Issue 82-204

U.S. SECURITIES AND EXCHANGE COMMISSION

October 22, 1982

## **RULES AND RELATED MATTERS**

EXEMPTION FOR OPTIONS ON GOVERNMENT SECURITIES TRADED OTHERWISE THAN ON A NATIONAL SECURITIES EXCHANGE

The Commission is proposing for comment Rule 3al2-7 under the Securities Exchange Act of 1934 that would designate as "exempted securities" under that Act options on government securities when such options are traded otherwise than on a national securities exchange or an automated quotation system of a registered securities association. The proposed rule would allow brokers and dealers doing business exclusively in these options and in other exempted securities to conduct such business without registration with the Commission.

Interested persons should submit three copies of their written data, views and arguments to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, DC 20549, on or before December 1 and should refer to File No. S7-950. All submissions will be available for public inspection. (Rel. 34-19162)

FOR FURTHER INFORMATION CONTACT: Kevin Fogarty at (202) 272-2416

## CIVIL PROCEEDINGS

#### LARRY WILL AND KEITH STOKES FOUND IN VIOLATION AND ENJOINED

The Chicago Regional Office announced that on October 8 the Honorable Joel M. Flaum, U.S. District Judge for the Northern District of Illinois, Eastern Division, entered Findings and Fact and Conclusions of Law and a Final Judgment of Permament Injunction and Other Equitable Relief against Larry Will and Keith Stokes. The Findings and Judgment were entered after the defendants failed to answer the Commission's Motion for Summary Judgment against them, and after the Court found that no genuine issue of fact existed with regard to the violations alleged against the defendants and the appropriateness of injunctive relief.

Based upon findings of fact, which manifested, among other things, the offer and sale of oil and gas interests to public investors by the defendants, the Court concluded as a matter of law that the defendants violated the registration and antifraud provisions of the securities laws, and that their action demonstrated a reasonable likelihood of future violations.

The Judgment enjoins the defendants from further violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. In addition, the Judgment orders the defendants to provide to the Court, within 30 days of service upon each of them of the Judgment, accountings of all funds received from investors, the uses to which such funds were put and any interests in oil and gas ventures received by them. (SEC v. James Dale Daugherty, et al., N.D. Ill., Civil Action No. 81 C 6583). (LR-9786)

### HORIZON TECHNOLOGY, INC. ENJOINED

The Commission announced that on October 18 the U.S. District Court for the District of Columbia enjoined Horizon Technology, Inc. from failing to timely file periodic reports with the Commission and ordered it to comply with certain undertakings. Horizon is engaged in developing, manufacturing and marketing products for the efficient use of energy. The Commission, in its complaint filed on August 19, alleged that Horizon failed to file timely annual and quarterly reports as part of a continuing course of violative conduct extending over several years. Horizon, in consenting to entry of judgment against it, admitted failure to file reports on a timely basis on five separate occasions. It has agreed to adopt certain procedures to assure timely filing of reports required to be filed under the Securities Exchange Act of 1934. (SEC v. Horizon Technology, Inc., U.S.D.C. D.C., Civil Action No. 82-2329). (LR-9788)

# **CRIMINAL PROCEEDINGS**

#### R. JACK BERNHARDT CONVICTED

The Chicago Regional Office announced that on October 14 a federal jury in Chicago convicted R. Jack Bernhardt of Wilmette, Illinois on nine counts of mail fraud and 12 counts of securities fraud. He was acquitted on one count of mail fraud and four counts of securities fraud. Bernhardt was convicted of, among other things, engaging in a scheme to manipulate, dominate and control the markets in the common stocks of Olympia Brewing Company and Stange Co. while he was employed as a registered representative with Swift, Henke & Co., Inc. and Loeb Rhoades and Co., Inc. and its predecessor firm, Loeb Rhoades and Co. (U.S. v. R. Jack Bernhardt, U.S.D.C. N.D. Ill., Eastern Division, Criminal File No. 81 CR 303). (LR-9787)

## INVESTMENT COMPANY ACT RELEASES

#### TUCKER ANTHONY GROUP OF TAX EXEMPT FUNDS

An order, pursuant to Section 6(c) of the Investment Company Act of 1940, has been issued on an application by Tucker Anthony Group of Tax Exempt Funds (Applicant), an open-end, diversified, management investment company, exempting Applicant from the provisions of Section 2(a)(41) of the Act and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit Applicant's price per share for purposes of sales, redemptions and repurchase to be calculated by using the amortized cost method of valuation. (Rel. IC-12746 - Oct. 18)

#### AMERICAN GENERAL LIFE INSURANCE COMPANY OF DELAWARE

An order has been issued on an application filed by American General Life Insurance Company of Delaware, American General Life Insurance Company of Delaware Separate Account D and American General Capital Distributors, Inc., pursuant to Section 6(c) of the Investment Company Act of 1940, granting exemptions from Sections 26(a) 26(a) (2) (D), and 27(c) (2) of the Act and, pursuant to Section 11 of the Act, approving the terms of certain offers of exchange. (Rel. IC-12747 - Oct. 19)

## NORTHWESTERN NATIONAL LIFE INSURANCE COMPANY

A notice has been issued on an application filed by Northwestern National Life Insurance Company, NWNL Select Variable Account, and Clarendon Insurance Agency, Inc., for an order, pursuant to Section 11 of the Investment Company Act of 1940, approving the terms of certain offers of exchange. (Rel. IC-12748 - Oct. 19)

### NATIONWIDE LIFE INSURANCE COMPANY

A notice has been issued on an application filed by Nationwide Life Insurance Company, Nationwide Variable Account and Heritage Securities, Inc. (Applicants), for an order, pursuant to Section 11 of the Investment Company Act of 1940, approving the terms of certain offers of exchange and for an order, pursuant to Section 6(c) of the Act, granting an exemption from Section 26(a)(2)(D) of the Act in connection with certain variable annuity contracts to be offered by Applicant. (Rel. IC-12749 - Oct. 19)

### DAILY TAX FREE INCOME FUND, INC.

A notice has been issued giving interested persons until November 12 to request a hearing on an application filed by Daily Tax Free Income Fund, Inc. (Applicant), registered under the Investment Company Act of 1940 as an open-end, diversified, management investment company, for an order, pursuant to Section 6(c) of the Act, exempting Applicant and any additional separate investment portfolios that may be established by Applicant from the provisions of Section 2(a) (41) of the Act and Rules 2a-4 and 22c-1 thereunder to the extent necessary to permit it to use the amortized cost method of valuation. (Rel. IC-12750 - Oct. 19)

# HOLDING COMPANY ACT RELEASES

MIDDLE SOUTH UTILITIES, INC.

A notice has been issued giving interested persons until November 15 to request a hearing on a proposal by Middle South Utilities, Inc., a registered holding company, to open its voluntary Dividend Reinvestment and Stock Purchase Plan (Plan) to holders of shares of the preferred stock of Arkansas Power & Light Company, Louisiana Power & Light Company, Mississippi Power & Light Company, and New Orleans Public Service Inc., subsidiaries of Middle South, whereby such shareholders would be able to invest cash payments and preferred-share dividends in shares of Middle South common stock. Middle South also proposes to issue and sell pursuant to the Plan, through December 31, 1984, an additional 12,500,000 shares of authorized but previously unissued common stock. (Rel. 35-22674 - Oct. 20)

THE COLUMBIA GAS SYSTEM, INC.

A notice has been issued giving interested persons until November 15 to request a hearing on a proposal by The Columbia Gas System, Inc., a registered holding company, and Columbia Gas of Ohio, Inc. (COH), subsidiary of Columbia, regarding a proposed sale and leaseback arrangement by COH of the 15-story, system office building being constructed in Columbus, Ohio, and Columbia's proposed guaranty of COH's lease obligations. (Rel. 35-22675 - Oct. 20)

## SELF-REGULATORY ORGANIZATIONS

### NOTICE OF EFFECTIVENESS OF PROPOSED RULE CHANGES

A rule change filed by the Depository Trust Company, pursuant to Rule 19b-4, (SR-DTC-82-7) has become effective in accordance with Section 19(b)(3)(A) of the Securities Exchange Act of 1934. The rule change increases fees for Participants' leasing of Participant Terminal System terminals, printers and communication lines to offset increases in costs incurred by DTC in providing the equipment to Participants. In addition, DTC will charge Participants for expenses incurred in training Participants' personnel, installing modems and secure and dial back-up telephone lines at Participants' offices as well as shipping equipment to those offices. Publication of the proposal is expected to be made in the Federal Register during the week of October 18. (Rel. 34-19155)

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGES

The Commission has approved, on an accelerated basis, proposed rule changes filed by the Chicago Board Options Exchange Incorporated, American Stock Exchange, Inc. and Philadelphia Stock Exchange, Inc. (SR-CBOE-82-14, SR-Amex-82-13, SR-Phix-82-11) to amend their respective options rules so as to conform to the revised disclosure system for standardized options recently adopted by the Commission. (Rel. 34-19156)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed under Rule 19b-4 by the Options Clearing Corporation (SR-OCC-82-13) which creates a committee consisting of representatives of the OCC and each of the exchanges on which standardized options are traded to coordinate the preparation and amendment of the options disclosure documents filed with the Commission pursuant to its simplified disclosure system for standardized options. (Rel. 34-19157)

# TRUST INDENTURE ACT RELEASES

### EASTERN AIR LINES, INC.

A notice has been issued giving interested persons until November 12 to request a hearing on an application by Eastern Air Lines, Inc. for a determination by the Commission that Chemical Bank should not be deemed to have a conflicting interest within the meaning of Section 10(b) of the Trust Indenture Act of 1939, which would

disqualify it from acting as a trustee under a qualified indenture dated as of October 1, 1982 governing \$188 million of 16-1/8% secured equipment certificates, Series C, due October 15, 2002 which were sold by Eastern in an underwritten public offering. (Rel. TI-759)

#### CANADIAN PACIFIC LIMITED

A notice has been issued giving interested persons until November 15 to request a hearing on an application by Canadian Pacific Limited, pursuant to Section 310(b) (1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeship of the Bank of Montreal Trust Company under two indentures of Canadian Pacific is not so likely to involve a material conflict of interest as to make it necessary to disqualify the Bank from acting as trustee. (Rel. TI-760)

# **SECURITIES ACT REGISTRATIONS**

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; if the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION pursuant to Rule 415.

- (S-18) RESTAURANT ENTERTAINMENT, INC., 2668 Grant Ave., Ogden, UT 84402 (801) 394-4501 750,000 shares of common stock. Underwriter: Raymond, James & Associates, Inc. The company is engaged in operating "Chuck E. Cheese's Pizza Time Theatre restaurants and entertainment centers. (File 2-79753-D Oct. 12) (Br. 3 New Issue)
- (S-18) PETRO-KEN EXPLORATION I, 3005 Fairview Dr., Owensboro, KY 42301 (502) 683-5645 \$1,050,000 of interests. (File 2-79785-C Oct. 13) (Br. 4 New Issue)
- (S-3) CARTER HAWLEY HALE CREDIT CORP., 550 South Flower St., Los Angeles, CA 90071 (213) 620-0150 \$125 million of capital notes. Underwriter: Merrill Lynch White Weld Capital Markets Group. The company purchases customer receivables arising out of the business of Carter Hawley Hale Stores, Inc. (File 2-79897 Oct. 20) (Br. 1) [S]
- (S-3) FLEET FINANCIAL GROUP, INC., 55 Kennedy Plaza, Providence, RI 02903 (401) 278-5800 \$25 million of % convertible subordinated debentures, due 2007. Underwriter: Warburg Paribas Becker. The company is engaged in general commercial banking and trust businesses. (File 2-79903 Oct. 20) (Br. 2)
- (S-1) FINGERMATRIX, INC., 30 Virginia Rd., North White Plains, NY 10603 965,512 shares of common stock. The company is engaged in designing, producing and marketing its electronic physicial, data and other access control systems. (File 2-79906 Oct. 20) (Br. 9) [S]
- (S-14) FIRST NATIONAL VERMONT CORPORATION, 56 Main St., Springfield, VT 05156 (802) 885-4511 175,000 shares of common stock. (File 2-79911 Oct. 20) (Br. 2 New Issue)
- (S-14) HARBOR BANCORP., 6265 East Second St., Long Beach, CA 90803 (213) 598-0431 611,916 shares of common stock. (File 2-79912 Oct. 20) (Br. 1 New Issue)
- (S-3) HONEYWELL INC., Honeywell Plaza, Minneapolis, MN 55408 (612) 870-5200 \$150 million of debt securities. Underwriter: Morgan Stanley & Co. Incorporated. The company provides a wide variety of computer and control products and services. (File 2-79913 Oct. 20) (Br. 8) [S]
- (S-6's) TAX EXEMPT SECURITIES TRUST, MULTISTATE SERIES W; TAX EXEMPT SECURITIES TRUST, SERIES 72, 1345 Avenue of the Americas, New York, NY 10105 15,000 units (each Series). Depositors: Smith Barney, Harris Upham & Co. Incorporated, 1345 Avenue of the Americas, New York, NY 10105, Kidder, Peabody & Co. Incorporated, Drexel Burnham Lambert Incorporated and L. F. Rothschild, Unterberg, Towbin. (File 2-79916; 2-79917 Oct. 20) (Br. 16 New Issue)

- (S-1) TRANS WORLD AIRLINES, INC., 605 Third Ave., New York, NY 10158 (212) 557-3000 -\$60 million of % subordinated debentures, due November 15, 2002. Underwriter: Merrill Lynch White Weld Capital Markets Group. The company conducts airline (File 2-79918 - Oct. 20) (Br. 3)
- (S-3) SHELL OIL COMPANY, One Shell Plaza, Houston, TX 77002 (713) 241-4083 \$500 million of debt securities. (File 2-79919 Oct. 21) (Br. 3) [S]
- (S-3) NIAGARA MOHAWK POWER COMPANY, 300 Erie Blvd. West, Syracuse, NY 13202 (315) 474-1511 - \$100 million of first mortgage bonds. The company is engaged in production and/or purchase, transmission, distribution and sale of electricity. (File 2-79921 - Oct. 21) (Br. 7) [S]
- (S-3) OTTER TAIL POWER COMPANY, 215 South Cascade St., Fergus Falls, MN 56537 (218) 736-5411 - 300,000 common shares. (File 2-79922 - Oct. 20) (Br. 8)
- TEXAS AIR CORPORATION, Capital Bank Plaza, 333 Clay St., Suite 4040, Houston, TX 77002 (713) 658-9588 - 181,068 shares of common stock, and 90,534 shares of 15% preferred stock. (File 2-79924 - Oct. 21) (Br. 3)
- (S-8) PHIBRO-SALOMON INC., 1221 Avenue of the Americas, New York, NY 10020 2,500,000 shares of common stock. (File 2-79925 - Oct. 21) (Br. 7)
  - (S-8) SYNTEX CORPORATION, Edificio Bank of America, Calle 50 Panama, Republic of Panama \$40 million of participations and 200,000 shares of common stock. (File 2-79927 -Oct. 21) (Br. 4)
  - (S-6) NATIONAL MUNICIPAL TRUST, SIXTY-THIRD SERIES, One New York Plaza, New York, NY 10004 - 13,000 units. Depositor: Thomson McKinnon Securities Inc. (File 2-79928 -Oct. 21) (Br. 18 - New Issue)
  - NICOR INC., 1700 West Ferry Rd., P.O. Box 200, Naperville, IL 60566 (312) 242-4470 - 2,455,031 shares of common stock. The company is engaged in the distribution and sale of natural gas, among other things. (File 2-79931 - Oct. 21) (Br. 7) [S]
  - SYSTEMATICS, INC., 4001 Rodney Parham Rd., Little Rock, AR 72212 (501) 223-5100 -800,000 shares of common stock. Underwriters: L. F. Rothschild, Unterberg, Towbin and Alex. Brown & Sons. The company provides a wide range of data processing services. (File 2-79932 - Oct. 21) (Br. 9)
  - (S-3) GTE CORPORATION, One Stamford Forum, Stamford, CT 06904 (203) 965-2000 \$100 million of % subordinated convertible debentures, due 2007. Underwriters: Blyth Eastman Paine Webber Incorporated and Salomon Brothers Inc. The company is engaged in communications operations and products and network systems operations. (File 2-79929 - Oct. 21) (Br. 7)
  - (S-6) NUVEEN TAX-EXEMPT BOND FUND MULTI-STATE, SERIES 65, 209 South La Salle St., Chicago, IL 60604 - an indefinite number of units. Depositor: John Nuveen & Co. Incorporated. (File 2-79933 - Oct. 21) (Br. 18 - New Issue)
  - IRVING TRUST COMPANY, One Wall St., New York, NY 100,000 American Depositary Receipts for Nimslo International Limited. (File 2-79934 - Oct. 21) (Br. 99 -New Issue)

### REGISTRATIONS EFFECTIVE

- Oct. 12: Big Apple Capital Corp., 2-79183-NY; Visiting Medical Services, Inc., 2-77935-A.
- Oct. 13: NTS-Properties III, 2-78152.
- Oct. 14: The Price Company, 2-79377; Universal Marine & Shark Products, Inc., 2-79166-NY.
- Oct. 15: First Chicago Corporation, 2-79741; Monsanto Company, 2-79763.
  Oct. 18: Amshel Apartment Investors, Ltd., 2-78031; Energy Sciences Limited Partnership (1982-I), 2-76023; Norton Company, 2-79818; The Options Clearing Corporation, 2-79558; Sobank, Inc., 2-79831.
- Oct. 19: Golden Enterprises, Inc., 2-79633; Intecom Inc., 2-79363; McNeil Real Estate Fund XIV, Ltd., 2-77647; Security Spring & Boe Investors, Ltd.-82, 2-78493.

# **ACQUISITIONS OF SECURITIES**

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

	10,1310	FORM	EVENT DATE	SHRS (000) / %DWNED	CUSIP/ PRIOR%	FILING STATUS
AVM CORP BAIRD BRENT B ET AL	COM	13D	10/ 8/82	148 10.0		UPDATE
AMERICAN FIRST CORP OKLA SAN DIEGO BANCORP	COM	13D	10/ 1/82	400 21.8	02616110 0.0	NEW
AMERICAN NUCLEAR CORP BURKARD JOSEPH T	COM	13D	10/ 8/82	208 5.8	02866310 0.0	NEW
AMERICAM NUCLEAR CORP JOHNSON RANDALL L	COM	13D	10/ 8/82	195 5,4	02866310 0.0	NEW
CANAL PANDOLPH CORP EDELMAN ASHER B. ET AL	CDM	13D	10/15/82	214 13.9	13705110 9.2	UPDATE
CHOCK FULL D NUTS CORP BLACK PAGE M	COM	13D	10/14/82	383 7.9	17026810 7.1	UPDATE
CHOCK FULL O NUTS CORP BLACK WILLIAM	COM	13D	10/14/82	508 10.4	17026810 9.7	UPDATE
CHOCK FULL O NUTS CORP KENNEDY BARBARA J	COM	13D	10/14/82	289 5.9	17026810 5.9	UPDATE
CHOCK FULL O NUTS CORP PORDY LEON MD ET AL	CDM	13D	10/14/82	309 6.4	17026810 0.0	NEW
CHOCK FULL D NUTS CORP SCHWARZ LILLIAN M	COM	13D	10/14/82	254 5.2	17026810 5.2	UPDATE
CINCINNATI EQUITABLE INS CO BALDWIN-UNITED ET AL	CDM	13D	10/ 7/82	82 16.1	17205810 15.1	UPDATE
ELECTRO RENT CORP PILARA ANDREW P JR	COM	13D	10/ 4/82	208 4.7	28521810 5.8	UPDATE
ELECTRO RENT CORP PILARA ASSOCIATES	COM	13D	10/ 4/82	208 4.7	28521810 5.8	UPDATE
EXECUTIVE HOUSE INC NELSON INVESTORS CO LTD E	COM T AL	13D	9/28/82	206 10.0	30156910 7.6	UPDATE
FIRST COMMUNITY BANCORP BYRD EUGENE SPAFFORD	COM	13D	8/ 2/82	41 8.3	31983210 0.0	NEW
FIRST COMMUNITY BANCORP WHITLOCK MARY ELIZABETH	COM	13D	8/ 2/82	63 12.8	31983210 0.0	
FLORIDA GULF RLTY TR SALZHAUER HENRY ET AL	SH BEN	INT 13D	9/28/82	267 13.4	34073410 12.1	

#### ACQUISITION REPORTS CONT.

FLORIDA NATL BKS FLA INC KARENWOOD INTL NV	COM	13D	10/ 8/82	8 564 6.4		UPDATE
GEARHART INDS INC GENERAL ELEC VENT CAP	COM	13D	10/ 7/82	2,680 17.4	36829810 16.7	UPDATE
GIBRALTAR FINL CORP CALIF SOSNOFF MARTIN TOBY ET AL	COM	13D	10/ 5/82	1,357 9.7	37465810 0.0	NEW
HAYES ALBION CORP GULF & WESTERN INDS INC E	COM T AL	13D	10/12/82		42075810 10.1	UPDATE
I C H CORP WESTERN PIONEER LF INS ET	COM AL	13D	9/30/82		44926410 50.2	UPDATE
LANE BRYANT INC PALLEY HELEN M	COM	13D ,	5/20/82	0 N/A	51517410 N/R	UPDATE
LOGETRONICS INC SIMONS LIDNEL ET AL	COM	13D	9/28/82	68 5. 1	54138110 0.0	HEW
RADIOFONE CORP ALPINE ASSOC & ECKERT VIC	COM TORIA	13D	10/ 4/82	137 6.4	75040710 0.0	NEW
CROSS THEODORE L ET AL	COM	13D	10/14/82	196 31.5	75218510 30.2	UPDATE
ROYAL CROWN COS INC CHESAPEAKE INS CO LTD ET	COM AL	13D	10/14/82	1,556 19.0		UPDATE
SOUTHERN STATES PETROLEUM ENERGY GROUP INC	COM	13D	10/15/82	425 3.0		UPDATE
TMC INDUSTRIES KAYANAU REAL ESTATE TRUST	COM	13D	10/ 1/82	400 23.7	87257910 0.0	NEW

# **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets. Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
  Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEMS NO.	DATE
AKSARBEN INC	1	10/19/82
ALCOGAS INC	5	09/30/82
AMERICAN BANCORP INC/PA	5,7	09/16/82
AMERICAN ENERGY & TECHNOLOGY INC	4	10/13/82
AMERICAN FIRST CORP	2,7	09/30/82
ANGELES CINEMA INVESTORS 81	5	09/24/82
ANGELES CINEMA INVESTORS 82	5	09/24/82
ANGELES INCOME PROPERTIES LTD	2	09/29/82
ANTA CORP	2,7	10/01/82
APACHE PETROLEUM CO	1,2,7	09/30/82
BANK OF AMERICA NATIONAL TRUST & SAVINGS	7	09/27/82
BANK OF AMERICA NATIONAL TRUST & SAVINGS	7	09/27/82

#### RECENT 8K FILINGS CONT.

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BANK OF AMERICA NATIONAL TRUST & SAVINGS
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BUCKHORN INC /DE/
BUNNINGTON CORP
                                                   5
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                                                    2,7
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CABLE TV FUND IX-C
                                                                                10/11/82
                                                    5,7
CALIFORNIA REALTY FUND
                                                                                10/01/82
CANAL RANDOLPH CORP
                                                    2.7
CENTRAL MAINE POWER CO
                                                    5
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CENTRAL PENN NATIONAL CORP
                                                    5,7
                                                                                09/16/82
CENTRONICS DATA COMPUTER CORP
                                                    4
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                                                   2
                                                                                08/02/82
                                                                                            AMEND
CENTURY PROPERTIES FUND XVII
                                                                                09/09/82
                                                                                            AMEND
CHEMETRON CORP/NEW
                                                    5,7
                                                                                09/25/82
CHESSCO INDUSTRIES INC
                                                                                10/15/82
                                                    5
CHICAGO ROCK ISLAND & PACIFIC RAILROAD C
                                                    5
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CIRCLE FINE ART CORP
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CLARK EQUIPMENT CO /DE/
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CLARK EQUIPMENT CO /DE/
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COMDIAL CORP
COMMERCE UNION CORP
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COMMODORE CORP
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COMPUTER SCIENCES CORP
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COMPUTER SERVICENTERS INC
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CONSOLIDATED CAPITAL GROWTH FUND
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CONSOLIDATED CAPITAL INCOME TRUST
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CONSOLIDATED CAPITAL PROPERTIES II CONSOLIDATED CAPITAL PROPERTIES III
                                                   5.7
                                                                                10/18/82
                                                   5,7
                                                                                10/11/82
CONSOLIDATED CAPITAL PROPERTIES IV
                                                   5,7
                                                                                10/11/82
CONSOLIDATED CAPITAL REALTY INVESTORS
                                                    5.7
                                                                                10/11/82
CONSOLIDATED CAPITAL REALTY INVESTORS
                                                   7
                                                                                10/12/82
                                                   2,5,7
CONSOLIDATED CAPITAL SPECIAL TRUST
                                                                                10/01/82
CONSOLIDATED CAPITAL SPECIAL TRUST
                                                    7
                                                                                10/12/82
CONTINENTAL GROUP INC/NEW
                                                    7
                                                                                10/15/82
                                                                                10/07/82
                                                   5,7
CROWLEY FOODS INC
                                                   2.7
DESIGNCRAFT JEWEL INDUSTRIES INC
                                                                                10/15/82
ENERGY SOURCES INC
                                                    2,3,7
                                                                                09/29/82
ENERGY SOURCES 1980 PROGRAM
                                                                                09/29/82
                                                   2.7
EQUITABLE GAS CO
                                                                                10/04/82
EXPLOSIVE FABRICATORS INC
                                                   5
                                                                                10/13/82
FIRST COMMUNITY BANCSHARES OF TIFTON INC
                                                   1
                                                                                09/23/82
FIRST NATIONAL REALTY & CONSTRUCTION COR
                                                    2
                                                                                10/06/82
FONAR CORP
                                                    5,7
                                                                                10/11/82
                                                    5
FOOTHILL GROUP INC
                                                                                10/04/82
FULLER H B CO
                                                    7
                                                                                07/23/82
GASOIL INC
                                                   5
                                                                                10/08/82
                                                    7
GENERAL MILLS INC
                                                                                10/18/82
GIDDINGS & LEWIS INC
                                                   1,7
                                                                                10/04/82
HELM RESOURCES INC/DE/
                                                   5
                                                                                09/15/82
HERITAGE FINANCIAL CORP
                                                    2
                                                                                10/12/82
                                                   2,5,7
                                                                                08/30/82
HI G INC
HIGH STOY TECHNOLOGICAL CORP
                                                   5
                                                                                10/12/82
HOUSEHOLD FINANCE CORP
                                                   7
                                                                                10/15/82
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