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# sec neg November 28, 1989

Issue 89-226

## **CIVIL PROCEEDINGS**

#### INJUNCTION ENTERED AGAINST HARRY ABRAMS

The Commission filed a Civil Complaint on November 27 in the U.S. District Court for the Southern District of New York charging Harry Abrams, president and controlling shareholder of Omni Mutual, Inc., a broker-dealer that was registered with the Commission and ultimately liquidated pursuant to the Securities Investor Protection Act of 1970, with violating the antifraud provisions of the securities laws and with aiding and abetting Omni's violations of the financial responsibility, recordkeeping, and reporting provisions of the securities laws.

The Complaint alleged that Abrams defrauded investors in connection with a Regulation D offering of Omni's shares. It also alleged that Abrams aided and abetted Omni's violations of numerous back office provisions of the Securities Exchange Act of 1934.

Without admitting or denying the Complaint's allegations, Abrams consented to a Final Judgment permanently enjoining him from the above described violations. (SEC v. Harry Abrams, USDC SDNY, Civil Action No. 89-CIV-7849-RC). (LR-12308)

## CRIMINAL PROCEEDINGS

### FORMER STOCKBROKER PLEADS GUILTY

The Boston Regional Office and the U.S. Attorney for the District of Massachusetts announced that on November 20 Walter F. Curran of Wellesley, Massachusetts, a former stockbroker, pled guilty to 13 counts of mail fraud in connection with a scheme to defraud seven investors of in excess of \$2 million. He was arrested on February 17 while crossing the border from Canada into the United States. At the time he had in excess of \$120,000 in currency in his possession. As part of a plea agreement, Curran agreed to plead guilty to a currency reporting violation in connection with his failure to declare his currency when entering the United States. Curran's plea to the currency violation and sentencing on all charges has been set for January 19, 1990. [The case was investigated by the FBI and U.S. Customs Service, with the assistance of the Boston Regional Office.] (U.S. v. Walter F. Curran, No. 89-65-H, District of Massachusetts). (LR-12305)

## INVESTMENT COMPANY ACT RELEASES

#### WESTERN LIFE INSURANCE COMPANY

A notice has been issued giving interested persons until December 18 to request a hearing on an application filed by Western Life Insurance Company, Variable Account C of Western Life Insurance Company, AMEV Investors, Inc. for an order granting exemptions from Sections 2(a)(32), 22(c), 26(a)(2)(C), 27(a)(3), 27(c)(1), 27(c)(2), and 27(d) of the Investment Company Act and Rules 22c-1 and 6e-3(T)(b)(12), (b)(13), and (d)(1)(ii) to permit the issuance of certain flexible premium variable life insurance contracts that would allow Western Life to (1) credit the policyholder's account with a "policy value advance" and later recover the policy value advance from the assets of Account C through a \$4.00 monthly deduction and a daily deduction at an annual rate of .27% of the value of the policy's net assets; (2) deduct any premium tax charge not previously deducted as part of a contingent deferred charge; and (3) deduct sales charges, in part, by means of a constant \$4.00 monthly charge, and by means of charges that may cease and resume under certain circumstances. (Rel. IC-17236 -Nov. 21)

## **HOLDING COMPANY ACT RELEASES**

#### NATIONAL FUEL GAS COMPANY

Notices have been issued giving interested persons until December 11 to request a hearing on a proposal by the following companies filed under Release 35-24986, dated November 16: NATIONAL FUEL GAS COMPANY - a registered holding company, and certain of its subsidiaries, to participate in, and incur short-term borrowings through, the National system money pool from January 1, 1990 through December 31, 1991, in amounts not to exceed \$230 million for National Fuel Gas Distribution Corp.; \$125 million for National Fuel Gas Supply Corp.; \$125 million for Seneca Resources Corp.; \$35 million for Empire Exploration, Inc.; \$35 million for Penn-York Energy Corp.; \$5 million for Utility Constructors, Inc.; \$5 million for Highland Land & Minerals, Inc.; and \$2 million for Enerop Corp. (collectively, Subsidiary Companies). National also proposes, from time to time through December 31, 1991, to issue and sell up to \$120 million of commercial paper, under an exception from competitive bidding, and/or issue up to \$350 million of short-term unsecured notes to certain banks under bank lines of credit, the aggregate principal amount of such borrowings not to exceed \$350 million outstanding at any one time. The proceeds of National's borrowings through commercial paper and/or notes shall be made available to its Subsidiary Companies through the money pool and to National.

## **SELF-REGULATORY ORGANIZATIONS**

#### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed an interpretation of Rules G-12 and G-15 concerning confirmation requirements for partially refunded securities. The proposal (SR-MSRB-89-7) restates the Board's previous interpretation on computing price from yield for partially refunded securities. (Rel. 34-27450)

#### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change filed by: The Municipal Securities Rulemaking Board (SR-MSRB-89-6) to amend its rule on professional qualifications by eliminating the Board's Financial and Operations Principal Examination (FINOP) and, instead, require FINOP candidates to qualify through the National Association of Securities Dealers' FINOP Examination. (Rel. 34-27453); The National Securities Clearing Corporation (SR-NSCC-89-12) to provide for the automated transfer of customer positions in mutual funds associated with its Mutual Fund Settlement, Entry and Registration Verification Service through the Automated Customer Account Transfer Service. (Rel. 34-27454); and The American Stock Exchange (SR-Amex-83-27) to adopt equity specialist performance, allocation, and reallocation procedures and changes to its specialist unit evaluation questionnaire. (Rel. 34-27455)

## **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 DRUMMOND BANKING CO, SUITE 605 P.O.DRAWER 406, 2202 NORTH YOUNG BLVD, CHIEFLAND, FL 32626 (904) 493-0606 220,000 (\$2,420,000) COMMON STOCK. (FILE 33-32018-A NOV. 13) (BR. 2 NEW ISSUE)
- S-18 PROTOQWIK INC, 900 GRIER DR BLDG B, LAS VEGAS, NV 89101 (702) 897-2122 623,936 (\$1,871,808) COMMON STOCK. 623,936 (\$3,119,680) COMMON STOCK. (FILE 33-32077 NOV. 16) (BR. 2 NEW ISSUE)
- S-8 TEMPLE INLAND INC, 303 S TEMPLE DR, P O DRAWER N, DIBOLL, TX 75941 (409) 829-2211 5,000,000 (\$5,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 60,000 COMMON STOCK. (FILE 33-32124 NOV. 20) (BR. 8)

- S-11 POTUMAC FINANCIAL CORP, SUITE 500, 1155 CONNECTICUT AVE N W, WASHINGTON, DC 20036 (202) 467-8575 5,000 (\$5,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 33-32129 NOV. 21) (BR. 11 NEW ISSUE)
- S-8 PRIMERICA CORP /NEW/, 65 E 55TH ST, NEW YORK, NY 10022 (212) 891-8900 9,000,000 (\$8,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-32130 NOV. 21) (BR. 12)
- S-18 ESARCO INTERNATIONAL INC, 1949 E SUNSHINE ST, STE 2-100, SPRINGFIELD, MO 65804 (417) 885-3879 - 655,500 (\$3,441,375) COMMON STOCK. 1,311,000 (\$2,622,000) COMMON STOCK. 655,500 (\$983,250) WARRANTS, OPTIONS OR RIGHTS. \$57 WARRANTS, OPTIONS OR RIGHTS. (FILE 33-32132-C - NOV. 16) (BR. 12)
- S-4 WICHITA RIVER HOLDING CORP, 905 ANACONDA TOWER, 555 SEVENTEENTH ST, DENVER, CO 80202 (303) 292-3300 5,091,482 (\$31,185,327) COMMON STOCK. (FILE 33-32159 NOV. 17) (BR. 3 NEW ISSUE)
- S-8 LAM RESEARCH CORP, 4650 CUSHING PKWY, FREMONT, CA 94538 (415) 659-0200 1,833,478 (\$9,969,537) COMMON STOCK. (FILE 33-32160 NOV. 17) (BR. 10)
- S-1 JONES GROWTH PARTNERS II L P, 9697 E MINERAL AVE, ENGLEWOOD, CO 80112 (303) 792-9191 - 150,000 (\$150,000,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-32169 - NOV. 20) (BR. 7 - NEW ISSUE)
- S-8 SEARS ROEBUCK & CO, SEARS TOWER, CHICAGO, IL 60684 (312) 875-2500 2,400 (\$150,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-32170 NOV. 20) (BR. 2)
- S-2 HOLLY CORP, 717 N HARWOOD ST, STE 2600, DALLAS, TX 75201 (214) 979-0210 1,480,000 (\$45,510,000) COMMON STOCK. (FILE 33-32171 NOV. 20) (BR. 3)
- S-4 UNION PLANTERS CORP, 67 MADISON AVE 12TH FLR, UNION PLANTERS BANK BLDG, MEMPHIS, TN 38103 (901) 523-6000 220,000 (\$2,239,600) COMMON STOCK. (FILE 33-32172 NOV. 20) (BR. 1)
- S-4 UNION PLANTERS CORP, 67 MADISON AVE 12TH FLR, UNION PLANTERS BANK BLDG, MEMPHIS, TN 38103 (901) 523-6000 130,000 (\$1,612,000) COMMON STOCK. (FILE 33-32173 NOV. 20) (BR. 1)
- S-8 HOMESTAKE MINING CO /DE/, 650 CALIFORNIA ST, 9TH FL, SAN FRANCISCO, CA 94108 (415) 981-8150 50,000 (\$900,000) COMMON STOCK. \$1,500,000 OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-32174 NOV. 20) (BR. 2)
- S-6 INSURED MUNICIPALS INCOME TRUST 84TH INSURED MULTI SERIES, 1001 WARRENVILLE ROAD, LISLE, IL 60532 - 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. UNDERWRITER: VAN KAMPEN MERRITT INC. (FILE 33-32175 - NOV. 20) (BR. 18 - NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST 85TH INSURED MULTI SERIES, 1001 WARRENVILLE ROAD, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. (FILE 33-32176 NOV. 20) (BR. 18 NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST 86TH INSURED MULTI SERIES, 1001 WARRENVILLE ROAD, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. (FILE 33-32177 NOV. 20) (BR. 18 NEW ISSUE)
- S-6 INSURED MUNICIPALS INCOME TRUST 87TH INSURED MULTI SERIES, 1001 WARRENVILLE ROAD, LISLE, IL 60532 1,000 (\$1,020,000) UNIT INVESTMENT TRUST. (FILE 33-32178 NOV. 20) (BR. 18 NEW ISSUE)
- S-1 GLASSTECH INDUSTRIES INC /DE/, 995 FOURTH ST, PERRYSBURG, OH 43551 (419) 661-9500 85,000,000 (\$85,000,000) FLOATING RATE NOTES. 25,000,000 (\$25,000,000) STRAIGHT BONDS. 89,500,000 (\$89,500,000) STRAIGHT BONDS. (FILE 33-32185 NOV. 17) (BR. 10 NEW ISSUE)
- S-4 ARIX CORP, 821 FOX LANE, SAN JOSE, CA 95131 (408) 432-1200 7,665,177 (\$38,325,885) COMMON STOCK. (FILE 33-32192 NOV. 21) (BR. 9)
- S-3 GREENWICH PHARMACEUTICALS INC, 501 OFFICE CENTER DR, FORT WASHINGTON, PA 19034 (215) 540-9500 1,830,888 (\$8,238,996) COMMON STOCK. (FILE 33-32194 NOV. 21) (BR. 4)
- S-8 DRESHER INC, 7200 S MASON AVE, PO BOX 8, BEDFORD PARK, IL 60499 (312) 594-8900 250,000 (\$702,500) COMMON STOCK. (FILE 33-32196 NOV. 21) (BR. 6)

- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 531 (FILE 33-32210 NOV. 21) (BR. 22 NEW ISSUE)
- S-8 PACIFICORP /OR/, 700 NE MULTNOMAH STE 1600, PORTLAND, OR 97232 (503) 731-2000 (FILE 33-32211 NOV. 21) (BR. 8)
- S-8 STORAGE TECHNOLOGY CORP, 2270 S 88TH ST, LOUISVILLE, CO 80028 (303) 673-7919 85,000 (\$1,115,625) COMMON STOCK. (FILE 33-32235 NOV. 20) (BR. 9)
- S-3 LEGGETT & PLATT INC, NO 1 LEGGETT RD, CARTHAGE, MO 64836 (417) 358-8131 10,000 (\$318,750) COMMON STOCK. (FILE 33-32237 NOV. 20) (BR. 6)
- S-8 NHI NELSON HOLDINGS INTERNATIONAL LTD, EXCHANGE TOWER STE 3400, 2 FIRST CANADIAN PL, TORONTO ONTARIO M5X 1J3, A1 (213) 285-6000 450,000 (\$4,056,646) COMMON STOCK. (FILE 33-32238 NOV. 20) (BR. 11)
- S-8 HANDEX ENVIRONMENTAL RECOVERY INC, 500 CAMPUS DR, MORGANVILLE, NJ 07751 (201) 536-8500 516,000 (\$8,514,000) COMMON STOCK. (FILE 33-32239 NOV. 20) (BR. 8)
- S-8 STRYKER CORP, 5136 LOVERS LN, KALAMAZOO, MI 49002 (616) 385-2600 1,350,000 (\$26,325,000) COMMON STOCK. (FILE 33-32240 NOV. 20) (BR. 8)
- S-3 RESEARCH INDUSTRIES CORP, 1847 W 2300 SOUTH, SALT LAKE CITY, UT 84119 (801) 972-5500 80,000 (\$690,000) COMMON STOCK. (FILE 33-32241 NOV. 20) (BR. 4)
- S-8 BEARD OIL CO /DE/, ENTERPRISE PLZ, 5600 N MAY AVE STE 200, OKLAHOMA CITY, OK 73112 (405) 842-2333 250,000 (\$1,068,750) COMMON STOCK. (FILE 33-32242 NOV. 20) (BR. 12)
- S-8 STORAGE TECHNOLOGY CORP, 2270 S 88TH ST, LOUISVILLE, CO 80028 (303) 673-7919 550,000 (\$6,135,937.50) COMMON STOCK. (FILE 33-32243 NOV. 20) (BR. 9)

#### REGISTRATIONS EFFECTIVE

Nov. 1: The Alpine Group, Inc., 33-30246; Bank Maryland Corp., 33-31351; Caribbean Select, Inc., 33-30699; Comerica Incorporated, 33-31777; Continental Cablevision, Inc., 33-31087; Edisto Resources Corporation, 33-31811; Federated Municipal Trust, 33-31259; Fifth Third Bancorp, 33-31813; Healthsource, Inc., 33-31165; MNC Financial, Inc., 33-31218; Palm Series Trust, 33-30643; Payline Systems, Inc., 33-29986; T. Rowe Price Renaissance Fund, Ltd., 33-29400; Western Media Group Corporation, 33-28078. Nov. 2: Chemical Bank, 33-31742; Chemical Bank Credit Card Trust 1989-A, 33-31742-02; Chemical Bank Delaware, 33-31742-01; General Parcel Service Inc., 33-30123-A; H.F. Ahmanson & Company, 33-31590; MAF Bancorp, Inc., 33-30669; Municipal Investment Trust Fund One Hundred Fortieth Intermediate Term Series, 33-31132; Pepsico, Inc., 33-31844; R.P. Scherer Corporation, 33-30362; Sappho, Inc., 33-21339; Tyco Toys, Inc., 33-31433; Union Camp Corporation, 33-31783.

Nov. 3: Advanced Telecommunications Corporation, 33-21339; Tyco Toys, Inc., 33-31433; Union Camp Corporation, 33-31796; Comcast Corporation, 33-31090; Cooper Industries, Inc., 33-31941; Corestates Financial Corp., 33-31896; Crestar Financial Corporation, 33-31696; Granada Biosciences, Inc., 33-30439; Granada Foods Corp., 33-30440; Incstar Corporation, 33-30785, 33-31753; J. Baker, Inc., 33-31767; Lunenburg Community Bankshares, Inc., 33-30909; Marietta Corporation, 33-31767; Lunenburg Community Bankshares, Inc., 33-30909; Marietta Corporation, 33-3196; Occidental Petroleum Corporation, 33-31318; Paudan, Inc., 33-30677-NY; Pennzoil Company, 33-31891; Sears Equity Investment Trust, Strategic Series 7, 33-31258.

## **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	ı	FORM	EVENT DATE	SHRS(000)/ %DWMED	COTIF:	FILING STATUS
AM INTL INC STATE OF WISCOMSIN INVEST	COM NEW		11/ 9/89	4.504 8.8	00172320 7.8	UFDATE
AMBRIT THE EMPIRE OF CARBLINA INC ET	COM AL	13D	11/14/89	14.568 82.8	0233 <del>6</del> 310 82.8	UPDATE
AMERICAN MGMT SYS INC FIELDING FRED F	COM	13D	11/17/89	897 9.0	02735210 9.0	UPDATE
ASIAMERICA EQUITIES LTD ASIAMERICA CARITAL LTD	SH BEN	INT NEW		3,472 42.8	04516620 45.3	UPDATE
CVM COS INC TELE-COMMUNICATIONS INC ET	COM FAL	13D	10/31/89	NZA NZA	12660610 47.0	UPDATE
CAMERON IROM WORKS HLDG INC ABERCROMBIE JAMES & LILLIE		13D	11/15/89	14,412 47.1	13342910 47.1	
CARDINAL INDS INC CHARTER LUMBER CD ET AL	COM	13b	9/22/89	177 6.4	14190010 5.2	UPDATE
CHILE FD INC SOROS GEORGE	CDM	13D	11/ 7/89	237 5.1	16883410 7.4	UFINTE
CLABIR CORP EMPIRE OF CAPOLINA INC ET	CDM AL	13D	11/14/89	28,775 64.9	17887210 3.4	UFIATE
CLABIR COPP EMPIRE OF CARDLINA INC ET	CL B AL	13D	11/14/89	30•275 98.9	17887220 100.0	
CLABIR CORP EMPIRE OF CAROLINA INC ET	PFD STM		11/14/89	2,447 61.1	17887230 71.2	
CONGRESS VIDEO GROUP CURD HOWARD R ET AL	COM	13D	9/26/89	1,218 25.1	20726610 100.0	UPDHTE
COMMANGHT BIOSCIENCES INC INSTITUT MERIEUX S A ET AG		141-1	11/20/89	2,750 12.6		
DALLAS CORP BESSEMER SECUPITIES CORP (	COM ET AL	14D-1	11/17/89	0 0.0	23456910 0.0	NEW
DURHAM CORP FRANZ DONALD E JR ET AL	COM	13D	11/14/89	470 5.6		UPBATE
EMPIRE OF CAROLINA INC HALCO INDUSTRIES	COM	13D	11/14/89	5,678 65.2		UPDATE
EMPIRE OF CAPOLINA INC HALPERIN BARRY S	COM	13D	11/14/89	6,391 73.4		UPDATE
EMPIRE OF CAROLINA INC HALPERIN MAURICE A	CDM	13D	11/14/89	690 7.9		UPDATE
EMPIRE OF CAROLINA INC MINKIN CAROL ANN	COM	13D	11/14/89	393 4.5		UPDATE
ENERGY ASSETS INTL CORP UNITED INVESTORS MGMT CO	CDM	13D	<b>9</b> /30/89		2929141( 41.6	UFDATE
FASTENAL CO HIAWATHA EDUCATION FNDTN	COM	13D	11/17/89	0.0	31190010 6.9	
FASTENAL CO HIAWATHA EDUCATION FADTA	CDM	13D	11/17/89	0.0		) RV3IOn
FREEPORT MCMOPAN ENERGY PART FREEPORT MCMORAN INC	DEFCSI	T UNIT 13D	11/14/89		35699010 75.6	UPDATE
FREEPORT MCMORAN ENERGY PART MCMORAN DIL & GAS CO	DEPOSI	_	11/14/89		35699010 49.3	UPDATE

GENOORF INC STATE OF WISCOMSIN INVEST	COM BP	13D	11/ 2/89	2,847 9.0		UPDATE
GENZYME CORP STATE OF WISCONSIM INVEST	COM BD		11/ 6/89	MZA NZA	37291710 4.7	UPDATE
HIMONT INC MONTEDISON S P A ET AL	CDM	141-1	11/17/89	52,527 81.0		UPDATE
KMW SYS CORP ANDREW CORP ET AL	CDM	14D-1	11/20/89	2,148 96.3		UPDATE
KIRSCHNER MED CORP CHESAPEAKE INS CO ET AL	COM	13D	11/ 7/89	134 5.8	49766010 0.0	NEW
LA QUINTA MTR INNS LTD PRINR BLEICHPOEDER APNHOLD & S &		FRY UNI 13D	T 11/11/89	265 6.7	50419610 5.3	UPDATE
LOAM AMERICA FINANCIAL CORP FROST PHILLIP ET AL	CL B	13D	11/ 8/89	113 5.7		HEM
MAPROW-TECH INC DAVIS J MORTON ET AL	CL A	13D	11/10/89	1,076 21.7	57164210 24.2	UPDATE
MCGILL MFG INC REXMORD HOLDING ET AL	COM	13D	11/15/89	130 9.0	58052610 9.0	UPDATE
NORTH WEST TELECOMMUNICATION GAMCO INVESTORS INC ET AL	COM	13D	11/15/89	644 11.4	66330810 10.3	
NORTHWESTERN STS PORTLAND CE INDUSTRIAL EQUITY LTD ET		13D	11/16/89		66835010 11.3	UPDATE
NYTEST ENVIRONMENTAL HARVEY GERALD J	СФМ	13D	10/17/89	2,716 47.6	67077310 49.4	
PERLE SYS LTD BLEICHROEDER ARNHOLD & S	COM ET AL	13D	11/ 2/89	622 29.7		UPDATE
PRIME FINL PARTNERS L P GIHON CAPITAL PTRNS ET AL	CDM	13D	11/ 9/89	425 11.2		NEW
GVC NETWORK INC TELE-COMMUNICATIONS INC E	COM T AL	13D	10/31/89	8,235 56.7		
QUIPP INC LANGONE KENNETH G	CDM	13D	9/ 1/89	215 14.2		UPDATE
REFLECTONE INC BRITISH AEROSPACE PLC	COM	13D	11/ 9/89		75865710 28.7	
SFFED CORP STATE OF WISCONSIN INVEST	COM BD	13D	11/ 1/89		78413210 4.4	UPDATE
SCHAFER VALUE TR INC KRAMER CHARLOTTE ET AL	CDM	13D	11/15/89		80627310 7.7	UPDATE
TINTORETTO INC INTL STERLING HOLDINGS	COM	13D	11/ 8/89		88768710 0.0	NEW
TOSCO CORP STATE OF WISCONSIN INVEST	COM NE		11/ 8/89		89149030 6.1	UPDATE
TRANSPIRATOR TECHNOLOGIES IN MORAN J T & CD	COM	13D	8/31/89		89391010 39.4	UPDATE
VESPER CORPORATION ARROWHEAD HOLDINGS CORP	COMMON	STOCK 13D	11/ 6/89	15,376 92.3	92538510 91.7	UPDATE
WARREN BANCORP INC CAMELLIA INVESTMENTS PLC		130	11/ 7/89		93471010 7.0	UPDATE

## **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
  Item 2. Acquisition or Disposition of Ass Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

	STATE	BK IT	EM NO.	,		
NAME OF ISSUER	CODE	1 2 3			DATE	COMMENT
ABLE TELCOM HOLDING CORP ADVANTAGE ENTERTAINMENT INC AEQUITRON MEDICAL INC AIR & WATER TECHNOLOGIES CORP ALCO INTERNATIONAL GROUP INC ALPNET INC AMALGAMATED INVESTMENT CORP AMERIBANC INVESTORS GROUP AMERICAN NETHORK GROUP INC AMERICAN NETHORK GROUP INC AMERICAS FAVORITE CLOTHING INC /CO/ AMERICAS FAVORITE CLOTHING INC /CO/ AMERON INC/CE ANCHOR GLASS CONTAINER CORP ANGELES CORP /CA/ ARIS CORPORATION ARKANSAS FREIGHTMAYS CORP BANCORP HAWAII INC C SQUARE VENTURES INC CALIFORNIA CARS GRANTOR TRUST 1986—A CENTURY PROPERTIES FUND XY CERADYNE INC CHESAPEAKE INDUSTRIES INC /MD/ CHICAGO & NORTH WESTERN ACQUISITION CORP CHICAGO & NORTH WESTERN HOLDINGS CORP CHEAR CHANNEL COMMUNICATIONS INC					11 (01 (00	
ABLE TELCOM HOLDING CORP	CO		X		11/01/89	
ADVANTAGE ENTERTAINMENT INC	CO		X		11/06/89	
AEQUITRON MEDICAL INC	MN		X		10/30/89	
AIR & WATER TECHNOLOGIES CORP	DE	X		X	10/27/89	
ALCO INTERNATIONAL GROUP INC	DE		X	X	10/26/89	
ALPNET INC	UT	NO IT	EM5		11/08/89	
AMALGAMATED INVESTMENT CORP	DE	X		X	10/27/89	
AMERIBANC INVESTORS GROUP	MD		X	X	11/10/89	
AMERICAN NETWORK GROUP INC	DE	X		X	10/31/89	
AMERICAN NETWORK GROUP INC	DE	X		X	11/01/89	
AMERICAS FAVORITE CLOTHING INC /CO/	CO		X		11/08/89	
AMERON INC/CE	DE		X		10/26/89	
ANCHOR GLASS CONTAINER CORP	DE		X		11/08/89	
ANGELES CORP /CA/	CA		X		10/23/89	
ARIS CORPORATION	DE			X	10/28/89	
ARKANSAS FREIGHTWAYS CORP	AR		X		11/13/89	
BANCORP HAWAII INC	HI		X		11/09/89	
C SQUARE VENTURES INC	TX		X	X	10/31/89	
CALIFORNIA CARS GRANTOR TRUST 1986-A	CA		X	X	11/10/89	
CENTURY PROPERTIES FUND XV	CA	X		X	10/31/89	
CERADYNE INC	DE	X		X	10/27/89	
CHESAPEAKE INDUSTRIES INC /MD/	MD	X		X	11/01/89	
CHICAGO & NORTH WESTERN ACQUISITION CORP	DE		X	X	10/26/89	
CHICAGO & NORTH WESTERN HOLDINGS CORP	DE		X	X	10/26/89	
CHYRON CORP	NY	X		X	10/27/89	
CLEAR CHANNEL COMMUNICATIONS INC	TX			X	09/01/89	AMEND
CLINICAL SCIENCES INC	D€		X	X	11/03/89	
CHYRON CORP CLEAR CHANNEL COMMUNICATIONS INC CLINICAL SCIENCES INC CLUB AMERICA INC CNH CORP /DE/ COGA COLA CO COLGATE PALMOLIVE CO COPLEY REALTY INCOME PARTNERS 4 CXR CORP DSI REALTY INCOME FUND XI EASTERN BANCORP INC	CO		X		11/06/89	
CNW CORP /DE/	DE		X	X	10/26/89	
COCA COLA CO	DE	X		X	11/01/89	
COLGATE PALMOLIVE CO	DE	NO IT	TEMS		06/19/89	AMEND
COPI BY REALTY INCOME PARTNERS 4	MA		X	X	11/09/89	
CAD LUBO	DE	X	X		11/01/89	
CXR CORP DSI REALTY INCOME FUND XI EASTERN BANCORP INC	CA	X		••	08/28/89	ANEND
FACTERN RANCORD INC	DF	•		X	08/31/89	AMEND
EASIERN BANCURP INC ENTERTAINMENT CORPORATION OF AMERICA EXTARE CORP FAIRCHILD INDUSTRIES INC FCC NATIONAL BANK FIRST AMFED CORP	DE	x		X	08/01/89	AHEND
EVTADE CODO	TA	-	X	X	10/30/89	
EATOPHER TANISTOILS THE	n.E		x	x	11/15/89	
ECC MATIONAL DAMP	UL		^ x	x	11/09/89	
FIRST AMEED CORD	ne.	x	^	â	10/27/89	
FIRST AMPEU CURP	UE	^	x	â	11/09/89	
FIRST NATIONAL BANK UP CHICAGO /USA/	NC		x ^	^	11/09/09	
FIRST AMFED CORP FIRST NATIONAL BANK OF CHICAGO /USA/ FIRST PROVIDENT GROUP INC FLORIDA INCOME FUND III LIMITED PARTNERS	NC NE	X	^	x	10/30/89	
PLUKIUA INCUME PUNU III LITITEU PAKINEKS	UE	^	u	x	10/30/89	
			X			
FPL GROUP CAPITAL INC FULTON FINANCIAL CORP GATEWAY COMMUNICATIONS INC GRAND VALLEY GAS CO	FL		X		11/09/89	
FULTON FINANCIAL CORP	PA		X		11/09/89	
GATEMAY COMMUNICATIONS INC	CA		X	X	11/02/89	
GRAND VALLEY GAS CO	UT		X		10/24/89	

NAME OF ISSUER	STATE	8K ITEM NO	678	CATE	COMMENT
GREENE COUNTY BANCSHARES INC GRIFFIN RESORTS INC GRIST MILL CO HEALTH CONCEPTS IV INC HEALTHCARE INTERNATIONAL INC HORN & HARDART BAKING CO	TN	x	x	11/93/89	
GRIFFIN RESORTS INC	DE	X	X	11/13/89	
GRIST MILL CO	DE	X	X	10/23/89	
HEALTH CONCEPTS IV INC	DE.	X		11/07/89	
HEALTHCARE INTERNATIONAL INC	TX	x x	X	10/30/89	
HORN & HARDART BAKING CO	LN	X		11/10/89	
INPERIAL SAVINGS ASSOC MOR PAS THR CER C	CA		X	09/25/89	
IMPERIAL SAVINGS ASSOC NOR PAS THR CER C	CA		X	09/25/89	
INPERIAL SAVINGS ASSOC MORT PASS THR CER	CA		X	09/25/89	
IMPERIAL SAVINGS ASSOC MORT PASS THR CER	CA		X	09/25/89	
IMPERIAL SAVINGS ASSOC MORT PASS THR CER	CA		X	09/25/89	
IMPERIAL SAVINGS ASSOCIATION MORT PAS TH	CA		X	09/25/89	
INTERCOM SYSTEMS INC		x x		10/31/89	
INTERCOM SYSTEMS INC  J B CAPITAL  LANCER INDUSTRIES INC /DE/  LANCER INDUSTRIES INC /DE/  LEGEND FOODS INC  LILLY ELI & CO  LIVINGHELL INC  LOME STAR INDUSTRIES INC  LOS ANGELES SECURITIES GROUP  LM INDUSTRIES INC  MARATHON OFFICE SUPPLY INC  MATRIX MEMBRANES INC  MAUNA LOA MACADAMIA PARTNERS LP  MBIA INC  MCGRAM HILL INC  MCGRAM	NV	X	X	10/08/89	AMEND
LANCER INDUSTRIES INC /DE/	DE		X	08/04/89	AMEND
LANCER INDUSTRIES INC /DE/	DE		X	08/21/89	AMEND
LEGEND EDDDS INC	NY	x	X	10/27/89	A.1.4.15
I I I V FI I E CO	TM	x	^	10/31/89	AMEND
IIVINCUEII INC	ne ne	^ x	x	10/27/89	MULIO
LOWE CIAB INDUCTRIES INC	DE	^ x	~	11/09/89	
INC ANCELES SECIPITIES CROUP	HT	â		11/08/89	
IN INDICTORS INC	ne.	^	X	08/31/89	AMEND
MADATHON MEETE CHOOLV INC	DE	x x	^	09/30/89	ANCHU
MATRIX MEMBRANES THE	רט סר	x ^ ^	x	11/08/89	
MAINIA TERDERANES INC.	ns.	â	x	10/27/89	
MRIA INC	CT	^ x	^	10/27/89	
MCCBAU LITTS TMC	NV	x	x	11/06/89	
MCORP	DE	. x		10/11/89	
MED THREETETAL REFERENCE OF CERVICE OF	DE	x	x	10/25/89	
MEDCHANTS NATIONAL COOR	TN	^ x	X	10/23/89	
MAC CIMANCIAL INC /MC/	114	^	x		
NOT CHARGIAL INC / NO!	DE		^	11/09/89	
NEW ENGLAND ELECTRIC EVETEN	DE	X		11/03/89	
MEN LEBERY OFFI TELEPHONE CO	74	X		11/15/89	
MEW JERSEY BELL TELEPHONE LU	42	u	X	11/09/89	
MURMANUT UIL & GAS CU INC	NT	X	X	04/01/89	
MUKIN AMEKILAN WENJUKES INC	MU NH	X	X	10/31/89	
MUKIN AILANIIL INUUSIKIES INC	NY	X	X	11/03/89	
OLD STONE CURP	KI	X		10/27/89	
UNE AMERICAN CURP	LA	NO ITEMS		11/02/89	
ORS CORP	OK	X		11/01/89	
MCORP MCR INDUSTRIAL DISTRIBUTION & SERVICE CO MERCHANTS NATIONAL CORP MNC FINANCIAL INC /MD/ NDE ENVIRONMENTAL CORP NEW ENGLAND ELECTRIC SYSTEM NEW JERSEY BELL TELEPHONE CO NORMANDY OIL & GAS CO INC NORTH AMERICAN VENTURES INC NORTH ATLANTIC INDUSTRIES INC OLD STONE CORP ONE AMERICAN CORP OYONIC INAGING SYSTEMS INC PAPERCRAFT CORP PATIENT CARE OF AMERICA INC PENNSYLVANIA POWER & LIGHT CO /PA	DE	X		11/10/89	
PAPERCRAFT CORP	PA	X	X	10/27/89	
PATIENT CARE OF AMERICA INC	FL	NO ITEMS		09/18/89	
PENNSYLVANIA POWER & LIGHT CO /PA	PA		<b>X</b>	10/11/89	
PATIENT CARE OF AMERICA INC PENNSYLVANIA POWER & LIGHT CO /PA PEOPLES SAVINGS FINANCIAL CORP PRESIDENTIAL AIRMAYS INC PRIAM CORP PRUDENTIAL BACHE TAX CREDIT PROGRAM	CT	X	X	07/31/89	
PRESIDENTIAL AIRWAYS INC	DE	X	X	10/26/89	
PRIAN CORP	DE	X	X	10/30/89	
PRUDENTIAL BACHE TAX CREDIT PROGRAM	DE	X	X	11/03/89	