

SECURITIES EXCHANGE ACT OF 1934  
Rel No. 42969 / June 21, 2000

Admin. Proc. File No. 3-10125

In the Matter of  
  
BRAD HADDY

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed, and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(e) of the Commission's Rules of Practice, that the initial decision of the administrative law judge \*/ has become the final decision of the Commission with respect to Brad Haddy. The order contained in that decision barring Brad Haddy from association with any broker or dealer or with any member of any national securities exchange or registered securities association is hereby declared effective.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

  
Jonathan G. Katz  
Secretary

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\*/ Brad Haddy, Initial Decision Rel. No. 164 (May 8, 2000), \_\_ SEC Docket \_\_.