES CORP х CA 10/27/94 CAPITAL ACQUISITION CO FL XX Х 10/14/94 CAPITAL MORTGAGE PLUS L P 10/26/94 DË х CENTRAL VERMONT PUBLIC SERVICE CORP VT Х 10/31/94 CENTURA BANKS INC NC Х x 10/31/94 CERTRON CORP CA Х 10/21/94 CHARTER POWER SYSTEMS INC DE ХХ 09/26/94 CKE RESTAURANTS INC DE х 10/31/94 COMCAST CORP PA X Х 10/25/94 COMPTRONIX CORPORATION DE Х 10/17/94 X COMSAT CORP DC X X 10/21/94 CONSOLIDATED GRAPHICS INC /TX/ TX X х 10/14/94 CONVERSION INDUSTRIES INC х х X 10/28/94 COPART INC CA X X 10/17/94 COPELCO CAPITAL FUNDING CORP II DE Х X 11/01/94 CORNUCOPIA RESOURCES LTD х X 10/28/94 CSA INCOME FUND IV LIMITED PARTNERSHIP MA X 10/26/94 CSA INCOME FUND LIMITED PARTNERSHIP II Х MA 10/26/94 CSA INCOME FUND LIMITED PARTNERSHIP III MA Х 10/26/94 CSA INCOME FUND LTD PARTNERSHIP I-C X 10/26/94 MA CSA INCOME FUND LTD PARTNERSHIP I-D Х 10/26/94 MA CVD FINANCIAL CORP DE Х Х 10/28/94 DAIMLER BENZ VEHICLE TRUST 1994A х Х 10/15/94 DE DATA RESEARCH ASSOCIATES INC /MO MO х х 10/14/94 DATAFLEX CORP 08/19/94AMEND NJ х DH TECHNOLOGY INC CA х 10/28/94 DIGIDESIGN INC X X 10/25/94 EAGLE FINANCIAL CORP DE Х Х 10/25/94 ETI\US\INC NV Х X 09/15/94 EXX INC NV X 10/28/94 FAMILY BANCORP MA х X 10/28/94 FBS MORTGAGE CORP MORTGAGE PASS THR CERT NV NO ITEMS 10/07/94

STATE 8K ITEM NO.

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NAME OF ISSUER	CODE	1234	+ 5 (	578	DATE COMMENT
FI TEK V INC	DE		(	X	10/11/94
FIELDCREST CORP	DE	)	(	х	10/11/94
FIRST DATA CORPORATION	DE		Х		10/27/94
FIRST FINANCIAL CORP /WI/	WI		Х	Х	10/26/94
FP BANCORP	CA		Х	,	10/13/94
FUTURE HEALTHCARE INC	OH		Х	Х	08/16/94
GILLETT HOLDINGS INC	DE	)	(	х	10/25/94
GLOBAL MARKET INFORMATION INC	DE	Х		х	10/17/94
HAWKS INDUSTRIES INC	DE	Х			10/31/94
HEARTLAND WIRELESS COMMUNICATIONS INC	DE	X		х	08/19/94AMEND
HELLER FINANCIAL INC	DE		Х		11/01/94
HIBERNIA CORP	LA		Х		11/02/94
HMN FINANCIAL INC	DE		х	x	10/25/94
HOUSEHOLD AFFINITY CREDIT CARD MASTER TR	NY			х	10/27/94
HOUSEHOLD CREDIT CARD TRUST 1991-1	NV			х	10/27/94
HOUSEHOLD CREDIT CARD TRUST 1992-1	DE			х	10/27/94
HUNTINGDON INTERNATIONAL HOLDINGS PLC			х	х	11/01/94
IMC FERTILIZER GROUP INC	DE		х	x	10/21/94
IMPERIAL FEDERAL SAVINGS ASSO MOR PA TH				X	09/30/94
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH	CA			x	09/30/94
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH				x	09/30/94
IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH				x	09/30/94
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IMPERIAL FEDERAL SAVINGS ASSO MOR PAS TH				x	09/30/94
INTELOGIC TRACE INC	NY		х	^	11/01/94
INTERFILM INC	DE		x	x	10/19/94
INTERVOICE INC	TX		^	x	08/31/94
LABOR READY INC	WA		х	^	10/20/94
LEHMAN ABS CORP HOME EQUITY LOAN ASSET B	-		x	x	10/17/94
LEHMAN ABS CORP LEHMAN HOME EQUITY LOAN			x	x	10/17/94
LONG ISLAND BANCORP INC	DE		^	x	10/25/94
MALLINCKRODT GROUP INC	NY		х	^	10/19/94
MANAGEMENT TECHNOLOGIES INC	NY	v	^		
		X	v		10/15/94AMEND
MANAGEMENT TECHNOLOGIES INC	NY		X		10/21/94
MCDONNELL DOUGLAS CORP	MD		X		10/28/94
MCN CORP	MI		X		10/26/94
MERRILL LYNCH & CO INC	DE		X	X	11/03/94
MERRILL LYNCH & CO INC	DE		X	X	11/03/94
MERRY LAND & INVESTMENT CO INC	GA		х		11/01/94
MLCC MORTGAGE INVESTORS INC	DE			X	10/17/94
MONMOUTH REAL ESTATE INVESTMENT CORP	DE	X		X	10/13/94
MUSICSOURCE U S A INC	DE	Х		X	07/05/94AMEND
NATIONAL COLLEGIATE TRUST 1994-S1		X		X	10/18/94
NATIONAL GYPSUM CO /DE/			Х		10/05/94AMEND
NOMURA ASSET SECURITIES CORP	DE		х	х	10/17/94
NOMURA ASSET SECURITIES CORP	DE			х	10/31/94
OVERHEAD DOOR CORP /IN/	IN			X	09/01/94
OXIGENE INC	DE		х	x	10/20/94
PACIFIC TELECOM INC	WA		х	x	11/02/94
PACIFICORP /OR/	OR		х	X	11/01/94
PARKER & PARSLEY PETROLEUM CO	DE			х	10/31/94

8K FILINGS CONT.

	CTATE	8K ITEM NO.	
NAME OF ISSUER	CODE		DATE COMMENT
NAME OF ISSUER		12345070	DATE COMMENT
PEOPLES CHOICE TV CORP	DE	x x	10/17/94
PRUDENTIAL SEC FIN ASSET FUND CORP MOR P		хх	07/15/94
QUADRAX CORP /DE/	DE	X	10/21/94
RESORT INCOME INVESTORS INC	DE	х	10/14/94
THERMO REMEDIATION INC	DE	x x	10/13/94
TLM CORP	NV	NO ITEMS	10/06/94AMEND
TRANSAMERICA OCCIDENTAL LIFE INSURANCE C	CA	хх	10/25/94
TREMONT CORPORATION	DE	хх	10/25/94
TRINIDAD CORPORATION	со	x	09/26/94
TRITON GROUP LTD /DE/	DE	X	08/15/94AMEND
TYCO INTERNATIONAL LTD	MA	x x	10/19/94AMEND
UMC ELECTRONICS CO	DE	х	09/30/94
UNCLE BS BAKERY INC	IA	хх	10/25/94
UNITED DOMINION REALTY TRUST INC	VA	хх	10/14/94
UNITRIN INC	DE	хх	10/27/94
UNITRODE CORP	MD	x x	10/12/94
UNIVERSAL SELF CARE INC	DE	хх	10/20/94
UNOCAL CORP	DE	X	10/31/94
US CAPITAL GROUP INC	DE	хх	10/27/94
VALASSIS COMMUNICATIONS INC	DE	x	10/28/94
VALLEY NATIONAL BANCORP	NJ	х	10/21/94
VINTNERS INTERNATIONAL CO INC	NY	x	08/05/94AMEND
WEST COAST BANCORP /OR/	OR	хх	10/24/94
WESTBRIDGE CAPITAL CORP	DE	х	06/24/94AMEND
WFS BANCORP INC		хх	10/24/94
WIDMERS WINE CELLARS INC	DE	х	08/05/94AMEND
WNC HOUSING TAX CREDIT FUND IV L P SERIE		x	08/08/94
WNC HOUSING TAX CREDIT FUND IV L P SERIE		x	08/31/94
WNC HOUSING TAX CREDIT FUND IV L P SERIE		х	09/21/94
3COM CORP	CA	Х	10/18/94
RIDDELL SPORTS INC	DE	x	10/31/94
ROYALE ENERGY FUNDS INC	CA	хх	10/19/94
SA HOLDINGS INC	DE	хх	10/13/94
SAZTEC INTERNATIONAL INC	CA	X X	10/05/94
SBM INDUSTRIES INC	DE	Х	08/27/94
SILVERADO MINES LTD		хх	10/31/94
SINCLARE GROUP INC	DE	X	10/28/94
SITE HOLDINGS INC	DE	x	10/14/94
SPORTS INTERNATIONAL LTD	DE	X X X X X	10/20/94
SPORTSTOWN INC/DE/	DE	NO ITEMS	08/26/94
STERLING SOFTWARE INC	DE	хх	11/03/94
STRUCTURAL DYNAMICS RESEARCH CORP /OH/	OH	x	10/26/94
SUMMIT PETROLEUM CORP	со	x x	08/15/94AMEND
SUPERIOR BANK FSB		x x	10/07/94
SYMBOLICS INC	DE	хх	10/27/94
TERRA INDUSTRIES INC	MD	x x	10/20/94AMEND
TEXAS BIOTECHNOLOGY CORP /DE/	DE	хх	10/11/94
TREMONT CORPORATION	DE	хх	10/26/94
TYCO INTERNATIONAL LTD	MA	X	10/19/94AMEND
TYCO INTERNATIONAL LTD	MA	X X	10/19/94AMEND
UNOCAL CORP	DE	X	11/02/94
VALUE MERCHANTS INC	WI	x x	10/28/94

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8K FILINGS CONT.

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NAME OF ISSUER	STATE CODE	8K ITEM NO. 12345678 DATE COMMENT
VANGUARD ENVIRONMENTAL SOLUTIONS INC	со	X X X X 10/27/94
VIRAL TESTING SYSTEMS CORP	DE	X X X 10/15/94
WEDGESTONE FINANCIAL INC	MA	X 10/28/94
WESTBRIDGE CAPITAL CORP	DE	X 09/25/92AMEND
WESTERN NATURAL GAS CO/DE/	DE	X X X 10/17/94
WESTMORELAND COAL CO	DE	X X 11/01/94
WHOLESALE CELLULAR USA INC	DE	X X 10/26/94
WILLCOX & GIBBS INC	NY	X 07/13/94AMEND
WORLD OMNI DEALER FUNDING INC	FL	X X 10/25/94
WRT ENERGY CORP	ТХ	X X 02/02/94AMEND
XIOX CORP	DE	X X 08/29/94AMEND

NEWS DIGEST, November 4, 1994

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