



EDGAR[®]
**Public Dissemination
Service Technical
Specification**

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1.0 PDS OVERVIEW

The United States Securities and Exchange Commission (SEC) has designed the Electronic Data Gathering, Analysis, and Retrieval (EDGAR) System to support the assembly, transmission, validation, acceptance, and dissemination of public documents as filed by public companies, management firms, and individuals pursuant to SEC securities regulations. Once received and accepted by EDGAR, public submissions are immediately disseminated to all interested parties (subscribers) external to the SEC EDGAR network. A remote EDGAR Public Dissemination Service (PDS) receives a direct feed from the EDGAR Host and initiates the real-time dissemination to subscribers. All PDS subscribers are managed through this remote site.

This document describes the format for various files disseminated to the subscribers. It also provides the definitions for each element in the file.

Keane Federal Systems, Inc. is the primary contractor in support of the development of the SEC's EDGAR System. The original PDS was developed in 1992 and on February 1, 2007, Keane Federal Systems, Inc. assumed full responsibility for support of EDGAR PDS.

EDGAR accepts and disseminates 2,000-4,000 live public submissions per day during non-peak periods and 4,000-12,000 live submissions per day during peak periods¹. During these “high-activity” periods, EDGAR may receive on average up to 600 MB of live, public data in one hour or on average up to 5 GB of data in a single business day. Disseminated submissions may be as small as 1 KB or as large as 200 MB, depending on their purpose, and will contain only public documents². The average submission size is around 300 KB but as noted above can be as large as 200 MB. Submissions, which are composed of a header and concatenated documents, are disseminated in ASCII text (no binary is disseminated without being UUencoded first). Concatenated documents can have ASCII/SGML, HTML, XML, XBRL, or UUencoded PDF, JPG, or GIF formats.

ASCII/SGML documents are no wider than 80 characters per line, except in specifically designated sections of document text, identified as tables (<TABLE>), where a 132-character width limit is

¹ There are several peak submission periods throughout the calendar year, including the following:

- Four 10-Q peaks (40-45 days after the 1st – 4th calendar quarter: May, August, November, and February, respectively, with each quarterly peak having an accelerated filing period 30-35 days after the quarter end).
- One 10-K/24F-2NT peak (from March 26-31, usually consisting of very large 10-K submissions, with an associated accelerated filing period from March 15-16).
- Two N-SAR peaks (February 25-28 and August 28-31).
- One SC 13G William's Act peak (February 10-14, usually the highest volume of submissions, but they are very small).
- Four Ownership peaks (Two business days following the end of each calendar quarter).
- One N-PX peak (at the end of August).

See the SEC Public Website, www.sec.gov, for more information on peak submission periods.

² Private documents that are part of filer submissions are not disseminated with the original submission (*.dissem file). They are stored internally at the SEC for a period of time, and then upon SEC discretion, some are released as a separate dissemination file (*.pr file).

supported. HTML, XML, and XBRL document text has no inherent width limits. Uuencoded document text is 64 characters wide.

After receiving a submission via the internet or SSH, EDGAR assigns an Accession number (ex: 000035001-05-00100) to the submission, then parses it to extract key information from the header and document. This information is then loaded into the EDGAR database and subsequently run through a rigorous series of syntactic and semantic validation rules before being accepted by EDGAR. After acceptance, the submission is then reassembled with informative header tags and the original public documents before being disseminated to the PDS, and the SEC internet site. The acceptance and dissemination process usually takes no longer than two (2) minutes from the receipt of submission by EDGAR.

EDGAR transmits the dissemination stream to the PDS server and PDS disseminates EDGAR files to the subscribers.

2.0 SUBMISSION INTERFACE FILE FORMAT

There are four (4) types of public disseminations from EDGAR and one (1) daily Audit file that are disseminated to the subscribers by the PDS:

1. Live, accepted submissions and amendments, named *[ACCESSION-NUMBER].dissem*³
2. Live, accepted paper submissions and amendments, named *[ACCESSION-NUMBER].paper*
3. "Public-ready" disseminations, named *[ACCESSION-NUMBER]DDHHMMSS.pr*
(DD: Date; HH: Hour; MM: Minute; SS: Second)
 - A. Disseminated Correspondence and Cover Letters (Filer Submitted)
 - B. Disseminated SEC-Uploads (Acc# always begins with 0000000000-*)
4. Post-acceptance corrections (PACs), named *[ACCESSION-NUMBER]DDHHMMSS.pc* (DD: Date; HH: Hour; MM: Minute; SS: Second)
 - A. Disseminated submission deletions
 - B. Disseminated submission modifications
5. Daily Audit file, named *dissem2_MM-DD-YYYY.EOD*
(MM: Month; DD: Date; YYYY: Year)

An electronically transmitted⁴ live, accepted submission or a live, accepted submission amendment (i.e., *0000350001-05-001234.dissem*) that is publicly disseminated consists of a 256-byte control block, a

³ [ACCESSION-NUMBER] has the following format: #####-YY-SSSSSS, where ##### is the unique 10-digit Central Index Key (CIK) of the entity (the filer or a filing agent – not necessarily related to the CIKs within the filing) that has submitted the filing and is composed of the digits 0-9, YY is the last two digits of the year the submission was filed, and SSSSSS is the sequence number (up to 6 digits, left-padded by zeros) of the submission within the year it was filed.

⁴ Electronically-transmitted by the filer to EDGAR over the internet or Leased Line.

submission header, and a set of one (1) or more public document(s). There are 421 electronic form types supported by the SEC, each of which contains a mandatory primary document (i.e., a 10-Q submission must contain a 10-Q document) and various supplemental documents (e.g., an attached exhibit (EX-*) document). See 3.0 EDGAR Public Submission Types for a complete listing of all active form types accepted by EDGAR. See 3.1 EDGAR Public Document Types for a complete listing of all active public document types that may accompany these form types. For a comprehensive update and definition of all active form types and document types, see the SEC website, <http://www.sec.gov>, and go to the EDGAR information page (<http://www.sec.gov/info/edgar/forms/edgform.htm>).

Submissions received in paper are entered into EDGAR by the SEC. After acceptance, EDGAR will generate and disseminate an official abbreviated version of the paper submission (i.e., *0000350001-05-001234.paper*). These submissions will have a control-block and header that is similar to their electronic submission counterparts, but will not contain the actual documents received. Only one “stub” document will represent the actual documents attached. Paper submissions are disseminated (or made available) via another SEC service⁵. Subscribers will need to use the enclosed film-number (Document Control Number (DCN)) when contacting the SEC’s paper-dissemination service to properly reference all associated documents for that paper submission.

Public-Ready Dissemination files (i.e., *0000350001-05-00123406102010.pr* or *0000000000-05-00012306101515.pr*) represent actions taken by the SEC to disseminate specific private documents via the EDGAR public dissemination feed. The private documents, which may consist of **Correspondence documents, Cover letters, and SEC Uploads**, will consist of documents that are generated at the SEC and documents that were filed with the SEC. EDGAR will attach a public dissemination control block and header to each disseminated document in order to standardize the private-to-public dissemination effort for the subscribers and the www.sec.gov website. Please see <http://www.sec.gov/news/press/2004-89.htm> for more information on this SEC initiative. *.pr files are structured similarly to Post-Acceptance corrections but contain specific CIK and Accession-number information for which this “private” information is associated.

Post-Acceptance Corrections (PAC) (i.e., *0000350001-01-00123501091243.pc*) are structured similarly to the live, accepted submissions described above, but only consist of header tag changes to previously-filed submissions, deletion notices for previously-filed submissions, or form/document type changes for previously-filed submissions. No document text is updated in a PAC.

The Audit file (i.e., *dissem2_04-10-2003.EOD*) is the last transmission from EDGAR for each day and its presence indicates the end of the EDGAR business day. It contains an ASCII listing of all disseminated submissions (*.dissem & *.pc submissions) since 6:00 a.m. that day. Each filename listed in the Audit file is delimited by a Carriage-Return and Line Feed.

Live, accepted electronic submission filenames (*.dissem) are 27 characters long, Live, accepted paper submission filenames (*.paper) are 26 characters long, while PAC and “Public-Ready filenames (*.pc and

⁵ Subscribers will need to contact the SEC for more information about obtaining hard copies of accepted paper submissions.

**.pr, respectively*) are 31 characters long. Daily Audit filenames (*dissem*.EOD*) are 21 characters long. The subscriber's server must be able to support 31-character filenames.

All EDGAR transmissions, except for the daily Audit file, use a Standard Generalized Markup Language (SGML) tagging scheme to deliver company and submission information to the SEC and all subscribers. See Section 4.3.1 for a complete listing and description of all active SGML tags used in EDGAR submissions. EDGAR disseminates submission information in the following format to all PDS subscribers.

Publicly disseminated submissions (*.dissem):

| Section | Length |
|---|----------------|
| Control Block | 256 bytes |
| <SUBMISSION>.... Submission SGML header information | Various length |
| <DOCUMENT> <TYPE> Document #1 text </DOCUMENT> <DOCUMENT> <TYPE>..... Document #2 text </DOCUMENT> ...Repeated for all documents attached to submission | Various length |
| </SUBMISSION> | 13 chars + EOF |

Publicly disseminated paper submissions (*.paper):

| Section | Length |
|--|----------------|
| Control Block | 256 bytes |
| <SUBMISSION>.... Submission SGML header information | Various length |
| <DOCUMENT> <TYPE> 1 Document stub (containing DCN only) </DOCUMENT> | Various length |
| </SUBMISSION> | 13 chars + EOF |

Public-Ready Disseminations (*.pr):

| Section | Length |
|---|----------------|
| Control Block | 256 bytes |
| <SUBMISSION> <TIMESTAMP>... <PRIVATE-TO-PUBLIC>.... ... Correction SGML header info.... <FILED-FOR> <PUBLIC-REFERENCE-ACC> { Required only for UPLOAD} | Various length |
| <DOCUMENT> {Optional, used to transfer Document types} | Various length |
| </SUBMISSION> | 13 chars + EOF |

Post-Acceptance corrections (*.pc):

| Section | Length |
|---|----------------|
| Control Block | 256 bytes |
| <SUBMISSION> <CORRECTION>.... <TIMESTAMP>... SGML header info ... <DELETION> {OPTIONAL, if original submission is being deleted by the SEC} | Various length |
| <DOCUMENT> {Optional, used to transfer Document types} | Various length |
| </SUBMISSION> | 13 chars + EOF |

Daily Audit file (dissem_*.EOD):

| Section | Length |
|---|---|
| Submission name (*.dissem or *.pc); repeated for each disseminated submission for that day. | Up to 31 characters + CR/LF for each submission |

2.1 Control Block

The control block is a 256-byte block that identifies the associated submission and provides key information about the submission. There are fourteen (14) fields contained within the control block, each of which is blank-padded. The fields are described in the following table in their exact order:

| Field | Size (bytes) | Description |
|-------------------------|--------------|--|
| Accession Number | 20 | EDGAR accession number |
| Submission Type | 10 | Form type of the submission |
| Submission Company Name | 60 | Conformed submission company name |
| Subject Company Name | 60 | Conformed subject company name |
| Receipt Date | 8 | Date the submission was received by EDGAR. Format is YYYYMMDD. |
| Acceptance Date | 8 | Date the submission was accepted by EDGAR. Format is YYYYMMDD. |
| Acceptance Time | 6 | Time (EST) at which the submission was accepted by EDGAR. Format is HHMMSS. |
| Build Time | 6 | Time (EST) at which the submission was built on EDGAR. Format is HHMMSS. |
| Reserved | 6 | Blank-filled or a repeat of BuildTime (Unused) |
| Priority | 1 | The submission priority; format is binary. Values are: 3- Post-acceptance correction 4- Low 8- Medium 12- High 16- High priority company |
| Public/Private Flag | 1 | A flag indicating whether the submission is public or private; format is binary. Values are: Public Private |
| Transaction Type | 1 | An indicator describing the transaction type; format is binary. Values are: Submission Retransmission Correction Deletion Submission Form Type Transfer Document Type Transfer |

| Field | Size (bytes) | Description |
|-----------------------|--------------|----------------------|
| SRO Distribution List | 4 | [OBE, Unused] |
| Reserved | 65 | Unused, Blank-filled |

2.2 Submission Header Format

Each submission or PAC header consists of SGML tags and their values (if necessary). All EDGAR SGML header tags are identified and defined in Sections 4.2 EDGAR Header and Document SGML Tag Identification and 4.3 EDGAR Header and Document Tag Definitions.

2.3 Supported Document Formats

2.3.1 ASCII/SGML (*.txt or *.fil)

Each ASCII/SGML document consists of free-formatted text (i.e., 10-Q, 10-K, S-1, etc.), SGML-formatted text (i.e., EX-27 documents), or columnar formatted text (i.e., N-SAR documents). All document text is 80-characters wide except when between <TABLE> tags, where the width is set to 132 characters. All EDGAR SGML document tags are identified and defined in Sections 4.2 EDGAR Header and Document SGML Tag Identification and 4.3 EDGAR Header and Document Tag Definitions.

2.3.2 HTML (*.htm)

See the EDGAR Filer Manual Volume II chapter entitled “Constructing Attached Documents and Document Types” for information regarding the preparation of submissions formatted in HTML. The EDGAR Filer Manual can be downloaded from the SEC’s Public Website at <http://www.sec.gov/info/edgar.shtml>.

2.3.3 JPEG/GIF (*.jpg or *.gif)

EDGAR supports the JPEG/GIF graphic file formats within HTML documents. Upon attachment to the submission, these documents are UUencoded. See the EDGAR Filer Manual Volume II for more information.

2.3.4 XML (*.xml)

An XML reporting format, based on an approved XML schema, is mandated by SEC/EDGAR for selected primary documents. With every XML-formatted document mandated by the SEC, there is a supporting set of schema files (*.xsd) and, optionally, a set of style sheets (*.xsl), that are available from Keane Federal Systems, Inc. XML Technical Specifications can be downloaded from the SEC’s Public Website at <http://www.sec.gov/info/edgar.shtml>.

2.3.5 XBRL (*.xml and *.xsd)

Since March 2005, the SEC has established an XBRL pilot period, during which volunteer filers may submit unofficial structured financial reporting information in XBRL format. These documents, formally known as EX-100.* attachments, may have *.xml with or without an *.xsd file. These documents may be attached to any submission but are not to be considered part of the official filing. See the EDGAR Filer Manual Volume II section entitled “Unofficial XBRL” for information regarding the preparation of XBRL formatted submissions.

2.3.6 PDF (*.pdf)

EDGAR supports filings with attached unofficial Portable Document Format (pdf) documents. See the EDGAR Filer Manual Volume II chapter entitled “Constructing Attached Documents and Document Types” for information regarding the preparation of submissions with attached unofficial PDF documents. The EDGAR Filer Manual can be downloaded from the SEC’s Public Website at <http://www.sec.gov/info/edgar.shtml>.

3.0 EDGAR PUBLIC SUBMISSION TYPES

All live, accepted public submissions (electronic and paper) are disseminated to subscribers through PDS. For a complete definition of these form types, see the SEC website, <http://www.sec.gov/info/edgar/forms/edgform.pdf>.

3.1 EDGAR Public Document Types

All live, accepted public documents are disseminated as part of a total submission and are disseminated to subscribers through PDS. The following is a list of all active public documents that are accepted and disseminated by the EDGAR system.

A. Official Document Types Supported by EDGAR are *.txt or *.htm attachments to a submission:

[For electronic submissions (*.dissem), primary documents are the same type as the submission type.].

[For paper submissions (*.paper), the single document type supported will be the same as their respective paper submission type. These documents are only stub representations of the actual documents that were submitted to the SEC in paper format. The actual paper documents must be retrieved from an SEC paper dissemination service].

The following exhibit document types are considered supplemental/optional to the submission. These document types can include an optional suffix consisting of a "." and free text up to a total document type length of 20 characters:

EX-1 EX-2 EX-3 EX-4 EX-5 EX-6 EX-7 EX-8 EX-9 EX-10 EX-11 EX-12 EX-13 EX-14 EX-15
EX-16 EX-17 EX-18 EX-19 EX-20 EX-21 EX-22 EX-23 EX-24 EX-25 EX-25/AEX-26 EX-28
EX-29 EX-31 EX-32 EX-33 EX-34 EX-35 EX-99.

B. Official GRAPHIC Document Types Supported as *.jpg or *.gif attachments to a submission:

GRAPHIC [May only be attached if there is a reference to the GRAPHIC document from within an HTML document].

C. Official Private Document Types such as COVER, CORRESP etc may be disseminated in a *.pr file upon SEC approval.

D. Unofficial Public Document Types Disseminated in a *.dissem file:

[All *.pdf attachments, which may be unofficial versions of any document type referenced in section 1 above].

EX-100.* [This is an unofficial XBRL attachment, with a filename extension of either *.xml or *.xsd].

3.2 EDGAR Header and Document SGML Tag Identification

The following is a list of all SGML tags used within EDGAR submission headers and ASCII/SGML documents. For a complete definition of these tags, see 4.3 EDGAR Header and Document Tag Definitions.

3.2.1 Symbology

| | |
|-------|---|
| Blank | Required |
| ? | Optional |
| + | Tag and element are required and repeatable. |
| * | Tag and element are optional and repeatable. |
| 1 | Each of these fields/tags, which include FILER, FILED-BY, SUBJECT-COMPANY, REPORTING-OWNER, ISSUER, SERIAL-COMPANY, FILED-FOR, and SERIES-AND-CLASSES-CONTRACTS-DATA elements, may be exclusive with respect to one or more of the others depending on the submission type. |
| 2 | Selection and placement of tag and tag value is at the discretion of author of filing text. |

3.2.2 Submission Header Tag Elements

| Symbol | Field | SGML Tag |
|--------|---------------------------|---------------------|
| | SUBMISSION | <SUBMISSION> |
| ? | CORRECTION | <CORRECTION> |
| ? | TIME STAMP | <TIMESTAMP> |
| ? | PAPER | <PAPER> |
| ? | PRIVATE-TO-PUBLIC | <PRIVATE-TO-PUBLIC> |
| | ACCESSION NUMBER | <ACCESSION-NUMBER> |
| ? | DELETION | <DELETION> |
| | CONFORMED SUBMISSION TYPE | <TYPE> |
| ? | CONFIRMING COPY | <CONFIRMING-COPY> |

| Symbol | Field | SGML Tag |
|--------|----------------------------|------------------------------|
| | PUBLIC DOCUMENT COUNT | <PUBLIC-DOCUMENT-COUNT> |
| ? | REFERENCE 462B | <REFERENCE-462B> |
| * | REFERENCES 429 | <REFERENCES-429> |
| ? | PERIOD OF REPORT | <PERIOD> |
| * | ITEM INFORMATION | <ITEMS> |
| | FILED AS OF DATE | <FILING-DATE> |
| | PUBLIC RELEASE DATE | < PUBLIC-REL-DATE> |
| ? | DATE AS OF CHANGE | <DATE-OF-FILING-DATE-CHANGE> |
| ? | ACTION DATE | <ACTION-DATE> |
| ? | RECEIVED DATE | <RECEIVED-DATE> |
| ? | EFFECTIVENESS DATE | <EFFECTIVENESS-DATE> |
| * | GROUP MEMBERS | <GROUP-MEMBERS> |
| ? | ABS RULE | <ABS-RULE> |
| ? | PERIOD START DATE | <PERIOD-START> |
| ? | NO INITIAL PERIOD ACTIVITY | <NO-INITIAL-PERIOD-ACTIVITY> |
| ? | NO QUARTERLY ACTIVITY | <NO-QUARTERLY-ACTIVITY> |
| ? | NO ANNUAL ACTIVITY | <NO-ANNUAL-ACTIVITY> |
| ? | SECURITIZER FILE NUMBER | <SECURITIZER-FILE-NUMBER> |
| ? | SERIAL NUMBER | <SERIAL-NUMBER> |
| ? | REGISTERED ENTITY | <REGISTERED-ENTITY> |
| ? | ABS ASSET CLASS | <ABS-ASSET-CLASS> |
| | | |
| 1+ | FILER | <FILER> |
| ? | DELETION | <DELETION> |
| | | |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| | RELATIONSHIP | <RELATIONSHIP> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY-DATA> |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |
| | FORM TYPE | <FORM-TYPE> |
| | SEC ACT | <ACT> |
| | SEC FILE NUMBER | <FILE-NUMBER> |
| | FILM NUMBER | <FILM-NUMBER> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END FILER | </FILER> |
| | | |
| 1 | FILED BY | <FILED-BY> |
| ? | DELETION | <DELETION> |
| | | |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY-DATA> |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |
| | FORM TYPE | <FORM-TYPE> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END FILED BY | </FILED-BY> |
| | | |
| 1* | SERIAL COMPANY | <SERIAL-COMPANY> |
| ? | DELETION | <DELETION> |
| | | |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |

| Symbol | Field | SGML Tag |
|--------|----------------------|---------------------|
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY-DATA> |
| | | |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |
| | FORM TYPE | <FORM-TYPE> |
| | SEC ACT | <ACT> |
| | SEC FILE NUMBER | <FILE-NUMBER> |
| | FILM NUMBER | <FILM-NUMBER> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END SERIAL COMPANY | </SERIAL-COMPANY> |
| | | |
| 1* | SUBJECT COMPANY | <SUBJECT-COMPANY> |
| ? | DELETION | <DELETION> |
| | | |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY- DATA> |
| | | |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |
| | | |
| | FORM TYPE | <FORM-TYPE> |
| | SEC ACT | <ACT> |
| | SEC FILE NUMBER | <FILE-NUMBER> |
| | FILM NUMBER | <FILM-NUMBER> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |

| Symbol | Field | SGML Tag |
|--------|-----------------------|-------------------------|
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END SUBJECT COMPANY | </SUBJECT-COMPANY> |
| | | |
| 1* | REPORTING OWNER | <REPORTING-OWNER> |
| ? | DELETION | <DELETION> |
| | | |
| | OWNER DATA | <OWNER-DATA> |
| | CONFORMED NAME | <CONFORMED-NAME> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| | CIK | <CIK> |
| ? | SIC | <ASSIGNED-SIC> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END OWNER DATA | </OWNER-DATA> |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |
| | FORM TYPE | <FORM-TYPE> |
| | SEC ACT | <ACT> |
| | SEC FILE NUMBER | <FILE-NUMBER> |
| | FILM NUMBER | <FILM-NUMBER> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |

| Symbol | Field | SGML Tag |
|--------|------------------------|--------------------------|
| | | |
| * | FORMER NAME | <FORMER-NAME> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER NAME | </FORMER-NAME> |
| | END REPORTING-OWNER | </REPORTING-OWNER> |
| | | |
| 1 | ISSUER | <ISSUER> |
| ? | DELETION | <DELETION> |
| | | |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY- DATA> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |

| Symbol | Field | SGML Tag |
|--------|-------------------------|--------------------------|
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END ISSUER | </ISSUER> |
| | | |
| 1* | FILED-FOR (SEC-UPLOADS) | <FILED-FOR> |
| | COMPANY DATA | <COMPANY-DATA> |
| | COMPANY CONFORMED NAME | <CONFORMED-NAME> |
| | CIK | <CIK> |
| | SIC | <ASSIGNED-SIC> |
| ? | IRS NUMBER | <IRS-NUMBER> |
| ? | STATE OF INCORPORATION | <STATE-OF-INCORPORATION> |
| ? | FISCAL YEAR END | <FISCAL-YEAR-END> |
| | END COMPANY DATA | </COMPANY- DATA> |
| | | |
| | FILING VALUES | <FILING-VALUES> |
| ? | DELETION | <DELETION> |

| Symbol | Field | SGML Tag |
|--------|-----------------------|-------------------------|
| | FORM TYPE | <FORM-TYPE> |
| | SEC ACT | <ACT> |
| | SEC FILE NUMBER | <FILE-NUMBER> |
| | FILM NUMBER | <FILM-NUMBER> |
| | END FILING VALUES | </FILING-VALUES> |
| | | |
| ? | BUSINESS ADDRESS | <BUSINESS-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | PHONE | <PHONE> |
| ? | END BUSINESS ADDRESS | </BUSINESS-ADDRESS> |
| | | |
| ? | MAIL ADDRESS | <MAIL-ADDRESS> |
| ? | STREET1 | <STREET1> |
| ? | STREET2 | <STREET2> |
| ? | CITY | <CITY> |
| ? | STATE | <STATE> |
| ? | ZIP | <ZIP> |
| ? | END MAIL ADDRESS | </MAIL-ADDRESS> |
| | | |
| * | FORMER COMPANY | <FORMER-COMPANY> |
| | FORMER CONFORMED NAME | <FORMER-CONFORMED-NAME> |
| | DATE OF NAME CHANGE | <DATE-CHANGED> |
| | END FORMER COMPANY | </FORMER-COMPANY> |
| | END FILED-FOR | </FILED-FOR> |

| Symbol | Field | SGML Tag |
|--------|--------------------------------------|-------------------------------------|
| | | |
| ? | PUBLIC-REFERENCE-ACC# | <PUBLIC-REFERENCE-ACC> |
| | | |
| 1 | SERIES AND CLASSES CONTRACTS DATA | <SERIES-AND-CLASSES-CONTRACTS-DATA> |
| ? | NEW SERIES AND CLASSES CONTRACTS | <NEW-SERIES-AND-CLASSES-CONTRACTS> |
| | OWNER CIK | <OWNER-CIK> |
| * | NEW SERIES | <NEW-SERIES> |
| | SERIES ID | <SERIES-ID> |
| | SERIES NAME | <SERIES-NAME> |
| * | CLASS CONTRACT | <CLASS-CONTRACT> |
| | CLASS CONTRACT ID | <CLASS-CONTRACT-ID> |
| | CLASS CONTRACT NAME | <CLASS-CONTRACT-NAME> |
| | END CLASS CONTRACT | </CLASS-CONTRACT> |
| | END NEW SERIES | </NEW-SERIES> |
| | | |
| * | NEW CLASSES CONTRACTS | <NEW-CLASSES-CONTRACTS> |
| | SERIES ID | <SERIES-ID> |
| | SERIES NAME | <SERIES-NAME> |
| * | CLASS CONTRACT | <CLASS-CONTRACT> |
| | CLASS CONTRACT ID | <CLASS-CONTRACT-ID> |
| | CLASS CONTRACT NAME | <CLASS-CONTRACT-NAME> |
| | END CLASS CONTRACT | </CLASS-CONTRACT> |
| | END NEW CLASSES CONTRACTS | </NEW-CLASSES-CONTRACTS> |
| | END NEW SERIES AND CLASSES CONTRACTS | </NEW-SERIES-AND-CLASSES-CONTRACTS> |
| | | |

| Symbol | Field | SGML Tag |
|--------|---|--|
| ? | EXISTING SERIES AND CLASSES CONTRACTS | <EXISTING-SERIES-AND-CLASSES-CONTRACTS> |
| + | SERIES | <SERIES> |
| | OWNER CIK | <OWNER-CIK> |
| | SERIES ID | <SERIES-ID> |
| | SERIES NAME | <SERIES-NAME> |
| + | CLASS CONTRACT | <CLASS-CONTRACT> |
| | CLASS CONTRACT ID | <CLASS-CONTRACT-ID> |
| | CLASS CONTRACT NAME | <CLASS-CONTRACT-NAME> |
| ? | CLASS CONTRACT TICKER SYMBOL | <CLASS-CONTRACT-TICKER-SYMBOL> |
| | END CLASS CONTRACT | </CLASS-CONTRACT> |
| | END SERIES | </SERIES> |
| | END EXISTING SERIES AND CLASSES CONTRACTS | </EXISTING-SERIES-AND-CLASSES-CONTRACTS> |
| | | |
| ? | MERGER SERIES AND CLASSES CONTRACTS | <MERGER-SERIES-AND-CLASSES-CONTRACTS> |
| + | MERGER | <MERGER> |
| | ACQUIRING DATA | <ACQUIRING-DATA> |
| | CIK | <CIK> |
| | SERIES | <SERIES> |
| | SERIES ID | <SERIES-ID> |
| | SERIES NAME | <SERIES-NAME> |
| | CLASS CONTRACT | <CLASS-CONTRACT> |
| | CLASS CONTRACT ID | <CLASS-CONTRACT-ID> |
| | CLASS CONTRACT NAME | <CLASS-CONTRACT-NAME> |
| ? | CLASS CONTRACT TICKER SYMBOL | <CLASS-CONTRACT-TICKER-SYMBOL> |

| Symbol | Field | SGML Tag |
|--------|---|--|
| | END CLASS CONTRACT | </CLASS-CONTRACT> |
| | END SERIES | </SERIES> |
| | END ACQUIRING DATA | </ACQUIRING-DATA> |
| | | |
| + | TARGET DATA | <TARGET-DATA> |
| | CIK | <CIK> |
| + | SERIES | <SERIES> |
| | SERIES ID | <SERIES-ID> |
| | SERIES NAME | <SERIES-NAME> |
| + | CLASS CONTRACT | <CLASS-CONTRACT> |
| | CLASS CONTRACT ID | <CLASS-CONTRACT-ID> |
| | CLASS CONTRACT NAME | <CLASS-CONTRACT-NAME> |
| ? | CLASS CONTRACT TICKER SYMBOL | <CLASS-CONTRACT-TICKER-SYMBOL> |
| | END CLASS CONTRACT | </CLASS-CONTRACT> |
| | END SERIES | </SERIES> |
| | END TARGET DATA | </TARGET-DATA> |
| | END MERGER | </MERGER> |
| | END MERGER SERIES AND CLASSES CONTRACTS | </MERGER-SERIES-AND-CLASSES-CONTRACTS> |
| | END SERIES AND CLASSES CONTRACTS DATA | </SERIES-AND-CLASSES-CONTRACTS-DATA> |

3.2.3 Document Header Tag Elements

| Symbol | Field | SGML Tag |
|--------|-------------------------|---------------|
| + | DOCUMENT | <DOCUMENT> |
| | CONFORMED DOCUMENT TYPE | <TYPE> |
| | DOCUMENT SEQUENCE | <SEQUENCE> |
| | DOCUMENT FILENAME | <FILENAME> |
| ? | DESCRIPTION | <DESCRIPTION> |
| | DOCUMENT TEXT | <TEXT> |
| ? | PDF INDICATOR | <PDF> |
| ? | END PDF | </PDF> |
| ? | XML INDICATOR | <XML> |
| ? | END XML | </XML> |
| ? | XBRL INDICATOR | <XBRL> |
| ? | END XBRL | </XBRL> |
| | END DOCUMENT TEXT | </TEXT> |
| | END DOCUMENT | </DOCUMENT> |
| | END SUBMISSION | </SUBMISSION> |

3.2.4 Document Text Tag Elements

Unlike submission and document headers, the ordering of legal document text tags depends almost entirely on the needs or preferences of the author of the filing text. The ordering presented below is arbitrary. Certain restrictions do apply to ordering within table sections, as specified in the Document Type Definition (DTD) (see 4.4 EDGAR SGML Document Type Definition). However, <PAGE> and footnote (<FN>) tags are permitted virtually anywhere within the document text irrespective of table sections.

| Symbol | Field | SGML Tag |
|--------|---------|-----------|
| 2 | TABLE | <TABLE> |
| 2 | CAPTION | <CAPTION> |
| 2 | S | <S> |

| Symbol | Field | SGML Tag |
|--------|-----------|--------------|
| 2 | C | <C> |
| 2 | FN | <FN> |
| 2 | END TABLE | </TABLE> |
| 2 | FOOTNOTES | <F1> - <F99> |
| 2 | PAGE | <PAGE> |

3.3 EDGAR Header and Document Tag Definitions

The following is a list of tag definitions for all SGML tags used within EDGAR submission headers and documents. These tag definitions are specific to the EDGAR Dissemination Output.

The information for each tag includes:

- DATA ELEMENT: Name of the element
- TAG: SGML Tag
- DESCRIPTION: Brief description of the type of data in the field.
- LENGTH: Maximum length of the field
- END TAG: Associated end tag if applicable. Not all tags require end tags.
- CHARACTERISTIC: Special characteristics of the data, i.e. alphanumeric, numeric etc.
- LIMITS: Specifies any limits associated with the data.
- FORMAT: Illustrates, if applicable, a standardized format for the data.

3.3.1 Submission Header Tags

- DATA ELEMENT: SUBMISSION
- TAG: <SUBMISSION>
- DESCRIPTION: Initial dissemination tag. Required.
- LENGTH: Tag only
- END TAG: </SUBMISSION>
- CHARACTERISTIC: NA
- LIMITS: NA
- FORMAT: NA

- DATA ELEMENT: CORRECTION
- TAG: <CORRECTION>
- DESCRIPTION: Identifies post acceptance correction transaction. This tag is only present for a correction to a filing. Optional.

LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: TIMESTAMP
TAG: <TIMESTAMP>
DESCRIPTION: Edgar Dissemination date-time, used for Post Acceptance Corrections only. Defines the time which the correction is disseminated by EDGAR, supplied by the R/A Subsystem. Optional.

LENGTH: 15
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD:HHMMSS

DATA ELEMENT: PAPER
TAG: <PAPER>
DESCRIPTION: Identifies the submission as a Paper submission. Optional.
LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: PRIVATE-TO-PUBLIC
TAG: <PRIVATE-TO-PUBLIC>
DESCRIPTION: Identifies an SEC-approved private-to-public document dissemination. This tag is only present in a *.pr dissemination (a private-to-public dissemination). Optional.

LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: ACCESSION NUMBER
TAG: <ACCESSION-NUMBER>
DESCRIPTION: EDGAR assigned identifier unique to each submission. Required.

LENGTH: 20
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: (10-Char CIK)+"-"+YY+"-"+(6-Char sequence #)

DATA ELEMENT: DELETION
TAG: <DELETION>
DESCRIPTION: Identifies post acceptance correction filing deletion. This tag is present after accession number only when the filing is being deleted. Optional.

LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: CONFORMED SUBMISSION TYPE
TAG: <TYPE>
DESCRIPTION: Conformed type of submission being disseminated. Required.
LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric, spaces and hyphens allowed.
LIMITS: Refer to EDGAR Filer Manual Volume II chapter entitled "Index To Forms".
FORMAT: NA

DATA ELEMENT: CONFIRMING COPY
TAG: <CONFIRMING-COPY>
DESCRIPTION: This tag defines this submission as being a confirming copy to a paper submission.

LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: PUBLIC DOCUMENT COUNT
TAG: <PUBLIC-DOCUMENT-COUNT>
DESCRIPTION: Number of PUBLIC documents in the submission.
LENGTH: 3
END TAG: NA

CHARACTERISTIC: Numeric
 LIMITS: 001-999
 FORMAT: NA

DATA ELEMENT: REFERENCE 462B
 TAG: <REFERENCE-462B>
 DESCRIPTION: Refers to a prospectus relating to a registration statement updated pursuant to Rule 462B. The value represents the file number of the prior registration statement. Optional.

LENGTH: 15
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: NA
 FORMAT: ###-#####[#][-XX[X][X]] where # is a number, [] is optional, and X is alphanumeric.

DATA ELEMENT: REFERENCES 429
 TAG: <REFERENCES-429>
 DESCRIPTION: Refers to a prospectus relating to two or more registration statements updated pursuant to Rule 429. The value represents the file numbers of the prior registration statements. Optional & Repeatable.

LENGTH: 15
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: NA
 FORMAT: ###-#####[#][-XX[X][X]] where # is a number, [] is optional, and X is alphanumeric.

DATA ELEMENT: CONFORMED PERIOD OF REPORT
 TAG: <PERIOD>
 DESCRIPTION: End date of reporting period of filing. Optional.
 LENGTH: 8
 END TAG: NA
 CHARACTERISTIC: Date
 LIMITS: NA
 FORMAT: YYYYMMDD

DATA ELEMENT: ITEM INFORMATION
 TAG: <ITEMS>
 DESCRIPTION: Identifies 1 or more items declared in 8-K filings. Optional & Repeatable.

LENGTH: 4
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: #.##

DATA ELEMENT: FILED AS OF DATE
TAG: <FILING-DATE>
DESCRIPTION: EDGAR assigned official filing date, or post acceptance new filing date (Post Acceptance Correction). Required.

LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: PUBLIC RELEASE DATE
TAG: <PUBLIC-REL-DATE>
DESCRIPTION: The date the filer disseminated the filing. Optional.

LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: DATE AS OF CHANGE
TAG: <DATE-OF-FILING-DATE-CHANGE>
DESCRIPTION: Date when the last Post Acceptance occurred. Optional.

LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: EFFECTIVENESS DATE
TAG: <EFFECTIVENESS-DATE>
DESCRIPTION: The effective date of the submission. Optional.

LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date

LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: ACTION DATE
TAG: <ACTION-DATE>
DESCRIPTION: The grant or issue date for Orders or Notices. Optional.
LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: RECEIVED DATE
TAG: <RECEIVED-DATE>
DESCRIPTION: The received date for Orders or Notices. Optional.
LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: GROUP MEMBERS
TAG: <GROUP-MEMBERS>
DESCRIPTION: This identifies group members in this submission.
Optional and repeatable.
LENGTH: 60
END TAG: NA
CHARACTERISTIC: Alphanumeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: ABS RULE
TAG: <ABS-RULE>
DESCRIPTION: This element identifies the ABS rule selected for the submission. Optional.
LENGTH: 10
END TAG: </ABS-RULE>
CHARACTERISTIC: Alphanumeric
LIMITS: The valid values for this element are RULE-15GA1 or RULE-15GA2.
FORMAT: NA

DATA ELEMENT: PERIOD-START
TAG: <PERIOD-START>
DESCRIPTION: This element specifies the starting date for the period. Optional.
LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: NO-INITIAL-PERIOD-ACTIVITY
TAG: <NO-INITIAL-PERIOD-ACTIVITY>
DESCRIPTION: This element identifies if the Securitizer has no activity to report for the initial period pursuant to Rule 15Ga-1(c)(1) under item 1.01. Optional.
LENGTH: 1
END TAG: NA
CHARACTERISTIC: Alphanumeric
LIMITS: The valid values for this element are "Y" or "N".
FORMAT: NA

DATA ELEMENT: NO-QUARTERLY-ACTIVITY
TAG: <NO-QUARTERLY-ACTIVITY>
DESCRIPTION: This element identifies if the Securitizer has no activity to report for the quarterly period pursuant to Rule 15Ga-1(c)(2)(i) under Item 1.02. Optional.
LENGTH: 1
END TAG: NA
CHARACTERISTIC: Alphanumeric
LIMITS: The valid values for this element are "Y" or "N".
FORMAT: NA

DATA ELEMENT: NO-ANNUAL-ACTIVITY
TAG: <NO-ANNUAL-ACTIVITY>
DESCRIPTION: This element identifies if the Securitizer has no activity to report for the annual period pursuant to Rule 15Ga-1(c)(2)(ii) under Item 1.02. Optional.
LENGTH: 1
END TAG: NA
CHARACTERISTIC: Alphanumeric
LIMITS: The valid values for this element are "Y" or "N".
FORMAT: NA

DATA ELEMENT: SECURITIZER FILE NUMBER

TAG: <SECURITIZER-FILE-NUMBER>
 DESCRIPTION: This element specifies the File Number of the Securitizer. Optional.
 LENGTH: 17
 END TAG: </SECURITIZER-FILE-NUMBER>
 CHARACTERISTIC: Alphanumeric
 LIMITS: NA
 FORMAT: ###-######[#][-XX[X][X]] where # is a number, [] is optional, and X is alphanumeric.

DATA ELEMENT: SERIAL-NUMBER
 TAG: <SERIAL-NUMBER>
 DESCRIPTION: This element specifies the serial file number if RULE-15GA2 is selected for the submission. Optional.
 LENGTH: 17
 END TAG: </SERIAL-NUMBER>
 CHARACTERISTIC: Alphanumeric
 LIMITS: NA
 FORMAT: ###-######[#][-XX[X][X]] where # is a number, [] is optional, and X is alphanumeric.

DATA ELEMENT: REGISTERED ENTITY
 TAG: <REGISTERED-ENTITY>
 DESCRIPTION: This element identifies if the submission reports a registered issuer under Item 2.02. Optional.
 LENGTH: 1
 END TAG: </REGISTERED-ENTITY>
 CHARACTERISTIC: Alphanumeric
 LIMITS: The valid values for this element are "Y" or "N".
 FORMAT: NA

DATA ELEMENT: ABS ASSET CLASS
 TAG: <ABS-ASSET-CLASS>
 DESCRIPTION: This element specifies the ABS Asset Class for the submission. Optional.
 LENGTH: 50
 END TAG: </ABS-ASSET-CLASS>
 CHARACTERISTIC: Alphanumeric
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: FILER
 TAG: <FILER>

| | |
|-----------------|---|
| DESCRIPTION: | Initial tag for nested filer information. Related data will be grouped together depending on submission type. The relevant tag will be reported for each grouping of company data. The tag is required and repeatable as a filing is being made on behalf of more than one Filer (person or entity). |
| LENGTH: | Tag only |
| END TAG: | </FILER> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | FILED BY |
| TAG: | <FILED-BY> |
| DESCRIPTION: | Initial tag for nested company information that filed the submission. The relevant tag is required as a filing is being made on behalf of more than one filer (person or entity). |
| LENGTH: | Tag only |
| END TAG: | </FILED-BY> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | SUBJECT COMPANY |
| TAG: | <SUBJECT-COMPANY> |
| DESCRIPTION: | Initial tag for nested company information. Related data will be grouped together depending on submission type. The relevant tag is optional and repeatable as a filing is being made on behalf of more than one filer (person or entity). |
| LENGTH: | Tag only |
| END TAG: | </SUBJECT-COMPANY> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | REPORTING OWNER |
| TAG: | <REPORTING-OWNER> |
| DESCRIPTION: | Initial tag for nested owner information. Related data will be grouped together depending on submission type. The relevant tag will be reported for each grouping of company data. The relevant tag is optional and repeatable as a filing is being made on behalf of more than one filer (person or entity). |
| LENGTH: | Tag only |
| END TAG: | </REPORTING-OWNER> |
| CHARACTERISTIC: | NA |

LIMITS: NA
FORMAT: NA

DATA ELEMENT: ISSUER
TAG: <ISSUER>
DESCRIPTION: Initial tag for nested company information. Related data will be grouped together depending on submission type. The relevant tag is required as a filing is being made on behalf of more than one filer (person or entity).

LENGTH: Tag only
END TAG: </ISSUER>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: SERIAL COMPANY
TAG: <SERIAL-COMPANY>
DESCRIPTION: Initial tag for nested company information. Related data will be grouped together depending on submission type. The relevant tag will be reported for each grouping of company data. The relevant tag is optional and repeatable as a filing is being made on behalf of more than one filer (person or entity).

LENGTH: Tag only
END TAG: </SERIAL-COMPANY>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: FILED FOR
TAG: <FILED-FOR>
DESCRIPTION: Initial tag for nested company information. Related data will be grouped together depending on submission type. The relevant tag will be reported for each grouping of company data. The relevant tag is optional and repeatable as a filing is being made on behalf of more than one filer (person or entity). This tag nest is used only in dissem headers for SEC Uploads (<CONFORMED-SUBMISSION-TYPE>UPLOAD).

LENGTH: Tag only
END TAG: </FILED-FOR>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: COMPANY DATA

| | |
|-----------------|--|
| TAG: | <COMPANY-DATA> |
| DESCRIPTION: | Initial tag for nested company information, related data will be grouped together. The information enclosed in this grouping represent the latest company conformed data submitted to the SEC. Required in each filer nest. |
| LENGTH: | Tag only |
| END TAG: | </COMPANY-DATA> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | OWNER DATA |
| TAG: | <OWNER-DATA> |
| DESCRIPTION: | Initial tag for nested owner information, related data will be grouped together. The information enclosed in this grouping represents the latest owner conformed data submitted to the SEC. Required in each reporting-owner nest. |
| LENGTH: | Tag only |
| END TAG: | </OWNER-DATA> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | COMPANY/OWNER CONFORMED NAME |
| TAG: | <CONFORMED-NAME> |
| DESCRIPTION: | Filer/Registrant/Owner conformed name as it appears in the SEC's database. Required in each company data nest. |
| LENGTH: | 150 |
| END TAG: | NA |
| CHARACTERISTIC: | Alpha-Numeric |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | CIK |
| TAG: | <CIK> |
| DESCRIPTION: | Filer/Registrant Central Index Key, which was supplied by the SEC following receipt of the Form ID. Required in each company data nest and Merger series and classes (contracts) information. |
| LENGTH: | 10 |
| END TAG: | NA |
| CHARACTERISTIC: | Numeric |
| LIMITS: | 0000000001-9999999999 |

FORMAT: NA

DATA ELEMENT: SIC
TAG: <ASSIGNED-SIC>
DESCRIPTION: Company's Standard Industrial Classification code. Required in each company data nest.
LENGTH: 4
END TAG: NA
CHARACTERISTIC: Numeric
LIMITS: Values taken from the SIC code file/table on EDGAR.
FORMAT: NA

DATA ELEMENT: RELATIONSHIP
TAG: <RELATIONSHIP>
DESCRIPTION: Identifies the filer's relationship with the company, eg, Director, Officer etc.
LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: IRS NUMBER
TAG: <IRS-NUMBER>
DESCRIPTION: Filer/Registrant/Subject Company/Issuer IRS number.
Required in each subject company tag nest.
Serial Company IRS Number is not disseminated.
LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: 99-9999999

DATA ELEMENT: STATE OF INCORPORATION
TAG: <STATE-OF-INCORPORATION>
DESCRIPTION: Company State of Incorporation. Optional.
LENGTH: 2
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: Values from the State and Country Codes held in EDGAR.
FORMAT: NA

DATA ELEMENT: FISCAL YEAR END
TAG: <FISCAL-YEAR-END>
DESCRIPTION: Company Fiscal Year End. Optional.
LENGTH: 4
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: MMDD

DATA ELEMENT: FILING-VALUES
TAG: <FILING-VALUES>
DESCRIPTION: Initial tag for nested filing values. A form may be filed under more than 1 act. Required and Repeatable within each filer nest, except filed by.
LENGTH: Tag only
END TAG: </FILING-VALUES>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: DELETION
TAG: <DELETION>
DESCRIPTION: Identifies post acceptance correction file number deletion. This tag is present after filing values to specify the file number deleted. Optional.
LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: FORM TYPE
TAG: <FORM-TYPE>
DESCRIPTION: Used to identify the form type filed for each filer. Required by Filer.
LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric, spaces and hyphens allowed. Required in each filing values tag nest.
LIMITS: Refer to EDGAR Filer Manual Volume II chapter entitled "Index To Forms".
FORMAT: NA

DATA ELEMENT: SEC ACT
 TAG: <ACT>
 DESCRIPTION: Identification of the act(s) under which certain IM filings are made. The form type may be filed under more than one act. Required in each filing values tag nest.
 LENGTH: 2
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: Refer to FTTD published by SEC.
 FORMAT: NA

DATA ELEMENT: SEC FILE NUMBER
 TAG: <FILE-NUMBER>
 DESCRIPTION: SEC Conformed File Number. Required in each filing values tag nest.
 LENGTH: 15
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: NA
 FORMAT: ###-#####[#][-XX[X][X]] where # is a number, [] is optional, and X is alphanumeric.

DATA ELEMENT: FILM NUMBER
 TAG: <FILM-NUMBER>
 DESCRIPTION: Also known as the Document Control Number (DCN) Microfilm number assigned to submission. Required for each Subject Company or Registrant, or Owner, not for Filed By or Issuer.
 LENGTH: 10
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: NA
 FORMAT: YY#####

DATA ELEMENT: BUSINESS ADDRESS
 TAG: <BUSINESS-ADDRESS>
 DESCRIPTION: Company Business Address. Optional.
 LENGTH: Tag Only
 END TAG: </BUSINESS-ADDRESS>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: BUSINESS STREET 1
TAG: <STREET1>
DESCRIPTION: Company Business Street 1. Optional.
LENGTH: 40
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: BUSINESS STREET 2
TAG: <STREET2>
DESCRIPTION: Company Business Street 2. Optional.
LENGTH: 40
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: BUSINESS CITY
TAG: <CITY>
DESCRIPTION: Company Business City. Optional.
LENGTH: 30
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: BUSINESS STATE
TAG: <STATE>
DESCRIPTION: Company Business State. Optional.
LENGTH: 2
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: Values from the State and Country Codes table held in EDGAR.
FORMAT: NA

DATA ELEMENT: BUSINESS ZIP
TAG: <ZIP>
DESCRIPTION: Company Business Zip. Optional.

LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: BUSINESS PHONE
TAG: <PHONE>
DESCRIPTION: Company Business Phone. Optional.
LENGTH: 12
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: MAIL ADDRESS
TAG: <MAIL-ADDRESS>
DESCRIPTION: Company Mail Address. Optional.
LENGTH: Tag Only
END TAG: </MAIL-ADDRESS>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: MAIL STREET 1
TAG: <STREET1>
DESCRIPTION: Company Mail Street 1. Optional.
LENGTH: 40
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: MAIL STREET 2
TAG: <STREET2>
DESCRIPTION: Company Mail Street 2. Optional.
LENGTH: 40
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA

FORMAT: NA

DATA ELEMENT: MAIL CITY
TAG: <CITY>
DESCRIPTION: Company Mail City. Optional.
LENGTH: 30
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: MAIL STATE
TAG: <STATE>
DESCRIPTION: Company Mail State. Optional.
LENGTH: 2
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: Values from the State and Country Codes file from OADPS.
FORMAT: NA

DATA ELEMENT: MAIL ZIP
TAG: <ZIP>
DESCRIPTION: Company Mail Zip. Optional.
LENGTH: 10
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: FORMER COMPANY
TAG: <FORMER-COMPANY>
DESCRIPTION: Former company name. Optional and repeatable up to three times.
LENGTH: Tag only
END TAG: </FORMER-COMPANY>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: FORMER OWNER NAME
TAG: <FORMER-NAME>

DESCRIPTION: Former reporting owner name. Optional and repeatable up to three times.
LENGTH: Tag only
END TAG: </FORMER-NAME>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: FORMER CONFORMED NAME
TAG: <FORMER-CONFORMED-NAME>
DESCRIPTION: Former Company name. Required in each former company tag nest.
LENGTH: 150
END TAG: NA
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: NA

DATA ELEMENT: DATE OF NAME CHANGE
TAG: <DATE-CHANGED>
DESCRIPTION: Date when company name was changed. Required in each former company tag nest.
LENGTH: 8
END TAG: NA
CHARACTERISTIC: Date
LIMITS: NA
FORMAT: YYYYMMDD

DATA ELEMENT: PUBLIC REFERENCE ACCESSION NUMBER
TAG: <PUBLIC-REFERENCE-ACC>
DESCRIPTION: Present only in SEC UPLOADS. Reference to a specific submission (via Accession number). Optional.
END TAG: NA
LENGTH: 20
CHARACTERISTIC: Alpha-Numeric
LIMITS: NA
FORMAT: (10-Char CIK)+"-"+YY+"-"+(6-Char sequence #)

DATA ELEMENT: SERIES AND CLASSES CONTRACTS DATA
TAG: <SERIES-AND-CLASSES-CONTRACTS-DATA>

DESCRIPTION: Initial tag for nested series and classes (contracts) information. Related data will be grouped together. The tag is required per relevant form type and investment company type.

LENGTH: Tag only
 END TAG: </SERIES-AND-CLASSES-CONTRACTS-DATA>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: NEW SERIES AND CLASSES CONTRACTS
 TAG: <NEW-SERIES-AND-CLASSES-CONTRACTS>
 DESCRIPTION: Initial tag for identifying new series and classes (contracts) information. Related data will be grouped together. The tag is optional per relevant form type.

LENGTH: Tag only
 END TAG: </NEW-SERIES-AND-CLASSES-CONTRACTS>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: EXISTING SERIES AND CLASSES CONTRACTS
 TAG: <EXISTING-SERIES-AND-CLASSES-CONTRACTS>
 DESCRIPTION: Initial tag for identifying existing series and classes (contracts) information. Related data will be grouped together. The tag is optional per relevant form type.

LENGTH: Tag only
 END TAG: </EXISTING-SERIES-AND-CLASSES-CONTRACTS>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: MERGER SERIES AND CLASSES CONTRACTS
 TAG: <MERGER-SERIES-AND-CLASSES-CONTRACTS>
 DESCRIPTION: Initial tag for identifying merger series and classes (contracts) information. Related data will be grouped together. The tag is optional per relevant form type.

LENGTH: Tag only
 END TAG: </MERGER-SERIES-AND-CLASSES-CONTRACTS>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: OWNER CIK
 TAG: <OWNER-CIK>
 DESCRIPTION: Series and Classes (Contracts) Owner Central Index Key, which was supplied by the SEC following receipt of the Form ID. Required for identifying new and existing series and classes (contracts).
 LENGTH: 10
 END TAG: NA
 CHARACTERISTIC: Numeric
 LIMITS: 0000000001-9999999999
 FORMAT: NA

DATA ELEMENT: NEW SERIES
 TAG: <NEW-SERIES>
 DESCRIPTION: Initial tag for identifying new series information. Required and repeatable for New Series and Classes Contracts.
 LENGTH: Tag only
 END TAG: </NEW-SERIES>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: SERIES ID
 TAG: <SERIES-ID>
 DESCRIPTION: Series ID number, which was supplied by the SEC following receipt of new series information. Required for all scenarios (new, existing, merger) for series and classes (contracts).
 LENGTH: 10
 END TAG: NA
 CHARACTERISTIC: AlphaNumeric
 LIMITS: S000000001-S999999999
 FORMAT: S#####

DATA ELEMENT: SERIES NAME
 TAG: <SERIES-NAME>
 DESCRIPTION: Series name, which was supplied by the filer during receipt new series information. Required for all scenarios (new, existing, merger) for series and classes (contracts).
 LENGTH: 150
 END TAG: NA
 CHARACTERISTIC: AlphaNumeric
 LIMITS: NA

| | |
|-----------------|---|
| FORMAT: | NA |
| DATA ELEMENT: | NEW CLASSES CONTRACTS |
| TAG: | <NEW-CLASSES-CONTRACTS> |
| DESCRIPTION: | Initial tag for identifying new classes (contracts) information. Required and repeatable for New Series and Classes Contracts. |
| LENGTH: | Tag only |
| END TAG: | </NEW-CLASSES-CONTRACTS> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | CLASS CONTRACT |
| TAG: | <CLASS-CONTRACT> |
| DESCRIPTION: | Initial tag for identifying new, existing, or merger class (contract) information. Required and repeatable. |
| LENGTH: | Tag only |
| END TAG: | </CLASS-CONTRACT> |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| DATA ELEMENT: | CLASS CONTRACT ID |
| TAG: | <CLASS-CONTRACT-ID> |
| DESCRIPTION: | Class (contract) ID number, which was supplied by the SEC following receipt of new class (contract) information. Required for all scenarios (new, existing, merger) for series and classes (contracts). |
| LENGTH: | 10 |
| END TAG: | NA |
| CHARACTERISTIC: | AlphaNumeric |
| LIMITS: | C000000001-C999999999 |
| FORMAT: | C##### |
| DATA ELEMENT: | CLASS CONTRACT NAME |
| TAG: | <CLASS-CONTRACT-NAME> |
| DESCRIPTION: | Class (contract) name, which was supplied by the filer during receipt of new class (contract) information. Required for all scenarios (new, existing, merger) for series and classes (contracts). |
| LENGTH: | 150 |
| END TAG: | NA |
| CHARACTERISTIC: | AlphaNumeric |

LIMITS: NA
 FORMAT: NA

DATA ELEMENT: SERIES
 TAG: <SERIES>
 DESCRIPTION: Initial tag for identifying existing and merger series information. Required and repeatable for Existing and Merger Series and Classes Contracts.
 LENGTH: Tag only
 END TAG: </SERIES>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: CLASS CONTRACT TICKER SYMBOL
 TAG: <CLASS-CONTRACT-TICKER-SYMBOL>
 DESCRIPTION: Class (contract) ticker symbol, which was supplied by the filer during receipt of existing class (contract) information. Optional for all existing and merger scenarios for series and classes (contracts).
 LENGTH: 10
 END TAG: NA
 CHARACTERISTIC: AlphaNumeric
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: MERGER
 TAG: <MERGER>
 DESCRIPTION: Initial tag for identifying merger series and classes (contracts) information. Required and repeatable for Merger Series and Classes Contracts.
 LENGTH: Tag only
 END TAG: </MERGER>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: ACQUIRING DATA
 TAG: <ACQUIRING-DATA>
 DESCRIPTION: Initial tag for identifying the acquiring series and class (contract) information as part of reporting merger information. Required for Merger Series and Classes Contracts.
 LENGTH: Tag only
 END TAG: </ACQUIRING-DATA>

CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: TARGET DATA
TAG: <TARGET-DATA>

DESCRIPTION: Initial tag for identifying the target series and class (contract) information as part of reporting merger information. Required and repeatable for Merger Series and Classes Contracts.

LENGTH: Tag only
END TAG: </TARGET-DATA>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

3.3.2 Document Header and Text Tags

The following is a list of each tag and associated tag value information for the document portion of the current disseminated output DTD. This includes the data element, tag, description and other information associated with the tag value.

DATA ELEMENT: DOCUMENT
 TAG: <DOCUMENT>
 DESCRIPTION: Initial tag for nested document information. Required and repeatable.
 LENGTH: Tag only
 END TAG: </DOCUMENT>
 CHARACTERISTIC: NA
 LIMITS: NA
 FORMAT: NA

DATA ELEMENT: CONFORMED DOCUMENT TYPE
 TAG: <TYPE>
 DESCRIPTION: EDGAR conformed document type. Required in each document tag nest.
 LENGTH: 20
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: Refer to EDGAR Filer Manual Volume II chapter entitled "Index To Forms".
 FORMAT: NA

DATA ELEMENT: DOCUMENT SEQUENCE
 TAG: <SEQUENCE>
 DESCRIPTION: Document sequence number. Required in each document tag nest.
 LENGTH: 3
 END TAG: NA
 CHARACTERISTIC: Numeric
 LIMITS: 001-999
 FORMAT: NA

DATA ELEMENT: FILENAME
 TAG: <FILENAME>
 DESCRIPTION: Document File name. Required in each document tag nest.
 LENGTH: 32
 END TAG: NA
 CHARACTERISTIC: Alpha-Numeric
 LIMITS: NA

FORMAT: NA

DATA ELEMENT: DOCUMENT DESCRIPTION

TAG: <DESCRIPTION>

DESCRIPTION: Describes what is contained in the document. Optional.

LENGTH: 255

END TAG: NA

CHARACTERISTIC: Alpha-Numeric

LIMITS: NA

FORMAT: NA

DATA ELEMENT: DOCUMENT TEXT

TAG: <TEXT>

DESCRIPTION: Identifies beginning of document text. Required in each document tag nest.

LENGTH: Tag only

END TAG: </TEXT>

CHARACTERISTIC: NA

LIMITS: NA

FORMAT: NA

DATA ELEMENT: PDF

TAG: <PDF>

DESCRIPTION: Identifies beginning of Uuencoded PDF document. Optional under Document text.

LENGTH: Tag only

END TAG: </PDF>

CHARACTERISTIC: NA

LIMITS: NA

FORMAT: NA

DATA ELEMENT: XML

TAG: <XML>

DESCRIPTION: Identifies beginning of XML document and XML tagging elements. Optional under Document text.

LENGTH: Tag only

END TAG: </XML>

CHARACTERISTIC: NA

LIMITS: NA

FORMAT: NA

DATA ELEMENT: XBRL
TAG: <XBRL>
DESCRIPTION: Identifies beginning of XBRL document and XBRL tagging elements. Optional under Document text.
LENGTH: Tag only
END TAG: </XBRL>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: TABLE
TAG: <TABLE>
DESCRIPTION: Identifies beginning of table in text document. Optional and repeatable in Document text.
LENGTH: Tag only
END TAG: </TABLE>
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: CAPTION
TAG: </CAPTION>
DESCRIPTION: Identifies a table caption. Optional and repeatable in document text.
LENGTH: Tag Only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: STUB
TAG: <S>
DESCRIPTION: Identifies a stub header. Optional and repeatable in document text.
LENGTH: Tag only
END TAG: NA
CHARACTERISTIC: NA
LIMITS: NA
FORMAT: NA

DATA ELEMENT: COLUMN

| | |
|-----------------|--|
| TAG: | <C> |
| DESCRIPTION: | Identifies a column header. Optional and repeatable in document text. |
| LENGTH: | Tag only |
| END TAG: | NA |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| | |
| DATA ELEMENT: | TABLE FOOTNOTES SECTION |
| TAG: | <FN> |
| DESCRIPTION: | Footnotes Section in a table. Optional and repeatable in document text. |
| LENGTH: | Tag only |
| END TAG: | NA |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| | |
| DATA ELEMENT: | FOOTNOTES |
| TAG: | <F1>-<F99> |
| DESCRIPTION: | Footnotes in document text. Optional and repeatable in document text. |
| LENGTH: | Tag only |
| END TAG: | NA |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |
| | |
| DATA ELEMENT: | PAGE |
| TAG: | <PAGE> |
| DESCRIPTION: | Identifies filer-indicated page break. Optional and repeatable in document text. |
| LENGTH: | Tag Only |
| END TAG: | NA |
| CHARACTERISTIC: | NA |
| LIMITS: | NA |
| FORMAT: | NA |

3.4 EDGAR SGML Document Type Definition

Standard Generalized Markup Language (SGML) provides standardized, generic coding and generalized markup concepts for a wide variety of data. It provides syntax for describing various elements within a document.

A Document Type Definition (DTD) provides the rules that apply SGML to the markup of particular document types. A DTD should include a formal specification in the form of a document type declaration of elements types, element relationships and attributes, and references that can be represented by the markup. The DTD defines the vocabulary of the markup for which SGML defines the syntax.

```
<!SGML "ISO 8879-1986"
--
!=====
!
!           EDGAR DISSEMINATION
!
!   SGML DECLARATION AND DOCUMENT TYPE DEFINITION
!
!           PUBLIC
!
!!=====!
--
CHARSET      BASESET      "ISO 646-1983//CHARSET International
                Reference Version (IRV)//ESC 2/5 4/0"
                DESCSET    0 9 UNUSED
                9 2 9
                11 2 UNUSED
                13 1 13
                14 18 UNUSED
                32 95 32
                127 1 UNUSED
CAPACITY     PUBLIC      "ISO 8879-1986//CAPACITY Reference//EN"
SCOPE        DOCUMENT
C-1
SYNTAX
                SHUNCHAR  CONTROLS 0 1 2 3 4 5 6 7 8 9 10 11 12 13
                14 15 16 17 18 19 20 21 22 23 24 25 26
                27 28 29 30 31 127 255
                BASESET    "ISO 646-1983//CHARSET International
                Reference Version (IRV)//ESC 2/5 4/0"
```



```

DESCSET      0 128 0
FUNCTION     RE      13
              RS      10
              SPACE 32
TAB SEPCHAR  9
NAMING      LCNMSTRT  ""
            UCNMSTRT  ""
            LCNMCHAR  "-.&"
            UCNMCHAR  "-.&"
            NAMECASE  GENERAL YES
            ENTITY    NO
DELIM       GENERAL  SGMLREF
            SHORTREF  SGMLREF
NAMES       SGMLREF
QUANTITY    SGMLREF  NAMELEN 40  LITLEN 9000
            ATTCNT   40  GRPCNT   350
GRPGETCNT   350
FEATURES -- only OMITTAG --
            MINIMIZE DATATAG NO OMITTAG YES RANK NO
SHORTTAG NO

LINK        SIMPLE NO IMPLICIT NO EXPLICIT NO
OTHER       CONCUR NO SUBDOC NO FORMAL NO
APPINFO     NONE

```

```

>
<!DOCTYPE submission [

```

```

<!--
! ===== ENTITES =====

```

Entity references are provided for the '<' and '&' symbols to enable their use when a markup declaration open code or an entity reference open code respectively is not intended.

Caution: These reference mechanisms are provided and recommended for use as needed in the preparation of filing text. In the event that filing text presents a valid, yet unintended, entity reference which is not previously declared and no default entity has been defined, the SGML standard requires that the reference be raised as an error. However, use of these entities to avoid unintended references is not strictly enforced as a condition for acceptance of EDGAR filings.

The text of disseminated filings may include literal sequences of characters containing ampersands. Such sequences could possibly present (unintended) valid entity references per the SGML standard. Any

receiver of C-2 EDGAR dissemination data should be prepared to deal with possible exceptions raised as a result of unintended entity references stemming from character sequences with ampersands.

-->

C-2

<!ENTITY lt "<" >
<!ENTITY amp "&" >

<!--
!=====
===!
! PARAMETER ENTITIES
!=====
===!
-->

<!ENTITY % pac-id "correction, timestamp" >

<!ENTITY % subm-front "(%pac-id;)?,
 paper?,
 private-to-public?,
 accession-number,
 deletion?,
 type,
 confirming-copy?,
 public-document-count,
 reference-462B?,
 references-429* ,
 period?,
 items* ,
 filing-date,
 public-rel-date?,
 date-of-filing-date-change?,
 effectiveness-date?,
 action-date?,
 received-date?,
 group-members* ,
 abs-rule?,
 period-start,

no-initial-period-activity,
no-quarterly-activity,
no-annual-activity,
securitizer-file-number?,
serial-number?,
registered-entity?,
abs-asset-class?" >

<!ENTITY % registrants "filer* &
filed-by* &
serial-company* &
subject-company* &
reporting-owner* &
issuer* &
filed-for*" >

<!ENTITY % regist-data "deletion?,
company-data | owner-data,
filing-values?,
business-address?,
mail-address?,
former-company* ,
former-name*" >

C-3

<!ENTITY % cmpy-data "conformed-name,
cik,
assigned-sic?,
relationship?,
irs-number?,
state-of-incorporation?,
fiscal-year-end?" >

<!ENTITY % filing-id "file-number,
film-number" >

<!ENTITY % filing-vals "deletion?,
form-type,
act,

(%filing-id;)" >

```
<!ENTITY % address "street1?,
street2?,
city?,
state?,
zip?",
phone? >
```

```
<!ENTITY % former-data "former-conformed-name,
date-changed" >
```

```
<!ENTITY % pub-acc-ref "public-reference-acc" >
```

```
<!ENTITY % document-hdr "type,
sequence,
filename,
description?" >
```

```
<!ENTITY % footnotes " f1| f2| f3| f4| f5| f6| f7| f8|
f9|f10|f11|f12|f13|f14|f15|f16|f17|f18|f19|f20|f21|f22|f23|f24|f25|f26|f27|f28|f29|f30|f31|f32|f33
|f34|f35|f36|f37|f38|f39|f40|f41|f42|f43|f44|f45|f46|f47|f48|f49|f50|f51|f52|f53|f54|f55|f56|f57|f
58|f59|f60|f61|f62|f63|f64|f65|f66|f67|f68|f69|f70|f71|f72|f73|f74|f75|f76|f77|f78|f79|f80|f81|f8
2|f83|f84|f85|f86|f87|f88|f89|f90|f91|f92|f93|f94|f95|f96|f97|f98|f99 " >
```

```
<!ENTITY % hilites " table | page | %footnotes; ">
```

```
<!ENTITY % text "#PCDATA | %hilites; " >
```

```
<!--
```

```
!=====
! ELEMENT DECLARATIONS
!=====
```

```
-->
```

```
<!-- NOTE: The "deletion" element is defined for use within the following three tag nests:
```

- 1) "submission",
- 2) "filer,filed-by, subject-company, reporting-owner, issuer, or serial-company" and
- 3) "filing-values", to accommodate all possible dissemination stream (files).

However, within any SGML document instance, "deletion" will be used in no more than 1 of these three tags nests.

```
-->
```

C-4

```
<!-- Elem. NAME(S) MIN CONTENT (EXCEPTIONS)
Attr. ELEMENT(S) NAME VALUE DEFAULT -->
```

```
<!ELEMENT submission - - (%subm-front;,
(%registrants;),
document+ ) >
```

```
<!-- Submission front matter definitions -->
```

```
<!ELEMENT correction - o EMPTY >
<!ELEMENT timestamp - o (#PCDATA) >
<!ELEMENT paper - o EMPTY >
<!ELEMENT private-to-public - o EMPTY >
<!ELEMENT accession-number - o (#PCDATA) >
<!ELEMENT deletion - o EMPTY >
<!ELEMENT type - o (#PCDATA) >
<!ELEMENT confirming-copy - o EMPTY >
<!ELEMENT public-document-count - o (#PCDATA) >
<!ELEMENT (references-429,
reference-462B,
period,
items,
filing-date,
public-rel-date,
date-of-filing-date-change,
action-date,
received-date,
effectiveness-date,
group-members,
abs-rule,
period-start,
no-initial-period-activity,
no-quarterly-activity,
no-annual-activity,
securitizer-file-number,
serial-number,
registered-entity,
abs-asset-class) - o (#PCDATA) >
```

```
<!-- Registrants definitions -->
```

```
<!ELEMENT (filer,
```

```
    filed-by,  
    serial-company,  
    subject-company,  
    reporting-owner,  
    issuer,  
    filed-for)      - - (%regist-data;) >
```

<!-- Registrant data definitions -->

```
<!ELEMENT company-data | owner-data      - - (%cmpy-data;) >  
<!ELEMENT filing-values      - - (%filing-vals;) >  
<!ELEMENT business-address   - - (%address;, phone?) >
```

C-5

```
<!ELEMENT mail-address      - - (%address;) >  
<!ELEMENT former-company | former-name  - - (%former-data;) >
```

<!-- Company data (cmpy-data) definitions -->

```
<!ELEMENT (conformed-name,  
    cik,  
    assigned-sic,  
    relationship,  
    irs-number,  
    state-of-incorporation,  
    fiscal-year-end)      - o (#PCDATA) >
```

<!-- Filing values (filing-vals) definitions -->

```
<!ELEMENT (form-type,  
    act,  
    file-number,  
    film-number)      - o (#PCDATA) >
```

<!-- Address information definitions -->

```
<!ELEMENT (street1,  
    street2,  
    city,
```

```
state,
zip,
phone)          - o (#PCDATA) >
```

```
<!-- Former company definitions -->
```

```
<!ELEMENT (former-conformed-name,
date-changed)      - o (#PCDATA) >
```

```
<!ELEMENT public-reference-acc      - o (#PCDATA) >
```

```
<!--Series and Classes (Contracts) definitions -->
```

```
<!ELEMENT series-and-classes-contracts-data      - - (new-series-and-classes-contracts?;, existing-
series-and-classes-contracts?;,merger-series-and-classes-contracts?;) >
```

```
<!ELEMENT new-series-and-classes-contracts      - - (owner-cik, new-series*;, new-classes-
contracts*;) >
```

```
<!ELEMENT existing-series-and-classes-contracts      - - (owner-cik, series*;) >
```

```
<!ELEMENT merger-series-and classes-contracts      - - (merger*;) >
```

```
<!ELEMENT new-series      - - (series-id, series-name, class-contract*;) >
```

```
<!ELEMENT new-classes-contracts      - - (series-id, series-name, class-contract*;) >
```

```
<!ELEMENT class-contract      - - (class-contract-id, class-contract-name, class-contract-ticker-
symbol?) >
```

```
<!ELEMENT series      - - (series-id, series-name, class-contract*;) >
```

```
<!ELEMENT merger      - - (acquiring-data;, target-data*;) >
```

```
<!ELEMENT acquiring-data      - - (cik, series;) >
```

```
<!ELEMENT target-data      - - (cik, series*;) >
```

```
<!ELEMENT (owner-cik,
series-id,
series-name,
class-contract-id,
class-contract-name,
class-contract-ticker-symbol)      - o (#PCDATA) >
```

```
<!-- Document header definitions -->
```

```
<!ELEMENT document      - - (%document-hdr; , text)>
```

```
<!ELEMENT ( sequence,      description)      - o (#PCDATA) >
```

<!-- Document body (text) definitions -->

<!ELEMENT text - - (%text;)+ >

<!ELEMENT (pdf, xml, xbrl) - o EMPTY >

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<!ELEMENT table - - ((#PCDATA |
page |
caption |
s |
c |
%footnotes; |
fn)+)
-(table) >

<!ELEMENT (caption,
s,
c,
fn) - o (#PCDATA) >
<!ELEMENT (%footnotes;) - o EMPTY >
<!ELEMENT page - o EMPTY >
>

3.5 EDGAR Dissemination Notices

The subscribers may contact Keane Federal Systems Inc to receive a copy of the Dissemination feed updates.

4.0 ACRONYMS

| | |
|-------|--|
| ABS | Asset Backed Securities |
| ADC | Alternate Data Center |
| BOB | Beginning of Business |
| COB | Close of Business |
| COTS | Commercial Off-the-Shelf |
| CSU | Channel Service Unit |
| DCN | Document Control Number |
| DR | Disaster Recovery |
| DRS | Dissemination Receipt Server |
| DSU | Date Service Unit |
| DTD | Document Type Definition |
| EDGAR | Electronic Data Gathering, Analysis, and Retrieval |
| EOD | End-Of-Day |
| EST | Eastern Standard Time |
| HTTP | Hyper Text Transfer Protocol |
| GIF | Graphical Interface Format |
| IP | Internet Protocol |
| N-SAR | Investment Company's Semi-Annual Report |
| OS | Operating System |
| PAC | Post-Acceptance Corrections |
| PDF | Portable Document Format |
| PDS | Public Dissemination Service |
| POC | Point of Contact |
| SEC | Securities and Exchange Commission |
| SGML | Standard Generalized Markup Language |
| TCP | Transmission Control Protocol |